



UNIVERSITAT DE  
BARCELONA

## Efecto de la dieta mediterránea y sus compuestos bioactivos en la prevención y prognosis de enfermedades crónicas

Sara Hurtado Barroso

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Universidad de Barcelona  
Facultad de Farmacia y Ciencias de la Alimentación

**EFFECTO DE LA DIETA MEDITERRÁNEA Y SUS COMPUESTOS  
BIOACTIVOS EN LA PREVENCIÓN Y PROGNOSIS DE  
ENFERMEDADES CRÓNICAS**

**Sara Hurtado Barroso**  
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PROGNOSIS DE ENFERMEDADES CRÓNICAS**

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## ABREVIATURAS / ABBREVIATIONS

4-HBA: ácido 4-hidroxibenzoico / 4-hydroxybenzoic acid

ABC: transportadores dependientes de adenosín-trifosfato / adenosine triphosphate-binding cassette

AICR: American Institute for Cancer Research

ARE: elemento de respuesta antioxidante / antioxidant response element

ASTs: arilsulfotransferasas / aryl sulphotransferases

BCFA: ácidos grasos de cadena ramificada/ branched-chain fatty acid

BCO1: β-caroteno oxigenasa 1 / β-carotene oxygenase 1

BCO2: β-caroteno oxigenasa 2 / β-carotene oxygenase 2

CBG: β-glucosidasa citosólica / cytosolic β-glucosidase

CD: dieta convencional / conventional diet

CD36: determinante de grupo 36 / cluster of differentiation 36

COMTs: catecol-O-metiltransferasas / catechol-O-methyltransferases

COX-2: ciclooxigenasa-2 / cyclooxygenase-2

CRP: proteína C-reactiva / C-reactive protein

CYP: citocromo / cytochrome

DM: Dieta Mediterránea

DMAPP: dimetilalil difosfato / dimethylallyl diphosphate

ECV: enfermedades cardiovasculares

ENT: enfermedades no transmisibles

FFAR2: receptor de ácidos grasos libres 2 / free fatty acid receptor 2

FFAR3: receptor de ácidos grasos libres 3 / free fatty acid receptor 3

FFQ: cuestionario de frecuencia de consumo de alimentos / Food Frequency Questionnaire

GAE: equivalentes de ácido gálico / gallic acid equivalent

GGPP: geranil geranil pirofosfato / geranyl geranyl pyrophosphate

## 1. RESUMEN

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GPRs: receptores acoplados a proteínas G / G-protein-coupled receptors

HCAR2: receptor de ácido hidroxicarboxílico / hydroxycarboxylic acid receptor

HDAC: histona desacetilasa / histone deacetylase

HDL: lipoproteína de alta densidad / high density lipoprotein

HR: hazard ratio

ICAM-1: molécula de adhesión intercelular-1 / intercellular adhesion molecule-1

IL-1 $\beta$ : interleuquina 1 $\beta$  / interleukin 1 $\beta$

IL-6: interleuquina 6 / interleukin 6

i-NOS: óxido nítrico sintasa inducible / inducible nitric oxide synthase

IPP: isopentenil difosfato / isopentenyl diphosphate

LAD: dieta baja en antioxidantes / low antioxidant diet

LDL: lipoproteína de baja densidad / low density lipoprotein

LPH: lactasa-floricina hidrolasa / lactase-phlorizin hydrolase

LRAT: lecitin-retinol-aciltransferasa / lecithin-retinol-acyltransferasa

NPC1L1: proteína Niemann-Pick C1-Like 1 / Niemann-Pick C1 like 1

NF- $\kappa$ B: factor nuclear potenciador de las cadenas ligeras kappa de las células B activadas / nuclear factor kappa-light-chain-enhancer of activated B cells

NHL: linfoma no Hodgkin / non-Hodgkin lymphoma

NO: óxido nítrico / nitric oxide

Nrf2: factor nuclear eritroide 2 relacionado con el factor 2 / nuclear factor erythroid 2-related factor 2

OD: dieta ecológica / organic diet

OMS: Organización Mundial de la Salud

PGI<sub>2</sub>: prostaglandina I<sub>2</sub> (prostaciclina) / prostacyclin

PSY: fitoeno sintetasa / phytoene synthase

RDH: retinol deshidrogenasa / retinol deshydrogenase

ROS: especies reactivas del oxígeno / reactive oxygen species

RR: relative risk

SGLT1: transportador de glucosa activo dependiente de sodio 1 / sodium-dependent glucose transporter 1

SR-B1: receptores de membranas como el de clase B tipo I / scavenger receptor class B type 1

SULTs: sulfotransferasas / sulphotransferases

TNF- $\alpha$ : factor de necrosis tumoral  $\alpha$  / tumor nuclear factor  $\alpha$

TPE: excreción de polifenoles totales / total polyphenol excretion

TXA2: tromboxano A2 / thromboxane A2

UD: dieta habitual / usual diet

UGT: uridina-5'-difosfato glucuronosiltransferasas / uridine-5'-diphosphate glucuronosyltransferase

VCAM-1: molécula de adhesión vascular-1 / vascular adhesion molecule-1

VFA: ácidos grasos volátiles / volatile fatty acid

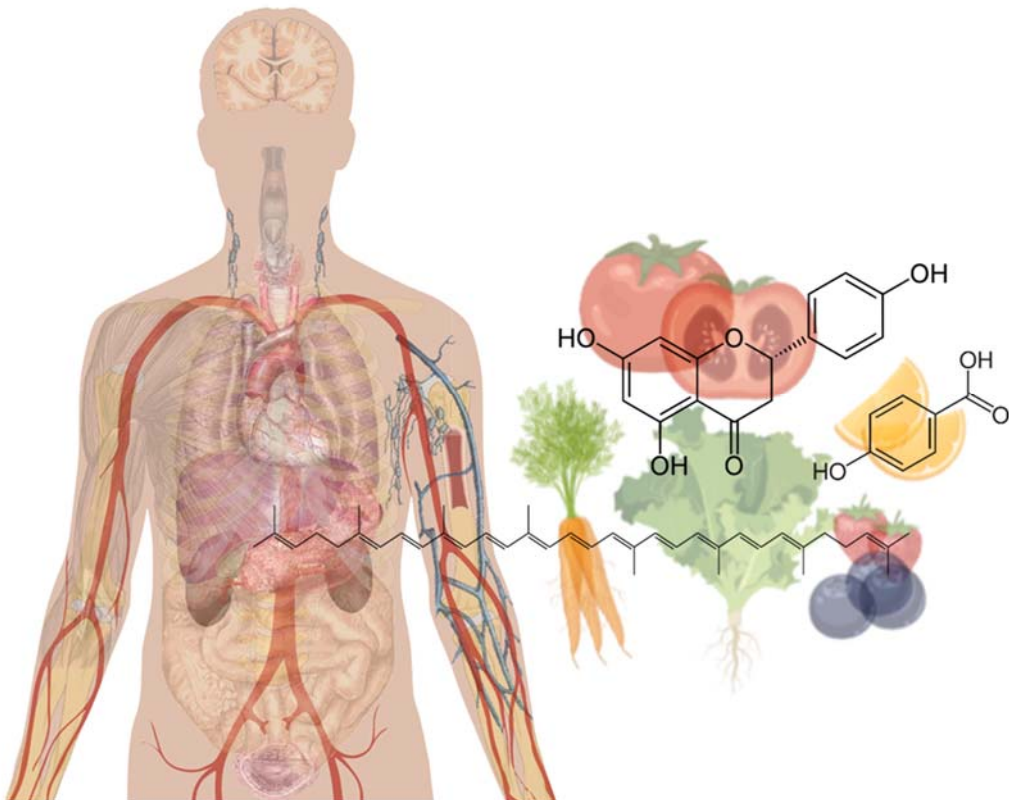
VLDL: lipoproteínas de muy baja densidad / very low density lipoprotein

WCRF: World Cancer Research Fund





# RESUMEN





## 1. RESUMEN

La dieta mediterránea, rica en hortalizas y frutas, tiene efectos beneficiosos en la salud debido en parte a su alto contenido en compuestos bioactivos, entre ellos los carotenoides y los polifenoles. Estos compuestos que provienen de la dieta y tienen propiedades biológicas se conocen comúnmente como fitoquímicos, debido a que son producidos por el metabolismo de las plantas. Las concentraciones de fitoquímicos que son ingeridas y metabolizadas por el cuerpo humano dependen de muchos factores como la matriz alimentaria, el procesado, las interacciones entre alimentos, el método de cultivo, etc. Los polifenoles y carotenoides son compuestos procedentes del metabolismo secundario de las plantas y poseen propiedades fisiológicas relevantes en el organismo humano. Se ha observado que son más accesibles cuando se consumen con una matriz lipídica, y además el procesamiento térmico también parece afectar positivamente a los carotenoides. En cuanto al tipo de cultivo, algunas investigaciones han sugerido un mayor contenido de fitoquímicos en alimentos obtenidos mediante métodos de agricultura ecológica debido a que las plantas están más expuestas a situaciones de estrés por el uso limitado de pesticidas y fertilizantes con respecto a los convencionales.

Por otra parte, los productos del metabolismo de la microbiota intestinal también regulan las actividades biológicas y pueden disminuir el riesgo de algunas enfermedades crónicas como las cardiovasculares y el cáncer. En las últimas décadas, ha aumentado el número de publicaciones que aborda el tema de la interacción entre la dieta, la microbiota y la salud, especulándose la asociación de la ingesta de determinados alimentos y nutrientes con la composición y actividad de la microbiota, lo cual se relaciona con la presencia de ciertas enfermedades crónicas (enfermedades no transmisibles).

No obstante, gran parte de los investigadores llevan a cabo estudios en poblaciones de riesgo, con el fin de evaluar la asociación entre los compuestos bioactivos y la prevención primaria de ciertas enfermedades. Por lo tanto, en el desarrollo de la presente tesis se propuso i) estudiar el efecto de los compuestos bioactivos de la dieta sobre algunos marcadores relacionados con la inflamación sistémica de bajo grado e implicados en la salud vascular en hombres sanos; ii) evaluar el efecto de consumir una dieta ecológica a corto plazo sobre el nivel de compuestos bioactivos, minerales y metales pesados; iii) analizar las interacciones entre los carotenos plasmáticos (utilizados como biomarcadores del consumo de hortalizas y frutas), los ácidos grasos volátiles procedentes de la microbiota y el perfil lipídico en sujetos sanos; y iv) evaluar la evidencia sobre la asociación del consumo de hortalizas y frutas y la prognosis de cáncer.

Los resultados de estas investigaciones corroboraron i) los efectos negativos a corto plazo de consumir una dieta baja en compuestos bioactivos en algunos de los biomarcadores vasculares, el óxido nítrico y el cociente tromboxano  $A_2/$  prostaglandina  $I_2$ , en sujetos sanos. Además, se ha sugerido que el consumo habitual de *sofrito* puede tener efectos positivos sobre la proteína C-

reactiva y el factor de necrosis tumoral- $\alpha$ , evitando así la inflamación sistémica. ii) Dentro de un patrón dietético saludable, la ingesta de alimentos cultivados mediante agricultura ecológica podría aportar una mayor concentración de algunos compuestos fenólicos, sin embargo, son necesarios más estudios que corroboren estos resultados. iii) En cuanto a la interacción dieta-microbiota-salud, se sugiere que los carotenos ingeridos mediante la dieta podrían tener un impacto sobre el metabolismo microbiano, favoreciendo la liberación de algunos ácidos grasos volátiles, lo cual parece estar relacionado con la regulación de la síntesis del colesterol. iv) Además del efecto cardioprotector que ejercen los compuestos bioactivos incluso en sujetos sanos, el consumo de hortalizas y frutas también se relaciona con una disminución de la mortalidad total en los supervivientes de cáncer de cabeza y cuello y de ovario.

En conclusión, los resultados de las investigaciones que forman parte de esta tesis corroboran las propiedades beneficiosas del consumo de una dieta rica en hortalizas y frutas, gracias a su contenido en compuestos bioactivos, en la prevención de procesos inflamatorios y en la mejora de la supervivencia del cáncer de cabeza y cuello y de ovario (**Figura 1**).

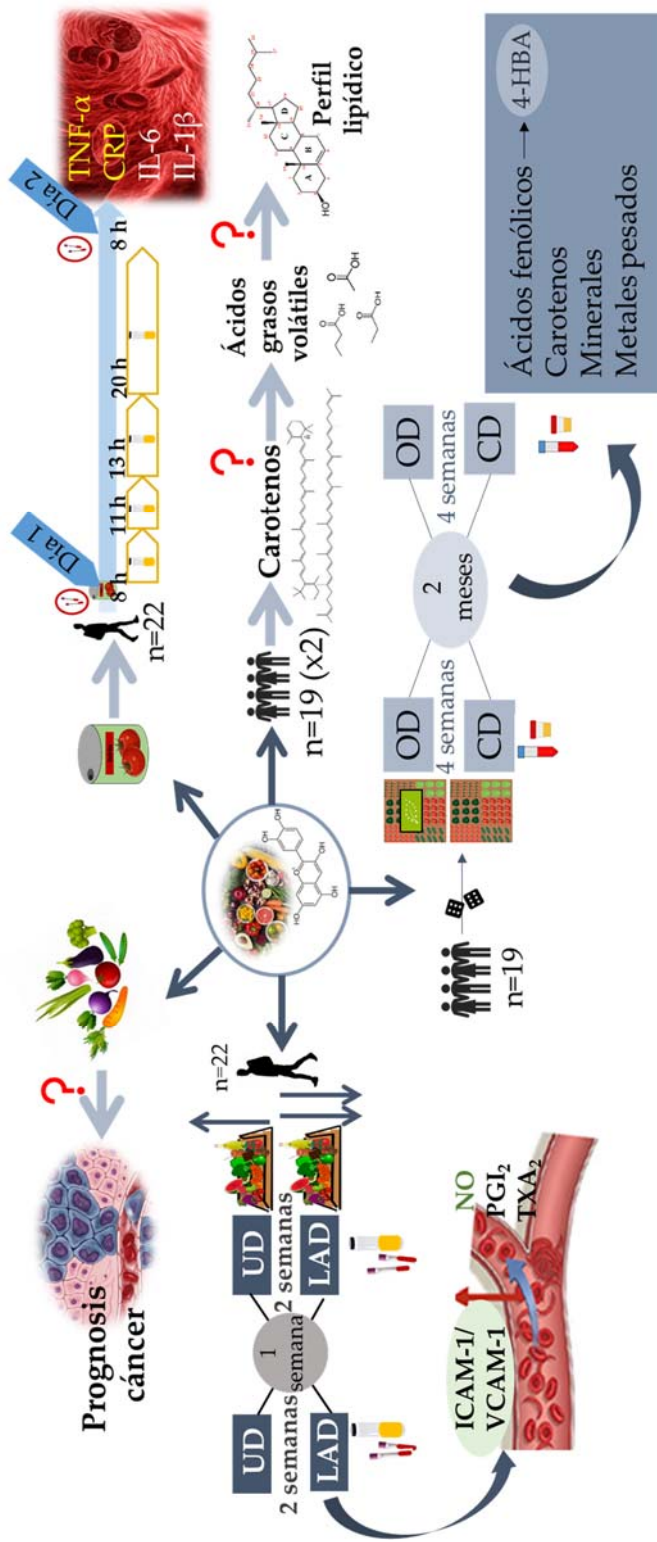


Figura 1. Resumen de los estudios incluidos en la presente tesis.



## 1. ABSTRACT

The Mediterranean diet, rich in vegetables and fruits, has beneficial effects on health due in part to its high content of bioactive compounds, including carotenoids and polyphenols. These compounds are commonly known as phytochemicals because they are produced by the metabolism of plants and come into humans through the diet. The amounts of phytochemicals that are ingested with food and absorbed and metabolised by the human body depend on many factors such as the food matrix, the processing, the interactions between foods, the method of cultivation etc. Polyphenols and carotenoids are plant secondary metabolites with relevant physiological properties in the human organism. They have been found to be more accessible when consumed with a lipid matrix and, in addition, thermal treatment seems to positively affect carotenoids' bioaccessibility and bioavailability. Regarding cultivation, some research has suggested that foods produced by organic farming contain a higher amount of phytochemicals because plants are more exposed to stressful conditions due to the limited use of pesticides and fertilisers compared to conventional forms of farming.

On the other hand, the metabolites originated by the intestinal microbiota also regulate biological activities and may reduce the risk of some chronic diseases such as cardiovascular disease and cancer. In recent decades, the number of publications that address the issue of interaction between diet, microbiota and health has increased, speculating on the association of the intake of certain foods and nutrients with the composition and activity of the microbiota, which in turn can be related to the development of certain chronic diseases (non-communicable diseases).

So far, a large part of the research on the association between bioactive compound consumption and the primary prevention of certain diseases has been carried out mainly in risk populations. Therefore, within the present thesis it was proposed i) to study the effect of bioactive compounds of the diet on some markers related to low-grade systemic inflammation and vascular health in healthy men; ii) to evaluate the short-term effect of consumption of an organic diet on the level of bioactive compounds, minerals and heavy metals; iii) to analyse the interactions among plasma carotenes (used as biomarkers of consumption of vegetables and fruits), volatile fatty acids of the microbiota origin and lipid profile in healthy subjects; and iv) to evaluate the evidence of the association of the consumption of vegetables and fruits and the prognosis of certain cancers.

The results of these investigations corroborated i) the short-term negative effects of consumption of a diet low in bioactive compounds on some of the vascular



biomarkers, nitric oxide and the ratio of thromboxane  $A_2$ /prostaglandin  $I_2$  in healthy subjects. In addition, it has been suggested that the consumption of sofrito may have positive effects on the prevention of systemic inflammation through interaction with the C-reactive protein and tumour necrosis factor- $\alpha$ . ii) Within a healthy dietary pattern, the consumption of organically cultivated food could provide a higher concentration of some phenolic compounds; however, more studies are needed to support these results. iii) Regarding the diet-microbiota-health interaction, it is suggested that carotenes ingested through the diet could have an impact on microbial metabolism, favouring the release of some volatile fatty acids, which seems to be related to the regulation of cholesterol synthesis. iv) In addition to the cardioprotective effect exerted by bioactive compounds even in healthy subjects, the consumption of vegetables and fruits is also related to a decrease in total mortality in survivors of head and neck and ovarian cancer.

In conclusion, the results of the investigations that form this thesis corroborate that following a diet rich in bioactive compounds from vegetables and fruits may contribute to the prevention of inflammatory processes and to the improvement of the survival rates of head and neck and ovarian cancer (**Figure 1**).

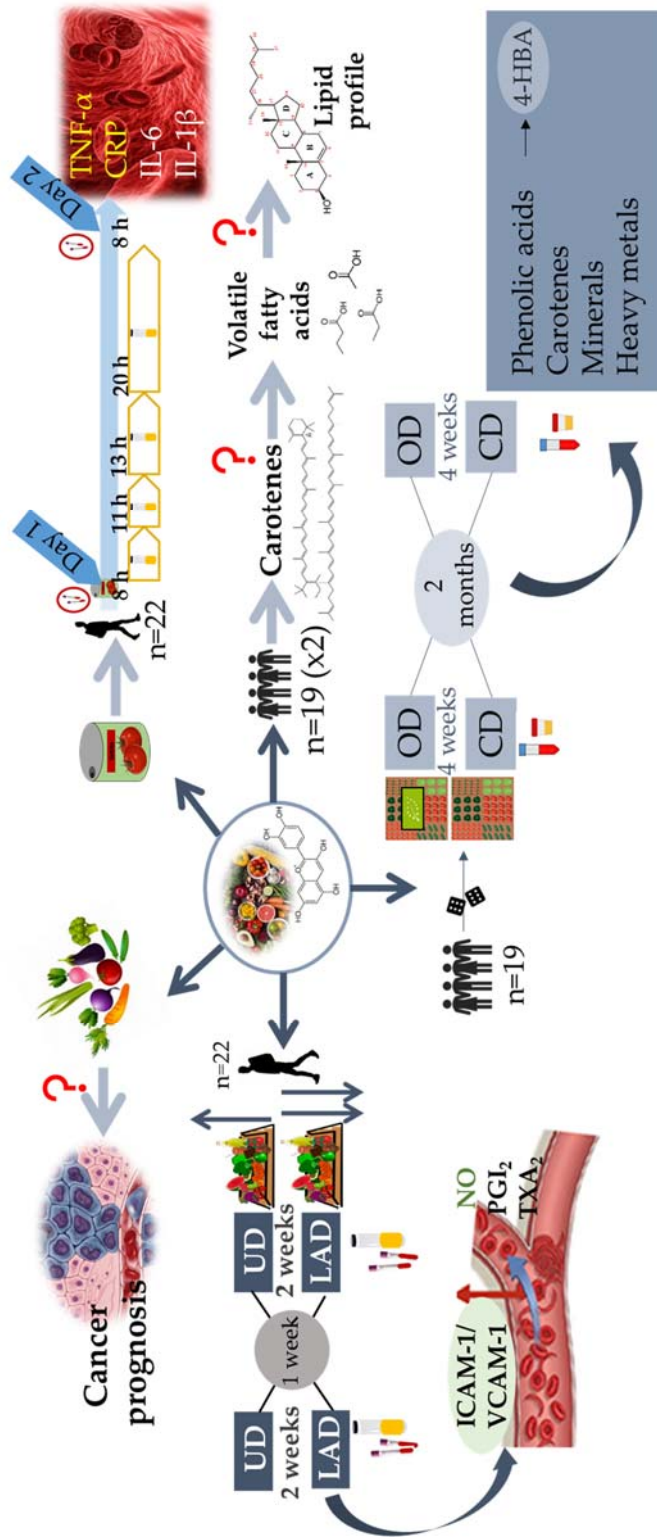
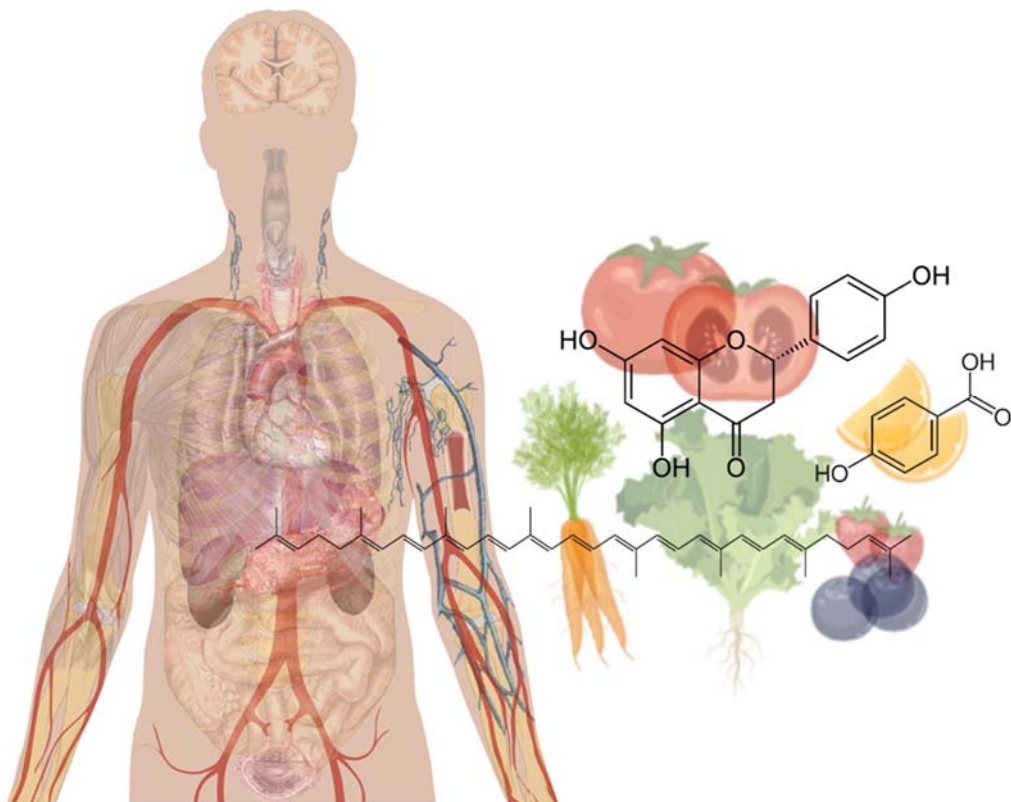


Figure 1. Summary of the studies included in the present thesis.



# INTRODUCCIÓN





## 2. INTRODUCCIÓN

### 2.1. Epidemiología nutricional

#### 2.1.1. Diseño de estudios epidemiológicos

La Organización Mundial de la Salud (OMS) define la epidemiología como “el estudio de la distribución y los determinantes de estados o eventos (en particular de enfermedades) relacionados con la salud y la aplicación de esos estudios al control de enfermedades y otros problemas de salud” [1]. Los estudios epidemiológicos pueden ser de tipo descriptivo o analítico (**Tabla 1**).

**Tabla 1.** Clasificación de los estudios epidemiológicos.

<b>ESTUDIOS EPIDEMIOLÓGICOS</b>	<b>Analíticos</b>	<b>Observacionales</b>	Cohorte
			Casos y controles
		<b>Experimentales</b>	Ensayos clínicos
	Ensayos comunitarios		
	Casos clínicos		
	Estudios transversales		
	<b>Descriptivos</b>	<b>Observacionales</b>	Series de casos
Estudios ecológicos			

Los estudios analíticos se utilizan para analizar los factores determinantes de enfermedades y con ello establecer una relación de causa-efecto (factor de riesgo-enfermedad). Estos últimos pueden ser observacionales o experimentales. Los estudios analíticos observacionales pueden ser transversales (los datos del estudio se analizan en un momento puntual), longitudinales retrospectivos (se analiza en el presente con datos del pasado) y longitudinales prospectivos (se analiza con datos obtenidos posteriormente al comienzo del estudio). A diferencia de los estudios de casos y controles que se muestrean siguiendo el criterio de presencia o ausencia de enfermedad, en los de cohorte se selecciona la muestra según la exposición o no exposición al factor en estudio. Los estudios experimentales, los cuales implican una intervención, se denominan ensayos clínicos. Los ensayos comunitarios se consideran

cuasiexperimentales y evalúan intervenciones en comunidades (o grupos de personas), a diferencia de los ensayos clínicos que lo hacen de forma individual. Los datos experimentales son preferibles a las observaciones en la determinación de causalidad [2]. Los ensayos clínicos pueden ser cruzados, si una misma muestra de individuos realiza todos los tratamientos o intervenciones, o paralelos, si cada grupo de individuos lleva a cabo un tratamiento o intervención diferente. Los estudios cruzados son más robustos que los paralelos, debido a que cada individuo actúa como su propio control, y por lo tanto el tamaño de muestra necesario es mucho menor. Sin embargo, el diseño paralelo permite una menor duración del estudio y no tiene riesgo de interacciones entre las intervenciones (*efecto "carry-over"*). Para evitar este posible efecto residual que puede ocurrir en los ensayos cruzados, es necesario un período de lavado (*wash-out*) suficiente entre las intervenciones [3,4]. Los estudios descriptivos son observacionales y se utilizan para analizar la distribución de enfermedades. Pueden ser casos clínicos, estudios transversales o de prevalencia, series de casos o estudios ecológicos.

A pesar de ser los ensayos clínicos los más adecuados en muchas ocasiones por la posibilidad de manipulación [5], los estudios observacionales son muy utilizados para valorar el riesgo de las enfermedades en relación con uno o varios factores de exposición [6,7]. A priori, el grado de evidencia es mayor cuando los estudios son de intervención, pero dependiendo del control de posibles sesgos y variables de confusión la calidad de estos puede variar [8]. Además, los estudios epidemiológicos se llevan a cabo en humanos y proporcionan un nivel de evidencia mayor que aquellos que se llevan a cabo en animales y los que se realizan "*in vitro*". Sin embargo, los meta-análisis (**Figura 2**) permiten combinar los resultados de estudios científicos mediante análisis estadístico, alcanzando de esta manera niveles de evidencia más altos [9].



**Figura 2.** Pirámide de la evidencia de estudios científicos y situación de las publicaciones que forman parte del presente trabajo.

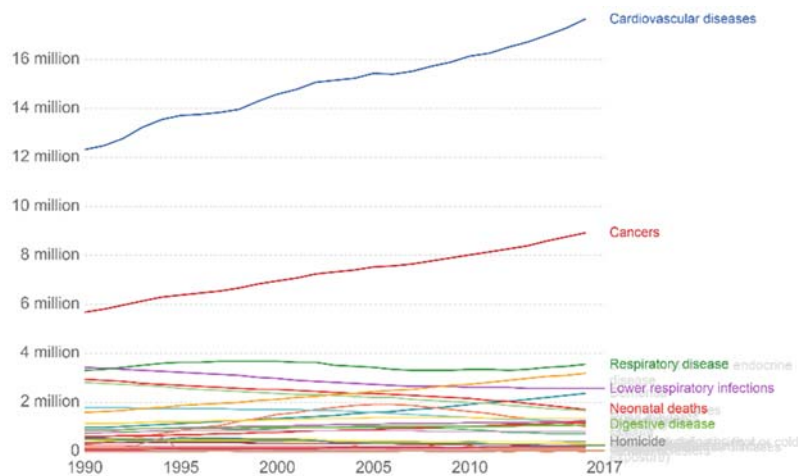
La epidemiología nutricional es una subdisciplina de la epidemiología y proporciona conocimientos específicos sobre la relación entre la dieta y las enfermedades [10]. La epidemiología nutricional es compleja y cuenta con una serie de limitaciones metodológicas. En primer lugar, no se tiene en cuenta las interacciones y sinergias entre los diferentes compuestos de la dieta [11]. Las dietas son variables entre individuos, e incluso en un mismo individuo los hábitos y composición dietética pueden variar. Incluso con dosis controladas, se ha observado una variabilidad interindividual en los marcadores indicadores de consumo dietético que implica una mayor o menor respuesta por parte de los sujetos [12]. Además, la estimación de nutrientes a través del uso de tablas tiene algunos problemas: i) no todos los alimentos están bien caracterizados, ii) no tiene en cuenta todos los factores que afectan al contenido nutricional del alimento (estación, área de procedencia, condiciones de crecimiento, almacenamiento, técnicas de cocinado y procesamiento). También los métodos de evaluación dietética tienen sus inconvenientes (por ejemplo, los participantes pueden no dar los datos exactos de su dieta por no recordar algunos detalles, por falta de colaboración o por infra- o sobre-estimación de las cantidades que



consumen), especialmente, los registros dietéticos (24 horas, una semana...) y los cuestionarios de frecuencia de consumo de alimentos (FFQ). Sin embargo, los FFQ son ampliamente utilizados en la actualidad y se validan mediante la correlación con biomarcadores en muestras biológicas. En cuanto a los estudios, los ensayos clínicos no pueden ser ciegos y el conocimiento de la asignación podría afectar los resultados. Además, la tasa de abandono y el grado de incumplimiento son más altos que en el caso de los estudios farmacológicos [2]. Por lo tanto, en esta disciplina los meta-análisis (análisis estadísticos que combinan los resultados de múltiples estudios científicos que abordan la misma pregunta) pueden ser de gran utilidad para la valoración de la evidencia de los resultados e incluso para establecer recomendaciones [13].

### *2.1.2. La epidemia de las enfermedades no transmisibles relacionadas con la dieta*

Hoy en día, las enfermedades crónicas o enfermedades no transmisibles (ENT) son la principal causa de mortalidad, provocando 41 millones de muertes al año (71% de la mortalidad global), de las cuales 15 millones ocurren de forma prematura (antes de los 70 años) [14]. Las enfermedades cardiovasculares (ECV) y el cáncer son las ENT responsables de un mayor número de muertes [14]. El aumento de las ENT se debe al envejecimiento de la población y a algunos factores que aumentan su riesgo de incidencia y mortalidad, entre los que destacan dietas malsanas, tabaquismo y uso de otros tóxicos, inactividad física y contaminantes (**Figura 3**). Estos factores de riesgo afectan a la homeostasis del ser humano desencadenando la aparición de estas ENT y de otras patologías como la obesidad, diabetes e hipertensión, que se relacionan directamente con el desarrollo de ECV principalmente.



**Figura 3.** Número de muertes por enfermedades no transmisibles en relación con los factores de riesgo externos (contaminación, dieta y otros factores sobre el estilo de vida) desde el año 1990 al 2017 [15,16].

### 2.1.2.1. Enfermedades cardiovasculares

Las ECV son la principal causa de mortalidad en el mundo, llegando a producir 17,9 millones de muertes anuales. Casi uno de cada tres fallecimientos ocurre por estos trastornos relacionados con el corazón y los vasos sanguíneos, entre los que se incluyen las enfermedades coronarias, las cerebrovasculares y las reumáticas, siendo las causas principales los ataques al corazón e infarto de miocardio. Sin embargo, es posible la detección precoz y el tratamiento temprano de estas enfermedades debido a su estrecha relación con alteraciones como la hipertensión arterial, la diabetes, la hiperlipidemia y el sobrepeso/obesidad, que a su vez se asocian con factores tales como el consumo de dietas no saludables, el tabaco, el exceso de alcohol y la inactividad física. Otros determinantes considerados en el desarrollo de las ECV son: la edad, la genética, el estrés y el nivel socio-económico. Estas enfermedades afectan especialmente a los países de ingresos bajos o medios, en donde se produce más de un 75% de las muertes por la falta de recursos [17].

A pesar del significativo efecto de la edad sobre el desarrollo de estas enfermedades, en las dos últimas décadas ha incrementado considerablemente la incidencia de ECV en adultos de entre 18 y 45 años de edad debido al aumento de los factores de riesgo [18]. En particular, la ingesta de dietas malsanas se relacionaron con un 64% de las ECV en individuos jóvenes (de 25 a 34 años de edad), que resultó casi el doble de la proporción de muertes cardiometabólicas atribuidas a la dieta en individuos  $\geq 75$  años [19].

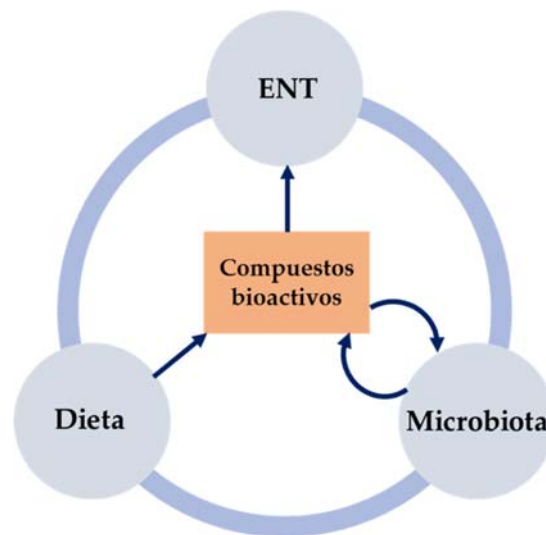
### 2.1.2.2. *Cáncer*

El cáncer es la segunda causa de mortalidad en el mundo, ocasionando una de cada seis de muertes al año. En el 2018 se han estimado alrededor de 18 millones de casos de cáncer, de los cuales más de la mitad tuvieron un desenlace fatal. El cáncer engloba un conjunto de enfermedades que pueden afectar a cualquier parte del organismo y que comienza con la transformación de células normales en precancerosas que dan lugar a tumores (o neoplasias) malignos. Debido a la rápida proliferación de estas células cancerosas, el tumor original puede propagarse por otros órganos dando lugar a la metástasis, la principal causa de muerte por cáncer. A diferencia de las ECV, el problema del cáncer es la detección frecuente en una fase avanzada y la falta de diagnóstico y tratamiento. Sin embargo, entre el 30-50% de los cánceres pueden prevenirse evitando los factores de riesgo (tabaquismo, bajo consumo de hortalizas y frutas, consumo de alcohol en exceso, inactividad física, sobrepeso/obesidad, estrés, infecciones microbiológicas, tóxicos ambientales y contaminantes de alimentos y agua). Otros determinantes son el envejecimiento de la población y factores relacionados con la genética y con el estado socio-económico (~70% de las muertes por cáncer se producen en países de ingresos medios y bajos) [20,21].

Se ha especulado que la dieta, además de estar estrechamente relacionada con la aparición de cáncer, puede tener efectos sobre la prognosis. Sin embargo, los estudios realizados hasta la fecha se han centrado especialmente en el caso del cáncer de mama, siendo insuficientes en otros tipos de cáncer [22].

## 2.2. **Nutrición preventiva**

Una dieta saludable, rica en compuestos bioactivos, puede disminuir el riesgo de desarrollar ENT debido a su implicación en diversas funciones fisiológicas [23–28]. En los últimos años, se ha descrito una interacción bidireccional entre algunos de estos compuestos, especialmente fenólicos, y la microbiota intestinal [29,30]. Los compuestos bioactivos de la dieta que se relacionan con la microbiota pueden cambiar su composición, y a su vez aquellos que no son absorbidos y llegan al colon pueden dar lugar a productos metabólicos también activos biológicamente. Por lo tanto, una dieta rica en compuestos bioactivos podría tener efectos positivos sobre la microbiota y de forma directa e indirecta disminuir el riesgo de las ENT (**Figura 4**).



**Figura 4.** Interacción entre la dieta, los compuestos bioactivos, la microbiota y las enfermedades no transmisibles (ENT).

### 2.2.1. Dieta mediterránea

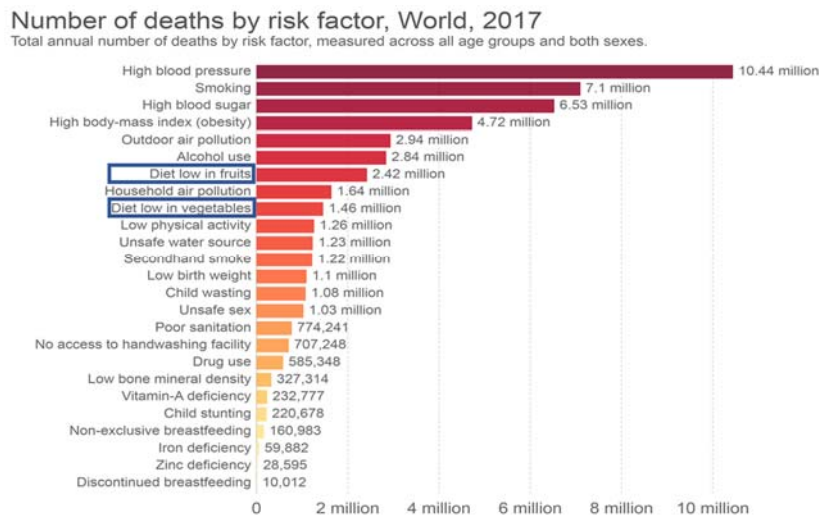
La dieta mediterránea (DM) se caracteriza por su riqueza en alimentos de origen vegetal principalmente [28,31]. En 1948, se llevó a cabo el primer estudio en el cual se comparó la dieta que consumían en la isla de Creta con la de Grecia y Estados Unidos y que llevó a una publicación posterior de los resultados en relación con la incidencia de ECV [32]. De forma paralela, el estudio de los Siete Países, liderado por Ancel Keys, puso de manifiesto los beneficios de la dieta que se consumía en los países mediterráneos [33,34]. Desde entonces, numerosas publicaciones científicas han corroborado el efecto protector de la DM sobre las ENT [23–28]. Además, un informe reciente de la OMS sugiere la DM como un patrón dietético idóneo en la prevención de la malnutrición y ENT como la diabetes, las cardiopatías, los accidentes cerebrovasculares y el cáncer [23]. También un estudio publicado en la revista *US News and World Report* situó a la DM en el primer puesto entre las 41 dietas populares identificadas, particularmente por el alto contenido en productos vegetales y la capacidad de prevenir ENT tales como ECV y diabetes, considerándola también la de más fácil seguimiento [35].

En la base de la DM se encuentran las hortalizas y las frutas, esenciales por su composición rica en micronutrientes (vitaminas C, E y folato, provitamina A y minerales), compuestos fitoquímicos (polifenoles, carotenoides, glucosinolatos, esteroides, etc) [36–38] y fibra [39].

## 2. INTRODUCCIÓN

El consumo de hortalizas y frutas se ha relacionado con una disminución del riesgo de ENT [40–43]. Una relación inversa con el riesgo de ECV y cáncer ha sido observada entre los grupos estudiados con una mayor ingesta, sin embargo el nivel de evidencia es superior en el caso de las ECV [40,41]. Según el informe publicado por el World Cancer Research Fund and American Institute for Cancer Research (WCRF/AICR), el riesgo del cáncer aerodigestivo y algunos otros como el de esófago, pulmón y vejiga podrían disminuir con una dieta que contenga al menos 3 raciones de hortalizas al día y 2 de frutas (considerando una ración = 80 g). Por el contrario, consumir una cantidad menor a la recomendada podría aumentar el riesgo de padecer cáncer colorrectal o gástrico [44].

Además de su efecto beneficioso en la prevención de enfermedades, una ingesta adecuada de hortalizas y frutas también podría disminuir la tasa de mortalidad global, así como el número de muertes por ECV y cáncer [41–43,45]. En el 2017 hubo 3,88 millones de muertes que se atribuyeron a un bajo consumo de hortalizas y frutas (Figura 5).



**Figura 5.** Número de muertes por enfermedades no transmisibles atribuidas a los factores de riesgo en el año 2017 [15,46].

A pesar de que las OMS aconseja un consumo mínimo de 400 gramos de hortalizas y frutas al día (equivalente a 5 raciones diarias) [47], un estudio reciente sugirió incrementarlo a 800 gramos/día para reducir el riesgo de algunas ENT [42]. Sin embargo, según el *European Health Interview Survey* (EHIS) solo un 14,1% de los adultos de la UE alcanza la ingesta mínima propuesta de 5 raciones de hortalizas y frutas al día [48]. Además, se ha observado que algunos factores como el nivel socio-económico

afecta al consumo de estos alimentos, siendo este muy inferior en los países poco desarrollados [49].

Como ya se comentó en la sección 2.1.1, la dieta se evalúa principalmente a través de FFQ. Además, existen métodos validados que indican la adherencia a la DM a través de cuestiones sobre el patrón de consumo dietético. Un consumo elevado de alimentos de origen vegetal (hortalizas, frutas, legumbres, *sofrito*, frutos secos, y aceite de oliva virgen), moderado de vino, pescado y de carne blanca (pollo, pavo o conejo) y bajo de carnes rojas y procesados, bebidas carbonatadas y bollería industrial son propios de una DM [50,51].

### 2.2.2. *Sofrito: un producto típico de la dieta mediterránea*

El cuestionario de 14-items sobre la adherencia a la DM termina con el siguiente ítem: “¿Cuántas veces a la semana consumes los vegetales cocinados, la pasta, arroz u otros platos aderezados con salsa de tomate, ajo, cebolla o puerro elaborada a fuego lento con aceite de oliva (*sofrito*)?” [50,51]. El *sofrito* es un elemento de la DM producido mediante el procesado del tomate junto con aceite de oliva y otros ingredientes como la cebolla, ajo y/o puerro. Se trata de una salsa vegetal rica en compuestos bioactivos, siendo el licopeno el más predominante, seguido del  $\beta$ -caroteno. Entre los compuestos fenólicos identificados, los mayoritarios fueron la rutina, la quercetina y la naringenina [52]. Diversos autores han descrito un aumento en la concentración y absorción de estos fitoquímicos en salsas de tomates que contienen aceite, con respecto al tomate crudo o la preparación sin aceite [53–57]. Además, recientemente se ha observado que la técnica de cocinado utilizada en la preparación del *sofrito* y la concentración de cebolla también afectan positivamente a la liberación de estos compuestos bioactivos [58]. Estos factores favorecen la isomerización del licopeno a su forma *cis*, que es más biodisponible [59].

En cuanto a las consecuencias a nivel de salud que conlleva incluir en la dieta este alimento, las investigaciones sugieren un efecto protector en relación con las ECV y cáncer de próstata [60–64]. El consumo habitual de *sofrito* parece favorecer la regulación de procesos metabólicos, inflamatorios y hormonales [60–63].

### 2.2.3. *Alimentos orgánicos y el impacto sobre la salud (Publicación 1)*

**Artículo titulado “Organic food and the impact on human health” Publicado en la revista científica “Critical Reviews in Food Science and Nutrition” (Índice de impacto: 6.704 (2018); Q1, D1).**

Debido a la divulgación científica y social sobre los efectos beneficiosos de llevar a cabo una dieta sana y sostenible con el medioambiente, en los últimos años ha incrementado notablemente el consumo de alimentos de origen ecológico. En primer lugar, la

## 2. INTRODUCCIÓN

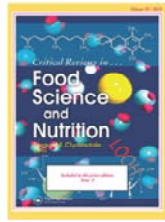
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agricultura ecológica tiene una limitación más estricta en el uso de pesticidas, fertilizantes sintéticos y antibióticos. Se ha sugerido un valor nutricional mayor en los alimentos de origen ecológico en cuanto al contenido de compuestos bioactivos (polifenoles, carotenoides y vitamina C) y ácidos grasos poliinsaturados, además de una concentración más baja de cadmio.

Sin embargo, aunque se han encontrado efectos beneficiosos en relación con el riesgo de desarrollar enfermedades metabólicas, no hay evidencias claras hasta la fecha que asocien el consumo de alimentos ecológicos con un mejor estado de salud. Algunos factores como el estilo de vida deberían tenerse en cuenta en los estudios observacionales, debido a que los consumidores de estos productos podrían tener hábitos más saludables.

La escasez de estudios y la corta duración de estos, así como la falta de control de todos los factores que pueden afectar (a nivel individual y ambiental) no han permitido hasta la fecha conocer el impacto del seguimiento de una dieta ecológica.

Los detalles sobre la evidencia científica conocida hasta la fecha pueden encontrarse en la publicación titulada "*Organic food and the impact on human health*", presentada a continuación (**Publicación 1**).



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### Organic food and the impact on human health

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## Organic food and the impact on human health

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### ABSTRACT

In the last decade, the production and consumption of organic food have increased steadily worldwide, despite the lower productivity of organic crops. Indeed, the population attributes healthier properties to organic food. Although scientific evidence is still scarce, organic agriculture seems to contribute to maintaining an optimal health status and decreases the risk of developing chronic diseases. This may be due to the higher content of bioactive compounds and lower content of unhealthy substances such as cadmium and synthetic fertilizers and pesticides in organic foods of plant origin compared to conventional agricultural products. Thus, large long-term intervention studies are needed to determine whether an organic diet is healthier than a diet including conventionally grown food products. This review provides an update of the present knowledge of the impact of an organic versus a conventional food diet on health.

### KEYWORDS

Polyphenols; pesticides residues; allergies; fertility; cardiovascular; gut microbiota

### Introduction

“Organic agriculture is a holistic production management system which promotes and enhances agro-ecosystem health, including biodiversity, biological cycles, and soil biological activity. It emphasizes the use of management practices in preference to the use of off-farm inputs, considering that regional conditions require locally adapted systems. This is accomplished by using, where possible, agronomic, biological, and mechanical methods, as opposed to using synthetic materials, to fulfil any specific function within the system” (FAO/WHO Codex Alimentarius Commission, 1999). Although the practice of organic agriculture has traditionally existed, the modern organic movement began in Europe around the 1920s, at the same time as industrialized agriculture. Nonetheless, this movement became highly regarded in the 1970s due to greater knowledge of the adverse effects of fertilizers and pesticides employed in conventional practices. The use of pesticides became popular during the 1960s, mainly dichloro-diphenyl-trichloroethane (DDT), which was first introduced in the 1940s (Durham, 1963). Nonetheless, since the Haughley experiment performed by Balfour in 1939 (Balfour, 1943), which aimed to compare organic and conventional food systems and to study the relationship between health and the use of organic and conventional chemical-based farming, other studies have been performed with the same objective (Brantsæter *et al.*, 2017). In addition, the growing interest in organic agriculture has promoted the foundation of several institutions such as the International Federation of Organic Agriculture Movements (IFOAM).

Since the beginning of the organic farming system, the production and markets for organic products have grown worldwide. In 2015, the global organic market reached 81.6 billion US dollars (76.8 billion euros), with the United States having the largest

market for organic food (FiBL). This amount is between 4.5-fold higher than 15 years ago (FiBL). From 1999 to 2015, the number of countries using an organic agriculture system rose from 77 to 179, and more than 84% of the producers are in Asia, Africa and Latin America, being India, Ethiopia and Mexico the main producers (FiBL). However, the largest area of organic agriculture land is Australia, followed by Argentina, the USA and Spain (FiBL). Wild organic collection areas are concentrated in Europe, Africa, Asia and Latin America (FiBL). Certified organic acreage and livestock have also been expanding, mainly for fruit, vegetable, dairy, and poultry production, accounting for over 4% of the total food sales in the US (USDA ERS).

Despite the higher cost and lower productivity of organic compared to traditional agriculture, the trend to consuming these products is rising every year. Indeed, consumers are prepared to pay a higher price for organic products because they believe organic food is healthier and more sustainable with the environment. Organic foods are generally more natural products and involve less processing than conventional food. They are grown with limited synthetic pesticides or fertilizers or routine use of antibiotics or growth hormones (IFOAM). However, the limited use of pesticides and the use of animal feces may be the main cause of microbiological contamination in organic raw vegetables. Although the majority of studies have reported differences between organic compared to conventional food, there is still no common agreement on this subject. A review on the content of secondary metabolites in organic products stated that in terms of nutritional composition it is still not possible to conclude that an organic production system is better than a conventional system (Barański *et al.*, 2017). The aim of the present review was to evaluate the scientific evidence about the benefits of organic compared with conventionally produced food.

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## Results

### Lifestyle of organic versus conventional consumers

To compare organic with conventional foods and their effects on health, the characteristics of the subjects who consume the two kinds of food should be taken into account. In order to achieve this goal, a research group from France designed the NutriNet-Santé study (Hercberg *et al.*, 2010), a prospective observational cohort including 500,000 participants greater than or equal to 18 years of age followed over a 10-year period. The NutriNet-Santé study (Baudry *et al.*, 2015; Eisinger-Watzl *et al.*, 2015) showed that organic food is typically more consumed by women compared to men and by people with a high level of education, who are physically active, have a lower body mass index (BMI) and who follow a vegetarian or vegan diet (Eisinger-Watzl *et al.*, 2015). Baudry *et al.* also observed that pregnant women living in rural areas consume more organic food than those living in urban areas (Baudry *et al.*, 2015), contradicting previous research (Torjusén *et al.*, 2010). The relationship between organic consumption and the use of alcohol and smoking is not clear (Baudry *et al.*, 2015; Eisinger-Watzl *et al.*, 2015; Torjusén *et al.*, 2010).

The main reasons why people choose organic food are health and environmental concerns, absence of contaminants in food and taste (Baudry *et al.*, 2017). Organic food is associated with freshness and naturalness, while conventional food is sometimes related to processed food (Baudry *et al.*, 2017). In addition, it has been reported that products of plant origin are more frequently used in this kind of diets compared to products of animal origin (eggs being an exception) (Baudry *et al.*, 2015). Therefore, in general, an organic-based dietary pattern is associated with a healthier lifestyle. However, this association between organic diet and healthier lifestyle should be considered when evaluating the health outcomes of people consuming organic food.

### Is organic food better in bioactive compounds?

The differences between the quality of organic versus non-organic foods remain unclear, although a meta-analysis concluded that organic foods were richer in some healthy components such as polyphenols and had lower or null pesticide and cadmium (Cd) concentrations (Barański *et al.*, 2014) (see Figure 1). Polyphenols are secreted by plants in response to stress stimuli. Plants such as organically produced foods grown with fewer fertilizers are in a more stressful environment and therefore have higher concentrations of these compounds. Conversely, fertilizer may also reduce the stress of the plants. In addition, long-term use of phosphorus fertilizers can increase the level of Cd in conventional crops (Kratz *et al.*, 2016). Vallverdú-Queralt and Lamuela-Raventós reviewed the outcomes of nutrient content in organic and conventional food by foodomic technologies and concluded that organic crops had less nitrogen and higher levels of polyphenols and carotenoids (Vallverdú-Queralt and Lamuela-Raventós, 2016). However, differences in the protein content of the two crop systems is still not clear (Magkos *et al.*, 2003; Palupi *et al.*, 2012; Vallverdú-Queralt and Lamuela-Raventós, 2016) since the higher protein content in conventional food described in several studies may be, in part, due to the nitrogen content of the fertilizers used (Magkos *et al.*, 2003).

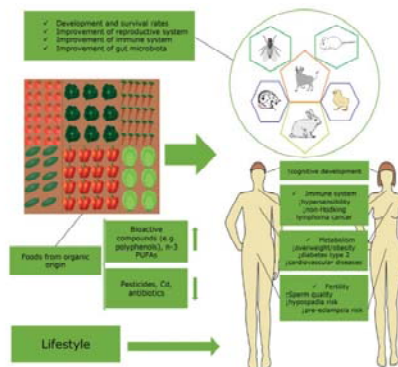


Figure 1. Effects of organic food on animal and human organisms and the possible influence of lifestyle in humans (preliminary results).

However, the quality of protein in organic food is better compared to that of conventionally produced food (Magkos *et al.*, 2003).

Furthermore, differences in fatty acid profiles have been observed. Particularly, organic animal products such as meat and milk have shown a higher content of n-3 PUFA and total PUFA and higher  $\alpha$ -linolenic acid, conjugated linoleic acid, vaccenic acid, eicosapentanoic acid and docosapentanoic acid (Palupi *et al.*, 2012; Šrednicka-Tober *et al.*, 2016a; Šrednicka-Tober *et al.*, 2016b). This is due to forage feeding of organically raised animals. Nonetheless, these differences in n-3 PUFA content and the level of this fatty acid in non-organic meat and milk continue to be too low to have any effect on human health. There also seems to be a trend to higher vitamin C and E and certain mineral concentrations in some organic products (Brantsæter *et al.*, 2017).

Several studies have evaluated the differences between the polyphenol content of organic and conventional grown potatoes, white cabbage, carrots, wheat, pepper, tomatoes, spinach, garlic, bell pepper, maize kernels, red grapes, lettuce, apples, and cauliflowers, as well as tomato-derived foods such as tomato juices and ketchups (Koh *et al.*, 2012; Ren *et al.*, 2001; Vallverdú-Queralt *et al.*, 2012a; Vallverdú-Queralt *et al.*, 2014; Vallverdú-Queralt *et al.*, 2011; Vallverdú-Queralt *et al.*, 2012b; Mitchell *et al.*, 2007; Borguini, 2006; Györe-Kis *et al.*, 2012; Stracke *et al.*, 2009a; Roussos and Gasparatos, 2009; Wang *et al.*, 2008; Rosati *et al.*, 2014; Lo Scalzo *et al.*, 2013; Raigón *et al.*, 2010; Rossetto *et al.*, 2009; Carbonaro *et al.*, 2002; Asami *et al.*, 2003 and Lima *et al.*, 2008). Koh *et al.* compared the levels of flavonoids in 27 spinach varieties grown in organic and conventional cropping systems (Koh *et al.*, 2012). The levels of flavonoids were significantly higher in organically grown spinach ( $40.48 \pm 6.16$  and  $2.83 \pm 0.03$  mg/kg) compared to the conventionally grown product ( $25.75 \pm 6.12$  and  $2.27 \pm 0.02$  mg/kg). Ren *et al.* determined the polyphenol content of five vegetables grown in organic and conventional cultivation systems commonly consumed in Japan (collard greens, Chinese cabbage, spinach, garlic and green bell pepper) and found the quercetin and caffeic acid content in organically grown plants to be 1.3–10.4 times higher than in conventional plants, suggesting the influence of the different cultivation practices (Ren *et al.*, 2001). Other studies carried out in tomatoes, tomato juices, and ketchups have also reported

differences between organic and conventional products (Vallverdú-Queralt *et al.*, 2012a; Vallverdú-Queralt *et al.*, 2014; Vallverdú-Queralt *et al.*, 2011; Vallverdú-Queralt *et al.*, 2012b). One study using a metabolomic approach to identify metabolites with the greatest impact on the overall metabolomic profile of organic versus conventional ketchups (Vallverdú-Queralt *et al.*, 2011) showed that the tomato production system may increase the content of phenols and other metabolites in ketchups. Moreover, the same authors also compared the carotenoid content of organic and conventional tomato-based products (tomato juices and ketchups) (Vallverdú-Queralt *et al.*, 2014) and found statistically higher levels of carotenoid compounds in the organic products. Similarly, Mitchell *et al.* carried out a targeted approach to analyze quercetin and kaempferol levels in tomatoes (Mitchell *et al.*, 2007). The levels of quercetin and kaempferol in organic tomatoes were 79 and 97% higher, respectively, than in conventional tomatoes. Another targeted approach found higher levels of phenolic compounds and ascorbic acid in organic tomatoes (Borguini, 2006). Similarly, Györe-Kis *et al.* recorded a higher content of phenolic compounds in nine cultivars of organic tomatoes in three consecutive years (2008, 2009 and 2010) (Györe-Kis *et al.*, 2012).

Stracke *et al.* evaluated the polyphenol profile of apples (Golden Delicious) grown under defined organic and conventional conditions and described that organically produced apples showed significantly higher concentrations of chlorogenic acid, flavonols, flavanols and dihydrochalcones (Stracke *et al.*, 2009a). However, Roussos and Gasparatos assessed the total phenol, total *o*-diphenol, total flavanol and total flavonoid content in apples cultivated according to organic and conventional procedures and found higher contents in the conventional products (Roussos and Gasparatos, 2009).

In another study, Wang *et al.* evaluated the effects of organic or conventional culture systems on sugar, organic acid, anthocyanin, and phenolic content, as well as antioxidant activity in blueberries and concluded that organically produced fruit had a higher content of phytochemicals (Wang *et al.*, 2008).

Another approach was applied to determine whether organic versus conventional practices affect olive fruit and oil composition in two cultivars (Rosati *et al.*, 2014). The characteristics of the fruit (i.e. fresh and dry weight of pulp and pit, and oil content) did not differ and neither did the oil chemical traits except for an increased content of polyphenols in the organic products. A targeted approach was applied to green Italian cauliflowers (HF1 Emerald and a local variety, Velox) grown in organic and conventional management systems (Lo Scalzo *et al.*, 2013). Compared to the conventional cauliflowers, organic Velox showed a 21 and 13% higher content of polyphenols and carotenoids, respectively.

Other studies have also reported higher concentrations of total phenolic compounds in organic eggplants (Raigón *et al.*, 2010), beets (Rossetto *et al.*, 2009), peaches and pears (Carbonaro *et al.*, 2002), marionberries (Asami *et al.*, 2003) and miscellaneous plants (Lima *et al.*, 2008).

#### **Organic food and human health**

Some studies comparing organic with conventional food have described lower pesticide levels and a higher nutritional value in organic crops, but few clinical and epidemiological studies have been carried out so far. However, dietary intervention and

epidemiological studies have observed higher amounts of some bioactive compounds in organic crops, which could be linked to a decrease of the risk of chronic diseases, including cardiovascular and neurodegenerative diseases and certain cancers. This suggests that the consumption of organic food may be beneficial (Johansson *et al.*, 2014).

#### **Nutritional markers in humans**

Despite the differences in bioactive compounds described in organic compared to conventional food, the nutritional intervention studies performed so far have not shown a clear association between antioxidant levels in humans and the organic or conventional cropping system (Table 1). Three non-cross-over (Caris-Veyrat *et al.*, 2004; Stracke *et al.*, 2010; Stracke *et al.*, 2009b) and three cross-over trials (Akçay *et al.*, 2004; Briviba *et al.*, 2007; Søltøft *et al.*, 2011) did not observe significant differences in the antioxidant capacity or bioavailability of bioactive compounds such as carotenoids, vitamin C, and polyphenols, while two nutritional cross-over intervention studies observed several significant differences (Grinder-Pedersen *et al.*, 2003; Di Renzo *et al.*, 2007). Grinder-Pedersen *et al.* evaluated the intake and excretion of five selected flavonoids in 16 healthy individuals and the effects on biomarkers of oxidative defense (Grinder-Pedersen *et al.*, 2003). They found the urinary excretion of quercetin and kaempferol to be higher after 22 days of organic food intake. It seems that the conditions of food growth may affect the content of the major flavonoids, quercetin and kaempferol, not only in foods, but also in the excretion of urinary flavonoids and biomarkers of oxidation in humans. In the cross-over study carried out by Di Renzo *et al.* comparing the consumption of organically and conventionally produced apples in 10 healthy men antioxidant levels in plasma were higher after the intake of organic apples (Di Renzo *et al.*, 2007).

Differences between the two cultivation systems in terms of minerals are not clear. A cross-over intervention trial reported no differences in the intake and bioavailability of copper and zinc in men after consuming organic versus conventional products (Mark *et al.*, 2013). Factors such as the kind of soil could be more significant in mineral concentrations than the cultivation system.

Finally, the cross-sectional KOALA study showed higher levels of vaccenic acid and conjugated linoleic acid in breast milk of lactating women who consumed an organic diet (Rist *et al.*, 2007).

#### **Cropping system and diseases**

The first studies to evaluate the effects of organic and conventional practices on health were carried out in animals in the 1930s. Improvement in the growth and survival rates, higher fertility/reproductive function, immune stimulation, lower weight gain and more stress resistance have been the main outcomes described to date in rats, mice, rabbits, chicken and bulls fed by organic systems (Velimirov *et al.*, 2010) (see Figure 1), with these results having been corroborated in more recent studies. Roselli *et al.* showed an enhancement in the immune systems of mice fed with organic carrots compared to those fed with conventional carrots (Roselli *et al.*, 2012). A nutrigenomic approach found differences in the jejunal gene expression involved in cholesterol biosynthesis and immunological process in chickens fed an organic diet (De Greeff *et al.*, 2010). Longer

Table 1. Nutritional markers associated with the organic diet intake in humans: Scientific evidences.

End point	Type of Study	Subjects	Intervention	Outcomes	Reference
Antioxidant status	Non-crossover study	36 healthy men, aged 19–54 years	Consumption of 700 g /day blanched carrots from organic or conventional agricultural systems for 2 weeks with a men meal and with a minimum of 10 g fat.	No effect on the bioavailability of carotenoids.	Stracke et al., 2009b
	Cross-over study	6 healthy men, aged 23–32 years	Consumption of 1000 g of either organically and conventionally produce apples.	No differences between organic and conventional apples on antioxidant capacity of LDL and DNA damage.	Briviba et al., 2007
	Cross-over study	16 healthy volunteer subjects, aged 21–35 years	Consumption for 22 days of the organic and conventional diet	Higher urinary excretion of flavonoids, particularly quercetin and kaempferol.	Grønder-Pedersen et al., 2003
	Cross-over study	18 adult and healthy men	Conventional or organic using animal manure or organic using cover crops diet for 12 days.	No differences between the agricultural in plasma status of carotenoids.	Seltoft et al., 2011
	Non-crossover study	20 healthy women, aged 21–39 years	Consumption of 96 g/day of organic or conventional tomato puree for 3 weeks.	No significant difference on plasma levels of vitamin C and carotenoids.	Caris-Vayrat et al., 2004
	Cross-over study	10 healthy men, aged 30–65 years	Consumption of a conventional diet first for first 14 days and then an organic diet for other 14 days.	Higher antioxidant capacity (ORAC) after intake of an organic diet.	Di Renzo et al., 2007
	Non-crossover study	43 healthy men, aged 22–40 years	Consumption of organically or conventionally produced apples (500 g/day; 4 weeks) or no apples.	No difference in the bioavailability of apple polyphenols.	Stracke et al., 2010
	Cross-over	8 healthy volunteer subjects, aged 24–45 years	Consumption of organic red wine (200 mL with alcohol content 24 g in men and 100 mL with alcohol content: 12 g in women) and then consumption of non-organic red wine (200 mL with alcohol content 22.4 g in men and 100 mL with alcohol content 11.2 g in women).	No significant differences between two types of wines with respect to LDL-TBARS blood levels.	Akçay et al., 2004
Intake and absorption of minerals	Two cross-over studies	17 and 16 healthy men, aged 18–40 years	Conventional and organic diet and administration of stable enriched isotopes (12 days).	No difference in the intake and absorption of copper and zinc.	Mark et al., 2013
Conjugated linoleic acids in breast milk	Cross-sectional within the study KOALA Birth Cohort Study	312 breastfeeding mothers, 1 month after partum.	Does not apply	Higher rumenic acid and trans-vaccenic acid in human breast milk in the mothers following a diet that contained organic dairy and meat products, in comparison with mothers consuming a conventional diet.	Rist et al., 2007

longevity and fertility were observed in a *Drosophyla melanogaster* fly model incubated in controlled conditions of humidity, temperature and light cycle and fed with homogenized organic food (bananas, potatoes, raisins, soy beans) compared with a conventional diet (Chhabra *et al.*, 2013). Recently, Parelho *et al.* associated higher hepatic lead loads and testicular damage in mice with conventional farming (Parelho *et al.*, 2016). However, Jensen *et al.* observed lower prostaglandin E2 concentrations in rat offspring of mothers fed with the organic plant-based diet compared to those fed with a conventional plant-based diet (Jensen *et al.*, 2013).

In humans, several observational studies have described beneficial effects of organic food on allergic, atopic, eczema and asthma symptoms, as well as other hypersensitivity diseases with the use of immunoglobulin E (Ig E) measurements and questionnaires in children (Alfvén *et al.*, 2006; Alm *et al.*, 1999; Kummeling *et al.*, 2008; Stenius *et al.*, 2011) and adults (Smit *et al.*, 2007) (Table 2). The effects of an organic diet on allergies and atopic diseases were observed mainly in childhood in the PARSIFAL, KOALA and ALADDIN studies. The PARSIFAL (Alfvén *et al.*, 2006) (cross-sectional study) and ALADDIN (Stenius *et al.*, 2011) (prospective study) studies were carried out in children belonging to European families following an anthroposophic or non-anthroposophic lifestyle.

In relation to other diseases, a cross-over study showed a lower risk of developing cardiovascular diseases in both healthy men and patients with chronic kidney diseases after an organic diet (De Lorenzo *et al.*, 2010), while a prospective study did not observe any significant differences in the presentation of cancer in women who ate organic food compared with those who consumed conventional food (Bradbury *et al.*, 2014) (Table 2).

### Organic diet on fertility and pregnancy

Table 3 shows the studies related to the effects of organic food intake on fertility and pregnancy in humans. Three cross-sectional studies reported better sperm quality (Abell *et al.*, 1994; Jensen *et al.*, 1996; Juhler *et al.*, 1999) and one described a lower prevalence of previous genital disorders (Jensen *et al.*, 1996) in organic farmers compared to traditional farmers or other workers consuming conventional food. Larsen *et al.* found no significant differences in sperm quality, although organic farmers showed slightly higher inhibin B concentrations and a higher testosterone/sex hormone binding globulin ratio compared to conventional farmers (Larsen *et al.*, 1999). In the two prospective studies within the MoBa study which included a cohort of children and mothers and was aimed at comparing the effects of organic versus conventional food consumption, the risk of pre-eclampsia in pregnant women was found to be lower (Torjusén *et al.*, 2014) as was the risk of presenting

**Table 2.** Overview of scientific evidences about the organic food intake on the risk of diseases in humans.

End point	Type of Study	Subjects	Intervention	Outcomes	Reference
Hypersensitivity diseases	Cross-sectional study	675 children, aged 5–13 years, at anthroposophic and neighbouring schools	Does not apply	Lower prevalence of atopy in children from anthroposophic families than in children from other families	Alm <i>et al.</i> , 1999
	Cross-sectional study within the PARSIFAL study (Prevention of Allergy Risk factors for Sensitization in children related to Farming and Anthroposophic Lifestyle)	14893 children, aged 5–13 years, from families with an anthroposophic lifestyle compared with children from appropriate reference groups	Does not apply	Lower prevalence of allergic symptoms and sensitization in children with anthroposophic lifestyle	Alfvén <i>et al.</i> , 2006
	Cross-sectional study	1798 male adults, conventional and organic farmers	Does not apply	Lower wheezing with shortness of breath in organic farmers than in conventional farmers, suggesting a lower risk of asthma-like symptoms in organic farmers	Smit <i>et al.</i> , 2007
	Prospective study within the ALADDIN study (Assessment of Lifestyle and Allergic Disease During Infancy)	330 children from families with an anthroposophic, partly anthroposophic, or nonanthroposophic lifestyle	Does not apply	Reduced risk of IgE sensitization already in infancy is associated with anthroposophic lifestyle	Stenius <i>et al.</i> , 2011
	Cross-sectional study within the KOALA Birth Cohort Study in the Netherlands	2764 children, aged 2 years	Does not apply	Lower eczema risk was associated with consumption of organic dairy products	Kummeling <i>et al.</i> , 2008
Cancer	Prospective study	623 080 middle-aged women	Does not apply	Slight or no decrease in the incidence of cancer associated with consumption of organic food, except possibly for non-Hodgkin lymphoma	Bradbury <i>et al.</i> , 2014
Cardiovascular diseases	Cross-over study	100 healthy male individuals, aged 30–65 years and 50 male patients with Chronic Kidney Disease but stable renal function, aged 42–54 years	Consumption of mediterranean diet with conventional and organic products for 14 days each intervention	The Italian Mediterranean Organic Diet reduces total homocysteine, phosphorus, microalbuminuria levels and CVD risk in healthy individuals and in CDK patients	De Lorenzo <i>et al.</i> , 2010

**Table 3.** Overview of scientific evidences about the organic food intake on fertility and pregnancy in humans.

End point	Type of Study	Subjects	Intervention	Outcomes	Reference
Sperm quality and reproductive hormones	Cross-sectional study	30 male organic farmers (aged 28–44 years) and 73 blue-collar workers (aged 20–50 years)	Does not apply	Higher sperm quality in organic farmers	Abell <i>et al.</i> , 1994
	Cross-sectional study	55 male organic farmers (aged 20–45 years) and 141 healthy men and working in an airline company (aged 23–43 years)	Does not apply	Higher sperm concentration and lower prevalence of previous genital disorders in men eating organically produced food.	Jensen <i>et al.</i> , 1996
	Cross-sectional study	256 male farmers (171 traditional farmers and 85 organic farmers), aged ≤50 years	Does not apply	Lower proportion of morphologically normal spermatozoa in the group of men without organic food intake	Juhler <i>et al.</i> , 1999
	Cross-sectional study	256 male farmers (171 traditional farmers and 85 organic farmers), aged ≤50 years	Does not apply	Slight differences in concentrations of reproductive hormones, but no significant differences in semen quality between organic and traditional farmers.	Larsen <i>et al.</i> , 1999
Pre-eclampsia	Prospective cohort study within the Norwegian Mother and Child Cohort Study (MoBa)	28 192 pregnant women (nulliparous)	Does not apply	Lower risk of pre-eclampsia who reported frequent consumption of organically produced vegetables in pregnant women.	Torjusen <i>et al.</i> , 2014
	Case-control study	306 mothers of boys operated for hypospadias and 306 mothers of healthy boys	Does not apply	Higher prevalence of hypospadias was associated with the consumption of high fat products and nonorganic milk and eggs diary	Christensen <i>et al.</i> , 2013
Hypospadias and cryptorchidism	Prospective cohort study within the Norwegian Mother and Child Cohort Study (MoBa)	35107 mothers of singleton maleinfants	Does not apply	Lower prevalence of hypospadias was associated with the organic consumption, particularly organic vegetables and milk and dairy products, but it was not associated with the prevalence of cryptorchidism.	Brantsæter <i>et al.</i> , 2016

hypospadias in male infants of mothers eating organically produced food (Brantsæter *et al.*, 2016). Likewise, another case-control study associated non-organic animal products such as milk and eggs with a higher prevalence of hypospadias (Christensen *et al.*, 2013) (Table 3).

Furthermore, it has been demonstrated that pesticides induce adverse health outcomes, such as neurotoxic effects and lower intellectual development (Ross *et al.*, 2013). Thus, in order to decrease the risk of developing certain diseases, dietary exposure to pesticide residues should be reduced, especially among pregnant women and children.

#### **Pesticides: Are they really dangerous?**

It is known that the widespread distribution of pesticides in food production could have possible long-term effects, triggering the development of diseases. A controlled study carried out by 85 traditional farmers using pesticides and 36 organic farmers not using pesticides living in the same geographical area showed that the use of pesticides induces genotoxic effects, immunological alterations and genetic damage (Costa *et al.*, 2014). In the Children's Pesticide Exposure Study (CPES), Lu *et al.* studied in 23 children aged 3–11 years who consumed only a conventional diet (Lu *et al.*, 2006; Lu *et al.*, 2008; Lu *et al.*, 2010). The children were fed an organic diet for 5 consecutive days after which several urinary metabolites of organophosphorus pesticides commonly used throughout the world

were measured. The children were found to have lower pesticide levels in urine following the organic diet intervention. Likewise, an organic diet was associated with lower urinary pesticides in 4,466 participants aged 45–84 years included in the Multi-Ethnic Study of Atherosclerosis (MESA) (Curl *et al.*, 2015). Additionally, in a prospective, randomized, cross-over study in 30 healthy adults following a diet including at least 80% organic or conventional food during a period of one week, a reduction in organophosphorus metabolite concentrations was observed in the urine of the subjects consuming the mainly organic diet (Oates *et al.*, 2014). A recent report to the European Parliament declared that the risk to human health due to pesticide exposure is far lower for organic than for conventional food (EPRS, European Parliamentary Research Service and STOA, Scientific Foresight Unit).

#### **Use of antibiotics in food-producing animals from organic and conventional farming**

At present, the use of antibiotics in farming is controlled and a withdrawal time has been defined for each drug used in food-producing animals. Nevertheless, several studies have reported a lower efficiency of antibiotic treatment in human medical care because of the use of antibiotics in livestock (Martinez, 2009; Laxminarayan *et al.*, 2013; STAG-AMR, WHO), while other studies found no association between the use of antibiotics in farm animals and drug resistance in humans (Mather

*et al.*, 2012; Mather *et al.*, 2013). According to European Legislation, both organic and conventional farming can use antibiotic treatments in animals with diseases (EC, The Council Of the European Union, 2007). However, unlike conventional practices, the use of antibiotics as subtherapeutic prophylactic treatment is forbidden in organic farming (EC, The Council Of the European Union, 2007).

The use of antibiotics is strongly associated with housing in intensive livestock production such as in conventional farming practices. In order to increase production levels, resources such as space and feed are restricted, leading to animal stress and a higher risk of developing diseases requiring antibiotic treatment (Colson *et al.*, 2006; EFSA, 2007).

#### Risk of microbiological contaminations: Are there differences between organic and conventional food?

This issue is controversial especially taking into account what happened in Europe in 2011 when 3,950 people were affected by *Escherichia coli* O104:H4 bacteria and 53 died. This outbreak of foodborne disease was caused by an enteroaggregative *E. coli* (EAEC) strain that had acquired genes producing Shiga toxins present in organic fenugreek sprouts (King *et al.*, 2012).

Contamination by microorganisms such as *E. coli* O157:H7 and mycotoxins is more frequent in organic food (Ceuppens *et al.*, 2014; Gomes *et al.*, 2012; Maffei *et al.*, 2013; Oliveira *et al.*, 2010; Kuzdraliński *et al.*, 2013; Piqué *et al.*, 2013). Studies from different areas of the world have shown a greater prevalence of *E. coli* in organic lettuce samples compared to lettuce from conventional agriculture (Ceuppens *et al.*, 2014; Gomes *et al.*, 2012; Maffei *et al.*, 2013; Oliveira *et al.*, 2010). However, a study performed in Canada comparing the levels of *E. coli* in organic and conventional fresh produce samples found no differences between the two groups (Bohaychuck *et al.*, 2009). Khalil and Gomaa detected a higher amount of *E. coli* in leafy greens from Egypt when they were produced by a conventional versus an organic system (Khalil and Gomaa, 2014). Other authors have reported that the microbiological quality and safety of fresh vegetables are not affected by organic or conventional farming practices (Tango *et al.*, 2014; Marine *et al.*, 2015; Kuan *et al.*, 2017). Ürkek *et al.* described significantly lower total aerobic mesophilic bacteria, coliform and yeast and mold counts in raw milk of organic origin in Turkey; however, somatic cell and *Staphylococcus aureus* counts were higher in organic milk (Ürkek *et al.*, 2017).

Mycotoxin levels in organic and conventional food differ. Kuzdraliński *et al.* studied the mycotoxin content of organic and conventional oats from Poland and observed that the number of mycotoxin-positive samples was higher in organic compared to conventional farming (Kuzdraliński *et al.*, 2013). However, the concentration of diacetoxyscirpenol, a mycotoxin from *Fusarium*, was four times lower in organic oats (Kuzdraliński *et al.*, 2013). A study carried out by Piqué *et al.* showed a higher incidence and concentration of another type of mycotoxin called patulin in organic apple purees compared to conventional purees (Piqué *et al.*, 2013).

Despite evidence indicating the higher risk of microbiological contamination in organic products, the wide use of antibiotics in conventional practices has been associated with the

presence of antibiotic-resistant microorganisms in animal products (Saptoka *et al.*, 2014).

#### Does organic food benefit the gut microbiota?

Several ongoing studies are currently investigating the relationship between diet and the gut microbiota. There is strong evidence regarding the role of gut microbiota in health and its implication in immune-related disorders such as type 2 diabetes and obesity, as well as the risk of developing cardiovascular disease (Tai *et al.*, 2015; Miele *et al.*, 2015). Although the direct effects of an organic diet on the gut microbiota are not yet known, some studies have described a beneficial influence (Figure 2).

The diversity of microbials in soil varies in organic compared to conventional farming (Ottesen *et al.*, 2009; Hartmann *et al.*, 2014). Torjusen *et al.* hypothesized that an organic diet may be associated with a more diverse microbiota due to the intake of probiotic substances from fresh produce such as vegetables (Torjusen *et al.*, 2014). Pesticides and cooking practices have also been associated with the gut microbiota. When food is fermented, pesticide concentrations may be decreased, but may, at the same time, slow the fermentation process by yeast (Regueiro *et al.*, 2013). Polyphenols have been positively related to gut microbiota by the reciprocal relationship that they may contribute to host health benefits (Ozidal *et al.*, 2016; Conlon and Topping, 2016; Xue *et al.*, 2016). The higher polyphenol content of organic compared with conventional food could be an advantage to the development of healthy microbiota.

Hartmann *et al.* (Hartmann *et al.*, 2014) demonstrated that the use of fertilizers and particularly the application and quality of organic fertilizers, is the major determinant of the microbial diversity of soil. A review by Jin *et al.* reported that exposure to environmental pollutants can alter the composition of the gut microbiome, leading to disorders of energy metabolism, nutrient absorption and immune system function or other toxic symptoms (Jin *et al.*, 2017). Conventional cropping practices

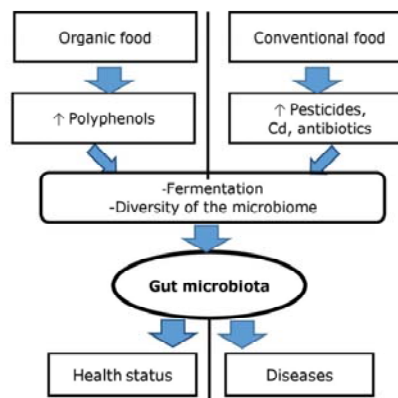


Figure 2. Mechanisms through which organic and conventional food could have effects on the gut microbiota contributing to health and unhealth status respectively (preliminary results).

are related to the use of environmental pollutants such as pesticides (mainly in vegetables and fruits), antibiotics (in meat and milk after administration to animals) and probably a larger amount of some heavy metals (e.g. Cd). Moreover, conventional food is often more processed and is more likely to contain food additives. Several studies in animals showed the potential of pesticides to change the gut microbiota and induce symptoms such as neurotoxicity, hepatotoxicity, immunotoxicity, reproductive toxicity and endocrine disruption because of the antimicrobial activity of some of these pesticides (Jin *et al.*, 2017). In the early 1950s, antibiotics were used by farmers to promote livestock growth. Nowadays, it is known that antibiotics can influence energy metabolism by affecting the gut microbiome (Jin *et al.*, 2017). In addition, the effects of antibiotics on human gut microbiota can persist over longer periods of time (Jakobsson *et al.*, 2010; Fouhy *et al.*, 2012). Exposure to heavy metals and food additives (e.g. non caloric artificial sweeteners and emulsifiers) can also lead to gut microbiota dysbiosis and induce immune-related disorders (Jin *et al.*, 2017).

Thus, it seems that conventional food has indirect negative effects on the gut microbiota because of the toxic components used in cropping. In addition, more diverse microflora has been observed in children from anthroposophic families, as well as a lower incidence of hypersensitivity diseases (Penders *et al.*, 2007). Furthermore, Torjusen *et al.* hypothesized that the incidence of pre-eclampsia could also be related to an altered microbiota (Torjusen *et al.*, 2014). All these aspects should be explored in specific studies aimed at elucidating the role of organic food on human gut microbiota.

#### Conclusion: Is organic food really healthier?

Organic food seems to be healthier compared to conventional food due to its higher content of bioactive compounds (e.g. polyphenols, vitamin C and carotenoids) and n-3 PUFA, which could be implicated in the incidence of metabolic diseases. Moreover, organic food has a lower Cd content and other unhealthy substances such as pesticides that are related to gut microbiota dysbiosis and immune-related disorders and toxicity in humans.

However, the health outcomes reported by some studies could also be closely linked to the lifestyle of organic food consumers. A lower incidence of metabolic diseases such as cardiovascular diseases, diabetes mellitus type 2 and overweight or obesity can be the result of following a healthy dietary pattern. The diet of consumers of organic foods is richer in fruits, vegetables, legumes and wholegrains and lower in meat intake. Moreover, the greater content of dietetic fiber in organic food may have a positive effect on the gut microbiota and health, reducing the risk of diseases.

Although several studies have attempted to associate health-related biomarkers (such as antioxidant activity and status, LDL oxidation, semen quality, homocysteine, among others) with organic diet intake, the results remain unclear. Thus, at present, pesticide concentrations are the most commonly used biomarkers of conventional food intake.

Few studies have analyzed the effects of organic cropping on health and most of these studies have some limitations such as the short study duration or that they did not take into account

crop variety, soil type, weather, climatic conditions and other factors which might affect crop composition. Therefore, long-term, randomized, controlled dietary intervention trials comparing organic and conventional food of the same variety and similar growing conditions are needed to determine the possible beneficial effects of organic diet on human health.

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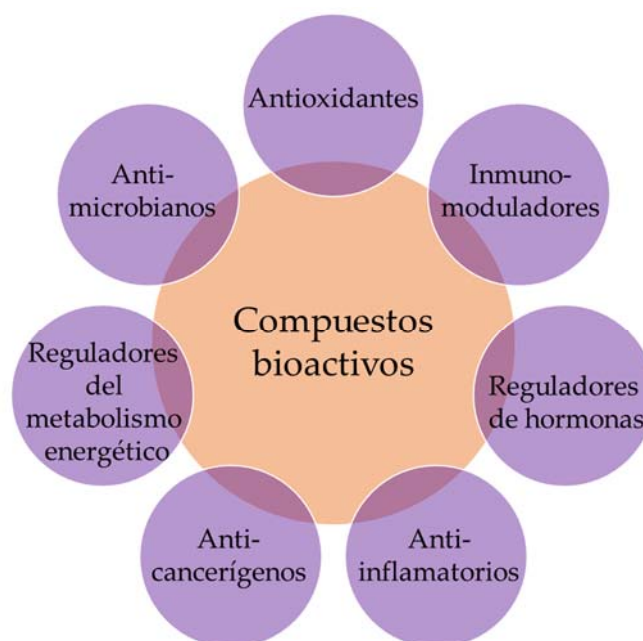
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### 2.3. Compuestos bioactivos de la dieta y sus propiedades beneficiosas en la salud

Los compuestos bioactivos forman parte de la cadena alimentaria y pueden ejercer efectos beneficiosos en la salud humana [65,66]. Por lo general, estos compuestos son fitoquímicos formados durante el metabolismo secundario de las plantas, aunque también pueden proceder de otros organismos como las algas, bacterias y hongos. Como ya se ha comentado en la sección anterior, el consumo dietético de estos compuestos está estrechamente relacionado con la prevención de enfermedades crónicas y degenerativas, como las ECV [67,68], el cáncer [68,69], la diabetes de tipo 2 [70,71], la obesidad [72,73], el síndrome metabólico [74,75], la osteoporosis [68,76] y las enfermedades neurológicas [68,71], encontrándose también asociaciones inversas y significativas con la mortalidad [77,78]. La **Figura 6** representa las principales propiedades otorgadas a estos componentes dietéticos.



**Figura 6.** Funciones biológicas de los compuestos bioactivos.

Los compuestos bioactivos pueden disminuir el riesgo de ENT a través de distintas vías de señalización que implican un gran número de proteínas (principalmente de tipo quinasas). Algunos de ellos como los flavonoides y los curcuminoides son capaces de reparar daños en el DNA y modular las reacciones metabólicas de las fases I y II (por ejemplo, a través de la regulación de la citocromo P450 (CYP450), activando o inhibiendo a las isozimas CYP) [79,80]. Muchos de estos compuestos biológicamente

activos son conocidos comúnmente como antioxidantes debido a que suprimen las especies reactivas del oxígeno (ROS) formadas endógena o exógenamente. Los fitoesteroles, organosulfurados y otros fitoquímicos pueden inhibir la actividad del factor nuclear potenciador de las cadenas ligeras kappa de las células B activadas (NF- $\kappa$ B), implicado en la expresión de las citoquinas pro-inflamatorias y el factor de necrosis tumoral (TNF- $\alpha$ ). En la presente tesis nos centramos especialmente en la prevención de la inflamación sistémica de bajo grado que precede el desarrollo de las ENT [81,82]. Algunos polifenoles y terpenoides pueden actuar disminuyendo la concentración de citoquinas y otras moléculas proinflamatorias (como las interleuquinas 6 y 1 $\beta$  (IL-6 y IL-1 $\beta$ ) y TNF- $\alpha$ ) que estimulan la síntesis de la proteína C reactiva (CRP) de fase aguda en el hígado [83]. Además, a través de la inhibición NF- $\kappa$ B, implicada en la producción de enzimas como la óxido nítrico sintasa inducible (iNOS) y la ciclooxigenasa-2 (COX-2), pueden regular la función endotelial favoreciendo la liberación de moléculas vasodilatadoras (NO, prostaciclina (PGI<sub>2</sub>), etc) sobre las vasoconstrictoras como el tromboxano A<sub>2</sub> (TXA<sub>2</sub>) [84,85]. El NO es un gas de señalización que tiene un papel crítico en la prevención de ECV y otras ENT gracias a su potente capacidad vasodilatadora que disminuye la adhesión/agregación plaquetaria y la formación de trombina, evitando así los daños vasculares. El NO también puede inhibir la expresión de células vasculares y de moléculas de adhesión intercelular y vascular (ICAM-1 y VCAM-1) [86,87]. Los fitoesteroles y organosulfurados también regulan el colesterol, en parte inhibiendo la oxidación de la lipoproteína de baja densidad (LDL), y suprimen la expresión de moléculas de adhesión y otras implicadas en los procesos inflamatorios [85]. Se han observado propiedades anticancerígenas sobre algunos tipos de cáncer entre algunos de estos compuestos bioactivos (glucosinolatos, flavonoides, estilbenos, etc), debido a que pueden inducir la apoptosis, anti-proliferación, anti-invasión y/o autofagia a través de mecanismos como la activación de caspasas, la detención del ciclo celular y la inhibición de neovascularización [88–90]. La vía de señalización más estudiada en la prevención de ENT como las ECV y el cáncer es la del factor nuclear eritroide 2 relacionado con el factor 2 (Nrf2)- elemento de respuesta antioxidante (ARE) [91–93], pero se ha observado que una hiperactivación de Nrf2 puede favorecer la carcinogénesis [94].

Entre los compuestos bioactivos más conocidos y consumidos en mayor concentración se encuentran las vitaminas, los minerales y la fibra dietética, siendo las hortalizas y frutas la fuente principal de su consumo. Además, se conoce un gran número de estructuras químicas procedentes principalmente del metabolismo de las plantas que, a pesar de encontrarse en concentraciones muy bajas, están involucradas en las funciones biológicas del ser humano. En la **Tabla 2** se resumen algunos de fitoquímicos más destacados y sus fuentes dietéticas principales.

**Tabla 2.** Resumen de los principales fitoquímicos obtenidos de la dieta.

Fitoquímicos		Fuentes dietéticas
Fenoles	Ácidos fenólicos, flavonoides y otros	Frutos rojos, cítricos, hojas verdes, café, cacao, té, vino, aceite de oliva virgen, etc
Carotenoides	Carotenos y xantofilas	Tomate, zanahoria, calabaza, papaya, hojas verdes, etc
Compuestos organosulfurados	Isotiocianatos, glucosinolatos, indoles, sulfurados	Brócoli, ajos, cebollas, etc
Fitoesteroles	Campesterol y sitosterol entre otros	Cereales integrales, legumbres, frutos secos, semillas, etc

A continuación, se explican los compuestos en los que se centra este trabajo: los polifenoles y los carotenoides.

### 2.3.1. Polifenoles

#### 2.3.1.1. Origen y clasificación

Los compuestos fenólicos son metabolitos secundarios procedentes de las plantas que se sintetizan principalmente a partir de las vías pentosa fosfato-shikimato-fenilpropanoide [70,95,96]. Se trata de un grupo muy amplio de compuestos, pero todos tienen en común un anillo benzoico en su estructura con uno o más radicales hidroxilos [95]. Están presentes en los alimentos de origen vegetal, tales como hortalizas, frutas, frutos secos, aceite de oliva y cacao y en bebidas como el vino, el té y el café [97,98].

Los polifenoles se clasifican en dos grupos: flavonoides y no flavonoides (**Figura 7**). Los flavonoides tienen una estructura de tipo C<sub>6</sub>-C<sub>3</sub>-C<sub>6</sub>, basada en la unión de dos anillos aromáticos por una cadena alifática de tres carbonos [95]. Son los más abundantes y se dividen a su vez en subgrupo, entre los cuales destacan las flavanonas, los flavanoles, las antocianinas, los flavonoles, las flavonas y las isoflavonas. Las flavanonas son características de las frutas cítricas, y entre ellas se encuentran algunos compuestos como la naringenina y la hesperidina. Los flavanoles como la (-)-epicatequina y la (+)-catequina son típicos en el cacao. Dentro de las antocianidinas se encuentran la cianidina, la pelargonidina y la petunidina, características de los frutos rojos y las uvas. La cebolla es rica en flavonoles como la quercetina y la isorhamnetina. Entre las flavonas, la apigenina y luteolina están presentes en hortalizas como las alcachofas. La soja se caracteriza por su contenido en isoflavonas, entre las cuales cabe destacar la genisteína, la daizeína y la gliceteína. Por otro lado, los ácidos fenólicos son el grupo más abundante y estudiado dentro de los no flavonoides. Entre los ácidos fenólicos se encuentran los ácidos benzoicos y cinámicos y están presentes en una gran variedad de alimentos vegetales. Otros compuestos no flavonoides son los estilbenos,

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como el resveratrol (en alimentos como el vino, las uvas y los cacahuetes), los lignanos, como las enterolactonas (presentes en las semillas de lino y sésamo), y otros fenoles como el tirosol y el hidroxitirosol, típicos del aceite de oliva. A pesar de que algunos polifenoles son característicos de ciertos alimentos concretos (como por ejemplo, las flavanonas de frutas cítricas y las isoflavonas de la soja), la mayoría de los compuestos fenólicos están presente en una variedad de productos vegetales, y a su vez los alimentos que ingerimos contienen un complejo de estos compuestos [99–101].

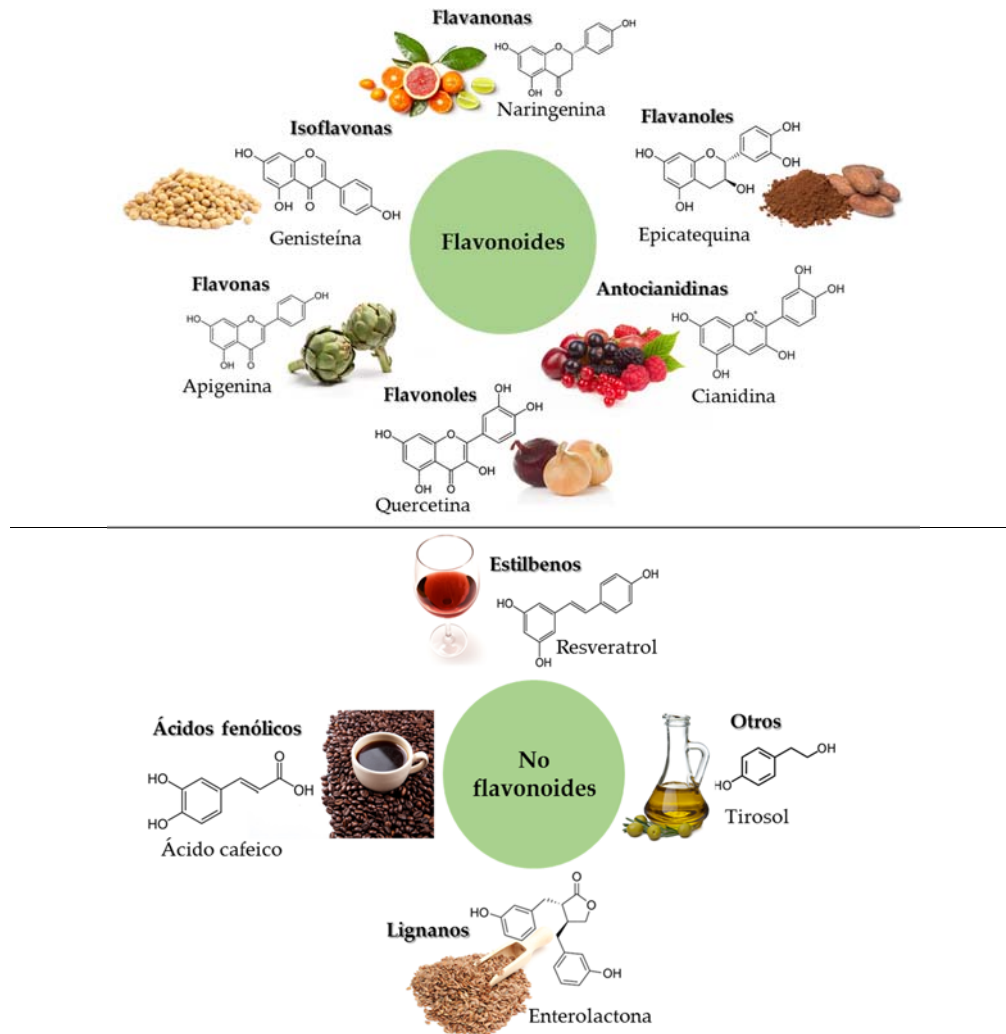


Figura 7. Clasificación de los polifenoles de la dieta.

### 2.3.1.2. Bioaccesibilidad y biodisponibilidad

El término “bioaccesibilidad” hace referencia a la fracción del compuesto liberada desde la matriz del alimento y que está disponible durante la absorción intestinal, mientras que la “biodisponibilidad” indica la fracción que es digerida, absorbida y utilizada en el metabolismo fisiológico [102–104].

Los polifenoles son ingeridos en la dieta principalmente en forma de glicósidos y al llegar al intestino pueden convertirse en agliconas para ser absorbidos. Este proceso puede llevarse a cabo por dos mecanismos: (i) mediante la lactasa-floricina hidrolasa (LPH), localizada en el borde en cepillo de los enterocitos apicales de las microvellosidades intestinales, y (ii) mediante la  $\beta$ -glucosidasa citosólica (CBG), que se encuentran dentro de las propias células epiteliales. La LPH se une de forma más específica a los flavonoides-*O*-*D*-glucósidos, y debido al incremento de la hidrofobicidad, los fenoles atraviesan la membrana plasmática del enterocito mediante difusión pasiva. En cambio, para que se lleve a cabo la hidrólisis a través de la CBG, los polifenoles más polares tienen que atravesar la membrana del enterocito. Se ha sugerido que el transportador de glucosa activo dependiente de sodio 1 (SGLT1) está involucrado en este proceso. Antes de pasar a la circulación sanguínea, las agliconas son modificadas estructuralmente mediante las enzimas sulfotransferasas (SULTs), uridina-5'-difosfato glucuronosiltransferasas (UGT) y catecol-*O*-metiltransferasas (COMTs) dando lugar a metabolitos de la fase II. Algunos de los metabolitos que no atraviesan la barrera basolateral vuelven al lumen del intestino delgado a través de la familia de transportadores dependientes de adenosín-trifosfato (ABC). Los compuestos conjugados que pasan al torrente sanguíneo pueden distribuirse a los tejidos diana o llegar al hígado a través de la vena porta hepática, donde tienen lugar más reacciones metabólicas de fase II. Mediante la recirculación enterohepática, los compuestos metabolizados pueden volver al intestino delgado a través de la bilis [95,105]. Tras la circulación enterohepática, los polifenoles son excretados a través de la orina y de la bilis [106].

Por otro lado, los polifenoles que no son absorbidos en el intestino delgado pasan al colon, donde la microbiota puede hidrolizar los glicósidos en agliconas y degradarlos produciendo los ácidos fenólicos. De esta forma, estos metabolitos de menor peso molecular pueden absorberse desde el colon, viajar al hígado y conjugarse o directamente ser excretados [106–108]. Bang *et al.*, observaron que los flavonoides unidos a ramnosa alcanzan el colon y son hidrolizados por enzimas como las  $\alpha$ -ramnosidasas, secretadas por bacterias de la microbiota (como *Bifidobacterium dentium*), para su posterior absorción [109]. Dentro del grupo de los no flavonoides, se han encontrado algunos polímeros de ácidos hidroxicinámicos, resistentes a la acción de la LPH y de la CBG, que no pueden ser absorbidos en el intestino delgado y se



metabolizan en el colon produciendo ácidos hidroxifenilacéticos e hidroxifenilpropiónicos [110]. Los compuestos fenólicos desconjugados (no glicosilados) pueden ser metabolizados por la microbiota, mediante la fisión de anillos y diversas reacciones (deshidroxilación, desmetilación y descarboxilación), y además pueden producir metabolitos conjugados como sulfatos a partir de la actividad de enzimas arilsulfotransferasas (ATSs) generadas por las bacterias [111–113].

La concentración de agliconas en el plasma después de la ingesta es muy baja debido a que, a excepción de algunos compuestos como la (+)-catequina y (-)-epicatequina que no se encuentran glicosilados en los alimentos y son absorbidos fácilmente en el intestino delgado, los polifenoles de los alimentos sufren diversas modificaciones para su posterior absorción [107,108]. La tasa de absorción de los polifenoles, por lo tanto, es muy baja y variable según la estructura química del compuesto [101,108]. Se excretan a través de la orina, bilis o heces, siendo la orina la principal vía de excreción incluso para los metabolitos formados por la microbiota [114]. Además, se ha observado una correlación entre el consumo dietético de polifenoles y su excreción urinaria [115].

La bioaccesibilidad y biodisponibilidad de los polifenoles dependen de diversos factores que se resumen a continuación:

**Factores relacionados con el ambiente.** Factores externos como los estímulos estresores pueden favorecer la síntesis de estos compuestos debido a que, aunque muchos de los polifenoles son compuestos del metabolismo secundario de las plantas, también se producen como mecanismos de defensa. Factores como la variedad, el grado de madurez, el área geográfica, el clima, la estación, el tipo de suelo y el tipo de cultivo influyen en la composición de polifenoles presentes finalmente en el alimento. Por ejemplo, la concentración de ácidos fenólicos disminuye generalmente durante el proceso de madurez, mientras que la de antocianinas aumenta [101]. Algunos autores han observado un mayor contenido de polifenoles en alimentos originados mediante agricultura ecológica respecto a los métodos convencionales [116–121], posiblemente debido al limitado uso de fertilizantes y pesticidas que impulsa a las plantas a producir mecanismos para liberarse del estrés. Por lo tanto, la concentración final de polifenoles en los alimentos está muy influenciada por los factores ambientales que afectan a su síntesis.

**Factores relacionados con el alimento.** La matriz alimentaria es otro factor crucial, debido a que los polifenoles pueden interactuar con los nutrientes (hidratos de carbono, proteínas, lípidos y fibras) y con el alcohol [99]. Por ejemplo, algunos autores han sugerido que la fibra puede retrasar la biodisponibilidad de los polifenoles [103,122]. Sin embargo, el trabajo llevado a cabo por Tamura *et al.* demostró que la pectina mejoraba la biodisponibilidad de la quercetina, formada a partir de la

hidrólisis de la rutina a través de cambios en la microbiota [123]. El efecto positivo de la presencia de grasa sobre la biodisponibilidad de los polifenoles también ha sido descrito en diversas investigaciones [53,124].

Por otro lado, el procesado (tratamiento térmico, homogenización, liofilización y cocinado) y almacenamiento pueden tener efectos, principalmente negativos, sobre la biodisponibilidad de los polifenoles. Crozier *et al.*, observaron que las cebollas y los tomates perdían entre un 75 y un 80% de quercetina al ser hervidos durante 15 minutos, el 65% después de cocinarse en un microondas y el 30% tras freírse [125]. Sin embargo, mayores concentraciones de naringenina y ácido clorogénico se cuantificaron en plasma después de consumir tomates cocinados respecto a los frescos [126].

**Factores relacionados con el propio compuesto.** La velocidad y el grado de absorción de los polifenoles dependen de la concentración del compuesto, así como de su estructura química y la del azúcar al que está unido [99,108].

**Interacciones.** Además de las interacciones descritas con otros nutrientes, los polifenoles pueden interactuar con proteínas como la albúmina y con otros polifenoles [99,101]. En un estudio llevado a cabo por Tagashira *et al.* se observó que las catequinas unidas a galato o pirogalol favorecían la acumulación y absorción de catequinas [127].

**Factores relacionados con el huésped.** También están implicados aquellos factores relacionados con el intestino, como la actividad enzimática y la composición microbiana, y otros como el sexo, la edad, la genética y el estado fisiológico. Por lo tanto, la producción de metabolitos activos varía mucho entre los individuos [99].

### 2.3.2. Carotenoides

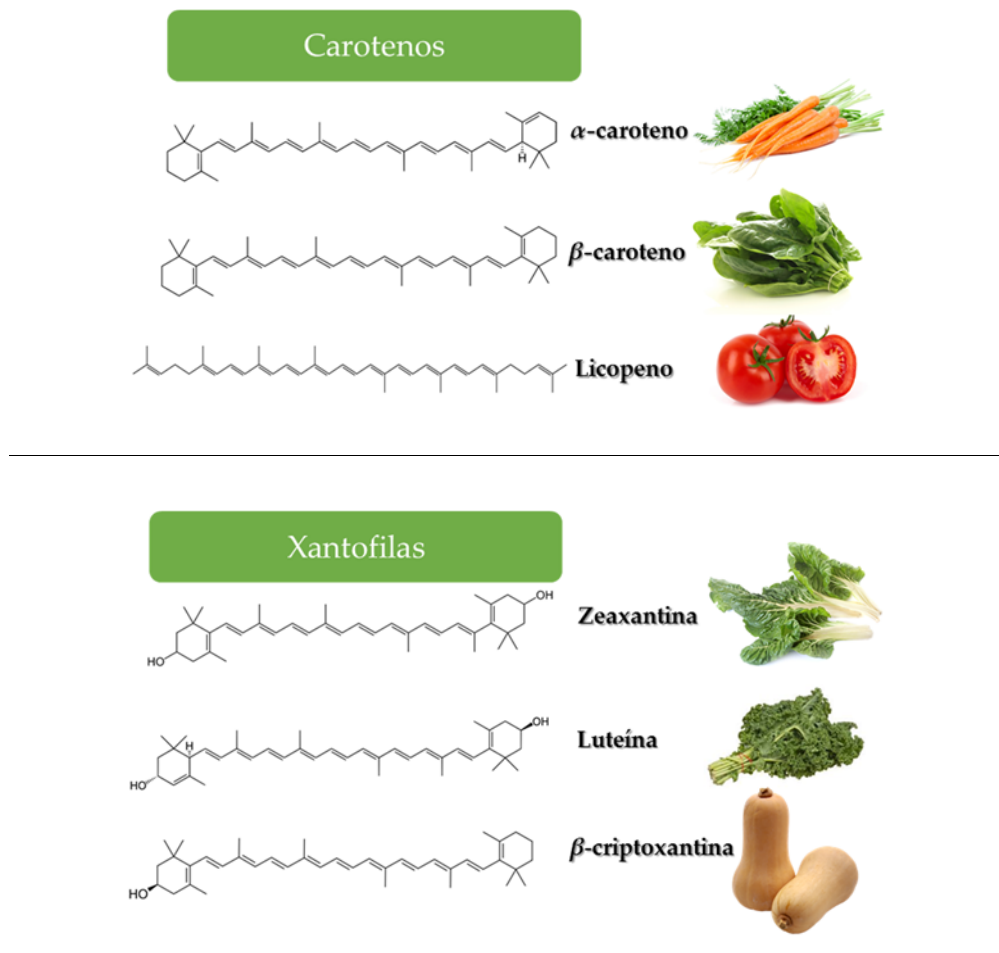
#### 2.3.2.1. Origen y clasificación

Los carotenoides son pigmentos liposolubles procedentes del metabolismo de las plantas u otros organismos no fotosintéticos (algas, hongos y bacterias). La condensación “cabeza-cola” de tres moléculas de isopentenil difosfato (IPP) y una de dimetilalil difosfato (DMAPP) da lugar al geranilgeranil pirofosfato (GGPP), un terpeno de 20 átomos de carbonos. La unión de dos terpenos GGPP, catalizada por la GGPP sintetasa, da lugar al fitoeno (C<sub>40</sub>), a partir del cual se sintetizan los carotenoides mediante la enzima fitoeno sintetasa (PSY) [128].

Según su estructura, los carotenoides se clasifican en dos grupos: carotenos y xantofilas. Los carotenos son hidrocarburos (solo contienen átomos de carbono e hidrógeno), y las xantofilas, derivadas de los carotenos, también contienen uno o más

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átomos de oxígeno. En la **Figura 8** se presentan los principales carotenos ( $\beta$ -caroteno,  $\alpha$ -caroteno y licopeno) y xantofilas (luteína, zeaxantina y  $\beta$ -criptoxantina) consumidos en la dieta. Los alimentos de coloración amarilla, naranja o roja son ricos en carotenoides, por lo general, y deben su coloración a estos compuestos (zanahorias, calabaza, papaya, tomate...). Además, una fuente rica en carotenos y xantofilas son los vegetales de hoja verde, como las espinacas y la col kale [128,129]. Aunque predominan en los alimentos vegetales, algunos alimentos de origen animal como la leche, la yema del huevo y el salmón también contienen carotenoides [130].



**Figura 8.** Clasificación de los carotenoides de la dieta.

En la naturaleza, los carotenoides se encuentran principalmente en su conformación *all-trans* (isoforma *E*), que es la más estable. Sin embargo, debido a la presencia de

dobles enlaces en su estructura, se oxidan e isomerizan a la conformación *cis* (isoforma Z), especialmente durante el procesamiento térmico de los alimentos [131].

### 2.3.2.2. *Bioaccesibilidad y biodisponibilidad*

Los carotenoides son liberados desde la matriz alimentaria y solubilizados en pequeñas gotas lipídicas para su posterior incorporación en las micelas a través de enzimas lipasas. Estas micelas están formadas por ácidos biliares unidos a componentes de la digestión de los triacilglicéridos, fosfolípidos y ésteres de colesterol que generan los ácidos grasos libres, los mono- y di-acilglicéridos, los lisofosfolípidos y el colesterol libre; y son necesarias para el transporte y absorción de los carotenoides en el enterocito. La absorción puede llevarse a cabo por difusión pasiva o a través de la unión a receptores de membranas como el de clase B tipo I (SR-B1), el determinante de grupo 36 (CD36) y la proteína Niemann-Pick C1-Like 1 (NPC1L1) [132,133].

En el interior del enterocito, los carotenoides pro-vitamina A, como el  $\beta$ -caroteno, se transforman en retinal, a través de la enzima  $\beta$ -caroteno oxigenasa 1 (BCO1). Posteriormente, el retinal puede convertirse en retinol, mediante la enzima retinol deshidrogenasa (RDH) y producir los ésteres de retinilo a partir de la lecitin-retinol-aciltransferasa (LRAT). De forma paralela, algunos carotenoides forman apo-carotenoides a partir de su degradación mediante enzimas como la  $\beta$ -caroteno oxigenasa 2 (BCO2). Los carotenoides y sus derivados son incorporados en quilomicrones y llegan a la circulación sanguínea, en donde los quilomicrones son hidrolizados por lipoproteínas lipasas para poder entrar dentro de los hepatocitos. Los carotenoides se liberan de los quilomicrones remanentes y se incorporan en las lipoproteínas de muy baja densidad (VLDL). A través de las partículas VLDL se transportan triacilglicéridos y carotenoides hacia los tejidos adiposos y periféricos. Las partículas VLDL se transforman en otras de menor tamaño, las LDL, que contiene menor carga de triacilglicéridos y liberan carotenoide a los tejidos periféricos. A partir de las VLDL, las xantofilas también pueden ser transferidas a las lipoproteínas de alta densidad (HDL) para su posterior distribución hacia los tejidos, pero no se ha observado que este mecanismo ocurre con los carotenos [132,133]. Los carotenoides pueden almacenarse principalmente en el tejido adiposo o ser utilizados por los diferentes tejidos, y finalmente se excretan a través de las heces [128].

A continuación, se resumen los factores que afectan a la bioaccesibilidad y biodisponibilidad de los carotenoides:

**Factores relacionados con el ambiente.** Factores como la variedad, el grado de madurez, el área geográfica, el clima, la estación, el tipo de suelo y el tipo de cultivo influyen de manera significativa en el contenido y la conformación del alimento [134,135]. Por ejemplo, algunos autores han encontrado concentraciones superiores de

estos compuestos en alimentos cultivados de forma ecológica en comparación con aquellos obtenidos a través de métodos convencionales, aunque otros trabajos no han observado los mismos resultados [121,136,137].

**Factores relacionados con el alimento.** El procesado del alimento permite su liberación desde el cloroplasto o cromoplasto, favoreciendo así su bioaccesibilidad. Además, como ya se ha descrito anteriormente, el tratamiento térmico de los alimentos conlleva reacciones de oxidación produciéndose así los denominados isómeros *cis* [138].

La matriz del alimento también afecta a la bioaccesibilidad y biodisponibilidad de los carotenoides. Como cabe esperar por su composición liposoluble, los lípidos aumentan la liberación de estos compuestos desde el alimento y su absorción en el organismo [53,54,134,139]. Se especula que un consumo de 3-5 g. de grasa en cada comida puede favorecer la absorción de los carotenoides ingeridos [139], siendo importante el tipo de grasa utilizada. Se han sugerido mejores resultados sobre la bioaccesibilidad de los carotenoides cuando se añade aceite de oliva en comparación con otras matrices lipídicas [134]. Sin embargo otros componentes de la dieta, como las fibras (principalmente las pectinas), disminuyen la bioaccesibilidad y biodisponibilidad de los carotenoides [103,140].

**Factores relacionados con el propio compuesto.** La concentración y la estructura química de los carotenoides van a determinar su forma y grado de liberación y absorción. Los compuestos más polares (xantofilas) son más biodisponibles que los más apolares (carotenos), debido a que alcanzan más fácilmente las micelas y consecuentemente son más absorbidos por los enterocitos.

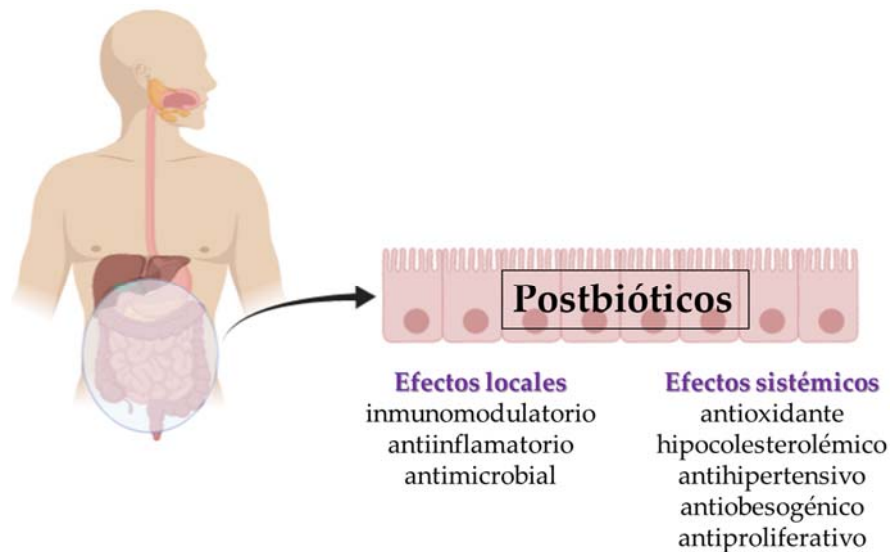
Otra cuestión importante es su conformación. Algunos autores han demostrado que la isomerización del licopeno da lugar a compuestos más biodisponibles y bioactivos [141]. Sin embargo, los isómeros *cis* de  $\beta$ -caroteno parecen ser menos biodisponibles que el *all-trans*- $\beta$ -caroteno [142].

**Interacciones.** Además de los efectos ya descritos sobre la grasa y la fibra en los alimentos, las interacciones con otros fitoquímicos pueden aumentar o favorecer la biodisponibilidad de los carotenoides. En general, se ha especulado que las interacciones entre carotenoides no son favorables [139,143]. De forma indirecta, otros compuestos bioactivos como los fitoesteroles también pueden disminuir la absorción de los carotenoides a través de la reducción del colesterol plasmático [144].

**Factores relacionados con el huésped.** La biodisponibilidad de los carotenoides también depende de algunos factores como el sexo, la edad, la genética y los relacionados con el sistema digestivo (como por ejemplo el pH y la concentración de ácidos biliares) [145-148].

### 2.3.3. Microbiota y compuestos bioactivos: post-bióticos

En los últimos años, el número de estudios que abordan el papel de la dieta sobre la microbiota intestinal y el impacto sobre la salud ha aumentado considerablemente [149–155]. Más allá de los conocidos prebióticos (ingredientes fermentados selectivamente que dan lugar a cambios específicos en la composición y/o la actividad de la flora gastrointestinal, confiriendo así beneficios a la salud del huésped) y probióticos (microorganismos vivos que, cuando son administrados en cantidad adecuada, ejercen un efecto beneficioso sobre la salud del huésped), recientemente ha surgido el término “postbióticos”. Los postbióticos, también conocidos como metabióticos, son factores solubles que pueden ser secretados por bacterias vivas o liberarse tras la lisis bacteriana [156–158]. Entre los más estudiados se encuentran los ácidos grasos volátiles (VFA), los ácidos orgánicos (como por ejemplo los ácidos fenólicos y los indoles), la metilamina, las vitaminas del grupo B y K, los aminoácidos de cadena ramificada, péptidos (como la lactocepina), el ácido biliar, los polisacáridos, los ácidos teicoicos y lipoteicoicos, los muropéptidos derivados de peptidoglucanos y los plasmalógenos [155,156,159]. Las investigaciones en este campo han demostrado el beneficioso papel de estos compuestos en la salud (**Figura 9**), debido principalmente a sus propiedades antimicrobianas, antioxidantes e inmunomoduladoras [156,160–162]. El uso de postbióticos sintetizados artificialmente como alternativa a los probióticos ha sido sugerido por varios autores en la prevención y tratamiento de enfermedades relacionadas con la disbiosis (alteración de la microbiota intestinal) [156,158,163–165].

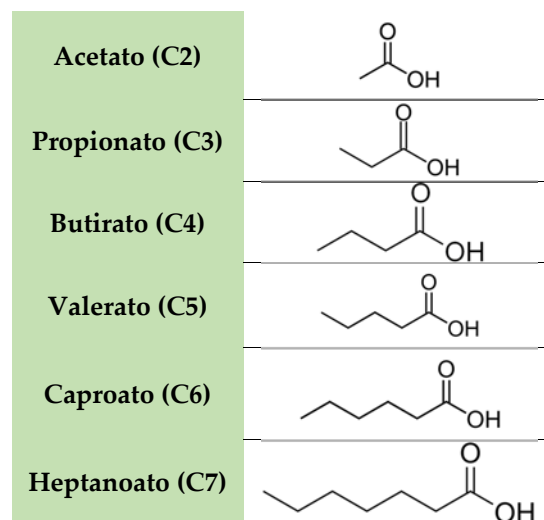


**Figura 9.** Efectos beneficiosos de los postbióticos en la salud (adaptada de Aguilar-Toalá *et al.* [156]).

En los subapartados siguientes (2.2.3.1. y 2.2.3.2.) se explicarán más detalles sobre los ácidos grasos de cadena y los ácidos fenólicos, que forman parte del contenido de la tesis.

### 2.3.3.1. Ácidos grasos volátiles

Los VFA son producidos por bacterias intestinales anaeróbicas en el colon a través de la fermentación de fibra dietética principalmente [166,167]. Los más abundantes y estudiados son el acetato (C2), propionato (C3) y butirato (C4) (**Figura 10**); y a pesar de que el acetato representa aproximadamente el 50% de los VFA en el colon, la proporción puede variar dependiendo de factores como la composición de la microbiota, la dieta y los procesos de fermentación [168–170]. Otros VFA minoritarios en el colon son el valerato (C5), el caproato (C6) y el heptanoato (C7) [171]. Por lo general, el acetato y el propionato pueden elongarse a través de la microbiota produciendo butirato y valerato, respectivamente, y además a partir del butirato se puede sintetizar el caproato y a partir del valerato el heptanoato [172,173]. Adicionalmente, la microbiota intestinal también puede utilizar proteínas como sustrato para producir los ácidos grasos de cadena ramificada (BCFA, isobutirato, isovalerato e isocaproato) a partir de los aminoácidos de cadena ramificada (leucina, isoleucina y valina) [174]. El consumo dietético de BCFA proviene principalmente de los productos lácteos y la mayoría de estudios al respecto se enfocan en la presencia de estos ácidos grasos en la microbiota neonatal [175–177].



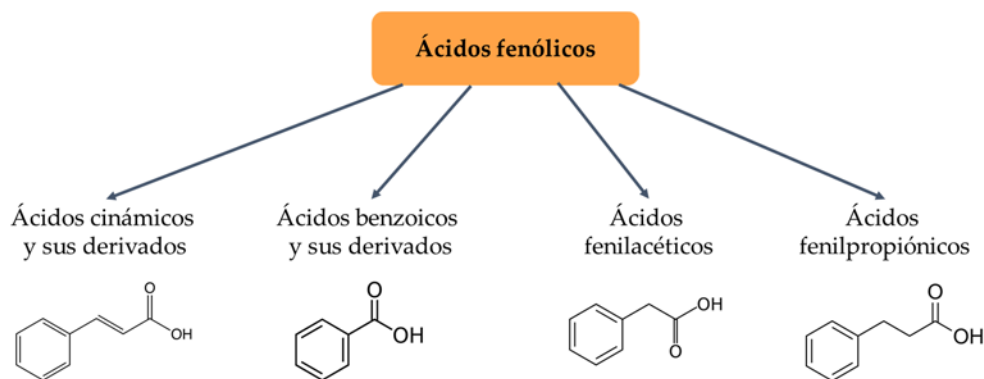
**Figura 10.** Ácidos grasos volátiles (C2-C7).

La señalización de VFA está mediada por los receptores acoplados a proteínas G (GPRs), GPR41 (FFAR3), GPR43 (FFAR2) y GPR109A (HCAR2), expresados

predominantemente en el tejido adiposo, el intestino y las células inmunes. GPR41 y GPR43 tienen mayor afinidad por el acetato y el propionato, mientras que el butirato inhibe la histona desacetilasa (HDAC) a través de GPR109A [149,155,178,179]. El papel de los VFA, incluyendo los BCFA, en la regulación del metabolismo energético ha sido descrito en diversos estudios [170,179–181]. Además, se ha observado que el butirato es el sustrato energético preferido por los colonocitos, por lo que podría estar implicado en la prevención y tratamiento del cáncer colorrectal [182–184]. El butirato también está implicado en la síntesis del colesterol y su función también parece relevante en la disminución del riesgo de enfermedades cardiovasculares [185].

### 2.3.3.2. Ácidos fenólicos

Los ácidos fenólicos pueden proceder directamente del consumo dietético o producirse a partir del metabolismo microbiano de otros polifenoles, principalmente ácidos hidroxicinámicos y flavonoides. Debido a la baja absorción en el intestino delgado, más del 90% de los polifenoles llegan al intestino grueso, donde son metabolizados por la microbiota del colon, y finalmente se absorben como ácidos fenólicos de bajo peso molecular [106,155,186]. Los ácidos fenólicos, según su estructura, pueden ser: fenilacéticos, fenilpropiónicos, cinámicos y sus derivados y benzoicos y sus derivados (**Figura 11**). Los flavonoides que alcanzan el colon se someten a una fisión en anillo dando lugar a los ácidos fenólicos, que a su vez pueden sufrir más reacciones convirtiéndose en otros productos metabólicos [111]. Estos polifenoles pueden tener efectos beneficiosos sobre la salud debido a que interactúan con la microbiota y pueden cambiar su composición y posiblemente favorecer la producción de VFA [29,187–189]. En la sección 2.3.1. sobre los polifenoles se pueden encontrar más detalles de estos compuestos.



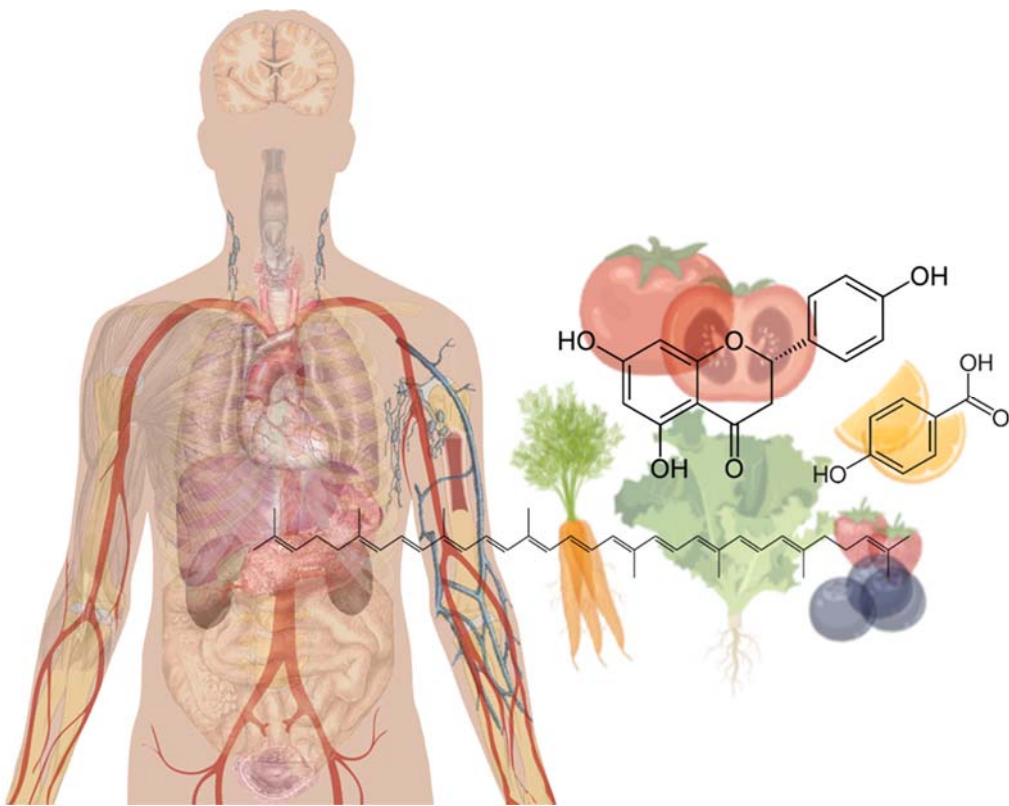
**Figura 11.** Clasificación de ácidos fenólicos producidos por la microbiota.



### **Puntos claves:**

- Una dieta rica en hortalizas y frutas, como la mediterránea, puede prevenir el riesgo de la incidencia y mortalidad de enfermedades crónicas como las cardiovasculares y el cáncer.
- Se han propuesto recomendaciones dietéticas para la prevención de los diferentes cánceres y estos consejos son también dirigidas a los pacientes de cáncer (excepto en el caso del cáncer de mama, en donde existen guías específicas). Sin embargo, las necesidades dietéticas para los supervivientes de cáncer podrían diferir de aquellas que se plantean en la prevención primaria.
- Se ha demostrado que las hortalizas y frutas contienen compuestos bioactivos beneficiosos para la salud cardiovascular a través del mantenimiento de la homeostasis vascular e inflamatoria, en cambio, no hay estudios suficientes que sugieran la necesidad de su consumo especialmente cuando no existen otros factores de riesgo aparentes (tabaquismo, uso de drogas, metabólicos, etc).
- La bioaccesibilidad y biodisponibilidad de estos compuestos biológicamente activos depende de muchos factores, entre los cuales destacan el sistema de cultivo empleado, el procesado de los alimentos, la matriz alimentaria y las interacciones entre los ingredientes y compuestos. A pesar de la evidencia descrita hasta la fecha en este campo, son necesarias más investigaciones que aborden el impacto de estos factores sobre la biodisponibilidad y consecuentemente sobre la actividad biológica de estos compuestos.
- Además de proceder directamente de la dieta, algunos compuestos fenólicos también se producen a través del metabolismo microbiano de los polifenoles. Se ha descrito previamente que estos metabolitos de la microbiota están implicados en mantenimiento de la salud.
- Los ácidos grasos volátiles son los principales metabolitos formados por la microbiota intestinal y están directamente implicados en el mantenimiento de la homeostasis energética en el ser humano. En la actualidad, surge la necesidad de estudiar las interacciones bidireccionales entre la actividad metabólica microbiana y el consumo dietético de compuestos bioactivos, lo cual tiene potentes efectos sobre la salud humana.

# HIPÓTESIS Y OBJETIVOS





### 3. HIPÓTESIS Y OBJETIVOS

#### Hipótesis

La hipótesis general de la tesis es que **la dieta mediterránea, rica en hortalizas y frutas, tiene efectos positivos sobre los procesos vasculares y pro-inflamatorios relacionados con la salud cardiovascular y puede asociarse a un mejor pronóstico en supervivientes de cáncer debido a su riqueza en compuestos bioactivos.**

#### Objetivos

El objetivo general de la tesis fue **evaluar el efecto de consumir una dieta rica en hortalizas y frutas, de tipo mediterránea, sobre la homeostasis endotelial e inflamatoria en sujetos sanos y sobre el pronóstico de pacientes con cáncer.**

Para llevar a cabo el objetivo general, se plantearon los siguientes objetivos específicos:

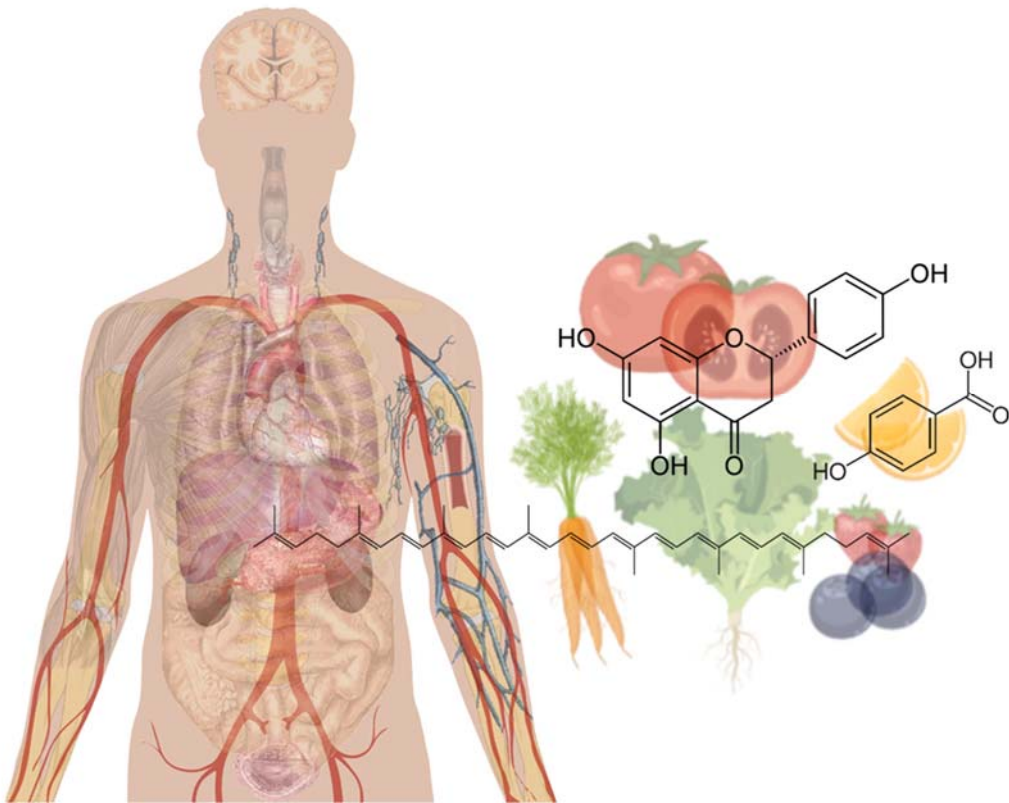
1. Evaluar cómo afecta el consumo a corto plazo de una dieta baja en alimentos ricos en polifenoles sobre la función endotelial en sujetos sanos. Por ello se han propuesto:
  - 1.1. Monitorizar la excreción de los polifenoles totales en orina como marcador de cumplimiento de la intervención dietética.
  - 1.2. Evaluar el impacto de la dieta baja en polifenoles sobre principales biomarcadores implicados en los procesos vasculares en muestras biológicas de plasma y orina.
2. Evaluar cómo afecta el consumo de una dosis única de *sofrito* (240 g/ 70 kg de peso corporal) al estado inflamatorio en voluntarios sanos. Para alcanzar este objetivo se han definido los siguientes pasos intermedios:
  - 2.1. Valorar los cambios de la excreción de polifenoles totales en orina y la concentración de carotenoides en plasma de los voluntarios tras la ingesta del *sofrito*.
  - 2.2. Evaluar el efecto del consumo agudo de *sofrito* sobre algunos de los principales biomarcadores pro-inflamatorios en plasma.
  - 2.3. Relacionar los cambios en los marcadores proinflamatorios estudiados con los niveles de polifenoles totales excretados y carotenoides biodisponibles tras la intervención.

### 3. HIPÓTESIS Y OBJETIVOS

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3. Comparar el efecto de una dieta mediterránea de origen ecológico *versus* otra similar de origen convencional de un mes de duración en las muestras biológicas de voluntarios sanos en relación con los siguientes parámetros:
  - el nivel de compuestos bioactivos, carotenos y ácidos fenólicos en muestras biológicas
  - el estatus antropométrico y los parámetros fisiológicos y bioquímicos básicos de los voluntarios
  - la concentración plasmática de minerales y metales
  
4. Evaluar la interacción entre los compuestos bioactivos procedentes de la dieta y del metabolismo de la microbiota y sus efectos sobre los marcadores lipídicos en sujetos sanos. Para ello se ha planteado:
  - 4.1. Analizar la relación de los carotenos en plasma, biomarcadores del consumo de hortalizas y frutas, y la producción de ácidos grasos volátiles en heces de sujetos sanos.
  - 4.2. Determinar si los niveles de carotenos y ácidos grasos volátiles se asocian con la concentración de colesterol (HDL, LDL y total) y los triglicéridos.
  
5. Analizar si el consumo de hortalizas y frutas se asocia con un mejor pronóstico de cáncer mediante meta-análisis. Para alcanzar este objetivo se ha evaluado si el consumo de estos grupos de alimentos y sus subgrupos disminuyen:
  - la recurrencia de los diferentes tipos de cáncer (excepto el cáncer de mama)
  - la mortalidad por cáncer y global en los pacientes de cáncer en distintas localizaciones (excepto en el caso del cáncer de mama).

# RESULTADOS





## 4. RESULTADOS

En esta sección, se exponen los resultados obtenidos durante la tesis doctoral. Estos son explicados en las 5 publicaciones que se presentan a continuación tras un previo resumen de su contenido (consultar la **Figura 2** de la *sección 2.1.1.* sobre la clasificación de las publicaciones presentes en la tesis en relación con los diseños de estudios epidemiológicos). Tres de los manuscritos presentados han sido publicados en revistas científicas indexadas del primer cuartil. Las otras dos publicaciones también han sido enviadas a revistas científicas del primer cuartil y se encuentran actualmente en fase de revisión.





## 4.1. Publicación 2: El cambio a una dieta baja en polifenoles durante dos semanas altera los biomarcadores vasculares en hombres sanos.

Artículo titulado “Changing to a Low-Polyphenol Diet Alters Vascular Biomarkers in Healthy Men after Only Two Weeks”. Publicado en la revista científica “*Nutrients*” (Índice de impacto: 4,171 (2018); Q1).

Una dieta rica en compuestos bioactivos tiene efectos beneficiosos sobre la salud cardiovascular. A pesar de que un gran número de investigaciones ha corroborado esta hipótesis, la mayoría de los autores han observado estas consecuencias en poblaciones de riesgo (presión arterial alta, hipercolesterolemia, síndrome metabólico, etc) o en pacientes. Sin embargo, en este trabajo nos planteamos que una dieta pobre en estos compuestos bioactivos podría ser perjudicial a corto plazo y sin ninguna alteración aparente en los marcadores de salud cardiovascular. Para ello, se llevó a cabo un estudio cruzado en el cual se incluyeron 22 hombres sanos de entre 18 y 32 años que llevaron a cabo durante dos semanas una dieta baja en antioxidantes (especialmente en polifenoles) (LAD) y durante otras dos semanas su dieta habitual (UD). En la intervención LAD, los voluntarios evitaron el consumo de alimentos ricos en polifenoles como las hortalizas y frutas (máximo de dos raciones al día), el cacao, el café y el té (**Tabla 3**). En cambio, la UD fue una dieta similar a la mediterránea (adherencia moderada *versus* Baja adherencia en la LAD). Como marcador de cumplimiento se analizaron los polifenoles totales en orina. La TPE fue significativamente menor después de la LAD en comparación con la UD ( $79 \pm 43$  *versus*  $123 \pm 58$  mg equivalentes de ácido gálico (GAE)/g creatinina,  $p = 0,007$ ) y se relacionó directamente con la adherencia a la dieta mediterránea ( $r = 0,59$ ,  $p = 0,003$ ). Los marcadores vasculares que se determinaron después de cada intervención fueron los siguientes: NO, TXA<sub>2</sub>, PGI<sub>2</sub>, ICAM-1 y VCAM-1. La concentración plasmática de NO disminuyó significativamente después de la LAD ( $52 \pm 28$  en LAD *versus*  $80 \pm 34$   $\mu$ M en UD,  $p = 0,002$ ) y esta reducción se correlacionó con la menor adherencia a la dieta mediterránea ( $r = 0,54$ ,  $p = 0,008$ ). A pesar de no observar cambios significativos en el resto de los biomarcadores, el cociente TXA<sub>2</sub>/PGI<sub>2</sub> aumentó significativamente ( $0,09 \pm 0,08$  en LAD *versus*  $0,16 \pm 0,14$  en UD,  $p=0,048$ ). En conclusión, el seguimiento de una dieta baja en antioxidantes a corto plazo puede afectar negativamente a la homeostasis vascular, incluso cuando no hay un riesgo aparente de ECV.





Article

## Changing to a Low-Polyphenol Diet Alters Vascular Biomarkers in Healthy Men after Only Two Weeks

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**Abstract:** Bioactive dietary compounds play a critical role in health maintenance. The relation between bioactive compound intake and cardiovascular health-related biomarkers has been demonstrated in several studies, although mainly with participants who have altered biochemical parameters (high blood pressure, high cholesterol, metabolic syndrome, etc.). The aim of this study was to evaluate if adopting a diet low in polyphenol-rich food for two weeks would affect vascular biomarkers in healthy men. In a crossover study, 22 healthy men were randomly assigned to their usual diet (UD), consuming healthy food rich in polyphenols, or to a low antioxidant diet (LAD), with less than two servings of fruit and vegetables per day and avoiding the intake of cocoa products, coffee and tea. As a marker of compliance, total polyphenols in urine were significantly lower after the LAD than after the UD ( $79 \pm 43$  vs.  $123 \pm 58$  mg GAE/g creatinine). Nitric oxide levels were also reduced ( $52 \pm 28$  in LAD vs.  $80 \pm 34$   $\mu$ M in UD), although no significant changes in cellular adhesion molecules and eicosanoids were observed; however, an increasing ratio between thromboxane A<sub>2</sub> (TXA<sub>2</sub>) and prostaglandin I<sub>2</sub> (PGI<sub>2</sub>) was reached ( $p = 0.048$ ). Thus, a slight dietary modification, reducing the consumption of polyphenol-rich food, may affect vascular biomarkers even in healthy individuals.

**Keywords:** bioactive compounds; nitric oxide; vascular biomarkers; low antioxidant diet; Mediterranean diet; eicosanoids; adhesion molecules

### 1. Introduction

It is broadly accepted that bioactive compounds of plant origin play a crucial protective role against non-communicable diseases (NCDs). Polyphenols are plant secondary metabolites with one or

more aromatic rings that bear at least one hydroxyl group. More than 8000 phenolic structures have been described [1] and several hundred are found in edible vegetables [2]. In the last years, phenolic compounds have been widely studied due to their close association with the prevention of NCDs such as cardiovascular diseases (CVDs) [3–5]. Polyphenols are known to have anti-inflammatory properties and improve endothelial function [6–8].

Current research about the protective role of polyphenols against CVD is focused on their action on the endothelium [9–11]. Endothelium-dependent vasodilator factors include molecules like nitric oxide (NO) and prostacyclin (PGI<sub>2</sub>), while the endothelium-dependent vasoconstrictor response involves reactive oxygen species (ROS) and thromboxane A<sub>2</sub> (TXA<sub>2</sub>) [12]. NO is a gaseous signaling molecule mainly produced from the amino acid L-arginine by nitric oxide synthase [13] with a crucial role in the prevention of CVD and other NCDs. Endothelium-derived NO is a powerful vasodilator that inhibits platelet adhesion and aggregation as well as thrombin formation, thus preventing vascular injuries. Moreover, NO can inhibit the expression of vascular cell and intercellular adhesion molecules (VCAM-1 and ICAM-1) [14,15].

The entry of polyphenols into endothelial cells is apparently facilitated by specific membrane receptors and transport systems [16–18], which increase the formation of NO through different pathways (some involving ROS) [19,20]. The activation of soluble guanylyl cyclase by NO results in the formation of cyclic guanosine monophosphate (cGMP) that initiate the relaxation of endothelium [12]. However, further research is needed to establish the mechanisms involved in the interaction between the molecules, the triggering of signals and the biological responses. These mechanisms have been studied but mainly with supplements or extracts containing polyphenols at a far higher concentration than those consumed in the usual diet. Moreover, many studies have been performed in elderly populations at risk of developing NCDs due to altered biochemical parameters (high blood pressure, high cholesterol, metabolic syndrome, etc.) or even in patients with a diagnosed disease [21].

Thus, in the present study we aimed to study if a short-term dietary intervention involving a reduced consumption of polyphenol-rich food could affect vascular biomarkers in healthy young men at no apparent risk of developing a disease.

## 2. Materials and Methods

### 2.1. Study Subjects

Twenty-two healthy men between 18 and 32 years of age took part in the interventional study from November 2015 to April 2016. Only male adults were enrolled in this trial to avoid effects related to hormonal fluctuations during the menstrual cycle [22,23]. Exclusion criteria were body mass index (BMI) over 30 kg/m<sup>2</sup>, a history of CVDs, hypertension and dyslipidemia, chronic illness or homeostatic disorder and toxic habits (such as tobacco, alcohol and drug consumption). Volunteers were asked to maintain the same level of physical activity throughout the study. Figure 1 shows the flowchart of subjects in the trial.

All participants provided written informed consent prior to starting the intervention. The study was carried out according to the principles of the Declaration of Helsinki and the protocol was approved by the Ethics Committee of Clinical Investigation of the University of Barcelona (Barcelona, Spain). The clinical trial was registered and given the International Standard Randomized Controlled Trial Number (<http://www.isrctn.com/>) of ISRCTN17867378.

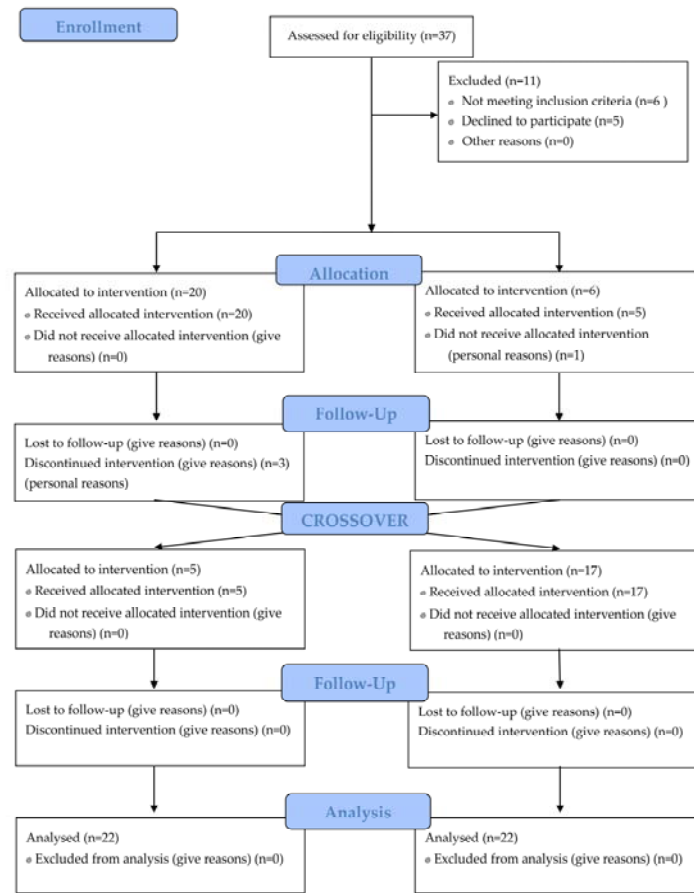


Figure 1. Flow diagram showing the participation of volunteers in each phase of the trial. The usual diet (UD) is shown on the left side of the graph and the low antioxidant diet (LAD) on the right side.

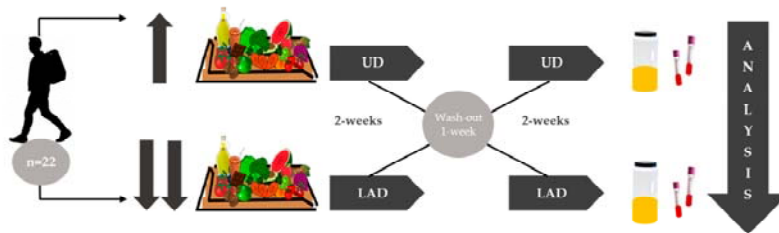


Figure 2. General scheme of the crossover trial with two intervention periods.

## 2.2. Study Design

The study was open, crossover and controlled (Figure 2), and every participant underwent two 2-week interventions, and ate their usual diet (UD) and a low antioxidant diet (LAD), with a week of washout in between. Advice about changes in diet was given. Participants following the LAD were asked not to consume more than 2 servings of fruits or vegetables per day and their consumption of polyphenol-rich items, such as coffee, tea or chocolate, was restricted. Supplementary Table S1 shows all the recommendations to follow a low antioxidant diet (LAD).

In contrast, in the UD there was no restriction on polyphenol-rich food (fruit, vegetables, extra-virgin olive oil, tea, etc.) consumption. The study was run in the Department of Nutrition, Food Science and Gastronomy of the Food Science Torribera Campus of the University of Barcelona (Spain).

## 2.3. Dietary and Physical Activity Assessments

After each intervention, subjects filled out a 3-day food recall questionnaire. The dietary registers were analyzed using nutrition analysis software developed at the University of Barcelona, PCN Pro software (Programa de Càlcul Nutricional Professional, Santa Coloma de Gramenet, Barcelona, Spain). In addition, a 14-point Mediterranean Diet Adherence questionnaire was used to compare the two diets [24]. Physical activity was measured using the validated Spanish version of the Minnesota leisure-time physical activity questionnaire [25].

## 2.4. Blood and Urine Collection

Fasting blood and urine samples were collected after each dietary intervention between 8:00 and 9:00 a.m. Blood was drawn from the arm via venipuncture into tubes containing ethylenediaminetetraacetic acid (EDTA), and plasma was separated after centrifugation at  $2070 \times g$  for 15 min at  $4^\circ\text{C}$ . Plasma and urine were aliquoted and stored at  $-80^\circ\text{C}$  until the day of the analysis.

## 2.5. Clinical and Anthropometric Measurements

Diastolic and systolic blood pressure (DBP and SBP) as well as heart rate (HR) were measured in triplicate after each intervention the morning in fasting conditions. Biochemistry parameters in plasma were evaluated at an external laboratory (mdb lab Durán Bellido). C-reactive protein (CRP) was measured by an immunoturbidimetric method. HDL, LDL, total cholesterol and triglycerides were analyzed by an enzymatic method. Urea and uric acid were analyzed by enzymatic and enzymatic/chromogen methods, respectively. Creatinine was determined by reaction kinetics of the Jaffe method (as modified by Larsen). Total proteins and albumin were measured by the endpoint biuret reaction and bromocresol green methods, respectively. Biochemistry measurements were performed once the study completed in the samples stored at  $-80^\circ\text{C}$ .

Body mass index (BMI) was calculated from the weight and height, and the waist-hip ratio (WHR) from the measurements of the waist and hip circumferences taken at the visit after each intervention in the early morning.

## 2.6. Quantification of Total Polyphenol Excretion (TPE) in Urine Samples

A solid phase extraction (SPE) using a 96-well plate cartridge (Oasis MAX, Waters Co., Milford, MA, USA) was performed in diluted urine samples and total polyphenol excretion (TPE) was measured by a Folin-Ciocalteu reaction according to Medina-Remon et al. [26]. The spectrophotometry analysis was carried out at a wavelength of 765 nm. The results were expressed as mg of gallic acid equivalent (GAE)/g of creatinine.

## 2.7. Determination of Plasmatic Inflammatory Biomarkers

The cell adhesion molecules, sICAM-1 and sVCAM-1, were measured by a ProcartaPlex Multiplex Immunoassay kit (Invitrogen, ThermoFisher Scientific, Waltham, MA, USA). Plasma samples were

diluted 1:200 and assayed in duplicate. The assay was performed through a MAGPIX<sup>®</sup> instrument (Luminex, Co., Austin, TX, USA) and data were processed with ProcartaPlex Analyst software.

Before the determination of NO, plasma samples were filtered using the Amicon<sup>®</sup> Ultra 30K (Merck KGaA, Darmstadt, Germany) device over microcentrifuge tubes and centrifuged at  $14,000 \times g$  for 1 h at 4 °C. The NO amount was indirectly determined using a nitrate/nitrite colorimetric assay kit (Cayman Chem. Co., Ann Arbor, MI, USA, ref. 780001). The detection limit of the assay was approximately 1 µM nitrite, considering a 1:2 dilution of plasma samples. The analysis was carried out in triplicate.

#### 2.8. Determination of Eicosanoids in Urine

The PGI<sub>2</sub> and TXA<sub>2</sub> were indirectly quantified by measuring PGIM (Prostaglandin I Metabolite) and 11-dehydro thromboxane B<sub>2</sub>, respectively. Both molecules were determined in urine using two competitive enzyme-linked immunosorbent assay (ELISA) kits acquired from Cayman Chem. Co. (Ann Arbor, MI, USA, ref. 501100 and 519510). The PGIM assay has a range from 39 to 5000 pg/mL and a sensitivity (80% B/B<sub>0</sub>) of approximately 120 pg/mL. The 11-dehydro thromboxane B<sub>2</sub> assay has a range from 15.6 to 2000 pg/mL and a sensitivity (80% B/B<sub>0</sub>) of approximately 34 pg/mL. The analysis was carried out in triplicate. The TXA<sub>2</sub>:PGI<sub>2</sub> ratio was calculated.

#### 2.9. Statistical Analysis

Normality was checked by a Shapiro–Wilk test. Non-normal variables were log-transformed. The non-normal variables with values close to zero were transformed by inverse hyperbolic sine (IHS) function. Linear regression models were assayed both to calculate the raw *p*-value and *p*-value of the differences adjusted by mean-centered age (years) and physical activity (METS/day). A *p*-value of <0.05 was considered statistically significant.

Correlations between the MedDiet score and the analyzed biomarkers were determined using a correlation matrix that considers repeated measures [27]. The Pearson coefficient (*r*) was calculated. Significant correlations (*p* < 0.05) are shown in the results.

All the analysis was performed using the software R, version 3.4.2. (R: A Language and Environment for Statistical Computing, R Foundation for Statistical Computing, Vienna, Austria [28]).

### 3. Results

#### 3.1. Characteristics of Participants

Twenty-two men completed the study. Baseline characteristics remained constant throughout the study (age and METS/day). In addition, anthropometric and clinical measurements did not change significantly after the LAD compared to the control (UD) (*p* > 0.05) (Table 1).



**Table 1.** Characteristics of all participants of the study.

	UD	LAD	<i>p</i>	Adjusted <i>p</i> <sup>a</sup>
Baseline characteristics				
Age (years)	24 ± 1			
Physical activity (METS/day)	743 ± 75			
Anthropometric measurements				
BMI (kg/m <sup>2</sup> )	24.9 ± 0.79	24.8 ± 0.79	0.375	0.33
WHR	0.84 ± 0.01	0.84 ± 0.01	1	0.89
Clinical measurements				
SBP (mmHg)	123 ± 2	122 ± 2	0.864	0.90
DBP (mmHg)	76 ± 2	76 ± 2	0.893	0.995
HR (bpm)	66 ± 2	63 ± 2	0.109	0.198
CRP (mg/dL)	0.10 ± 0.02	0.09 ± 0.01	0.893	0.925
Total cholesterol (mmoles/L)	3.84 ± 0.12	3.80 ± 0.12	0.547	0.551
HDL (mmoles/L)	1.37 ± 0.06	1.36 ± 0.05	0.865	0.832
LDL (mmoles/L)	2.04 ± 0.11	1.97 ± 0.11	0.250	0.306
Triglycerides (mmoles/L)	0.94 ± 0.08	1.04 ± 0.12	0.470	0.525
Urea (mmoles/L)	5.56 ± 0.30	5.94 ± 0.25	0.211	0.365
Creatinine (μmoles/L)	76 ± 1.51	76.3 ± 1.60	0.510	0.752
Uric acid (μmoles/L)	319 ± 10.95	332 ± 10.51	0.138	0.136
Total proteins (g/L)	73.1 ± 0.57	73.9 ± 0.54	0.272	0.324
Albumin (g/L)	46.8 ± 0.50	46.6 ± 0.41	0.655	0.608

Data are mean ± standard error of the mean (SEM). <sup>a</sup> Baseline measures, age and physical activity were used to adjust the *p*-value.

### 3.2. Dietary Intake

Table 2 shows the differences between the two interventions (UD and LAD) in nutrients and healthy food intake. Although the diets were similar in macronutrients (except for the fat content), the LAD had a lower concentration of vitamins, minerals, and fiber. Levels of magnesium, potassium and iron differed significantly between the diets, as did vitamins A, E, C and folate ( $p \leq 0.001$ ), because the UD involved a higher consumption of fruits and vegetables rich in these compounds ( $p < 0.001$ ), as well as of cocoa ( $p < 0.001$ ), tea ( $p < 0.001$ ), olive oil ( $p = 0.01$ ), and nuts and seeds ( $p < 0.001$ ).

The score categories of adherence to the MedDiet are high ( $\geq 10$ ), moderate (6–9) and low ( $\leq 5$ ). According to the scores obtained in the 14-item questionnaire, the adherence to the MedDiet in the UD was moderate ( $8.5 \pm 0.4$ ), and low in the LAD ( $4.7 \pm 0.3$ ), the two dietary interventions being significantly different ( $p < 0.001$ ).

**Table 2.** Changes in the dietary parameters after 2 weeks.

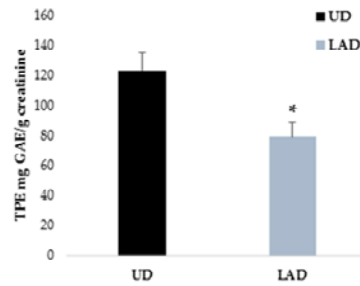
	UD	LAD	<i>p</i>	Adjusted <i>p</i> <sup>a</sup>
<b>Nutrients</b>				
Energy (kcal/day)	2393 ± 130	2194 ± 127	0.083	0.033 *
Carbohydrates (g/day)	256 ± 15	245 ± 14	0.445	0.498
Fat (g/day)	106 ± 9	83 ± 7	0.005 *	0.001 *
Protein (g/day)	100 ± 6	109 ± 7	0.256	0.473
Cholesterol (mg/day)	301 ± 30	241 ± 21	0.046 *	0.025 *
Fiber (g/day)	30 ± 2	16 ± 1	<0.001 *	<0.001 *
Ca (mg/day)	869±49	936 ± 91	0.452	0.816
P (mg/day)	1599±82	1612 ± 97	0.897	0.711
Mg (mg/day)	436±25	314 ± 30	<0.001 *	<0.001 *
Na (mg/day)	2495±273	2945 ± 280	0.065	0.107
K (mg/day)	4237±180	2950 ± 325	<0.001 *	<0.001 *
Fe (mg/day)	17±0.98	12 ± 0.99	0.001 *	0.002 *
Zn (mg/day)	11±0.72	10 ± 0.60	0.217	0.057
Vit. A (mcg r.e./day)	1460±232	242 ± 45	<0.001 *	<0.001 *
Vit E (mg t.e./day)	15±1.19	8 ± 0.70	<0.001 *	<0.001 *
Vit. C (mg/day)	255±25	39 ± 6	<0.001 *	<0.001 *
Folate (mcg/day)	511±34	243 ± 33	<0.001 *	<0.001 *
<b>Food</b>				
Vegetable (g/day)	402 ± 38	120 ± 25	<0.001 *	<0.001 *
Fruit (g/day)	368 ± 53	48 ± 16	<0.001 *	<0.001 *
Nuts and seeds (g/day)	15 ± 5	0.2 ± 0.2	<0.001 *	<0.001 *
Virgin olive oil (g/day)	33 ± 2	26 ± 2	0.009 *	0.01 *
Pulses (g/day)	19 ± 6	16 ± 6	0.210	0.295
Cereals (g/day)	202 ± 20	275 ± 17	0.001 *	0.001 *
Cocoa (g/day)	13 ± 3	0.7 ± 0.6	<0.001 *	<0.001 *
Coffee (g/day)	63 ± 24	23 ± 9	0.186	0.162
Tea (g/day)	112 ± 29	0	<0.001 *	<0.001 *
White meat (g/day)	70 ± 14	79 ± 14	0.353	0.312
Fish (g/day)	61 ± 12	65 ± 17	0.768	0.885
Wine (g/day)	5 ± 3	0	0.163	0.107
Beer (g/day)	16 ± 8	13 ± 10	0.201	0.215
<b>Mediterranean diet score</b>				
MedDiet score <sup>†</sup>	8.5 ± 0.4	4.7 ± 0.3	<0.001 *	<0.001 *

Data are mean ± SEM. <sup>†</sup> MedDiet score obtained from 14-item Mediterranean Diet Adherence Screener.

<sup>a</sup> Baseline measures, age and physical activity were used to adjust the *p*-value. \* Significant difference (*p* < 0.05).

### 3.3. TPE in Urine after Each Dietary Intervention

Figure 3 shows a significant decrease of TPE, expressed in gallic acid equivalents (GAE) and corrected by creatinine, in participants after following the LAD for two weeks. The difference in TPE between the two interventions was around 45 mg GAE/g creatinine (*p* = 0.007).

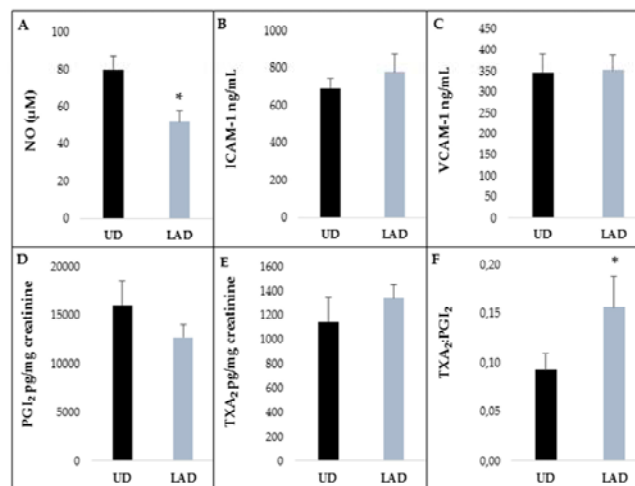


**Figure 3.** Comparison of total polyphenol excretion (TPE) in urine after each intervention. Data shown are mean  $\pm$  SEM. \* Significant differences with  $p < 0.05$ .

### 3.4. Inflammatory Molecules in Plasma and Urine

Significant changes in NO levels ( $p = 0.001$  and adjusted  $p = 0.002$ ) were observed. Figure 4A shows the reduction of NO concentration after the short-term diet with food containing lower amounts of bioactive compounds compared to the UD intervention ( $52 \pm 28 \mu\text{M}$  in LAD vs.  $80 \pm 34 \mu\text{M}$  in UD).

Although there were negative changes in the inflammatory parameters (cellular adhesion molecules and eicosanoids) after the LAD, these differences were not significant (Figure 4B–E). The  $\text{TXA}_2$ : $\text{PGI}_2$  ratio, an indicator of the balance of platelet function, increased considerably from  $0.09 \pm 0.08$  to  $0.16 \pm 0.14$  ( $p = 0.051$  and adjusted  $p = 0.048$ , Figure 4F).



**Figure 4.** (A) NO (B) ICAM-1 (C) VCAM-1 (D)  $\text{PGI}_2$  (E)  $\text{TXA}_2$  and (F) ratio  $\text{TXA}_2$ : $\text{PGI}_2$  between both interventions. Data shown are mean  $\pm$  SEM. The UD is in black and the LAD is in gray; \* significant differences with  $p < 0.05$ .

### 3.5. Correlation between Biomarkers and Mediterranean Diet Adherence

After the short-term intervention with low-antioxidant foods, significant changes were observed in the TPE and NO. Both parameters correlated positively with the MedDiet. Thus, TPE in urine and

NO concentration in plasma had decreased in participants after following the diet poor in bioactive compounds (Figure 5A,B).

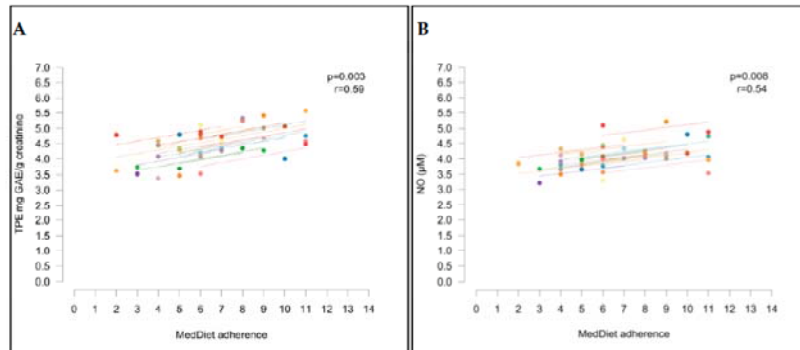


Figure 5. Correlation of Mediterranean diet adherence with TPE (A) and NO (B).

#### 4. Discussion

In our study, changing to a diet low in polyphenols affected NO expression and modified the anti-inflammatory response in young healthy men, although without reaching significance, except for the ratio  $TXA_2:PGI_2$  probably due to the low number of subjects and the short period of the intervention.

Regarding the results of the health-related biomarkers, our study suggests that following a diet low in bioactive compounds, measured by the validated biomarker of TPE, for a period as short as two weeks, reduced plasmatic NO in healthy volunteers. Several studies have shown the protective role of polyphenols or polyphenol-rich food on endothelium function [10] and that following a Mediterranean diet is beneficial for vascular health. Schroeter et al. reported an acute increase in the concentration of circulating NO species after the consumption of flavanol-rich cocoa in a crossover trial with healthy male subjects [29]. These authors also observed a positive correlation between the chronic ingestion of flavanol-rich cocoa and the urinary excretion of NO metabolites, at least partially due to the flavanol (–)-epicatechin, in a cross-sectional study of the Kuna population [29]. Likewise, in elderly participants at high cardiovascular risk from the PREDIMED trial, a significant increase of total plasma NO was observed after a one-year intervention with an extra intake of extra virgin olive oil or nuts [8]. This increase was associated with a significant change in the blood pressure (BP) and correlated with the TPE in urine [8]. In another PREDIMED substudy, an increase in serum NO (nitrate + nitrite) after a Mediterranean Diet + extra virgin olive oil intervention was negatively correlated with BP in hypertensive women [30]. In addition, in a crossover study where healthy subjects consumed an ice cream based on dark cocoa powder with a hazelnut and green tea extract, an increase in total serum polyphenol and NO levels were found and correlated [31].

Although in our study a significant change in BP and higher NO levels did not correlate with TPE in urine, a positive correlation was obtained between total plasma NO and MedDiet adherence. Thus, NO levels in plasma were lower in participants after following the LAD rather than the UD ( $p = 0.008$ ,  $r = 0.54$ ). Other authors performing crossover trials where healthy subjects consumed polyphenol-rich products or extracts have also found an increase of plasma or urinary NO [32–34]. In a parallel study with elderly pre-hypertensive or stage 1 hypertensive participants without concomitant risk factors, significant changes in BP and NO were observed after an intervention with dark chocolate for 18 weeks [35]. A reduction in BP and a rise of plasma NO, both correlated with each other,

were observed in men at high cardiovascular risk after an intervention with dealcoholized red wine in a crossover trial [36]. In addition, 24 men with metabolic syndrome consuming a grape supplement in a 30-day-crossover trial had higher levels of plasma NO, correlated with a decrease in systolic BP, although the change in NO was not significant [21]. However, in contrast with the aforementioned studies, a recent crossover trial studying the acute effect of coffee in healthy volunteers did not find significant differences in plasma NO concentrations or BP [37]. Likewise, no changes in concentration of plasma NO were observed in subjects at risk of CVDs consuming a beverage based on wild blueberries daily for six weeks [38].

The pro-inflammatory response increased in participants after the two-week LAD, although changes in plasma ICAM-1 nor VCAM-1 were not significant. In accordance with our results, Taubert et al. reported lower levels of ICAM-1 in plasma after the consumption of a grape supplement, and although there was not a significant difference in VCAM-1, the reduction of this biomarker was positively correlated with a decrease in systolic BP [35]. Similar results were obtained in another parallel trial where hypertensive subjects consumed 150 mL/day of pomegranate juice for 2 weeks and a reduction of the VCAM-1 biomarker and BP was observed [39]. Several other authors have observed positive effects on CAMs after consumption of polyphenol extracts or polyphenol-rich products [6,9,40,41]. However, another trial did not find any effect on ICAM-1 or VCAM-1 [38,42,43].

The balance of the vasodilation and vasoconstrictor molecules, PGI<sub>2</sub> and TXA<sub>2</sub>, is also crucial for vascular health. Both eicosanoids are synthesized from arachidonic acid by cyclooxygenase isoforms (COX<sub>1</sub> and COX<sub>2</sub>). In the present study, a notable increase in the TXA<sub>2</sub>:PGI<sub>2</sub> ratio was observed, being almost double after the LAD intervention compared to the UD ( $p = 0.048$ ), suggesting that polyphenols could contribute to the maintenance of vascular homeostasis. The observed trend was for PGI<sub>2</sub> to decrease and TXA<sub>2</sub> to increase after the LAD, although the change of both biomarkers did not reach significance. Dietary polyphenols regulate immune cells as well as the expression of several pro- and anti-inflammatory genes and cytokines by different pathways (MAPK, NF- $\kappa$ B and arachidonic acid), contributing to anti-inflammatory, immune-modulatory, and antioxidant activities [44].

The effect of polyphenol-rich products on eicosanoids has been demonstrated. Pignatelli et al. showed that following a Mediterranean diet reduces the level of TXA<sub>2</sub> in atrial fibrillation patients [45]. Also, the intake of extra virgin olive oil, a typical polyphenol-rich product of the Mediterranean diet, reduced the serum TXB<sub>2</sub> concentration in healthy subjects [46]. However, other authors have obtained inconclusive results. In a crossover study, platelet TXA<sub>2</sub> production in smokers, which was higher than in healthy subjects, did not change after consuming 40 g of milk chocolate or of dark chocolate [47]. No effect on TXA<sub>2</sub> and PGI<sub>2</sub> metabolites in urine, or on the ratio of both molecules, was found in healthy subjects consuming an American diet and another supplemented with cacao/chocolate (containing 466mg of procyanidins) for four weeks [48]. Moreover, after consuming a serving of aronia-citrus juice for 45 days, 16 triathletes had lower urinary excretion of 11-dehydro-TXB<sub>2</sub>, but no significant change was observed in the 2,3-dinor-6-keto-PGF1 $\alpha$  (PGI<sub>2</sub> metabolite) [49].

A strong point of this study is its focus on healthy young volunteers (most of them university students), which is atypical in this research area. Moreover, the intervention was carried out with a normal diet, reducing the intake of polyphenol-rich food for only a short period. The limitations of the study design are the small size of the sample, limited to a male population, the non-randomized design, and the lack of baseline measures. However, as the UD was the normal diet of the volunteers, it was not considered necessary to take baseline measures into account (data not shown).

## 5. Conclusions

These results highlight that adopting a diet low in polyphenols for a period as short as two weeks can alter the total plasma NO concentration in healthy young men. An increasing imbalance between TXA<sub>2</sub> and PGI<sub>2</sub> was also observed, although the changes in both eicosanoids did not reach significance. Thus, this study confirms the health protective role of polyphenol-rich food and suggests that avoiding

or reducing the consumption of food rich in these compounds has a negative effect on vascular biomarkers, even after a short period and in healthy subjects.

**Supplementary Materials:** The following is available online at <http://www.mdpi.com/2072-6643/10/11/1766/s1>, Table S1: Recommendations to follow a low antioxidant diet (LAD).

**Author Contributions:** S.H.-B., J.F.R.d.A., P.Q.-R., A.T.-R. and R.M.L.-R. designed the study. S.H.-B. conducted the research. P.Q.-R. collaborated in the processing of the data. S.P.-F. performed the statistical analysis. S.H.-B. wrote the manuscript. R.M.L.-R. was the main responsible of the project and final content. All participants reviewed and approved the paper.

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**Conflicts of Interest:** Lamuela-Raventós reports receiving lecture fees from Cerveceros de España; and receiving lecture fees and travel support from Adventia. Nevertheless, these foundations were not involved in the study design, the collection, analysis and interpretation of data, the writing of the manuscript or the decision to submit the manuscript for publication. The other authors declare no conflict of interest.

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**Tabla 3.** Recomendaciones para el seguimiento de una dieta baja en antioxidantes.

Restringidos	Moderados	Permitidos
<b>ALIMENTOS Y ADITIVOS</b>		
Cereales integrales y maíz	Hortalizas: ajo, cebolla, nabo, berenjena con piel, alcachofas, lechuga iceberg	Cereales refinados (arroz blanco, pasta, etc)
Queso curado	Soja y derivados (por ejemplo, el tofu)	Carne
Vegetales de hoja verde (espinacas, acelgas, berros, etc)	Habas	Pescado
Otras hortalizas: zanahorias, tomate, brócoli, col, pimiento, puerro, calabaza, espárragos, judías verdes, boniato	Frutas: piña, aguacate, limón, kiwi, banana	Productos lácteos desnatados
Frutas: naranjas, mandarinas, pomelo, uvas, frutos rojos (fresas, arándanos, moras, frambuesas, etc), granadas, ciruelas, papaya, melón, persimón, melocotón	Aceite de oliva	Clara de huevo
Aceitunas		Hortalizas: berenjena sin piel, apio, calabacín, coliflor, rábano, patata, pepino
Guisantes		Setas
Frutos secos (nueces, almendras, etc)		Frutas: manzana sin piel, peras sin piel, higos, piña el almíbar
Hierbas aromáticas (perejil, cilantro, orégano, etc)		Legumbres: judías blancas, garbanzos, lentejas
Espicias (cúrcuma, pimentón, etc)		
Mostaza		
Algas		
Cacao		
<b>BEBIDAS</b>		
Té e infusiones	Café (máximo uno al día)	Agua
Cerveza	Bebidas destiladas	Gaseosa
Vino, cava o sidra		Refrescos
Bebidas de cacao		Caldos de pollo o pescado (sin vegetales)
Zumos de fruta		
Bebidas vegetales (bebida de avena, de almendras, etc)		

El consumo de hortalizas y frutas se restringió a 2 raciones al día (~160 g/día), muy por debajo de las recomendaciones dietéticas que indican un consumo de 5 raciones al día de hortalizas y frutas combinadas (400 g/ día).



## 4.2. Publicación 3: El efecto agudo de una dosis única de *sofrito* sobre los biomarcadores de inflamación en hombres sanos.

Artículo titulado “Acute Effect of a Single Dose of Tomato *Sofrito* on Plasmatic Inflammatory Biomarkers in Healthy Men”. Publicado en la revista científica “*Nutrients*” (Índice de impacto: 4,171 (2018); Q1).

El *sofrito* es una salsa típica de la dieta mediterránea que contiene tomate como ingrediente principal. Se obtiene a través del procesamiento térmico junto con otros componentes: aceite de oliva y cebolla (opcionalmente ajo o puerro). La suma de estos factores (procesamiento térmico, matriz lipídica y sinergias) sugiere que los compuestos bioactivos liberados desde el *sofrito* son más biodisponibles y consecuentemente tienen efectos beneficiosos en la salud. Por lo tanto, en este trabajo nos planteamos que el consumo de *sofrito* podría prevenir las ENT evitando la inflamación sistémica de bajo grado en personas sanas. En el estudio se incluyeron 22 hombre adultos que consumieron una única dosis de *sofrito* de 240 g/70 kg después de tres días sin ingerir tomate ni derivados y una dieta baja en alimentos ricos en antioxidantes el día previo y durante el estudio. A las 24 horas de la intervención, se observaron diferencias significativas en la concentración de todos los carotenoides analizados y de algunos marcadores pro-inflamatorios. A pesar de que la TPE fue significativa a las 5 horas de la ingesta, el aumento máximo se encontró en la orina recogida entre las 12 y las 24 horas después de la intervención. En cuanto a los parámetros de inflamación analizados, la CRP y el TNF- $\alpha$  disminuyeron después de la intervención ( $p = 0,010$  y  $0,011$ , respectivamente), sin embargo, no se encontraron diferencias significativas en las citoquinas pro-inflamatorias (IL-6 e IL-1 $\beta$ ). La concentración plasmática de TNF- $\alpha$  se correlacionó inversamente con la TPE y con la concentración de  $\beta$ -caroteno en plasma ( $r = 0,42$ ,  $p = 0,048$  y  $r = 0,41$ ,  $p = 0,050$ , respectivamente). Estos resultados indican que los efectos beneficiosos en la salud de los productos del tomate no son atribuidos solamente al licopeno, sino también a otros fitoquímicos como los polifenoles y el  $\beta$ -caroteno.





Article

## Acute Effect of a Single Dose of Tomato *Sofrito* on Plasmatic Inflammatory Biomarkers in Healthy Men

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**Abstract:** *Sofrito* is a Mediterranean tomato-based sauce that typically also contains olive oil, onion, and garlic. The preparation of *sofrito* modifies the bioactive compounds (carotenoids and polyphenols) in the ingredients to more bioavailable forms, promoting *cis*-lycopene formation and polyphenol bioaccessibility. To evaluate the health benefits of this cooking technique, the effect of consuming an acute dose of *sofrito* on the inflammatory status was studied. In a clinical trial, 22 healthy male subjects consumed a single dose of *sofrito* (240 g/70 kg) after three days without ingesting any tomato products and following a low-antioxidant diet the day before the intervention. Plasma carotenoids and total polyphenol excretion (TPE) were evaluated, as well as the inflammatory biomarkers C-reactive protein (CRP), interleukin-6 (IL-6), interleukin 1 $\beta$  (IL-1 $\beta$ ) and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ). After the *sofrito* intake, a significant decrease in CRP ( $p = 0.010$ ) and TNF- $\alpha$  ( $p = 0.011$ ) was observed, but only TNF- $\alpha$  was inversely correlated with an increase in TPE and plasma  $\beta$ -carotene (not the major carotenoid, lycopene). The positive health effects of this tomato-based product may be attributed not only to lycopene, but to the bioactive compounds of all the ingredients.

**Keywords:** carotenoids; polyphenols; TNF- $\alpha$ ; CRP; lycopene;  $\beta$ -carotene; bioavailability; Mediterranean; onion; extra virgin olive oil

### 1. Introduction

*Sofrito* is a sauce commonly used to prepare dishes in Mediterranean cuisine. It is based on tomato but also contains other ingredients, typically olive oil, onion, and garlic. The regular consumption of this sauce is included in a validated 14-item questionnaire to evaluate adherence to the Mediterranean diet [1,2].

Numerous studies have provided evidence for the protective role of tomato-based products and their bioactive compounds against the development of cardiovascular diseases and cancer [3,4], which is partly attributed to positive effects on inflammatory biomarkers [5–7].

The polyphenol and carotenoid profile of *sofrito* varies according to its composition [8], but as tomato is the principle ingredient, the major carotenoids are lycopene and  $\beta$ -carotene [8]. Carotenoids in food are mainly all-*trans* isomers, whereas *cis*-isomers predominate in the human organism [9]. Factors such as cooking practices and the food matrix promote the isomerization of carotenoids and their bioavailability [9–11], the latter being increased by the presence of lipids [12–14]. Our research group recently reported an enhanced formation of *cis*-lycopene in *sofrito* associated with the concentration of onion and the cooking time [15]. The total polyphenol content of tomatoes could be increased by processing [16], and the bioaccessibility of tomato polyphenols is enhanced by processing and oil addition [17–19]. Thus, due to its phytochemical content, *sofrito* seems to be a health-promoting component of the Mediterranean diet.

Although the impact of tomato products on health has been mainly associated with lycopene [4,20,21], the other bioactive compounds present in *sofrito* could also be implicated [22,23]. Previous studies have reported inhibitory effects of dietary phytochemicals on nuclear factor kappa-light-chain-enhancer of activated B cells (NF- $\kappa$ B) activation in macrophages, resulting in a decrease in pro-inflammatory cytokines and chemokines like interleukin (IL)-6, IL-1 $\beta$ , and tumor necrosis factor (TNF- $\alpha$ ) [24,25]. As these molecules stimulate the production of C-reactive protein (CRP) in the liver, which activates NF- $\kappa$ B [26], their reduction could diminish the CRP level. In the current work, it was hypothesized that phytochemical compounds present in *sofrito* could improve the baseline inflammation level. Thus, the aim of this study was to evaluate the effect of a single dose of 240 g/70 kg of *sofrito* on the regulation of inflammatory biomarkers in healthy humans and to identify the biomarkers responsible for these changes.

## 2. Materials and Methods

### 2.1. *Sofrito* Samples

*Sofrito* samples were supplied by Gallina Blanca (GB Foods, Spain) and consisted of a mix of tomato (50%), onion (37%), extra virgin olive oil (12%), and salt. Every sample was packed in a glass jar that contained 350 g of the *sofrito*. The nutritional and phytochemical composition of *sofrito* are provided in Tables S1 and S2.

### 2.2. Participants

The study was carried out with twenty-two healthy male volunteers aged between 18 and 32. All participants provided written informed consent in advance. Only men were enrolled in the trial to avoid effects related to hormonal fluctuations during the menstrual cycle [27–29]. Exclusion criteria were chronic illness or homeostatic disorder, history of cardiovascular diseases, hypertension or dyslipidemia, toxic habits (such as use of tobacco, alcohol, and drugs), and tomato or onion allergy or intolerance.

### 2.3. Intervention

In this open, uncontrolled and acute nutritional study, all participants ingested a single portion of *sofrito* (240 g/70 kg body weight) in a state of fasting. Before the intervention, volunteers avoided eating tomatoes and their by-products for three days. One day before the intervention, they also followed a low antioxidant diet, which was continued until the last blood sample was drawn (24 hours after consumption of *sofrito*). The details of the diet are presented in Supplementary Material Table S3.

The study was run in the Department of Nutrition, Food Sciences and Gastronomy of the Food and Nutrition Torribera Campus, University of Barcelona (Spain), according to the principles of the Declaration of Helsinki. The protocol was approved by the Ethics Committee of Clinical

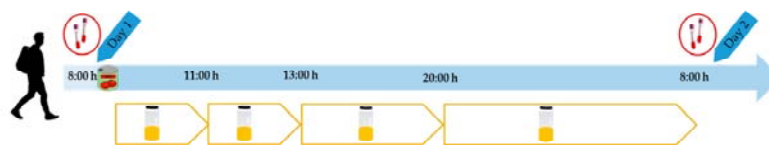
Investigation of the University of Barcelona (Barcelona, Spain). The clinical trial was registered and given the International Standard Randomized Controlled Trial Number (<http://www.isrctn.com/>) of ISRCTN17867378.

#### 2.4. Dietary and Physical Activity Assessments

In order to complete the dietary register, subjects were asked to fill out a three-day food recall on the day of the intervention. These were analyzed using PCN Pro software (Programa de Càlcul Nutricional Professional, Santa Coloma de Gramenet, Barcelona). Physical activity was measured by the validated Spanish version of the Minnesota Leisure-Time Physical Activity Questionnaire [30].

#### 2.5. Extraction of Biological Samples

Fasting blood and urine samples were drawn before *sofrito* consumption (baseline extraction) and up to 24 hours afterwards (Figure 1). Blood samples were collected via venipuncture in the arm through EDTA tubes, and plasma was separated after centrifugation at 1902 g for 15 min at 4 °C. Plasma and urine were aliquoted and stored at −80 °C until the day of analysis.



**Figure 1.** Timeline of sample collection before and after intake of *sofrito*. On the left, baseline extraction of blood and urine. On the right, sample drawn after *sofrito* consumption: collection of blood at 24 h and cumulative urine at 0–3, 3–5, 5–12, and 12–24 h.

#### 2.6. Clinical and Biochemical Evaluations

The diastolic and systolic blood pressure (DBP and SBP, respectively) and heart rate (HR) were measured in triplicate by a blood pressure monitor before and after the intake of a single dose of *sofrito*.

Biochemistry parameters in plasma were evaluated in an external laboratory (mdb.lab Durán Bellido, Barcelona). High density lipoprotein (HDL), low density lipoprotein (LDL), total cholesterol and triglycerides were analyzed by an enzymatic method. Urea and uric acid were analyzed by enzymatic and enzymatic/chromogen methods, respectively. Creatinine was determined by reaction kinetics of the Jaffe method (as modified by Larsen). Total proteins and albumin were measured by the endpoint biuret reaction and bromocresol green methods, respectively.

#### 2.7. Analysis of Total Polyphenol Excretion in Urine

After a solid phase extraction (SPE) using a 96-well plate cartridge (Oasis MAX), total polyphenol excretion (TPE) analysis was performed in 2 mL of diluted (1:1) and acidified urine samples by the Folin–Ciocalteu method [31]. The spectrophotometry analysis was carried out using a Thermo Scientific Multiskan@Spectrum (Thermo Fisher Scientific, Vantaa, Finland, ref. 15019000) at a wavelength of 765 nm. The results are expressed as mg of gallic acid equivalent/L of urine (GAE/L). A cumulative urinary excretion curve for total polyphenols was calculated from 0 to 24 h.

#### 2.8. Quantitative Analysis of Carotenoids in Plasma

Carotenoids were extracted by liquid–liquid extraction from plasma samples collected at 0 h and 24 h [32]. Chromatographic analysis of carotenoids was performed by HPLC-UV-DAD, using an HP 1100 HPLC system (Hewlett-105 Packard, Waldbronn, DE) containing a quaternary pump coupled to a DAD G1315B. The separation was carried out with Milli-Q water, methanol (MeOH) and methyl-tert-butyl ether (MTBE) (Panreac Quimica S.A., Barcelona, Spain), according to a procedure



previously validated in our group [32]. A Waters RP column YMC Carotenoid S-5  $\mu\text{m}$  (250 mm  $\times$  4.6 mm) and a precolumn YMC Guard Cartridge Carotenoid S-5  $\mu\text{m}$  (20 mm  $\times$  4.0 mm) were used.

Zeaxanthin (Extrasynthese, Genay, France), lutein, cryptoxanthin,  $\alpha$ -carotene,  $\beta$ -carotene 9- and 13-*cis*- $\beta$ -carotene (Sigma-Aldrich, St. Louis, MO, USA), lycopene (Fluka, Bucks, Switzerland), and 5-*cis*-lycopene (CaroteNature GmbH, Münsingen, Switzerland) were used as standards. These were pooled and prepared in synthetic human plasma (Sigma-Aldrich, St. Louis, MO, USA).

The sensitivity of each analyte was 0.703  $\mu\text{mol/L}$  (lutein), 0.352  $\mu\text{mol/L}$  (zeaxanthin), 0.362  $\mu\text{mol/L}$  (cryptoxanthin), 0.480  $\mu\text{mol/L}$  (*trans*- $\beta$ -apo-8'-carotenal), 0.745  $\mu\text{mol/L}$  (13-*cis*- $\beta$ -carotene), 0.373  $\mu\text{mol/L}$  (9-*cis*- $\beta$ -carotene and *trans*- $\beta$ -carotene), and 0.186  $\mu\text{mol/L}$  (*trans* and *cis*-lycopenes) [32].

### 2.9. Determination of Plasmatic Inflammatory Biomarkers

Plasmatic C-reactive protein (CRP) was measured by an immunoturbidimetric method from external services (mdb.lab Durán Bellido) at baseline and 24 h after consumption of *sofrito*.

The concentrations of interleukin-6 (IL-6), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin 1 $\beta$  (IL-1 $\beta$ ) were assayed in plasma using the Immunoassay Kit (R&D Systems Inc., Minneapolis, USA, refs. HS600B, HSTA00E, HSLB00D). The sensitivity of each analyte was 0.110 pg/mL, 0.049 pg/mL, and 0.063 pg/mL, respectively. Plasma samples were assayed in duplicate.

### 2.10. Statistical Analysis

Normality of distribution was assessed by a Shapiro–Wilk test. In order to compare baseline and post-intervention values, a linear regression analysis was used for the normal variables (SDP and DBP, HR, HDL, uric acid, albumin and TNF- $\alpha$ ). Non-normal variables were assessed by a non-parametric Wilcoxon signed-rank test. The log-transformed TPE variable was analyzed by a Bonferroni post hoc test to compare the excretion of total polyphenols at the different time points. Statistical analyses were performed with SPSS Version 23.0 for Windows (SPSS Inc., Chicago, IL, USA).

The correlation analysis was carried out using a correlation matrix that considers repeated measures [33] with software R, version 3.4.2. The Pearson coefficient ( $r$ ) was calculated. Significant correlations ( $p < 0.05$ ) are shown in the results.

## 3. Results

### 3.1. Characteristics of Participants

Table 1 provides anthropometric measurements of the participants, as well as the degree of adherence to the Mediterranean diet, level of physical activity and nutrient intake at the study baseline.

**Table 1.** Anthropometric parameters of subjects, physical activity in leisure time according to the Minnesota questionnaire, and the mean nutrient intake from a 3-day food recall.

Characteristics	
Age (years)	23.64 $\pm$ 0.86
BMI (kg/m <sup>2</sup> )	24.91 $\pm$ 0.79
WHR	0.84 $\pm$ 0.01
MedDiet adherence (score) <sup>1</sup>	8.5 $\pm$ 0.4
Physical activity in leisure time (METs/d)	746 $\pm$ 71
Energy (kcal/day)	2393 $\pm$ 129
Total fats (g/day)	106 $\pm$ 9
Saturated fats (g/day)	32 $\pm$ 3
Monounsaturated (g/day)	47 $\pm$ 4
Polyunsaturated (g/day)	19 $\pm$ 2
Cholesterol (mg/day)	301 $\pm$ 30
Carbohydrate (g/day)	256 $\pm$ 15
Protein (g/day)	100 $\pm$ 6
Fiber (g/day)	30 $\pm$ 2

Data are mean  $\pm$  standard error of the mean (SEM). BMI: body mass index, WHR: waist–hip ratio, MedDiet: Mediterranean diet, METs/d: metabolic equivalent of task per day. <sup>1</sup> The score categories of adherence to the MedDiet are high ( $\geq 10$ ), moderate (6–9), and low ( $\leq 5$ ).

### 3.2. Clinical Measures

Clinical measures are shown in Table 2. Systolic blood pressure did not change after consumption of *sofrito* compared to baseline, whereas diastolic blood pressure decreased ( $p = 0.006$ ). The total cholesterol was lower after the intervention, mainly due to a reduction of HDL ( $p = 0.005$  and  $0.015$ ), although significant changes in LDL and triglycerides were not observed. Urea, total proteins, and albumin decreased significantly ( $p = 0.023$ ,  $0.011$ , and  $0.015$ ).

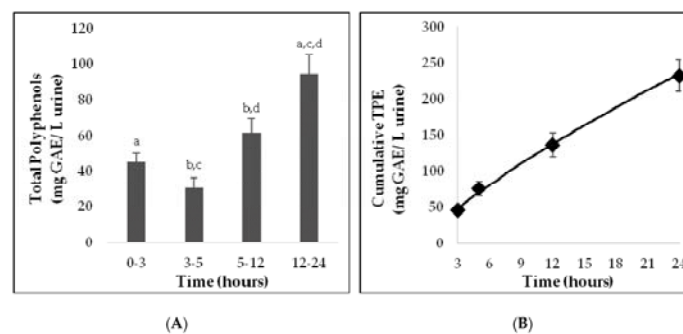
**Table 2.** Clinical parameters of all participants in the study.

Measures	Baseline	ACS	P
DBP (mmHg) <sup>#</sup>	76 ± 2	71 ± 2	0.006 *
SBP (mmHg) <sup>#</sup>	123 ± 2	124 ± 2	0.550
HR (bpm) <sup>#</sup>	66 ± 21	62 ± 2	0.061
Total cholesterol (mmol/L) <sup>#</sup>	3.83 ± 0.12	3.71 ± 0.12	0.005 *
HDL (mmol/L)	1.37 ± 0.06	1.32 ± 0.06	0.015 *
LDL (mmol/L) <sup>#</sup>	2.04 ± 0.11	1.98 ± 0.11	0.130
Triglycerides (mmol/L) <sup>#</sup>	0.94 ± 0.08	0.89 ± 0.06	0.531
Urea (mmol/L)	5.56 ± 0.30	5.08 ± 0.28	0.023 *
Creatinine (μmol/L)	76 ± 2	74 ± 1	0.157
Uric acid (μmol/L) <sup>#</sup>	319 ± 11	319 ± 10	1.000
Total proteins (g/L) <sup>#</sup>	73 ± 0.6	71 ± 0.6	0.011 *
Albumin (g/L) <sup>#</sup>	47 ± 0.5	46 ± 0.5	0.015 *

Data are mean ± SEM. ACS: after consumption of *sofrito* (at 24 h). DBP: diastolic blood pressure. SBP: systolic blood pressure. HR: heart rate. \*  $p$ -value < 0.05. <sup>#</sup> Data analyzed by linear regression. The remaining data were analyzed by the Wilcoxon test.

### 3.3. Phenolic Excretion in Urine

As a biomarker of polyphenol intake from the *sofrito*, the urinary excretion of total polyphenols from baseline until 24 hours after consumption was analyzed (Figure 2A). The TPE increased from baseline to 5 h after intake, and even more so from 12 to 24 h ( $p = 0.001$ ), as illustrated by the cumulative curve in Figure 2B.



**Figure 2.** (A) Concentration of total polyphenols in the cumulative urine after consumption of a single serving of *sofrito*. (B) Cumulative urinary excretion curve of total polyphenols. Urinary total polyphenol excretion is shown: 0–3 (3 h), 0–5 (5 h), 0–12 (12 h), and 0–24 (24 h). The same letters (a–d) refer to statistically significant differences as follows: a:  $p = 0.001$ ; b:  $p = 0.003$ ; c:  $p < 0.001$ , and d:  $p = 0.048$ . Data are expressed as mean + SEM.

### 3.4. Quantification of Carotenoids in Plasma

Levels of carotenoids in plasma were evaluated before and after *sofrito* consumption (Table 3). All target carotenoids increased after the intervention, the predominant ones being 5-*cis*-lycopene,  $\beta$ -carotene and *trans*-lycopene. Total lycopene increased from 43% to 59% of total carotenoids. Xanthophylls, lutein, and cryptoxanthin increased significantly ( $p = 0.001$  and  $0.012$ ) and zeaxanthin was detected in plasma after *sofrito* consumption but not at baseline. In all participants, a high concentration of carotenes was found at 24 h of *sofrito* intake. Notably, the changes in *trans*- $\beta$ -carotene were highly significant ( $p < 0.001$ ), and the 9-*cis*-isoform and 13-*cis*- $\beta$ -carotene were observed after the intervention but not at baseline. Other carotenes that increased were *trans*-lycopene ( $p < 0.001$ ) and 13- and 5-*cis*-lycopene ( $p = 0.005$  and  $<0.001$ ). The concentration of 9-*cis*-lycopene was detected after the consumption of *sofrito* but not at baseline. In addition, the total *cis*-lycopene was significantly higher ( $p < 0.001$ ), as was the total lycopene ( $p < 0.001$ ). In summary, the increase in carotenoid concentration was statistically significant after the consumption of *sofrito*, total carotenoids being three-fold higher compared to the baseline level ( $p < 0.001$ ).

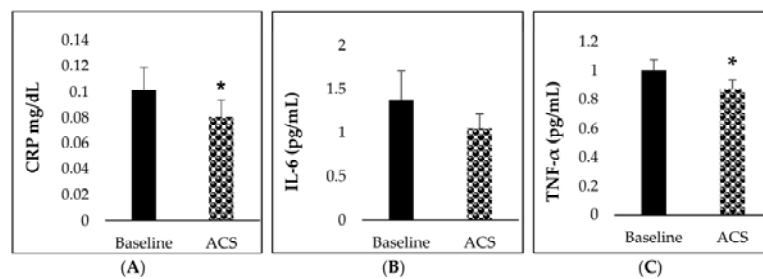
**Table 3.** Concentration of carotenoids in plasma at baseline and 24 h after consumption of *sofrito*.

Analyte	Baseline	ACS	<i>p</i>
Lutein ( $\mu\text{mol/L}$ )	1.12 $\pm$ 0.02	1.69 $\pm$ 0.27	0.001 *
Zeaxanthin ( $\mu\text{mol/L}$ )	n.d.	0.65 $\pm$ 0.19	-
Cryptoxanthin ( $\mu\text{mol/L}$ )	1.08 $\pm$ 0.12	1.34 $\pm$ 0.15	0.012 *
<i>trans</i> - $\beta$ -carotene ( $\mu\text{mol/L}$ )	3.12 $\pm$ 0.58	6.64 $\pm$ 0.88	<0.001 *
13- <i>cis</i> - $\beta$ -carotene ( $\mu\text{mol/L}$ )	n.d.	0.75 $\pm$ 0.15	-
9- <i>cis</i> - $\beta$ -carotene ( $\mu\text{mol/L}$ )	n.d.	0.95 $\pm$ 0.22	-
<i>trans</i> -lycopene ( $\mu\text{mol/L}$ )	2.15 $\pm$ 0.30	6.33 $\pm$ 1.53	<0.001 *
5- <i>cis</i> -lycopene ( $\mu\text{mol/L}$ )	1.87 $\pm$ 0.28	7.93 $\pm$ 2.73	<0.001 *
13- <i>cis</i> -lycopene ( $\mu\text{mol/L}$ )	0.21 $\pm$ 0.11	2.08 $\pm$ 0.78	0.005 *
9- <i>cis</i> -lycopene ( $\mu\text{mol/L}$ )	n.d.	0.90 $\pm$ 0.58	-
Total <i>cis</i> - $\beta$ -carotene ( $\mu\text{mol/L}$ )	n.d.	1.92 $\pm$ 0.33	-
Total $\beta$ -carotene	3.45 $\pm$ 0.67	8.56 $\pm$ 1.13	<0.001 *
Total <i>cis</i> -lycopene isomers ( $\mu\text{mol/L}$ )	2.09 $\pm$ 0.32	10.91 $\pm$ 4.00	<0.001 *
Total lycopene ( $\mu\text{mol/L}$ )	4.24 $\pm$ 0.59	17.23 $\pm$ 5.50	<0.001 *
Total carotenoids ( $\mu\text{mol/L}$ )	9.97 $\pm$ 0.96	29.25 $\pm$ 6.45	<0.001 *

Data are mean  $\pm$  SEM. ACS: after consumption of *sofrito* (at 24 h). \* Significant differences when *p*-value < 0.05. Data were analyzed by the Wilcoxon test. n.d.: not detectable.

### 3.5. Inflammatory Biomarkers

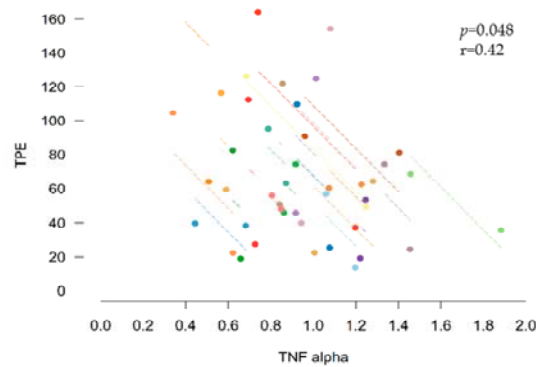
After the consumption of *sofrito*, all the inflammatory biomarkers studied decreased compared to the baseline. However, the changes were only significant for CRP ( $p = 0.010$ ) and TNF- $\alpha$  ( $p = 0.011$ ) (Figure 3A and 3C), but not IL-6 (Figure 3B). In no case was IL-1 $\beta$  detected (data not shown).



**Figure 3.** Concentration of inflammatory biomarkers at baseline and after consumption of *sofrito*. (A) CRP, (B) IL-6 and (C) TNF- $\alpha$ . Data are mean  $\pm$  SEM, \*  $p$ -value  $<$  0.05. ACS: After consumption of *sofrito* (at 24 h). CRP: C-reactive protein; IL: interleukin; TNF- $\alpha$ : tumor necrosis factor alpha.

### 3.6. Correlations between Inflammatory Biomarkers and Bioactive Compounds

In order to evaluate the role of TPE in the decrease of inflammatory biomarkers, a correlation study was performed. The reduction of TNF- $\alpha$ , but not IL-6 or CRP, was inversely correlated with the excretion of total polyphenols. Figure 4 shows the negative correlation between TNF- $\alpha$  and urinary TPE ( $r = -0.42$ ,  $p = 0.048$ ).



**Figure 4.** Correlation between tumor necrosis factor alpha (TNF- $\alpha$ ) and total polyphenol excretion (TPE).

In addition, an inverse correlation was observed between the concentration of  $\beta$ -carotene in plasma and TNF- $\alpha$ , but not CRP and IL-6 ( $r = -0.41$ ,  $p = 0.05$ ) (Figure 5).

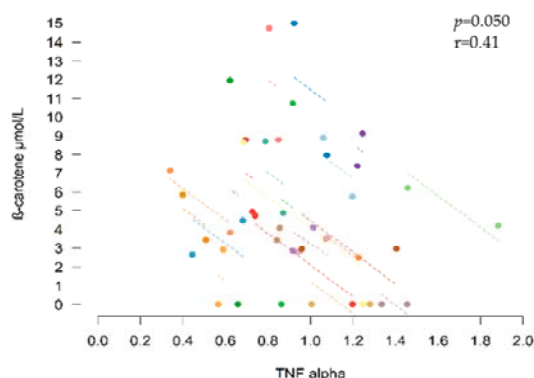


Figure 5. Correlation between TNF- $\alpha$  and  $\beta$ -carotene.

#### 4. Discussion

In this clinical trial, healthy individuals showed higher carotenoid levels in plasma 24 h after the consumption of *sofrito*. Arranz et al. reported higher plasma concentrations of *cis*-isomers of lycopene but not of other *cis*-carotenoids after the ingestion of tomato sauce without onion and garlic [12]. In the current study, both *cis*- and *trans*-isoforms of  $\beta$ -carotene and lycopene increased after *sofrito* intake. Not detected at baseline, 13 and 9-*cis*-isomer of  $\beta$ -carotene and 9-*cis*-lycopene were quantified after the intervention.

TPE levels had increased significantly at 12–24 h after *sofrito* intake compared to the baseline. In a previous study by our group, also in healthy volunteers, changes in polyphenol excretion were noted earlier, at 6–12 h after the consumption of a tomato-based product without onion [19]. Thus, the presence of polyphenols from onion in the *sofrito* could have a delaying effect on the urinary TPE [34].

It was initially hypothesized that phytochemicals in *sofrito* could affect the regulation of systemic pro-inflammatory markers through the inhibition of NF- $\kappa$ B, triggering a decrease in cytokines, chemokines and CRP [24–26]. After the *sofrito* intake, a significant reduction of CRP and TNF- $\alpha$  biomarkers ( $p = 0.010$  and  $0.011$ ) in plasma was observed, but not of IL-6. The decrease in TNF- $\alpha$  was inversely correlated with TPE and the level of  $\beta$ -carotene.

In a previous study, both CRP and TNF- $\alpha$  decreased significantly in healthy volunteers after the consumption of tomato juice twice daily for 2 weeks [5]. In obese and overweight participants who consumed tomato juice for 20 days, the concentration of TNF- $\alpha$  decreased, and in obese subjects the level of IL-6 was significantly reduced, but no changes in CRP were observed in either case [35]. In contrast, in a parallel study, a significant decrease in CRP was observed in women (but not men) suffering from heart failure who consumed tomato juice for a month [36]. Gender-related differences in CRP have been previously described [37,38]. In a crossover trial, tomato paste attenuated the increase in IL-6 after a high-fat meal in healthy volunteers [6]. However, Valderas-Martinez et al. reported that healthy subjects showed a significant reduction in IL-6 only after consuming tomato sauce containing olive oil (a single dose), but not raw tomato or tomato sauce without olive oil [7]. A study in schoolchildren found that plasma  $\beta$ -carotene levels were inversely related to IL-6 and CRP, particularly the former, but not to TNF- $\alpha$  [39]. In patients with cardiovascular diseases,  $\beta$ -carotene was significantly correlated with IL-6 [40] and CRP [22]. In contrast, other authors did not find changes in these inflammatory biomarkers after interventions with tomato products or a high-tomato diet [41–46].

In summary, an acute effect on inflammatory biomarkers was observed at 24 h after the administration of a single dose of 240 g/70 kg of *sofrito*. Improvements in inflammatory biomarkers

after the ingestion of tomato products have been attributed mainly to lycopene. However, the results reported here indicate that when the product contains other ingredients, such as onion and virgin olive oil, different bioactive compounds such as polyphenols and carotenoids such as  $\beta$ -carotene may be responsible for the anti-inflammatory effects.

A strong point of this work is that relatively few acute studies have been carried out on the impact of diet on inflammatory biomarkers in healthy humans. The significant reduction in TNF- $\alpha$  and CRP levels after the ingestion of a single dose of tomato-based *sofrito*, rich in bioactive compounds, suggests this Mediterranean sauce may contribute positively to the regulation of the inflammatory status, even in individuals with optimal health.

The main limitation of the study is the lack of controls. Nevertheless, the analyses were carried out 24 h after the intervention in the same conditions to avoid changes due to circadian rhythms, and the volunteers continued a low antioxidant diet after the consumption of *sofrito*.

## 5. Conclusions

The addition of *sofrito* to dishes and cuisine could contribute to the maintenance of health. An improvement in inflammatory biomarkers, particularly CRP and TNF- $\alpha$ , was observed after a single dose of *sofrito*, even though the subjects were healthy. Beneficial effects of *sofrito* intake could be partly attributed to the presence of bioactive compounds (polyphenols and carotenoids) from the ingredients. Although the health-protecting role of lycopene from tomato has been extensively studied, other carotenoids such as  $\beta$ -carotene (also found in large amounts in tomato by-products) seem to modulate the regulation of the inflammatory status.

**Supplementary Materials:** The following are available online at <http://www.mdpi.com/2072-6643/11/4/851/s1>. Table S1: Nutritional value of *sofrito*; Table S2: Comparison of phytochemical composition of tomato and *sofrito* samples; Table S3: Recommendations to follow a low antioxidant diet.

**Author Contributions:** S.H.-B., M.M.-H., J.F.R.d.A., P.Q.-R., A.V.-Q., and R.M.L.-R. designed the study. S.H.B., M.M.H., J.F.R.d.A., and A.V.Q. contributed in the methodology of analysis. S.H.B., P.Q.-R., and S.P.-F. carried out the data analysis. S.H.B. wrote the manuscript. All participants reviewed and approved the paper. R.M.L.-R. was the main responsible of the project and final content.

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**Conflicts of Interest:** Dra. R.M.L.-R. reports receiving lecture fees from Cerveceros de España, and receiving lecture fees and travel support from Adventia. Nevertheless, these foundations were not involved in the study design, the collection, analysis and interpretation of data, the writing of the manuscript, or the decision to submit the manuscript for publication. The other authors declare no conflict of interest.

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### **4.3. Publicación 4: El compuesto fenólico 4-hidroxibenzoico aumenta después de la intervención con una dieta orgánica.**

Artículo titulado "Increase of 4-Hydroxybenzoic, a Bioactive Phenolic Compound, after an Organic Intervention Diet" Publicado en la revista científica "Antioxidants" (Índice de impacto: 4,520 (2018); Q1, D1).

El consumo de alimentos ecológicos ha aumentado en los últimos años debido, en gran parte, a que se piensa que son más nutritivos y saludables. Sin embargo, hay pocos estudios hasta la fecha que avalen esta premisa. A pesar de que se ha sugerido que los alimentos cultivados mediante métodos ecológicos tienen mayores concentraciones de fitoquímicos, los ensayos en humanos sobre los efectos de estos alimentos y sus compuestos bioactivos son muy escasos y no concluyentes. Con el objetivo de evaluar las diferencias nutricionales en el organismo después de consumir una dieta ecológica, se ha llevado a cabo la investigación resumida en la publicación presente. Diecinueve sujetos (10 mujeres y 9 hombres) sanos de entre 18 y 40 años fueron incluidos en un estudio piloto cruzado, aleatorizado y controlado. Cada individuo realizó dos intervenciones de un mes de duración: una dieta saludable con alimentos ecológicos (OD) y una dieta similar con alimentos cultivados mediante métodos convencionales (CD). Al inicio y al final de cada intervención se recogieron las muestras biológicas de los participantes en la que se evaluaron los parámetros biológicos, la concentración de minerales y metales pesados y los niveles de algunos compuestos bioactivos (ácidos fenólicos y carotenos). Un aumento significativo en la excreción del metabolito 4-hidroxibenzoico (4-HBA) fue observado después de la dieta ecológica con respecto a la convencional ( $205 \pm 123$  nmol versus  $70 \pm 35$  nmol,  $p = 0,028$ ). No se encontraron diferencias significativas en el resto de los parámetros analizados. La dieta ecológica podría aportar una mayor concentración de algunos compuestos fenólicos. Sin embargo, más estudios cuidadosamente diseñados deberían ser llevados a cabo para corroborar esta hipótesis y elucidar los mecanismos implicados.







Article

## Increase of 4-Hydroxybenzoic, a Bioactive Phenolic Compound, after an Organic Intervention Diet

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**Abstract:** Consumption of organic products is increasing yearly due to perceived health-promoting qualities. Several studies have shown higher amounts of phytochemicals such as polyphenols and carotenoids in foods produced by this type of agriculture than in conventional foods, but whether this increase has an impact on humans still needs to be assessed. A randomized, controlled and crossover study was carried out in nineteen healthy subjects aged 18–40 years, who all followed an organic and conventional healthy diet, both for a 4-week period. Analysis of biological samples revealed a significant increase on the excretion of 4-hydroxybenzoic acid (4-HBA), a phenolic metabolite with biological activity, after the organic intervention. However, no changes were observed in the other variables analyzed.

**Keywords:** healthy diet; phenolic acid; 4-HBA; crossover study; carotenes; microbiota metabolites; intervention; humans; metals

### 1. Introduction

Organic food consumption has been increasing yearly over the last decade due to growing public awareness of its environmental benefits and alleged healthy properties [1,2]. The general belief that organic produce is healthier due to a lower use of chemical agents, such as pesticides, fertilizers and antibiotics, [3] is supported by studies reporting lower concentrations of pesticide residues in individuals consuming organic food [4–8]. Differences in nutritional composition associated with the cropping system have also been found, but more studies are needed to draw conclusions [9]. Factors known to influence the nutritional composition of food include crop variety, geographical location, climatic conditions, soil type, season and state of maturity from harvest to storage. Organic food seems to have higher amounts of bioactive compounds such as polyphenols and carotenoids than conventionally produced food [10–16]. When exposed to a stressful environment, plants activate defense mechanisms. Accordingly, a lack of synthetic protectors (pesticides, chemical fertilizers, etc.) induces organic crops to produce phytochemicals. Phenolic acids represent one third of the phenol group in a diet, but also many of them are produced from dietary polyphenols through microbiota metabolism. Approximately 90% of polyphenols are not absorbed in the small intestine reaching the

colon, where they are transformed to other compounds such as the phenolic acids [17,18]. In addition, lower concentrations of cadmium have been observed in organic versus conventional cereals [19], as well as differences in the content of fatty acids and proteins [20–23]. Among foods of animal origin, total polyunsaturated fatty acid (PUFA) and n-3 PUFA concentrations are higher in organically rather than conventionally produced milk [21]. A similar profile has been observed in meat, although the evidence is weak [23].

The few studies to evaluate the effect of organic foods on human biochemical parameters and health have employed methodologies with some limitations and provide inconclusive results [9], so further intervention studies are needed to corroborate their possible beneficial effects. Consumers of organic produce are associated with having a higher quality diet, lower body mass index (BMI), greater physical activity [24,25] and a generally healthier and more holistic lifestyle [26,27]. Thus, the question is the following: Are the consumers of organic food healthier due to their lifestyle or also because their diet has a superior nutritional value?

The aim of this study was to evaluate the effect of an intervention with organic diet versus a conventional one on biological parameters, inorganic elements, bioactive compounds, and phenolic acids and carotenes in healthy subjects.

## 2. Materials and Methods

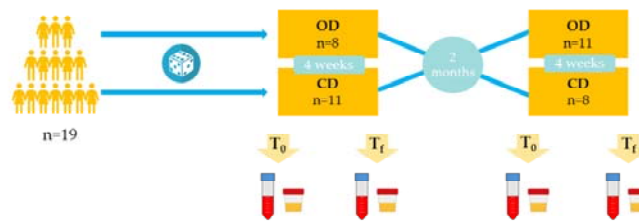
### 2.1. Study Subjects

Twenty-one healthy volunteers aged 18–40 years were included in the intervention, 19 of whom completed the study and two dropped out alleging personal reasons. Participants had previous interest in healthy diets and organic food, and they were recruited from the Food and Nutrition Torribera Campus of the University of Barcelona and surroundings. Exclusion criteria were history of cancer, cardiovascular diseases, hypertension and dyslipidemia, chronic illness or homeostatic disorder, as well as toxic habits such as tobacco and other drugs and an excessive alcohol intake.

After approval of the protocol by the Ethics Committee of Clinical Investigation of the University of Barcelona (Barcelona, Spain), the study was registered (ISRCTN29145931). Each participant signed an informed consent prior to the start, which was conducted according to the principles of the Declaration of Helsinki.

### 2.2. Study Design

An open, crossover, randomized and controlled study was carried out (Figure 1). Each volunteer consumed an organic diet (OD) and a conventional diet (CD), both for 4 weeks, and received dietary advice to support adherence. Organic products represented at least 80% of the OD and no organic foods were allowed in the CD. In both diets, subjects were encouraged to follow a healthy Mediterranean diet with a similar food pattern. Additionally, during the OD intervention participants were given weekly vouchers from Ecoveritas S.A., as well as products (oil, wine, snacks and canned vegetables) from other organic food companies to facilitate dietary compliance. At the end of each intervention, the absence of differential dietary patterns was checked. Interventions were separated by a washout period of two months. The study was run in the Department of Nutrition, Food Science and Gastronomy of the Food Science and Nutrition Torribera Campus of the University of Barcelona (Spain).



**Figure 1.** Study design. OD: Organic diet; CD: Conventional diet; T<sub>0</sub>: Initial time point (before interventions); T<sub>f</sub>: Final time point (after interventions).

### 2.3. Assessment of Diet and Physical Activity

Before the study, adherence to the Mediterranean diet and physical activity were measured through a 14-item questionnaire [28] and the validated Spanish version of the Minnesota Leisure-Time Physical Activity Questionnaire [29], respectively. Also, at baseline, participants were asked about the frequency of organic food and beverage intake. After each intervention, a 137-item semi-quantitative food frequency questionnaire was filled in with the help of the study staff to assess nutrient and food intake [30].

### 2.4. Anthropometric and Clinical Data Measurements

Body weight was measured using an electronic scale and height with a stadiometer. The BMI was calculated from body weight and height. Waist and hip circumferences were measured with a measuring tape accurate to 0.1 cm. The waist-hip ratio (WHR) was calculated from these parameters.

Diastolic and systolic blood pressure (DBP and SBP) and heart rate were measured in fasting conditions with an OMRON M6 monitor in triplicate at each visit.

### 2.5. Sample Collection

Fasting blood was collected before and after each intervention. Blood samples were collected from the arm via venipuncture using tubes containing ethylenediaminetetraacetic acid (EDTA). After centrifugation of blood samples at  $1902\times g$  for 15 min at 4 °C, plasma was obtained. In addition, 24 h urine was collected at each visit. Plasma and urine were stored at −80 °C.

### 2.6. Laboratory Evaluations

Biochemical analyses were performed by an external accredited laboratory (mdb.lad Durán Bellido) as follows. C-reactive protein (CRP) was assayed by an immunoturbidimetry method. The lipid parameters (high density lipoprotein (HDL), low density lipoprotein (LDL) and total cholesterol and triglycerides) were tested by an enzymatic method. Urea and uric acid were measured by enzymatic and enzymatic/chromogen methods, respectively, and creatinine by the Jaffe method (as modified by Larsen) [31]. The concentration of total proteins was quantified by a Biuret reaction to the final point and amount of albumin by a bromocresol green method.

### 2.7. Analysis of Inorganic Elements in Plasma

Plasma samples were digested with nitric acid (HNO<sub>3</sub>) (Instra, J.T. Baker) in Teflon reactors. After incubation at 90 °C overnight, Milli-Q water was added to the reactors. An aliquot was transferred into assay tubes and stored at 4 °C for the chromatographic analyses. The inorganic compounds (Inorganic ventures, Christiansburg, VA, USA) used as standards were the following: Iron (Fe), arsenic (As), copper (Cu), cadmium (Cd), uranium (U), lead (Pb), zinc (Zn), calcium (Ca), magnesium (Mg), potassium (K) and sodium (Na). Fe, As, Cu, Cd, U, Pb and Zn were analyzed by ICP-MS (NexIon

350D, Perkin Elmer, Waltham, MA, USA) and Ca, Mg, K, P and Na, by ICP-OES (Optima8300, Perkin, Waltham, MA, USA). The analyses were performed in the facilities of the CCIT (Centres Científics i Tecnològics) of the University of Barcelona.

#### 2.8. Extraction and Quantification of Phenolic Acids from Urine

Urinary phenolic compounds were extracted by solid phase extraction using a Waters Oasis HLB 96-well plate 30  $\mu\text{m}$  (30 mg; Waters Oasis, Milford, MA, USA) [32]. Chromatographic analysis of phenolic compounds was performed by ultra-high performance liquid chromatography tandem mass spectrometry (UHPLC-MS/MS), using an API 3000 triple-quadrupole mass spectrometer (Sciex, Framingham, MA, USA). The separation was carried out with Milli-Q water and acetonitrile (Panreac Quimica S.A., Barcelona, Spain) with 0.025% formic acid in both solvents (Scharlau Chemie S.A., Barcelona, Spain), according to a method validated by our group [32]. A Waters BEH C18 column 1.7  $\mu\text{m}$  (50 mm  $\times$  2.1 mm) and an Acquity UPLC BEH C18 VanGuard pre-column 1.7  $\mu\text{m}$  (2.1 mm  $\times$  2.0 mm) were used.

The pool of standards was prepared in synthetic urine and included 3-(4-hydroxyphenyl) propionic acid (3,4-HPPA), 4-hydroxybenzoic acid (4-HBA), 3,4-dihydroxyphenylacetic acid (3,4-DHPAA), 3-hydroxyphenylacetic acid (3-HPAA), dihydrocaffeic acid (DHCA), hippuric acid, homovanillic acid, caffeic acid (CA), m-coumaric acid (m-Cou), p-coumaric (p-Cou) and gallic acid (GA) (Sigma-Aldrich, St. Louis, MO, USA) and 4-hydroxyhippuric acid (4-HH) (Bachem Americas Inc, Torrance, CA, USA). Ethylgallate (Extrasynthese, Genay, France) was the internal standard.

#### 2.9. Extraction and Quantification of Carotenoids from Plasma

Carotenoids were extracted from plasma samples by liquid-liquid extraction [33]. Chromatographic analysis of carotenoids was performed by high performance liquid chromatography with ultraviolet diode-array detector (HPLC-UV-DAD), using an HP 1100 HPLC system (Hewlett-105 Packard, Waldbronn, Germany) containing a quaternary pump, coupled to a DAD G1315B. The separation was carried out with Milli-Q water, methanol and methyl-tert-butyl ether (Panreac Quimica S.A., Barcelona, Spain), according to a procedure previously validated by our group [33]. A Waters reversed-phase column YMC Carotenoid S-5  $\mu\text{m}$  (250 mm  $\times$  4.6 mm) and a precolumn YMC Guard Cartridge Carotenoid S-5  $\mu\text{m}$  (20 mm  $\times$  4.0 mm) were used.

The standards used were  $\alpha$ -carotene,  $\beta$ -carotene, and all-E-lycopene (Sigma-Aldrich, St. Louis, MO, USA) and 5-Z-licopene (CaroteNature GmbH, Ostermundigen, Switzerland). These were pooled and prepared in synthetic human plasma (Sigma-Aldrich, St. Louis, MO, USA).

#### 2.10. Statistical Analysis

Normality of distribution was assessed by a Shapiro-Wilk test. A non-parametric Wilcoxon signed-rank test was used for all statistical analysis due to the small sample size and the non-normality distribution. First, baseline measures were compared to corroborate similar pre-intervention conditions. As no significant differences between interventions at baseline were observed, the final analysis was performed with post-intervention measures ( $n = 19$ ). Baseline values of variables were calculated from the mean of 38 observations (2 measurements for each subject). Differences were considered statistically significant when  $p < 0.05$ . Statistical analysis was performed using SPSS Version 23.0 for Windows (SPSS Inc, Chicago, IL, USA).

### 3. Results

#### 3.1. Participant Characteristics

Table 1 shows the baseline characteristics of participants. Nineteen healthy subjects (9 males and 10 females) completed the study. Approximately three out of every four individuals were occasional consumers of organic products (foods and beverages). The mean age was 30 years and subjects were

physically active. The baseline adherence to the Mediterranean diet was high in 7 individuals ( $\geq 10$  points); moderate in 11 (6–9 points) and low in 1 ( $\leq 5$  points).

**Table 1.** Baseline characteristics of the participants ( $n = 38$ ).

Characteristics	
Males, $n$ (%)	9 (47)
Occasional intake of organic products, $n$ (%)	14 (74)
Age (years)	30 $\pm$ 1
Physical activity in leisure time (METS-min/week)	3814 $\pm$ 489
14-item MedDiet score (points)	9 $\pm$ 0.3
Weight (kg)	63 $\pm$ 2
BMI (kg/m <sup>2</sup> )	22.1 $\pm$ 0.4
Waist (cm)	76 $\pm$ 1
WHR	0.79 $\pm$ 0.01
DBP (mmHg)	75 $\pm$ 2
SBP (mmHg)	116 $\pm$ 2
Heart rate (bpm)	68 $\pm$ 2
CRP (mg/dL)	0.14 $\pm$ 0.03
HDL (mg/dL)	62 $\pm$ 3
LDL (mg/dL)	93 $\pm$ 5
Total cholesterol (mg/dL)	169 $\pm$ 6
Triglycerides (mg/dL)	69 $\pm$ 4
Urea (mg/dL)	29 $\pm$ 1
Creatinine (mg/dL)	0.80 $\pm$ 0.02
Uric acid (mg/dL)	4.60 $\pm$ 0.18
Total proteins (g/L)	72 $\pm$ 1
Albumin (g/L)	44 $\pm$ 0

Data are mean  $\pm$  SEM unless otherwise specified. BMI: body mass index, WHR: waist-hip ratio, DBP: diastolic blood pressure, SBP: systolic blood pressure, CRP: C-reactive protein, HDL: high density lipoprotein, LDL: low density lipoprotein.

Baseline anthropometric (weight, BMI, waist and WHR), clinical (DBP, SBP and heart rate) and biochemical (CRP, HDL, LDL, total cholesterol, triglycerides, urea, creatinine, uric acid, total protein and albumin) measurements are also given in Table 1. The baseline concentrations of inorganic elements and bioactive compounds (phenolic acids and carotenes) are available as Supplementary Material Tables S1, S2 and S3, respectively.

### 3.2. Mean Dietary Composition of Participants During the Interventions

Participants followed a similar dietary pattern in both interventions (Table 2), although the OD was lower in protein ( $p = 0.036$ ) and fish/seafood ( $p = 0.042$ ). The mean proportion of macronutrients was the same in both diets (57% carbohydrates, 24% fats and 19% proteins). A borderline  $p$  was obtained comparing dairy products and vegetables ( $p = 0.051$  and  $0.055$ ). However, the differences between both diets considering individual food were not significant (data not shown). In addition, a significantly lower amount of calcium and phosphorus was ingested in the OD.

**Table 2.** Nutrient and food intake of participants in both diets ( $n = 19$ ).

	OD	CD	<i>p</i>
Nutrient intake			
Energy (kcal/d)	1965 ± 203	2062 ± 204	0.070
Carbohydrates (g/d)	211 ± 21	220 ± 22	0.260
Total fat (g/d)	88 ± 9	92 ± 9	0.091
SFA (g/d)	22 ± 3	23 ± 3	0.064
MUFA (g/d)	45 ± 4	46 ± 4	0.136
PUFA (g/d)	12 ± 2	12 ± 1	0.136
Protein (g/d)	68 ± 9	72 ± 9	<b>0.036 *</b>
Ca (mg/d)	780 ± 111	847 ± 110	<b>0.024 *</b>
Mg (mg/d)	344 ± 37	353 ± 39	0.376
P (mg/d)	1352 ± 171	1433 ± 169	<b>0.018 *</b>
Fe (mg/d)	16 ± 1	16 ± 2	0.376
Food intake			
Dairy products (g/d)	192 ± 52	207 ± 50	0.051
Meat (g/d)	98 ± 20	102 ± 19	0.202
Eggs (g/d)	28 ± 3	31 ± 3	0.180
Fish and seafood (g/d)	56 ± 16	66 ± 16	<b>0.042 *</b>
Vegetables (g/d)	296 ± 32	366 ± 39	0.055
Fruits (g/d)	360 ± 68	377 ± 70	0.650
Nuts (g/d)	13 ± 5	12 ± 5	0.950
Legumes (g/d)	26 ± 5	26 ± 5	0.528
Cereals (g/d)	98 ± 11	98 ± 10	0.717
Oils (g/d)	40 ± 4	40 ± 4	0.317
Cocoa (g/d)	18 ± 5	21 ± 8	0.812
Coffee (g/d)	62 ± 16	59 ± 16	0.600
Tea (g/d)	22 ± 7	17 ± 7	0.106
Wine (g/d)	54 ± 23	62 ± 28	0.634

Data are mean ± SEM. \**p*-value < 0.05. SFA: Saturated fatty acid, MUFA: monounsaturated fatty acid, PUFA: polyunsaturated fatty acid.

### 3.3. Physiological Parameters of Participants After the Interventions

Table 3 shows anthropometric, clinical and biochemical data of the participants after following the OD and CD.

**Table 3.** Anthropometric, clinical and biochemical measurements after the interventions ( $n = 19$ ).

	OD	CD	<i>p</i>
Anthropometric measurements			
Weight (kg)	64 ± 2	63 ± 2	0.365
BMI	22.1 ± 0.6	22.2 ± 0.6	0.352
Waist (cm)	76 ± 1	76 ± 1	0.549
WHR	0.80 ± 0.01	0.79 ± 0.01	<b>0.822</b>
Clinical measurements			
DBP (mmHg)	79 ± 2	73 ± 2	0.074
SBP (mmHg)	119 ± 4	118 ± 3	0.979
Heart rate (bpm)	70 ± 3	66 ± 2	0.326
Biochemical measurements			
CRP (mg/dL)	0.17 ± 0.07	0.26 ± 0.11	0.438
HDL (mg/dL)	62 ± 4	60 ± 4	0.301
LDL (mg/dL)	92 ± 9	90 ± 7	0.653
Total cholesterol (mg/dL)	168 ± 9	164 ± 7	0.494
Triglycerides (mg/dL)	66 ± 4	68 ± 4	0.421
Urea (mg/dL)	29 ± 2	29 ± 2	0.913
Creatinine (mg/dL)	0.80 ± 0.03	0.79 ± 0.02	0.763
Uric acid (mg/dL)	4.55 ± 0.29	4.68 ± 0.26	0.456
Total proteins (g/L)	73 ± 1	71 ± 1	0.145
Albumin (g/L)	44 ± 1	43 ± 1	0.136

Data are mean ± SEM. BMI: body mass index, WHR: waist-hip ratio, DBP: diastolic blood pressure, SBP: systolic blood pressure, CRP: C-reactive protein, HDL: high density lipoprotein, LDL: low density lipoprotein.

### 3.4. Inorganic Elements in Plasma

No significant differences were observed in the plasmatic concentration of minerals and heavy metals between the two diets (Table 4).

**Table 4.** Inorganic elements in plasma after the interventions ( $n = 19$ ).

	OD	CD	<i>p</i>
Na (ppm)	2991 ± 20	2992 ± 19	0.445
K (ppm)	839 ± 14	844 ± 11	0.778
Ca (ppm)	88 ± 1	88 ± 1	0.717
Mg (ppm)	18 ± 0	18 ± 0	0.778
P (ppm)	104 ± 3	100 ± 3	0.136
Fe (ppb)	1252 ± 127	1339 ± 107	0.601
Zn (ppb)	778 ± 98	785 ± 46	0.376
Cu (ppb)	858 ± 64	856 ± 71	0.904
As (ppb)	4.35 ± 2.27	3.99 ± 0.95	0.221
Pb (ppb)	BLD	BLD	-
Cd (ppb)	BLD	BLD	-
U (ppb)	BLD	BLD	-

Data are mean ± SEM. BLD: Below limit of detection.

### 3.5. Phenolic Acids in Urine

Several polyphenols, mainly phenolic acids generated by microbiota metabolism and their derivatives, were evaluated in urine after the interventions (Table 5). A significant increase was observed in 4-HBA ( $p = 0.028$ ) after the OD compared to the CD, but no changes were detected in the rest of the phenols.

**Table 5.** Urinary phenolic acids excretion after the interventions ( $n = 19$ ).

	OD	CD	<i>p</i>
Phenylacetic acids			
3,4-DHPAA (nmol)	90 ± 35	35 ± 9	0.42
3-HPAA (nmol)	943 ± 594	941 ± 440	0.717
Homovanillic (nmol)	154 ± 60	108 ± 27	0.868
Phenylpropionic acids			
3,4-HPPA (nmol)	10 ± 3	27 ± 12	0.407
DHCA (nmol)	1.2 ± 0.4	1.2 ± 0.4	0.955
Hydroxybenzoic and derivatives			
4-HBA (nmol)	205 ± 123	70 ± 35	<b>0.028 *</b>
4-HH (nmol)	471 ± 225	212 ± 85	0.306
Hippuric (nmol)	1281 ± 235	1463 ± 211	0.231
Hydroxycinnamic and derivatives			
CA (nmol)	7 ± 2	10 ± 2	0.349
<i>m</i> -Cou (nmol)	0.5 ± 0.3	0.26 ± 0.07	0.501
<i>p</i> -Cou (nmol)	0.3 ± 0.7	0.54 ± 0.19	0.554
GA (nmol)	0.48 ± 0.45	0.07 ± 0.03	0.878

Data are mean ± SEM. \*  $p$ -value < 0.05. 3,4-DHPAA: 3,4-dihydroxyphenylacetic acid, 3-HPAA: 3-hydroxyphenylacetic acid, 3,4-HPPA: 3-(4-hydroxyphenyl) propionic acid, DHCA: dihydrocaffeic acid, 4-HBA: 4-hydroxybenzoic acid, 4-HH: 4-hydroxyhippuric, CA: caffeic acid, *m*-Cou: *m*-coumaric acid, *p*-Cou: *p*-coumaric acid, GA: gallic acid.

### 3.6. Carotenoids in Plasma

No significant differences were observed in plasmatic concentrations of carotenenes (Table 6).



**Table 6.** Plasmatic carotenoids after the interventions (*n* = 19).

	OD	CD	<i>p</i>
$\alpha$ -carotene (nmol/mL)	0.39 $\pm$ 0.09	0.27 $\pm$ 0.06	0.552
$\beta$ -carotene (nmol/mL)	1.03 $\pm$ 0.24	0.95 $\pm$ 0.22	0.744
E-lycopene (nmol/mL)	0.7 $\pm$ 0.17	0.78 $\pm$ 0.18	0.913
Z-lycopene (nmol/mL)	0.15 $\pm$ 0.04	0.20 $\pm$ 0.05	0.379

Data are mean  $\pm$  SEM.

#### 4. Discussion

A randomized, controlled and crossover pilot study with nineteen healthy subjects was carried out to assess whether following an OD for 4 weeks changes health parameters and biomarkers compared to a CD.

In this study, the phenol 4-HBA increased approximately three times at the end of the OD compared to the CD ( $p = 0.028$ ). 4-HBA can come from a diet, nevertheless, the intake of food rich in this phenol, such as berries, beer, etc., did not change significantly between both interventions (data not shown). However, this compound is produced from anthocyanins catabolism, as a metabolite of pelargonidin [34–36], and it can be formed by the colonic microbiota [36,37]. The metabolite 4-HBA has shown anticancer and neuroprotective effects [37–40]. Moreover, this compound is a precursor of the coenzyme Q10, showing cardioprotective properties [41,42].

No significant differences in the urinary concentration of the rest of the phenols were observed between the two diets, although vegetable intake was borderline lower in the OD. Stracke et al. carried out a study in which healthy men consumed 500 g of organic or conventional apples for four weeks. Twenty-four hours after the last intake, polyphenol concentrations in plasma and urine were not higher in the organic consumers [43].

Studies on the carotenoid content in organically grown fruits have provided inconclusive results [13,19,44]. In the present work, no effects of the OD on carotenoid levels were detected. In contrast to our results, a previous observational study reported significant differences in both carotenes and other fat-soluble micronutrients after consumption of organic food [45].

No changes in the concentration of inorganic elements in plasma were observed after either intervention. According to other authors, organic agriculture does not affect dietary copper [45,46] or zinc absorption [46]. However, a cohort from the NutriNet-Santé study presented a higher level of magnesium after following an OD, whereas no differences were found in iron absorption [45]. Higher magnesium, iron and phosphorus levels have been described in organic versus conventional plant-derived foods [47]. In contrast, concentrations of cadmium have been reported to be lower in organic food, due to the type of plant fertilizer used, but lower levels in consumers of organic produce were not observed [19,45,48]. In the present study, cadmium was not detected in plasma, nor was lead or uranium. Marchioni et al. showed that the content of cadmium and lead in coffee was influenced by temperature and mass, respectively, but not by the type of crop [49]. Although uranium is used more in conventional than in organic agriculture [50,51], a higher uranium content was not evidenced in conventional produce [52]. We found calcium and phosphate intake was lower in the OD, likely due to a lower consumption of dairy products. Although previous studies have described a higher concentration of phosphate in conventional foods due to crop fertilizers [53], here no differences were detected in the plasma levels between the two diets. Previous findings from the Environmental Defense Fund indicate that organic foods are as likely as conventional foods to contain heavy metals, because the organic standard is focused on pesticides and not these contaminants [54].

ODs are generally believed to be healthier and to provide more bioactive compounds. Some authors have observed a higher concentration of some phytochemicals in organic food, but without considering their bioavailability. In addition, when assessing the nutritional value of food, other influential factors need to be considered, including crop variety, maturity, soil and climate. On the

other hand, consumers of organic products tend to be more concerned with health-related issues than the general population, which can bias the results of observational studies.

Organic foods are appreciated for the limited use of synthetic compounds (fertilizers, pesticides and antibiotics) in their production. Nevertheless, conventional crops are also regulated in this respect, and long-term studies are required to corroborate the effect of these compounds on health. To date, evidence suggesting that organic products are more nutritive or healthier is still lacking. Therefore, further carefully designed research is needed to evaluate the effect of an OD on bioactive compounds in biological fluids and health-related biomarkers.

The strongest point of the current study is its crossover design and the evaluation of a dietary pattern instead of only one or a few foods. Also, few such clinical assays have been conducted to date, with most studies being observational. Limitations of the work include a small sample size, the short duration of interventions and some differences in dietary patterns between the two interventions. However, this may be considered a pilot study to assess the short-term effects of organic food consumption. The increase of the phenolic compound arising from microbiota metabolism (4-HBA) in consumers following the OD need to be corroborated by further research with a higher number of subjects, which may shed light on a potential mechanism and possible health beneficial effects. In addition, a better control of factors as crop variety, maturity, soil and climate would provide more reliable results.

## 5. Conclusions

This intervention study for only one month found a significant difference in the concentration of a phenolic acid, the 4-HBA, after the OD. No changes were observed in the rest of the bioactive compounds analyzed nor in the other health-related biomarkers considered, neither in the results of minerals and heavy metals. The relation between the organic or conventional foods consumed and the concentration of bioactive compounds in the organism should be further researched. Longer studies and with larger sample sizes could reach significant values in other biochemical and healthy variables, demonstrating the health benefits of an OD.

**Supplementary Materials:** The following are available online at <http://www.mdpi.com/2076-3921/8/9/340/s1>, Table S1. Baseline concentrations of inorganic elements in plasma; Table S2. Baseline concentrations of urinary excretion of phenolic acids; Table S3. Baseline concentrations of carotenes in plasma.

**Author Contributions:** Conceptualization, S.H.-B., P.Q.-R., J.F.R.d.A., A.T.-R. and R.M.L.-R.; data curation, S.H.-B. and P.Q.-R.; formal analysis, S.H.-B., P.Q.-R. and A.T.-R.; investigation, S.H.-B.; methodology, S.H.-B., M.M.-M. and J.F.R.d.A.; supervision, R.M.L.-R.; writing—original draft, S.H.-B.; all participants reviewed and approved the paper; and R.M.L.-R. was the main person responsible for the project and the final content.

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#### **4.4. Publicación 5: Los carotenos podrían mejorar el perfil lipídico a través de la modulación de los ácidos grasos volátiles producidos por la microbiota: resultados de un estudio piloto en humanos sanos.**

**Artículo titulado “Carotenoids could improve lipid profile, modulating volatile fatty acids: Results from a pilot study in healthy adults” Enviado a la revista científica “Antioxidants” (Índice de impacto: 4,520 (2018); Q1, D1).**

Además de los compuestos bioactivos procedentes de la dieta, la microbiota también genera productos biológicamente activos a partir de reacciones metabólicas. Las investigaciones en esta área han evidenciado la relación entre la composición microbiana y sus productos metabólicos y la dieta. Los VFA son generados desde la microbiota a partir de sustratos dietéticos (fibra, principalmente, y péptidos) y han demostrado propiedades beneficiosas en la salud, particularmente mediante la regulación del metabolismo energético. Por otra parte, los carotenos son fitoquímicos relacionados con el consumo de hortalizas y frutas que también se relacionan con la modulación de algunos procesos metabólicos. Tanto los VFA como los carotenos están implicados en la regulación de la síntesis del colesterol en la sangre, sin embargo, no se conoce si hay alguna interacción entre dichos compuestos. Por lo tanto, el objetivo de este estudio piloto llevado a cabo en 19 sujetos sanos fue evaluar si hay alguna asociación entre los VFA liberados desde la microbiota y excretados mediante las heces y el consumo dietético de carotenos, cuantificados en el plasma. Además, se estudió la relación entre los compuestos bioactivos desde la microbiota y la dieta y el perfil lipídico en sangre. Los sujetos fueron analizados en dos períodos diferentes de un mes de duración, y de esta forma se obtuvieron 38 observaciones en total (19 sujetos x 2 observaciones). Los compuestos bioactivos (VFA y carotenos) fueron analizados mediante métodos cromatográficos y los parámetros lipídicos se cuantificaron en plasma a partir de métodos enzimáticos. La excreción de propionato fue directamente asociada con la concentración de carotenos en plasma ( $p = 0,012$ ), y ambos se relacionaron con el incremento del colesterol HDL en plasma ( $p = 0,017$  y  $0,033$ , respectivamente). Sin embargo, los efectos sobre el colesterol plasmático fueron más notorios en el caso del butirato, debido a que además de su relación con el incremento en el colesterol HDL ( $p = 0,021$ ), también se asoció con una disminución significativa en el nivel del colesterol LDL y del total ( $p = 0,011$  y  $0,043$ , respectivamente). Entre los carotenos, elevadas concentraciones de  $\alpha$ -caroteno se relacionaron positivamente con el colesterol HDL en plasma ( $p = 0,009$ ). Además, los carotenos también se asociaron

#### 4. RESULTADOS

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con el acetato y otros VFA minoritarios. Por lo tanto, los resultados del presente estudio indican una posible interacción entre los VFA y los carotenos, que podría estar relacionada con la modulación del perfil lipídico.



1 Article

2 **Carotenes could improve lipid profile, modulating**  
 3 **volatile fatty acids: Results from a pilot study in**  
 4 **healthy adults.**

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19 **Abstract:** The release of volatile fatty acids (VFAs) may be correlated with carotenes, described as  
 20 biomarkers of vegetable and fruit intake. Both groups of biological compounds seem to be involved  
 21 in the regulation of lipid metabolism. Nineteen healthy subjects were included in this pilot study,  
 22 and they follow up consisted of two one-month periods, generating a total of 38 observations.  
 23 VFAs were analyzed from feces by Headspace Gas Chromatography (HS-GC-MS). Plasmatic  
 24 carotenes were measured by HPLC-DAD (High-performance liquid chromatography-diode array  
 25 detector). Lipidic parameters were assayed in plasma by an enzymatic method. Greater release of  
 26 propionate was particularly related to higher concentrations of carotenes ( $p=0.012$ ) and an increase  
 27 of high density lipoprotein cholesterol (HDL) in plasma ( $p=0.017$ ). Moreover, this improvement in  
 28 HDL cholesterol was also associated with major levels of plasmatic carotenes ( $p=0.033$ ), particularly  
 29 with  $\alpha$ -carotene ( $p=0.009$ ). In addition, the effect of butyrate on the lipid profile was highlighted,  
 30 and other VFAs (acetate, isovalerate and heptanoate) seem to be related to plasmatic carotene  
 31 concentrations. The study suggests an association between VFAs and carotenes and their  
 32 improvement of the lipid profile.

33 **Keywords:** volatile fatty acids, acetate, propionate, butyrate, carotene, lycopene, LDL and HDL  
 34 cholesterol.

35 **1. Introduction**

36 The relationship between diet, gut microbiota, and health have been widely described [1–3]. VFAs  
 37 are the major end products of bacterial metabolism in the human large intestine [4]. They are mainly  
 38 generated by dietary fiber, and they are able to modulate the gut microbiota [5]. Nevertheless, less  
 39 than 1% of VFAs is formed from proteins and amino acids [6]. The role of VFAs in energy  
 40 metabolism has been previously reported [7,8]. The main VFAs produced are acetate, propionate,  
 41 and butyrate, and their colonic concentrations vary according to dietary and microbial compositions  
 42 as well as the site of fermentation [9]. Acetate represents around 50% of the total VFAs and it has  
 43 been found to promote energy metabolisms by increasing fasting fat oxidation and resting energy  
 44 expenditure [10]. Propionate is mainly involved in the regulation of hepatic lipogenesis and appetite  
 45 as well as the improvement of insulin sensitivity, triggering a decrease in the risk of obesity [11,12].



46 Butyrate seems to be involved in the improvement of chronic pathologies, such as cardiovascular  
47 diseases and cancer [13,14]. Other VFAs are also produced in the colon, such as valerate, caproate,  
48 and heptanoate, as well as the branched-chain fatty acids (BCFAs) isobutyrate, isovalerate, and  
49 isocaproate; however, they are produced in very low amounts [15]. BCFAs, mainly consumed from  
50 dairy products, are thought to be synthesized from the catabolic products of the branched chain  
51 amino acids (valine, leucine, and isoleucine) and stand out to be normal constituents of the human  
52 neonatal gut [16–18]. Additionally, BCFAs seem to be related to energy metabolism [19].

53 On the other hand, dietary phytochemicals can modulate the gut microbiota, resulting in  
54 consequences in health [20]. Carotenoids have been described as biomarkers of vegetable and fruit  
55 intake, and they can decrease the risk of several chronic diseases, such as some cancer sites, type 2  
56 diabetes, cardiovascular diseases, and macular degeneration related to age [21,22]. However, the  
57 association between dietary carotenoids and gut microbiota still remains unknown. These  
58 compounds are poorly absorbed (10–40%) and may reach the colon and be metabolized by the  
59 microbiota [23]. Some authors have described the influence of these phytochemicals in improving  
60 the gut microbiota [23,24], but further studies are needed in this field. On the other hand,  
61 carotenoids and VFAs seem to modify the lipid profile, decreasing the risk of cardiovascular disease  
62 incidence [8,13,25–29]. Thus, the aim of the present study was to evaluate the relationship between  
63 the release of VFAs and plasmatic carotenes as well as their effects on the lipid profile in a healthy  
64 human.

## 65 2. Materials and Methods

### 66 2.1. Study population

67 A pilot study was performed on 19 healthy subjects aged between 18 and 40 years. In each case, diet  
68 was monitored twice and followed up over the course of a month. The two periods of following a  
69 diet were separated by two months of wash out. Thus, the study was comprised of a total of 38  
70 observations. Participants were recruited from the University of Barcelona and surrounding  
71 communities between June 2016 and February 2017. Exclusion criteria were a history of chronic  
72 disease or altered biochemical parameters, toxic habits (tobacco, alcohol, or drugs), and chronic use  
73 of medication, supplements and/or antibiotics.

74 All participants provided a written informed consent prior to the study. The study was carried out  
75 according to the principles of the Declaration of Helsinki and the protocol was approved by the  
76 Ethics Committee of Clinical Investigation of the University of Barcelona (Barcelona, Spain).

### 77 2.2. Questionnaires and biological samples collection

78 Dietary intake of the month previous to the study was obtained through a validated 137-items  
79 semi-quantitative food frequency questionnaire filled in by a trained interviewer [30]. Information  
80 from the food frequency questionnaire was used to calculate intake of energy and nutrients.

81 Biological samples of plasma, urine, and feces were collected (at the beginning and at the end of the  
82 study). Plasma was obtained from fasting blood collected via venipuncture in the arm using  
83 ethylenediaminetetraacetic acid (EDTA) tubes and following centrifugation (1902 g for 15 min at 4  
84 °C). 24-hours urine and a recent sample of feces were also provided the same day.

### 85 2.3. Anthropometric and clinical parameters

86 Body weight was measured with an electronic scale and height with a Seca 213 portable stadiometer;  
87 the participant was wearing light clothes and no shoes. Body mass index (BMI) was calculated as  
88 body weight divided by height squared ( $\text{kg}/\text{m}^2$ ). Waist circumference was measured with a  
89 measuring tape accurate to 0.1 cm.

90 Systolic and diastolic blood pressure (SBP and DBP) and heart rate were measured in fasting  
91 conditions with an OMRON M6 monitor in triplicate at the beginning and at the end of the study.

92 Biochemistry analyses were performed by an external laboratory (mdb.lad Durán Bellido).  
93 C-reactive protein (CRP) was analyzed with the immunoturbidimetry method. HDL, low density  
94 lipoprotein (LDL), total cholesterol, and triglycerides were analyzed by enzymatic method. Urea and  
95 uric acid were analyzed by enzymatic and enzymatic/chromogen methods, respectively. Creatinine  
96 was assayed by reaction kinetics [31]. Total proteins and albumin were assayed through Biuret  
97 reaction to final point and bromocresol green methods, respectively.

#### 98 2.4. VFA analysis in feces

99 Feces were acidified and homogenized with formic acid 1M (500 mL/ 100mg of feces). The slurry  
100 mixture (100  $\mu$ L) was added alongside 20  $\mu$ L of 2-ethyl butyric acid 0.85 mM (internal standard) into  
101 a headspace vial (Agilent, Santa Clara, CA, USA).

102 Chromatographic analysis of SCFAs was performed by HS-GC-MS based on a previously described  
103 method [32], using a TRACE GC Ultra system (Thermo Fisher Scientific) after incubating the  
104 samples for 20 min at 60°C. Initial oven temperature was 80°C, achieving 125°C and 225°C, with rates  
105 of 50°C/min and 6°C/min, respectively. The injector temperature was 250°C. An Agilent HP-FFAP  
106 column 0.3  $\mu$ m (25 m x 0.2 mm) was used for the separation of the compounds. A volume of 1 mL of  
107 volatile analytes was injected by an inlet split with a flow rate of 10 mL/min. Constant flow of the  
108 carrier gas (Helium) at 1 mL/min was transferred. A blank sample (Milli-Q water) was injected  
109 between each sample to avoid interference. Samples were assayed in triplicate.

110 A volatile free acid mix of 10 mM (Supelco, Bellefonte, PA, USA) containing acetic, propionic,  
111 isobutyric, butyric, isovaleric, valeric, isocaproic, caproic, and n-heptanoic acids was used as a  
112 standard. 2-Ethyl butyric acid (Sigma-Aldrich, St. Louis, MO, USA) was used as an internal  
113 standard. Acetic and propionic were quantified without considering the internal standard. A  
114 synthetic matrix was not used because the matrix effect was not significant.

#### 115 2.5. Extraction and quantification of carotenoids from plasma

116 Carotenoids were extracted by liquid-liquid extraction from plasma samples [33]. Chromatographic  
117 analysis of carotenoids was performed by HPLC-DAD, using an HP 1100 HPLC system  
118 (Hewlett-105 Packard, Waldbronn, DE) that contained a quaternary pump coupled to a DAD  
119 G1315B. The separation was carried out with Milli-Q water, methanol, and methyl-tert-butyl ether  
120 (Panreac Quimica S.A., Barcelona, Spain), according to a procedure previously validated in our  
121 group [33]. A reversed-phase column YMC Carotenoid S-5  $\mu$ m (250 mm x 4.6 mm) and a precolumn  
122 YMC Guard Cartridge Carotenoid S-5  $\mu$ m (20 mm x 4.0 mm) were used.

123 The standards used were  $\alpha$ -carotene,  $\beta$ -carotene and all-*E*-lycopene (Sigma-Aldrich, St. Louis, MO,  
124 USA), and 5-*Z*-lycopene (CaroteNature GmbH, Ostermundigen, Switzerland). These were pooled  
125 and prepared in synthetic human plasma (Sigma-Aldrich, St. Louis, MO, USA).

#### 126 2.6. Statistical analysis

127 Normality was checked by a Shapiro-Wilk test. The variables were log-transformed due to their  
128 non-normal distribution. Linear regression was used to assay the relationship between VFAs and the  
129 rest of the parameters (carotenoids and biochemical markers), with adjustments by sex, age (years),  
130 and energy intake (kcal/day). A p-value below 0.05 was considered statistically significant. Analyses  
131 were performed with the Stata software, version 14.2 (Stata Corp; College Station, TX, USA).

### 132 3. Results

## 133 3.1. Characteristics of study subjects

134 Table 1 shows characteristics of participants, including anthropometric, clinical, and biochemical  
 135 parameters. Additionally, food and nutrients intake are described. The concentrations of carotenes  
 136 and VFAs are also included.

137 **Table 1. Characteristics of the study population.**

Characteristic	
Males, n (%)	9 (50)
Age (years)	29 ± 6
Anthropometric and clinical measurements	
BMI	22.3 ± 2.6
WHR	0.80 ± 0.06
SBP (mmHg)	119 ± 15
DBP (mmHg)	75 ± 11
Heart rate (bpm)	67 ± 11
Biochemical measurements	
CRP (mg/dL)	0.23 ± 0.42
LDL cholesterol (mg/dL)	92 ± 35
HDL cholesterol (mg/dL)	60 ± 16
Total cholesterol (mg/dL)	165 ± 36
Triglycerides (mg/dL)	67 ± 18
Urea (mmoles/L)	4.84 ± 1.26
Creatinine (µmoles/L)	70 ± 10
Uric acid (µmoles/L)	275 ± 72
Total proteins (g/L)	72 ± 4
Albumin (g/L)	44 ± 3
Nutrient intake	
Energy (kcal/d)	2067 ± 868
Carbohydrates (g/d)	218 ± 93
Total fat (g/d)	93 ± 37
SFA (g/d)	23 ± 11
MUFA (g/d)	47 ± 18
PUFA (g/d)	12 ± 6
Protein (g/d)	72 ± 37
Carotenes	
α-carotene (nmol/mL)	0.30 ± 0.26
β-carotene (nmol/mL)	0.68 ± 0.79
E-lycopene (nmol/mL)	1.24 ± 0.82
5-Z-lycopene (nmol/mL)	0.29 ± 0.21
Total carotenes <sup>1</sup> (nmol/mL)	2.42 ± 1.66
VFA	
Acetate (mM)	4.25 ± 3.19

Propionate (mM)	1.78 ± 1.30
Butyrate (mM)	2.40 ± 1.50
Isobutyrate (mM)	0.34 ± 0.18
Valerate (mM)	0.40 ± 0.22
Isovalerate (mM)	0.44 ± 0.24
Caproate (mM)	0.16 ± 0.10
Isocaproate (mM)	0.08 ± 0.03
Heptanoate (mM)	0.11 ± 0.04
Total VFAs <sup>2</sup>	9.57 ± 5.58

Mean±SD. <sup>1</sup>Total carotenes represents the sum of all carotenes presented in the table; <sup>2</sup>Total VFAs represents the sum of all volatile fatty acids presented in the table. BMI: body mass index, WHR: waist-hip ratio, SBP: systolic blood pressure, DBP: diastolic blood pressure, CRP: C-reactive protein, LDL: low density lipoprotein, HDL: high density lipoprotein, SFA: Saturated fatty acid, MUFA: monounsaturated fatty acid, PUFA: polyunsaturated fatty acid, VFA: volatile fatty acid.

### 138 3.2. Relationship between carotenoids in plasma and VFAs in feces

139 In the study cohort, propionate was significantly and directly associated with  $\alpha$  and  $\beta$ -carotenes and  
 140 *E*-lycopene ( $p=0.018, 0.031, \text{ and } 0.021$ ). Acetate, isobutyrate, and isovalerate were associated with the  
 141 plasmatic concentrations of *E*-lycopene ( $p=0.036, 0.046 \text{ and } 0.028$ ) and valerate was related to  
 142  $\alpha$ -carotene ( $p=0.028$ ). On the contrary, heptanoate was inversely related to  $\beta$ -carotenes and  
 143 *E*-lycopene ( $p=0.014 \text{ and } 0.047$ , respectively). Total carotenes analyzed were directly linked to  
 144 acetate ( $p=0.036$ ), propionate ( $p=0.012$ ), and isovalerate ( $p=0.039$ ), and they were linked inversely to  
 145 heptanoate ( $p=0.046$ ) (Table 2).

146 **Table 2. Association between plasmatic carotenes and VFAs in feces.**

	Acetate (mM)	Propionate (mM)	Butyrate (mM)	Isobutyrate (mM)	Valerate (mM)	Isovalerate (mM)	Caproate (mM)	Isocaproate (mM)	Heptanoate (mM)
$\alpha$ -carotene (nmol/mL)	0.21 (0.275)	<b>0.52 (0.018*)</b>	0.27 (0.233)	0.22 (0.421)	<b>0.52 (0.028*)</b>	0.31 (0.234)	0.21 (0.500)	-0.18 (0.576)	-0.15 (0.694)
$\beta$ -carotene (nmol/mL)	0.17 (0.122)	<b>0.28 (0.031*)</b>	0.14 (0.346)	0.17 (0.284)	0.24 (0.097)	0.18 (0.246)	-0.16 (0.390)	-0.34 (0.072*)	<b>-0.54 (0.014)</b>
<i>E</i> -lycopene (nmol/mL)	<b>0.27 (0.036*)</b>	<b>0.37 (0.021*)</b>	0.11 (0.506)	<b>0.38 (0.046*)</b>	0.32 (0.061)	<b>0.40 (0.028*)</b>	-0.05 (0.815)	-0.39 (0.095)	<b>-0.54 (0.047*)</b>
5- <i>Z</i> -lycopene (nmol/mL)	0.21 (0.457)	0.33 (0.331)	0.07 (0.831)	0.38 (0.349)	0.18 (0.620)	0.47 (0.222)	-0.29 (0.532)	-0.88 (0.063)	-1.03 (0.065)
<b>Total carotenes<sup>1</sup></b>	<b>0.24 (0.036)</b>	<b>0.35 (0.012)</b>	0.18 (0.220)	0.31 (0.071)	0.29 (0.057)	<b>0.33 (0.039)</b>	-0.05 (0.800)	-0.35 (0.085)	<b>-0.47 (0.046)</b>

Data are expressed as coefficient  $\beta$  ( $p$ -value) and adjusted by sex, age and energy intake. \*  $p$ -value < 0.05. <sup>1</sup>Total carotenes is the sum of all carotenes presented in the table.

### 147 3.3. Relationship between lipidic biomarkers and VFAs

148 Total cholesterol and LDL decrease as well as HDL increase were directly related with higher release  
 149 of butyrate ( $p=0.043$ ,  $0.011$  and  $0.021$ ). Moreover, higher levels of HDL were associated with a higher  
 150 concentration of propionate in feces ( $p=0.017$ ) (Table 3).

151 **Table 3. Association between plasmatic lipidic markers and SCFAs in feces.**

	Acetate (mM)	Propionate (mM)	Butyrate (mM)	Isobutyrate (mM)	Valerate (mM)	Isovalerate (mM)	Caproate (mM)	Isocaproate (mM)	Heptanoate (mM)
<b>LDL cholesterol (mg/dL)</b>	-0.04 (0.619)	-0.06 (0.523)	<b>-0.23 (0.011*)</b>	-0.15 (0.156)	-0.07 (0.466)	-0.16 (0.116)	-0.21 (0.075)	<0.01 (0.995)	-0.13 (0.386)
<b>HDL cholesterol (mg/dL)</b>	0.09 (0.079)	<b>0.15 (0.017*)</b>	<b>0.15 (0.021*)</b>	0.06 (0.435)	0.12 (0.083)	0.07 (0.363)	0.08 (0.345)	-0.08 (0.270)	-0.07 (0.523)
<b>Total cholesterol (mg/dL)</b>	0.01 (0.770)	<0.01 (0.932)	<b>-0.10 (0.043*)</b>	-0.05 (0.424)	<0.01 (0.966)	-0.06 (0.340)	-0.11 (0.116)	-0.04 (0.569)	-0.12 (0.165)
<b>Triglycerides (mg/dL)</b>	0.01 (0.860)	0.03 (0.702)	-0.06 (0.391)	0.01 (0.903)	0.04 (0.625)	-0.01 (0.890)	-0.07 (0.429)	-0.01 (0.925)	-0.05 (0.651)

Data are expressed as coefficient  $\beta$  ( $p$ -value) and adjusted by sex, age and energy intake. \*  $p$ -value < 0.05

152 **3.4. Relationship between lipidic biomarkers and carotenes**

153 Table 4 shows that a higher concentration of plasmatic carotenes, particularly  $\alpha$ -carotene, was  
 154 associated with an improvement of HDL-cholesterol ( $p=0.033$  and  $0.009$ , respectively).

155 **Table 4. Association between plasmatic lipidic markers and carotenes.**

	$\alpha$ -carotene (nmol/mL)	$\beta$ -carotene (nmol/mL)	<i>E</i> -lycopene (nmol/mL)	<i>5-Z</i> -lycopene (nmol/mL)	Total carotenes <sup>1</sup>
<b>LDL cholesterol (mg/dL)</b>	-0.09 (0.146)	-0.03 (0.785)	-0.02 (0.812)	-0.03 (0.156)	-0.14 (0.519)
<b>HDL cholesterol (mg/dL)</b>	<b>0.13 (0.009*)</b>	0.12 (0.154)	0.09 (0.210)	0.02 (0.582)	<b>0.17 (0.033*)</b>
<b>Total cholesterol (mg/dL)</b>	-0.02 (0.666)	0.02 (0.735)	0.02 (0.781)	-0.02 (0.464)	-0.04 (0.527)
<b>Triglycerides (mg/dL)</b>	-0.06 (0.209)	-0.08 (0.337)	<0.01 (0.970)	-0.02 (0.617)	-0.09 (0.240)

Data are expressed as coefficient  $\beta$  ( $p$ -value) and adjusted by sex, age and energy intake. \*  $p$ -value < 0.05.

<sup>1</sup>Total carotenes is the sum of all carotenes presented in the table.

156 **4. Discussion**

157 In this study, a direct association between propionate and carotenes has been observed, reaching  
 158 significance with the total and with  $\alpha$ - and  $\beta$ -carotenes and *E*-lycopene. In addition, an increase of

159 acetate in feces was linked to total carotenes, particularly to *E*-lycopene in plasma, but butyrate  
160 levels seem not to be affected by the carotene concentrations.

161 Higher propionate and carotene concentrations increased HDL-cholesterol, and butyrate was related  
162 to lower LDL, total cholesterol, and higher HDL. These results suggest that butyrate could play a  
163 role in cardiovascular health, as has been previously pointed out by several studies, since this VFA  
164 stands out as the preferred substrate and energy source for colonocytes, and its role regulating lipid  
165 metabolism have been previously described [34,35]. Moreover, the enhancement of HDL directly  
166 associated with the release of propionate also was observed by Venter et al. [36]. Propionate seems to  
167 be an effective inhibitor of acetate as substrate for cholesterol synthesis, particularly when the last  
168 one is present in high concentrations [28,37]. However, a recent study carried out in overweight and  
169 obese men showed a greater amount of acetate in the circulation after colonic infusion of high  
170 concentrations of acetate plus propionate in fasting conditions [10]. Some authors show that  
171 propionate can reduce obesity and other metabolic diseases, reducing lipogenesis and cholesterol  
172 synthesis in the liver and improving insulin sensitivity [11,38].

173 The research in this issue is limited and uncertain, and the mechanisms still remain unknown.  
174 However, previous studies suggest that within the VFAs absorbed in the colon, butyrate is the  
175 primary energy substrate for colonocytes, and acetate and propionate are transported to the liver  
176 and peripheral organs to regulate the metabolism. VFAs bind to the free fatty acids receptors (FFAR)  
177 2 and 3, with a higher affinity for acetate, and propionate can also act as a histone deacetylase  
178 (HDAC) inhibitor and regulate many physiological processes through signaling via  
179 G-protein-coupled receptors (GPCRs) [29,34,39].

180 Moreover, HDL-cholesterol increased with higher levels of carotenes, particularly  $\alpha$ -carotene.  
181 Similar results have been observed with carotenoids regulating the lipid metabolism, particularly  
182 the cholesterol concentration [25,26,40–43]. The cardioprotective function of lycopene increasing the  
183 HDL-cholesterol or decreasing LDL-cholesterol was observed by some authors [25,41,42]. In  
184 addition, other carotenoids, such as  $\alpha$ -carotene and  $\beta$ -carotene, seem to be involved in the  
185 cholesterol metabolism [26,40,43]. A study performed using a macrophage cell line showed 63% and  
186 73% lower cholesterol synthesis from acetate after incubation with 10  $\mu$ M of  $\beta$ -carotene and  
187 lycopene, respectively [40]. Silva et al., studied the effect of a hypercholesterolemic diet  
188 supplemented with  $\beta$ -carotene in rats and reported a lower cholesterol concentration in the serum  
189 and liver as well as a larger excretion via feces. This finding suggested a decrease in cholesterol  
190 absorption in the intestine [26]. Also, the HDL-cholesterol synthesis may be increased by activation  
191 of the enzymes paraoxonase-1 (PON-1) and lecithin cholesterol acyltransferase (LCAT) [43].

192 Regarding the minority of VFAs, a direct relation was observed between valerate and  $\alpha$ -carotene.  
193 However, total carotene, as well as  $\beta$ -carotene and *E*-lycopene, were inversely associated with  
194 heptanoate. In addition, BCFAs seem to be related to carotenes. Higher releases of isovalerate were  
195 linked to total carotenes, particularly to *E*-lycopene. The increase of this carotene has been associated  
196 with isobutyrate levels. Butyrate and caproate synthesis mainly from the elongation of acetate, as  
197 well as valerate and heptanoate from the elongation of propionate, has been described [44,45]. This  
198 process is called chain elongation and is produced by bacteria from ethanol and volatile fatty acids  
199 [45]. However, VFA selectivity depends on different factors, such as substrate, microorganisms, and  
200 pH [46–48]. Thus, the opposite effects of heptanoate could be due to a main synthesis of other fatty  
201 acids, particularly valerate.

202 *E*-lycopene is the most abundant carotene consumed by the Mediterranean population [49], and it is  
203 the carotene mostly closely related to VFAs. Although many papers describe the health effects of  
204 carotenes, little research has been conducted to evaluate, in an up to date manner, their association  
205 with VFAs [50]. In a randomized crossover trial, twenty-two healthy young men following a  
206 low-carotenoid diet consumed 330 mL of tomato or carrot juice per day for 2 weeks, and no relevant  
207 changes in VFAs were observed in their fecal content [51]. A study carried out in rats showed a  
208 significant increase in butyrate, but not in acetate and propionate, after consuming ~30 mL/day of

209 high lycopene content tomato juice for 3 weeks (intake of 3–4 mg/day of lycopene) [52]. Hwang et al.  
210 showed a higher amount of propionate and a lower concentration of acetate and butyrate in the  
211 cecum of rats after consumption of different diets supplemented with cherry tomatoes [53].

212 This work has some limitations. This is a pilot study carried out in a small sample of healthy  
213 humans. The cross-sectional nature of the study does not allow us to assess causality. Another  
214 limitation of the study was the lack of analyses of carotenes in feces, but the plasmatic concentration  
215 of them are representative of vegetable and fruit intake [21]. On the other hand, this work did not  
216 consider the gut microbiota composition, which could help to explain the involved mechanisms and  
217 trigger more reliable results.

218 As a strength, we highlight that, to our knowledge, no other studies have comprehensively assessed  
219 the association between some carotenes and VFAs in healthy adults. In fact, there is little research  
220 investigating the effects of dietary bioactive compounds on VFAs, particularly focused in the  
221 interaction between polyphenols and microbiota. We also want to note the comprehensive analyses  
222 of different human samples: plasma and feces.

223 Further carefully designed studies should be carried out to clarify the specific roles of VFAs on  
224 health and their interactions with phytochemicals from diet, such as carotenoids. A larger sample of  
225 subjects should be analyzed to establish whether there is an association between carotenes from diet  
226 and fermentations by gut microbiota and VFAs, as well as their biological plausibility.

## 227 5. Conclusions

228 The results of this study suggest an association between fecal propionate and the plasmatic  
229 concentrations of carotenes and HDL-cholesterol. The relationship between carotenes and  
230 HDL-cholesterol was also significant. Moreover, carotenes seem to interact with acetate and  
231 minority VFAs. Contrary to positive relations that are mainly found, lower concentrations of  
232 heptanoate seem to be related to higher levels of these phytochemicals. In addition, butyrate could  
233 have a protective role in the maintenance of cardiovascular health, modulating the levels of  
234 cholesterol, LDL, and HDL. Therefore, phytochemicals, such as carotenes, could play a role in  
235 enhancing the production of VFAs through microbiota, particularly propionate, triggering a better  
236 lipid profile.

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255

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#### **4.5. Publicación 6: Efecto del consumo de hortalizas y frutas sobre la prognosis en supervivientes de cáncer: una revisión sistemática y meta-análisis.**

Artículo titulado “Effect of vegetable and fruit consumption on prognosis among cancer survivors: A systematic review and meta-analysis” Enviado a la revista científica “*Advances in Nutrition*” (Índice de impacto: 7,240 (2018); Q1, D1).

El envejecimiento de la población y otros factores de riesgo (como por ejemplo dietas no saludables, tabaquismo, exceso de alcohol e inactividad física) incrementan la incidencia de cáncer. Sin embargo, la tasa de supervivencia ha aumentado en los últimos años principalmente por la diagnosis temprana y los avances en la medicina en cuanto a los tratamientos y cuidado de los pacientes. Los efectos beneficiosos de consumir hortalizas y frutas en la prevención de la incidencia de cáncer han sido ampliamente estudiados y el nivel de evidencia de los resultados ha sido descrito en el informe redactado por el WCRF/AICR. Sin embargo, las investigaciones en pacientes para mejorar su prognosis son muy escasas (a excepción del cáncer de mama). Además de las posibles diferencias que pueden existir en cuanto a las recomendaciones a nivel de prevención primaria y terciaria (prognosis), también existen diferencias importantes según el tipo de cáncer. Debido a las especulaciones sobre las propiedades quimioprotectoras de los nutrientes y fitoquímicos que contienen las hortalizas y frutas, se ha llevado a cabo una revisión sistemática y meta-análisis para evaluar el efecto de su consumo sobre la prognosis del cáncer (recurrencia y mortalidad). Para ello se realizó una búsqueda bibliográfica exhaustiva en las bases de datos PubMed y Scopus y se seleccionaron 28 estudios de cohorte. Un elevado consumo de hortalizas fue inversamente correlacionado con la mortalidad total en pacientes de cáncer de cabeza y cuello (HR = 0,75, 95% CI, 0,65-0,87) y de ovario (HR= 0,78, 95% CI, 0,66-0,91). Además, en las pacientes de cáncer de ovario el consumo de frutas también parece proteger de la mortalidad por todas las causas (HR= 0,82, 95% CI, 0,70-0,96). Los resultados no fueron claros en el caso del linfoma no-Hodgkin (NHL), y en el resto de los cánceres la evidencia no fue suficiente. Sin embargo, el consumo de al menos 5 raciones (equivalente a 400 gramos) de hortalizas y frutas al día, acorde con las recomendaciones estipuladas por la OMS, parece ser extrapolable a los supervivientes de cáncer, particularmente de cabeza y cuello y de ovario. Las investigaciones en el resto de los tipos de cáncer son muy escasas, y para poder evaluar la asociación entre el consumo de hortalizas y frutas y la prognosis, deberían llevarse a cabo más estudios.



**Advances in Nutrition: an International Review Journal**  
**Effect of vegetable and fruit consumption on prognosis among cancer survivors: A**  
**systematic review and meta-analysis**  
 –Manuscript Draft–

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Abstract:	The number of cancer survivors is growing rapidly worldwide, especially long-term survivors. Although a healthy diet with a high vegetable and fruit consumption is a key factor in primary cancer prevention, there is a lack of specific dietary recommendations for cancer survivors, except in the case of breast cancer (World Cancer Research Fund (WCRF) / American Institute Cancer Research (AICR) report). We therefore carried out a systematic review and meta-analysis of studies reporting on the associations between vegetable and fruit intake and cancer recurrence and mortality and all-cause mortality in cancer patients. After a comprehensive search of PubMed and Scopus databases, the results of 28 selected articles were analysed. A high vegetable intake was inversely associated with overall mortality in survivors of head and neck (hazard ratio (HR) = 0.75, 95% confidence interval (CI), 0.65-0.87) and ovarian cancer (HR= 0.78, 95% CI, 0.66-0.91). In ovarian cancer patients, fruit intake was also inversely associated with lower all-cause mortality (HR= 0.82, 95% CI, 0.70-0.96). Null results were obtained with non-Hodgkin lymphoma patients. The evidence was insufficient for survivors of other cancers, although these associations generally tended to be protective. Therefore, more studies are needed to clarify the role of dietary vegetables and fruits in the prognosis of these different types of cancer. To date, the general recommendation for consuming at least 5 servings of vegetables and fruits per day is also appropriate for cancer survivors, particularly those with head and neck, and ovarian tumours.
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# **Effect of vegetable and fruit consumption on prognosis among cancer survivors: A systematic review and meta-analysis**

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#### 4. RESULTADOS

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collection, analysis and interpretation of data, the writing of the manuscript, or the decision to submit the manuscript for publication. The rest of authors declare no conflict of interest.

**Abbreviations:** AICR American Institute Cancer Research; CI Confidence Interval; GRADE Grading of Recommendations Assessment, Development and Evaluation; HR Hazard Ratio; MOOSE Meta-analysis of Observational Studies in Epidemiology; NHL Non-Hodgkin Lymphoma; NOS Newcastle Ottawa Scale; PRISMA Preferred Reporting Items for Systematic Reviews and Meta-Analysis; RR risk ratio; STROBE Strengthening the Reporting of Observational Studies in Epidemiology; WCRF World Cancer Research Fund; WHO World Health Organization.

**Abstract:** The number of cancer survivors is growing rapidly worldwide, especially long-term survivors. Although a healthy diet with a high vegetable and fruit consumption is a key factor in primary cancer prevention, there is a lack of specific dietary recommendations for cancer survivors, except in the case of breast cancer (World Cancer Research Fund (WCRF) / American Institute Cancer Research (AICR) report). We therefore carried out a systematic review and meta-analysis of studies reporting on the associations between vegetable and fruit intake and cancer recurrence and mortality and all-cause mortality in cancer patients. After a comprehensive search of PubMed and Scopus databases, the results of 28 selected articles were analysed. A high vegetable intake was inversely associated with overall mortality in survivors of head and neck (hazard ratio (HR) = 0.75, 95% confidence interval (CI), 0.65-0.87) and ovarian cancer (HR= 0.78, 95% CI, 0.66-0.91). In ovarian cancer patients, fruit intake was also inversely associated with lower all-cause mortality (HR= 0.82, 95% CI, 0.70-0.96). Null results were obtained with non-Hodgkin lymphoma patients. The evidence was insufficient for survivors of other cancers, although these associations generally tended to be protective. Therefore, more studies are needed to clarify the role of dietary vegetables and fruits in the prognosis of these different types of cancer. To date, the general recommendation for consuming at least 5 servings of vegetables and fruits per day is also appropriate for cancer survivors, particularly those with head and neck, and ovarian tumours.

**Keywords:** cancer; survival; mortality; recurrence; prognosis; vegetables; fruits, cohort, meta-analysis.

### 1. Introduction

The global burden of cancer is increasing because of an aging and expanding population and a growing prevalence of unhealthy habits (1). In parallel, advances in early detection, treatment and supportive care have led to a rapid and steady rise in the number of cancer survivors worldwide (2). The proportion of people predicted to survive a diagnosis of cancer is increasing by ~ 3% per year (3), the majority now surviving 5 years or more (4). Indeed, in 2011, 50% of UK cancer patients had a 10-year survival rate (5). However, there is considerable variation according to the cancer site and stage (6). A cancer survivor is considered to be anyone who has been diagnosed with cancer, completed treatment with curative-intent (but not maintenance treatment) and is disease-free (no evidence of active cancer) (7).

One third of deaths from cancer are due to behavioural and dietary risk factors (e.g., high body fatness, low vegetable and fruit intake, lack of physical activity, and tobacco and alcohol consumption) (8). The role of diet and nutrition in the cancer burden is well-established (9), approximately 5% of cancers being exclusively attributed to dietary factors (10), without taking into account obesity (20%) and alcohol (4%). Islami et al. reported that 6.9% of cancers in a Chinese population and 1.9% of cancers in a US population were attributable to a low vegetable and fruit intake (11,12). In European and US cohorts, adherence to a healthy dietary pattern, such as the Mediterranean diet and WCRF/AICR dietary recommendations, has been inversely associated with overall cancer risk (13,14). In addition, an updated meta-analysis concluded that greater adherence to the Mediterranean diet was associated with a lower risk and mortality of several cancer types, especially colorectal cancer (15). Other dietary quality indexes and dietary patterns have shown similar results (16,17).

Healthy diets are based largely on plant-based foods, above all vegetables and fruits, which are low in fat, especially saturated fat, high in fibre, and contain many vitamins, minerals and

phytochemical compounds (such as carotenoids, polyphenols and sulphur compounds) (18). Vegetable consumption has been associated with a reduced overall cancer mortality (17). However, vegetable and fruit intake was not related to cancer survival in breast cancer patients (19,20). Additionally, the WCRF/AICR report concluded that there is limited evidence linking a higher consumption of foods containing fibre with increased breast cancer survival (2). Among vegetable classes, the strongest associations with reduced cancer incidence have been found for green-yellow and cruciferous vegetables (21), which may be due to the chemopreventive properties of carotenoids and isothiocyanates, respectively (22). Among fruits, citrus fruits may have a relevant protective role against several cancers because of their high content of flavanones and vitamin C (23–27).

Apart from the WCRF/AICR recommendations for breast cancer survivors (2), there are no dietary guidelines for cancer survivors beyond those recommended for primary cancer prevention. There is therefore a need for specific dietary recommendations for cancer survivors. In this context, the aim of this work was to review the literature and conduct a meta-analysis, when it was possible, of epidemiological studies reporting associations between vegetable and fruit intake and prognosis in cancer, evaluating cancer recurrence, site-specific cancer mortality, and overall mortality in cancer survivors.

## **2. Materials and Methods**

### *2.1. Data sources and search method*

The literature search was performed by S.H-B. and M.T-S. using PubMed and Scopus databases (from their inception to March 2019). The following search terms were used: (cancer OR neoplasm OR carcinoma) AND (mortality OR survival OR recurrence OR prognosis OR

outcome OR death) AND (vegetable OR fruit). The search was restricted to the English language. In addition, a human filter was used in the PubMed database. References to reviews and recovered articles were also checked. This work was conducted according to PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analysis) (28) and MOOSE (Meta-analysis of Observational Studies in Epidemiology) (29) guidelines.

### *2.2. Inclusion and exclusion criteria*

The study selection was carried out by 2 authors (S.H-B. and M.T-S.). Full-text articles were selected according to the following inclusion criteria: 1) cohort study design, 2) vegetable and fruit intake pre- and post-diagnosis as exposure, 3) overall mortality, site-specific cancer mortality, cancer recurrence and prognosis as end-points, and 4) risk (HR or risk ratio (RR) with 95% CI) estimated and adjusted by confounding variables. Exclusion criteria were 1) duplicated studies, 2) *in vitro* or animal studies, 3) clinical trials, ecological studies, editorials, reviews and meta-analyses, 4) outcomes associated with incidence risk, 5) non- vegetable and fruit foods and dietary patterns, 6) breast cancer survivors, and 7) no RR or HR (with 95% CI).

### *2.3. Data extraction*

Discrepancies in data from the selected studies were discussed by S.H-B and M.T-S. For each study, the model adjusted for the highest number of confounding variables was extracted. Studies were classified and aggregated by cancer site (bladder, colorectal, head and neck (including oral cavity, pharynx and larynx), gastric, lung, melanoma, non-Hodgkin lymphoma (NHL), oesophagus, ovarian, pancreas, and prostate tumours). Data from each study included in the systematic review and meta-analysis were the following: 1) cancer site, 2) outcome

(cancer and overall mortality and recurrence), 3) identification of cohort (country, name of study), 4) follow-up, 5) sample characterization (size, number of cases, age and sex of subjects), 6) dietary assessment and timeframe, 7) exposures and their extreme categories (such as highest vs. lowest), 8) risk estimated as HR/RR (95% CI), 9) adjustments for confounding variables, and 10) author and year of study.

#### *2.4. Study quality assessment*

The quality of each study was independently checked and discussed by S.H-B. and M.T-S. Any discrepancies in the study inclusion, data extraction and quality assessment were resolved with the support of a third person (R.Z-R). To evaluate the risk of bias in individual studies, two validated scales were used: the STROBE-nut (extension of Strengthening the Reporting of Observational Studies in Epidemiology) and the NOS (Newcastle Ottawa Scale) checklists. STROBE-nut is focused on epidemiological studies related to nutrition and shares some common items with the original STROBE Statement (30). In the present work, 30 items were used to evaluate study quality. A scale of 9 points was used for the NOS Statement for cohort studies: selection (up 4 points), comparability (up 2 points) and outcome (up 3 points) (31). From the NOS scale, study quality was considered high when the score was equal to or higher than 7, moderate between 3 and 6 points, and low if the score was equal to or lower than 2.

#### *2.5. Evidence quality assessment*

The GRADE (Grading of Recommendations Assessment, Development and Evaluation) scale was used to evaluate the overall strength of evidence for each outcome (32). GRADE items were evaluated for each association and classified into very low, low, moderate or high. The



level of evidence was low due to the non-randomized controlled trial design of studies included in this meta-analysis, and outcomes were downgraded for risk of bias, inconsistency (heterogeneous studies, i.e.  $I^2 > 50\%$ ), indirectness (self-reported outcome or non-representativeness of cohorts), imprecision (higher CI threshold than HR or RR) and/or publication bias. Upgrading for large effect, dose response and no plausible confounding was also applied.

### *2.6. Statistical analysis*

Prior to the analyses, the studies were classified by cancer site and outcome (i.e. cancer recurrence, site-specific cancer mortality, and overall mortality). The meta-analysis was performed by pooling the multivariable-adjusted RRs or HR of the highest dietary intake categories (e.g. total vegetables and fruits, total vegetables, and total fruits) compared to the lowest one if at least three studies reported data for the same exposure, cancer site, and outcome. Heterogeneity across studies was evaluated by the  $I^2$  test and classified as low ( $\sim 25\%$ ), moderate ( $\sim 50\%$ ) or high ( $\sim 75\%$ ) (33). A fixed-effects model was used when  $I^2$  was  $> 50\%$  and a random-effects model when  $I^2$  was  $\leq 50\%$ . Meta-analyses were performed with the `metan` function of the Stata software, version 14 (Stata Corp; College Station, TX, USA).

## **3. Results**

### *3.1. Literature search and study characteristics*

A total of 8,322 articles were identified from two databases (PubMed and Scopus). An additional 26 articles were included from other sources (reviews and manual searching). After

removing the duplicates, 6,035 articles remained potentially eligible. Among these, 5,998 were excluded after title and abstract screening due to exclusion criteria (reviews/meta-analysis, editorials, ecological studies, in vitro or animal studies, other outcomes and non-vegetable exposure). Thereafter, the full text of 86 articles was evaluated in detail. Finally, a total of 28 papers were included in the systematic review and meta-analysis (Figure 1). Of these, 16 studies were used for the qualitative review (34–49) and 12 for the quantitative meta-analysis (50–61).

### 3.2. Cohort studies

The studies included in the systematic review and meta-analysis examining the association of total vegetable and fruit intake with cancer prognosis are summarized in Tables 1-3, which focus on aerodigestive, genital and urinary, and other cancers, respectively. Results for vegetable and fruit subtypes are shown in Supplementary Tables S1-S3. A total of 18,278 males and females aged between 16 and 84 years from European, North American, East Asian and Australian cohorts were included in the systematic review and meta-analysis. The follow-up periods varied from 9.1 months to 16 years. Dietary information assessment was predominantly before cancer diagnosis. Regarding vegetable and fruit consumption, exposures were analysed mainly by comparing the highest with the lowest categories.

#### 3.2.1. Vegetable and fruit intake and prognosis in aerodigestive cancer patients

*Head and neck.* After meta-analysing five cohort studies (34,50,54–56), an inverse association between total vegetable consumption and overall mortality (HR: 0.75; 95% CI, 0.65-0.87,  $I^2=0\%$ ) was observed in head and neck cancer patients, including oral cavity, pharynx and larynx (Figure 2A). A stronger association was detected for both all-cause and site-specific cancer mortality with post-diagnosis total vegetable intake than with pre-diagnosis intake in oral cavity and oropharynx cancer (Table 1). However, no association between all-cause

mortality and fruits or citrus fruits was observed (Figure 2B, and Supplementary Table S1). No studies were found on the consumption of vegetable subtypes.

*Digestive tract.* In a Norwegian study, a high consumption of fruits and berries was related to lower colorectal cancer recurrence (HR= 0.3; 95% CI= 0.1-0.9), but not in the other studies (Table 1). Total vegetable intake was not linked with prognosis in colorectal cancer survivors. Lower consumption of green leafy vegetables was associated with a higher risk of all-cause mortality in colon cancer patients (HR= 2.06; 95% CI= 1.10-3.86), but not in rectal cancer patients (Supplementary Table S1). The consumption of 3 or more servings of raw vegetables per week was related to a lower risk of site-specific cancer mortality in gastric cancer patients. There was no association between green vegetable intake and overall mortality in pancreatic cancer patients. A Chinese study reported that higher consumption of preserved vegetables was significantly correlated with a higher risk of all-cause mortality in esophagus cancer patients.

*Respiratory tract.* No association was found between vegetable and fruit consumption and overall survival in lung cancer patients (Table 1). However, high cruciferous vegetable intake was linked to lower site-specific cancer mortality (HR= 0.69; CI 95%=0.49-0.95) in female lung cancer patients from the Shanghai Women's Health Study (Supplementary Table S1).

#### 3.2.2. Vegetable and fruit intake and prognosis in genital and urinary cancer patients

*Ovary.* The 4 meta-analyzed studies on ovarian cancer patients (57–60) showed an inverse association between total vegetable and total fruit intake and overall mortality (HR = 0.78; 95% CI, 0.66-0.91, I<sup>2</sup>= 0% and HR= 0.82; 95% CI, 0.70-0.96, I<sup>2</sup>= 0%, respectively) (Figures 3A and 3B). Regarding vegetable subgroups, no association between cruciferous vegetable consumption and overall mortality was detected (Figure S1). Null results were also found for the intake of other vegetable or fruit subgroups (e.g. green leafy, yellow and red vegetables and citrus fruits) (Supplementary Table S2).

*Prostate.* In prostate cancer patients, consumption of total fruits was inversely associated with all-cause mortality, but not with site-specific cancer mortality (Table 2). Raw vegetable intake was also inversely associated with all-cause and cancer-specific mortality (Supplementary Table S2), whereas no association was detected for the consumption of either total or cooked vegetables.

*Bladder.* In two studies on bladder cancer patients, the intake of total vegetables, fruits or both was not related to either all-cause mortality or cancer recurrence (Supplementary Table 2). No association with the consumption of cruciferous vegetables was found either (Supplementary Table S2).

### 3.2.3. Vegetable and fruit intake and prognosis in patients with other cancer sites

*Non-Hodgkin lymphoma.* Three studies have evaluated the relationship with overall mortality in patients with NHL (52,53,61), showing null results for intake of fruits (HR:0.83; 95% CI, 0.59-1.16;  $I^2=62.2\%$ ) and vegetables (HR:1.00; 95% CI, 0.88-1.13;  $I^2=0\%$ ) (Figures 4A and 4B, respectively). Heterogeneity in one of the meta-analyses was moderate-to-high, which was due to a statistically significant inverse association in one of the studies, the other studies giving null results. Protective effects were observed for green leafy vegetables and citrus fruits, but not other vegetable subtypes, such as cruciferous, bean, red and yellow vegetables.

*Melanoma.* The only study in melanoma patients, the Connecticut Skin Self-Examination Case-Control Study, found no association between daily consumption of fruits or weekly consumption of green salad and site-specific cancer survival.

### 3.3. Quality studies and overall strength of evidence

The quality of all individual studies was high ( $\geq 7$  points) (Supplementary Table S4). More details about the items considered in the STROBE-nut scale are provided as supplementary

material (Supplementary Table S5). Few cohort studies, mainly prospective, were identified for each outcome, classified by cancer site. Thus, according to the GRADE scale, the evidence available for the association between vegetable and fruit intake and cancer prognosis is of low-certainty. Despite the low number of studies quantified in each analysis (between 3 and 5), heterogeneity was not observed. Nor were risk of bias or indirectness detected. Insufficient or null studies examined the association between vegetable consumption and prognosis or mortality in the other cancer sites.

#### **4. Discussion**

The aim of this systematic review and meta-analysis was to examine the available evidence for the relationship between vegetable and fruit consumption and cancer prognosis, except for breast cancer (2). Summarizing the findings, a higher survival was associated with vegetable and fruit intake in ovarian cancer patients, and with vegetable intake in head and neck cancer patients.

A 25% lower risk in all-cause mortality was observed in survivors of head and neck cancer consuming more vegetables, the association being strongest with the highest categories of total vegetable intake (8-10 servings/day) (54–56). Sandoval et al. observed a more pronounced risk reduction in all-cause and oral and oropharynx cancer mortality when considering the post-diagnosis vegetable intake compared to the pre-diagnosis intake (54). This suggests it is more useful to assess the diet after cancer diagnosis and treatment as it has more impact on prognosis. Although no significant association was found when comparing the highest and lowest categories of total vegetable intake with oral and oropharynx cancer recurrence, a 73% lower risk was observed among moderate consumers of vegetables (5-7 servings/week reported after the diagnosis) (54). In addition, a protective effect has been described for a whole-food diet rich

in vegetables and fruits regarding overall mortality in head and neck cancer patients (62). However, in the present meta-analysis, no association was found between head and neck cancer prognosis and fruit intake, in contrast with Crosignani et al., who reported a lower all-cause mortality in men consuming at least 8 vs. 1 or fewer servings/week of non-citrus fruits (56). Similar inverse associations have been observed between the intake of non-starchy vegetables and oral cancer risk (including mouth, pharynx, larynx and nasopharynx sites) in healthy populations, but not with fruit consumption (63).

There are few studies on prognosis in digestive tract cancer survivors (oesophagus (38), stomach (35,42), pancreas (40) and colorectum (39,43–45)), suggesting basically null results. However, the lowest consumption of green leafy vegetables (almost never vs. almost every day) was directly associated with a higher all-cause mortality in male colorectal cancer patients, particularly with colon cancer (39). Also, a higher consumption of fruits and berries was inversely related to colorectal cancer recurrence (44). The results of the WCRF/AICR report were similarly suggestive of an inverse relationship between a low vegetable and fruit intake and both incident colorectal and gastric cancer risks (63).

Vegetable and fruit consumption may be inversely related to the incidence of lung cancer (64,65), particularly in smoker populations (63,66). Although an uncertain relationship was found between lung cancer prognosis and total vegetable and fruit intake (46,47), Wu et al. reported 31% lower site-specific cancer mortality in female non-smoker lung cancer patients consuming ~120 g of cruciferous vegetables per day (41). The risk of lung cancer incidence was also lower among high consumers of cruciferous vegetables (66).

Our meta-analysis revealed that a higher vegetable and fruit intake was associated with a 22% and 18% lower overall mortality, respectively, in ovarian cancer patients. The strongest associations were observed in ovarian cancer patients consuming  $\geq 5$  portions of vegetables and

fruits per day (59). Almost identical protective effects were found with a higher adherence to the Healthy Eating Index (58). However, the results for the relationship between vegetable and fruit subgroup intake and ovarian cancer prognosis were inconclusive (57–60). Similarly, null results were described between vegetable and fruit intake and incident ovarian cancer risk in the WCRF/AICR report (63).

In an Italian cohort of prostate cancer patients, diets rich in fruits, mainly non-citrus fruits, and in both vegetables and fruits were associated with a lower risk of all-cause mortality (48). Regarding dietary patterns, Western diets were directly associated with overall and cause-specific cancer mortality, while Prudent and Mediterranean diets were not significantly related to overall mortality (67,68). No association was found between vegetable and fruit intake and the incidence of prostate cancer in earlier studies (69,70). Despite that, since cardiovascular disease is the major cause of death in prostate cancer survivors (71), a dietary pattern rich in vegetables, fruits and wholegrains is also typically recommended to cardiovascular prevention (72).

Vegetable and fruit consumption was not associated with prognosis in bladder cancer (36,49). The WCRF/AICR reports suggest the risk of bladder cancer is inversely related to the intake of non-starchy vegetables and fruits, although with limited evidence (63). Several meta-analyses have addressed this topic, also with inconsistent results (69,73–76).

Although a US-based study found an inverse association between vegetable and fruit consumption and total mortality in NHL cancer survivors (52), the overall results of our meta-analysis were null. Likewise, uncertain results were obtained for the intake of green leafy vegetables and citrus fruits (52,61). Regarding NHL incidence, previous meta-analyses suggest that a high intake of vegetables, as well as vegetables and fruits, was significantly related to a

20% lower NHL risk in healthy subjects (77,78). Although fruit intake was not associated with NHL risk (77,78), a diet rich in citrus fruits resulted in a 15% lower risk (78).

Food processing and cooking or its absence is another factor to be considered when assessing the effect of vegetable intake. Among studies assessing the relationship between raw vegetable consumption and cancer prognosis (36,42,48), two retrospective studies observed an association with 26% and 36% lower overall mortality in patients with gastric and prostate cancer, respectively (42,48). These results are similar to those reported for the incidence of upper gastrointestinal cancer, but not prostate cancer (79). The consumption of  $\geq 1$  serving per week of preserved vegetables was associated with higher all-cause mortality in patients with oesophagus cancer (38). Likewise, salt-preserved foods are related with a higher risk incidence of gastric and nasopharynx cancer (63). Mechanistically, high salt levels can i) modify the viscosity and integrity of the mucosa protecting the upper digestive tract; ii) increase the formation of carcinogenic N-nitroso compounds; iii) stimulate *Helicobacter pylori* colonization; and iv) produce cellular damage (80).

A high consumption of vegetables and fruits might counteract the significant risk of micronutrients deficiencies in cancer patients, due to the alteration of the digestion process and absorption of vitamins and minerals (71). In addition, vegetables and fruits are rich in phytochemicals with chemoprotective functions in the different stages of cancer (81–84). These involve the targeting of cellular signalling transduction pathways responsible for tumour progression, mainly by downregulating nuclear factor kappa-light-chain-enhancer of activated B cells (NF- $\kappa$ B) (81,85). Phytochemicals in vegetables and fruits also have antioxidant properties and are able to repair DNA damage and modulate phase I and II metabolic reactions (86,87). These compounds seem induce apoptosis, anti-proliferation, anti-invasion and autophagy by mechanisms such as caspase activation, cell cycle arrest and the inhibition of



neovascularization (81,88), through protein kinase pathways (81,89). In addition, phytochemicals can activate the p53 signalling pathway in response to stress signals and regulate the expression of tumour suppressor miRNAs (90–93). Vegetables and fruits are also rich in dietary fibre, which has been associated with a decrease in overall mortality in some cancers (2,94,95). The protective effect of dietary fibre lies mainly in its active modulation by the gut microbiota, resulting in the production of short-chain fatty acids with anticarcinogenic properties (96). Also relevant is that fibre may reduce the intestinal transit time and secondary bile acid production, and increase the faecal bulk (69). Furthermore, dietary fibre reduces the postprandial glucose and insulin response, as well as inflammation and oxidative stress biomarkers (97–99). Lastly, polyphenols and fibre can act on the microbiota and have been associated with a lower risk of cancer (96,100).

A limitation of the present study is that it is based on low-level evidence according to the GRADE scale, due to the observational design and the small number of studies carried out for each outcome and cancer site. Only cohort studies, mainly prospective, were included in the systematic review and meta-analysis. The low number of studies quantified in each subgroup (maximum of 4) precluded the performance of analyses of sensitivity. Nevertheless, according to the NOS scale, the quality of all the studies included was high (7-9 scores), which was corroborated by the items evaluated from the nut-STROBE scale. In general, studies were adjusted for the main potential confounders and the follow-up was of adequate length. Moreover, the population sample in most of the studies was representative of the general population, and the events were registered with medical certificates or report linkage. Another potential limitation is dietary measurement error, although vegetable and fruit consumption was assessed by validated dietary questionnaires, mainly those of food frequency. Consumption categories (quantiles) were based on the intake of each cohort, with considerable variability between studies, which complicates the comparison of results. Most studies only reported the

pre-diagnosis diet and therefore potential dietary changes after cancer diagnosis and treatment were not accounted for. In addition, some studies limited the analysis to smokers or non-smokers, or to males or females, and the results from these specific cohorts cannot be generalised. Another limitation was the disparity between studies in classifying vegetables when evaluating subgroup intake, in contrast with fruits, which are clearly divided into citrus and non-citrus. A homogeneous classification of vegetables should be established to facilitate interpretation of study results. Nevertheless, this meta-analysis supposes an advantage compared with previous studies. This investigation is focused on the associations between the intake of vegetables and fruits, as well as their subgroups, and cancer prognosis. To date, only recommendations of vegetable and fruit intake for breast cancer survivors have been published (2).

## 5. Conclusions

In the last decades, dietary recommendations have been mainly focused on the primary prevention of non-communicable diseases, for which the World Health Organization (WHO) suggests a consumption of 400 g/day (5 servings/day) of vegetables and fruits (101). In addition, a systematic and dose-response meta-analysis concluded that the consumption of 550-600 g/day (7-7.5 servings/day) of vegetables and fruits was associated with a ~14% lower risk of total incident cancer (102). To date, dietary recommendations for cancer prevention are also given to survivors, despite possible differences in the associations with cancer incidence and cancer prognosis. Both the American Cancer Society (ACS) nutritional and physical activity guidelines for cancer survivors and the European Society for Clinical Nutrition and Metabolism (ESPEN) guidelines on nutrition in cancer patients are in parallel with the current public health lines for adults, which recommend to follow a diet high in vegetables, fruits and wholegrains.

Those recommendations are based on the consideration that survivors have a high risk to suffer a second primary cancer or other chronic diseases (71,103).

According to our findings, the current recommendations based on the consumption of 5 or more servings of vegetables or fruits per day seem to be suitable to ovarian cancer patients. However, a higher amount of vegetables (8 or more servings per day) may increase survival in the case of head and neck cancer patients. It is important to bear in mind that none of the studies presented have detected a harmful relationship with the consumption of vegetables and fruits in cancer patients. Despite that, further studies taking into account diet after cancer diagnosis are warranted to establish specific dietary recommendations for other site-specific cancer survivors.

Overall, the results of our study are in agreement with the recommendations of the WCRF/AICR report (63) on cancer prevention and with the WHO recommendations for the general population (101). The general current advice for cancer survivors would be to consume at least 2 to 3 cups of vegetables and 1.5 to 2 cups of fruits per day in order to reduce the risk of cancer recurrence and mortality, as well as overall mortality.

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#### 4. RESULTADOS

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**Figure titles and legends**

**Figure 1.** Flow chart of the study selection for the systematic review and meta-analysis.

**Figure 2.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in head and neck cancer patients, comparing the highest vs. lowest intake of vegetable (A) and fruit (B) intake category.

**Figure 3.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in ovarian cancer patients, comparing the highest vs. lowest vegetable (A) and fruit (B) intake categories.

**Figure 4.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in non-Hodgkin lymphoma patients, comparing the highest vs. lowest vegetable (A) and fruit (B) intake categories.

**Figure S1.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in ovarian cancer patients, comparing the highest vs. lowest cruciferous intake categories.

Table 1. Summary of studies included in the systematic review and meta-analysis evaluating association between total vegetable and fruit consumption and aerodigestive cancer prognosis.

Cancer Site	Outcome	Country	Follow-up (years)	Sample Size (cases)	Sex (M/F)	Age (years)	Dietary assessment Timeframe	Exposure categorization	HR/RR (95% CI)	Adjustments	Author, Year [Ref.]
Head and neck	All-cause mortality	UK	3.2 (mean)	2,202 (445)	M/F	≥16	FFQ	<b>Vegetables</b> T3 (>1 portions/day) vs. T1 (<5 portions/week)	0.79 (0.61-1.03)	Age, gender, site, stage, comorbidity, treatment intent, education, relationship status,	Lang <i>et al.</i> , 2019 (50)
							Pre-diagnosis (over the last previous year of diagnosis)	T3 (>1 portions/day) vs. T1 (<1 portions/week)	0.91 (0.67-1.23)	income, smoking, alcohol and fried food	
Nasopharyngeal	All-cause mortality	China	3.22 (median)	1533 (243)	M/F	46.1 (mean)	FFQ	<b>Fruits</b> Daily or more vs. fewer than monthly servings	0.78 (0.53-1.14)	Age, sex, marital status, education level, clinical stage, smoking status, alcohol intake and BMI	Shen <i>et al.</i> , 2012 (51)
							Pre-diagnosis (pretreatment evaluation)				
Head and neck	All-cause mortality	US	2.7 (median)	\$04 (166)	M/F	58.8 (mean)	Williet FFQ	<b>Vegetables</b> Lowest (none to 2-4 per week) vs. highest	0.82 (0.59-1.15)	Age, sex, race, sleep score, educational level, marital status, cancer site,	Duffy <i>et al.</i> , 2009 (34)
		UM					Pre-diagnosis (1 year prior the	<b>Fruits</b>	1.26	tumor stage,	
		HN-SPORE									

program	Spain	3.13 (mean)	146 (74)	M/F	newly diagnosis)	Lowest (none to month) vs. highest	(0.88-1.81)	comorbidities, treatment received, smoking status, alcohol consumption and physical activity	Sandoval <i>et al.</i> , 2009 (54)
Oral cavity and oropharynx	ns				Questionnaire or a trained interviewer	Vegetables T3 ( $\geq 8$ ) vs. T1 ( $\leq 4$ ) servings/week	<b>Pre:</b> 0.54 (0.30-0.98) <b>Post:</b> 0.14 (0.04-0.50)	Age, gender, clinical stage, and tumor site	
				Categories: <50, 50-59, 60-69 and $\geq 70$	Pre-diagnosis (baseline)	<b>Fruits</b> T3 ( $\geq 8$ ) vs. T1 ( $\leq 4$ ) servings/week	<b>Pre:</b> 1.26 (0.73-2.18) <b>Post:</b> 0.77 (0.36-1.64)		
Cancer cause- specific mortality		3.13 (mean)	146 (49)		Post-diagnosis (1 year after diagnosis)	<b>Vegetables</b> T3 ( $\geq 8$ ) vs. T1 ( $\leq 4$ ) servings/week	<b>Pre:</b> 0.61 (0.29-1.31) <b>Post:</b> 0.14 (0.03-0.69)		
						<b>Fruits</b> T3 ( $\geq 8$ ) vs. T1 ( $\leq 4$ ) servings/week	<b>Pre:</b> 1.04 (0.52-2.10) <b>Post:</b> 0.89 (0.36-1.64)		







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				(before examination and diagnosis, in the first visit to the hospital)							
Colorectal	Recurrence	US	10	1,667 (738)	M/F	137-item FFQ	<b>Vegetables</b>	0.93	Age, sex, center, race, Kunzmann <i>et al.</i> , 2016 (43)		
							T3 ( $\geq 1.47$ ) vs. T1 ( $< 1.06$ )	(0.69-1.25)	energy intake, year of follow-up screening, adenoma at T0, T3 or T5,		
		PLCO intervention group			55-74	Pre-diagnosis (1 year before diagnosis)	FPED	cup	adequate screening at T0, T3 or T5, processed meat intake per 1,000kcal		
							<b>Fruits (excluding juice)</b>	0.96	adequate screening at T0, T3 or T5, processed		
							T3 ( $\geq 0.9$ ) vs. T1 ( $< 0.48$ )	(0.70-1.32)			
							FPED	cup	meat intake per 1,000kcal		
							equivalents/1,000 kcal/day		and smoking history		
							<b>Vegetables and fruits</b>	0.87			
							T3 ( $\geq 2.8$ ) vs. T1 ( $< 1.99$ )	(0.64-1.20)			
							FPED	cup			
							equivalents/1,000 kcal/day				
Colorectal	Recurrence	Norway	3	87 (53)	M/F	5-Day Dietary Record	<b>Vegetables</b>	1.2	Colorectal cancer in a first-degree relative, BMI		Almendingen <i>et al.</i> , 2004 (44)
							Highest vs. lowest	(0.5-2.9)			

	Cases of a	65	Cut off: 110 g/day	and type of intervention
	placebo-con	(median)	<b>Fruits and berries</b>	0.3
	trolled		Highest vs. lowest	(0.1-0.9)
	follow-up		Cut off: 200 g/day	
	and			
	intervention			
	study			
Colorectal	France	148 (46 M/F)	Questionnaire	Age, sex, tumor stage, Dray <i>et al.</i> ,
	All-cause	10	<b>Vegetables</b>	1.09
	mortality	deaths	by meal and T3 vs. T1	(0.49-2.45)
	Cases of a	5	Questionnaire	0.84
	case-control	5 (RR of after 30-79	<b>Fruits</b>	(0.37-1.88)
	study	years and	by list of foods	
		70 after	T3 vs. T1	
		10 years)		
			Pre-diagnosis	
			(1 year before	
			diagnosis)	
Lung	China	1052 M	FFQ	District of residence, age Li <i>et al.</i> ,
	All-cause	9.1	<b>Vegetables and fruits</b>	0.86
	mortality	months (869)	Occasional consumer (<1	(0.72-1.02)
	Population	(median)	servings/day) vs. frequent	history in first-degree
	from case-	≤80	consumers (≥1 serving/day)	relatives, education level,

	control study		asked about consumption of past year)		family income, stage at diagnosis, smoking status, smoking pack-years, and treatment	
Lung	All-cause mortality	Denmark 1993-199	From 286 (ns) M/F	192-item FFQ Vegetables T3 (160-536) vs. T1 (16-88)	0.84 (0.59-1.21)	Sex, age, extent of disease, duration of smoking and potato and fruit/vegetable intake
		Diet, Cancer and Health	7 to death Current smokers or 2004	Pre-diagnosis (16-88)	0.81 (0.58-1.15)	smoking and potato and fruit/vegetable intake
						T3 (143-671) vs. T1 (0-51) g/day

\*Retrospective cohort study; BMI: body mass index; FFQ: food frequency questionnaire; HN5000: Head & Neck 5000; M/F: male and female; ns: not specified; PLCO:The Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Trial

Table 2. Summary of studies included in the systematic review and meta-analysis evaluating association between total vegetable and fruit consumption and genital and urinary cancer prognosis.

Cancer Site	Outcome	Country	Follow-up (years)	Sample Size (cases)	Sex	Age (years)	Dietary assessment	Exposure categorization	HR/RR (95% CI)	Adjustments	Author, Year	
							Timeframe					
Ovarian	All-cause mortality	Australia	5.9 (mean)	811 (547)	F		135-item FFQ	<b>Vegetables</b> T3 ( $\geq 5$ ) vs. T1 ( $< 3$ )	0.88 (0.68-1.13)	Age at diagnosis, International Federation of Gynaecology and	Playdon <i>et al.</i> , 2017 (57)	
		ns			18-79		Pre-diagnosis (> 1 year before diagnosis)	servings/day <b>Fruits</b> T3 ( $\geq 4$ ) vs. T1 (none or <2) servings/day	0.82 (0.63-1.07)	Obstetrics (FIGO) stage, amount of residual disease, grade, tumor subtype, smoking status, BMI, physical activity index, marital status, and daily caloric intake	2017 (57)	
Ovarian	All-cause mortality	US	From 1995 to 2012	636 (354)	F		WHI FFQ	<b>Vegetables</b> T3 (5) vs. T1 (2.5)	0.70 (0.47-1.04)	Age at diagnosis, stage at diagnosis, race/ethnicity, diabetes,	Thomson <i>et al.</i> , 2014 (58)	
	Cancer cause-specific mortality	WHI		636 (305)	50-79		Pre-diagnosis (>1 year before diagnosis)	points <b>Fruits</b> T3 (5) vs. T1 (2.5) points <b>Vegetables</b> T3 (5) vs. T1 (2.5) points	0.82 (0.55-1.21) 0.76 (0.48-1.20)	physical activity, total energy intake, waist circumference, family history of ovarian cancer, and clinical trial arms	2014 (58)	

						<b>Fruits</b>	<b>0.84</b>		
						T3 (5) vs. T1 (2.5)	(0.54-1.28)		
						points			
Overran	All-cause mortality	US	Up 10	341 (176)	F	60-item FFQ	<b>Vegetables</b>	0.66	Age group, race, stage, grade, Dolecek
							T3 (≥14) vs. T1 (<7)	(0.43-1.01)	residual lesions, smoking status, <i>et al.</i> ,
							Servings/week		BMI, oral contraceptive use, 2010 (59)
					18-74	Pre-diagnosis	<b>Fruits</b>	0.67	parity, and total energy intake
						(3-5 years before diagnosis)	T3 (≥14) vs. T1 (<7)	(0.44-1.04)	
							Servings/week		
							<b>Vegetables and fruits</b>	0.61	
							<b>fruits</b>	(0.38-0.98)	
							T3 (≥35) vs. T1 (<21)		
							Servings/week		
Overran	All-cause mortality	Australia	7.3 (mean)	609 (394)	F	119-item FFQ	<b>Vegetables</b>	0.75	FIGO stage, age, grade, total
							T3 (>5.56) vs. T1 (0.57-0.99)		energy intake and BMI
					18-79	Pre-diagnostic (<3.9) Servings/day (1 year before diagnostic)	<b>Fruits</b>	0.89	<i>Nagle et al., 2003</i>
							T3 (>4.49) vs. T1 (0.67-1.18)		(60)





Fruits (cut off=247)									
Bladder	First recurrence	UK	3.7 (mean)	Pre-diagnosis: 728 (241)	M/F 69 (mean)	16-item FFQ Pre-diagnosis (<1.5)	Vegetables T3 (>2.5) vs. T1 (0.74-1.41)	Pre: 1.02 Post: 0.77 (and additionally adjusted for 2018 (49)	Age, sex, smoking status, tumor stage, grade, size, and multiplicity <i>et al.</i>
		Part of BCPP		Post-diagnosis: 389 (144)		(1 year before diagnosis)	portions/day (0.50-1.18)	re-resection of a bladder tumor Pre: 0.85 (second transurethral resection) in Post-diagnosis Fruits (0.63-1.14) the time to multiple recurrences	
						(1 year after diagnosis)	T3 (>1.5) vs. T1 (<1)	Post: 1.07 analysis) (0.78-1.47)	
						portions/day	Pre: 1.07 (0.78-1.47)		
						<b>Vegetables and fruits</b>	<b>Post: 0.65</b> (0.42-1.01)		
	Multiple recurrence			Pre-diagnosis: 728 (391)		T3 (>4) vs. T1 (<2.5)	Pre: 0.97 (0.86-1.11)		
				Post-diagnosis: 389 (221)		portions/day	Post: 0.96 (0.74-1.09)		
						<b>Vegetables</b>	Pre: 1.05 T3 (>2.5) vs. T1 (0.91-1.20)		



cause-specific mortality	6.42 (median)	T3 (>51) vs. T1 (<27.5)	1.06 (0.63-1.78)
		servings/months	
		<b>Vegetables</b>	
		T3 (>85.5) vs. T1 (<52)	1.09 (0.66-1.81)
		servings/months	
		<b>Fruits</b>	
		T3 (>51) vs. T1 (<27.5)	
		servings/months	

BCPP: Bladder Cancer Prognosis Programme; BMI: body mass index; FFQ: food frequency questionnaire; M/F: male and female; ns: not specified; RPCC: Roswell Park Cancer Institute; WHI: Women's Health Initiative

Table 3. Summary of studies included in the systematic review and meta-analysis evaluating association between total vegetable and fruit consumption and other cancer prognosis.

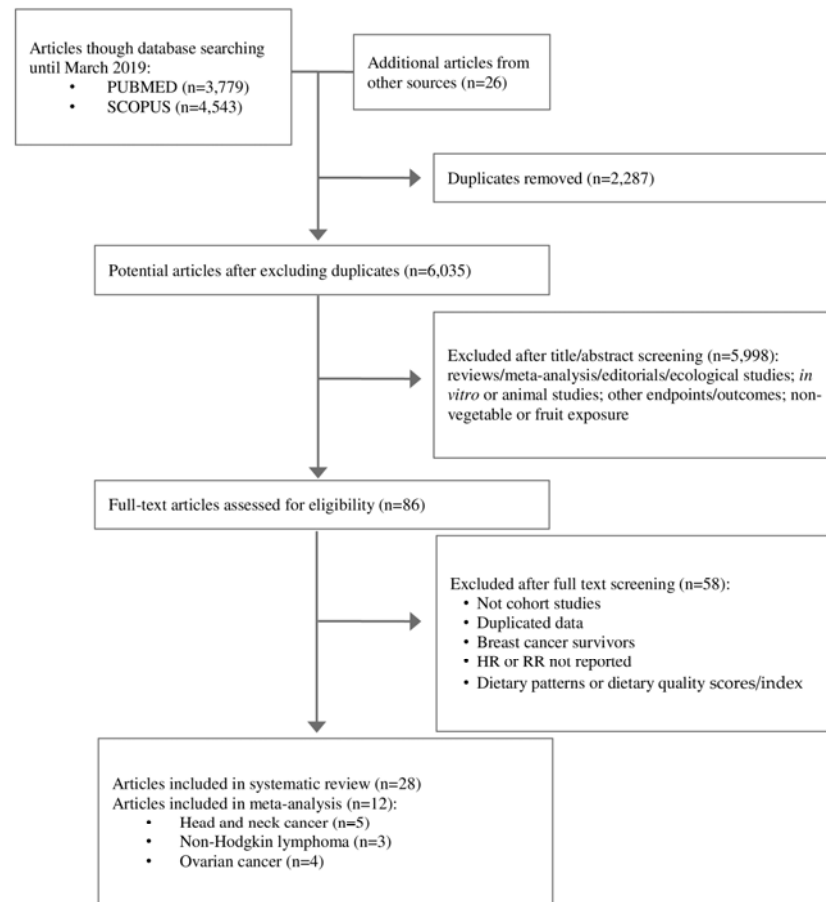
Cancer Site	Outcome	Country	Follow-up (years)	Sample Size (cases)	Sex	Age (years)	Dietary assessment Timeframe	Exposure categorization	HR/IRR (95% CI)	Adjustments	Author, Year [Ref.]
NHL	All-cause mortality	US	8.2 (mean)	301 (91)	M/F		117-item FFQ	<b>Vegetables</b> T3 (>102.1) vs. T1 (<66.1)	0.9 (0.5-1.5)	Age, sex, education, smoking status and total energy intake	Ollberding <i>et al.</i> , 2013 (61)
		ns			20-75		Pre-diagnosis (1 year before diagnosis)	<b>Fruits</b> T3 (>138.1) vs. T1 (<69.5)	0.9 (0.5-1.6)		
NHL	All-cause mortality	US	7.7 (median)	568 (250)	F	21-84	FFQ	<b>Vegetables and fruits</b> T3 (>259.7) vs. T1 (<147.8)	0.58 (0.38-0.89)	Age, education, stage, B-symptom, initial treatment and total energy intake	Han <i>et al.</i> , 2010 (52)
		Population-based case-control study					Pre-diagnosis (1 year before diagnosis)	<b>Vegetables and fruits</b> Cut off: 3			

	servings/day	0.91
<b>Fruits</b>	(0.70-	
Highest vs. lowest	1.18)	
Cut off: 2		
servings/day	0.68	
<b>Vegetables and</b>	<b>(0.49-</b>	
<b>fruits</b>	<b>0.95)</b>	
Highest vs. lowest		
Cut off: 5	0.58	
servings/day	(0.33-	
<b>Vegetables</b>	<b>1.03)</b>	
Highest vs. lowest		
Cut off: 3	1.04	
servings/day	(0.74-	
<b>Fruits</b>	<b>1.45)</b>	
Highest vs. lowest		
Cut-off: 2	0.58	
servings/day	(0.33-	
<b>Vegetables and</b>	<b>1.03)</b>	
Cancer cause-specific mortality	568 (148)	

		<b>fruits</b>									
		Highest vs. lowest									
		Cut	off:	5							
		servings/day									
NHL	All-cause mortality	US	4.5	2,339	M/F	56-item FFQ	<b>Vegetables</b>	0.98	Age at cohort entry, age at diagnosis, sex, BMI,	Leo <i>et al.</i> , 2016(53)	
		MEC	(mean)	(1348)	45-75	Pre-diagnosis (baseline)	T3 ( $\geq 179.9$ ) vs. T1 ( $< 120.8$ )	(0.85-1.12)	education, comorbidity,		
					(mean at diagnosis: 71.8)		g/4184 KJ/day		NHL type, stage, treatment, smoking status and alcohol intake		
							<b>Fruits</b>	1.03			
							T3 ( $\geq 201.3$ ) vs. T1 ( $< 98.6$ )	(0.90-1.19)			
							g/4184 KJ/day				
	Cancer cause-specific mortality			2,339			<b>Vegetables</b>	0.98			
				(903)			T3 ( $\geq 179.9$ ) vs. T1 ( $< 120.8$ )	(0.83-1.16)			
							g/4184 KJ/day				
							<b>Fruits</b>	1.04			
							T3 ( $\geq 201.3$ ) vs. T1 ( $< 98.6$ )	(0.88-1.24)			

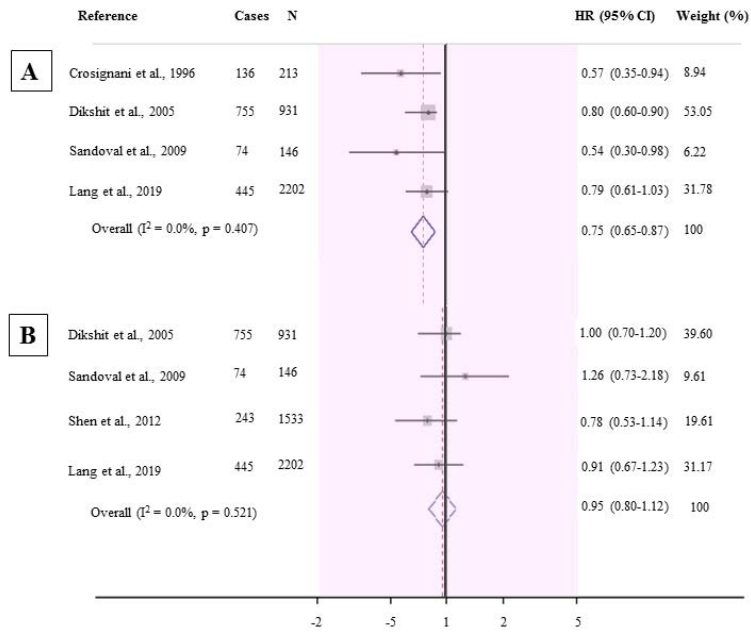
				g/4184 KJ/day					
Melanoma	Cancer	US	16 years 249 (92)	M/F	Dietary interview	<b>Fruits</b>	0.66	Breslow thickness, age at diagnosis, sex, ulceration	Gould Rothberg et al., 2014 (37)
	cause-specific mortality	From Connecticut	(median)	Categories: $\leq 65$ and $>65$	Pre-diagnosis (at the time of diagnosis)	At least daily vs. less than daily	(0.42-1.04)		
		Skin							
		Self-Examination							
		Case-Control							
		Study							

BMI: body mass index; FFQ: food frequency questionnaire; M/F: male and female; MEC: Multicentric Cohort Study; NHL: Non-Hodgkin lymphoma; ns: not specified

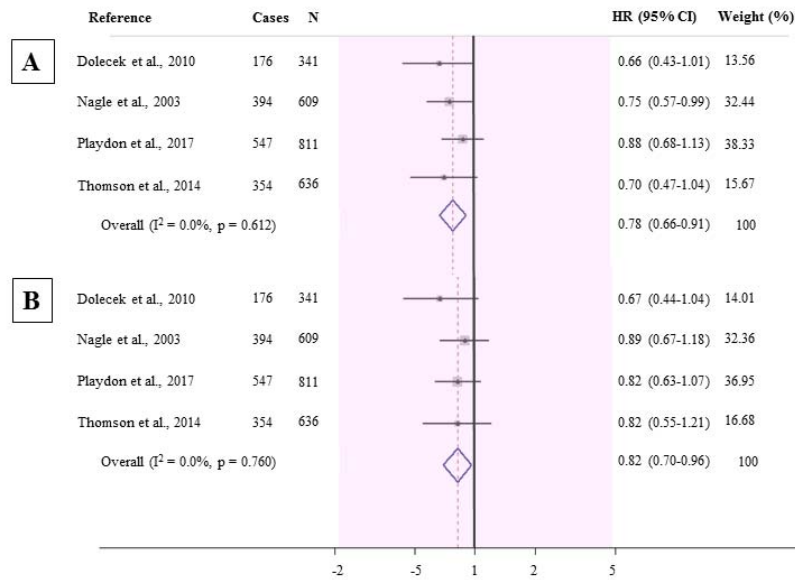


**Figure 1.** Flow chart of the study selection for the systematic review and meta-analysis.

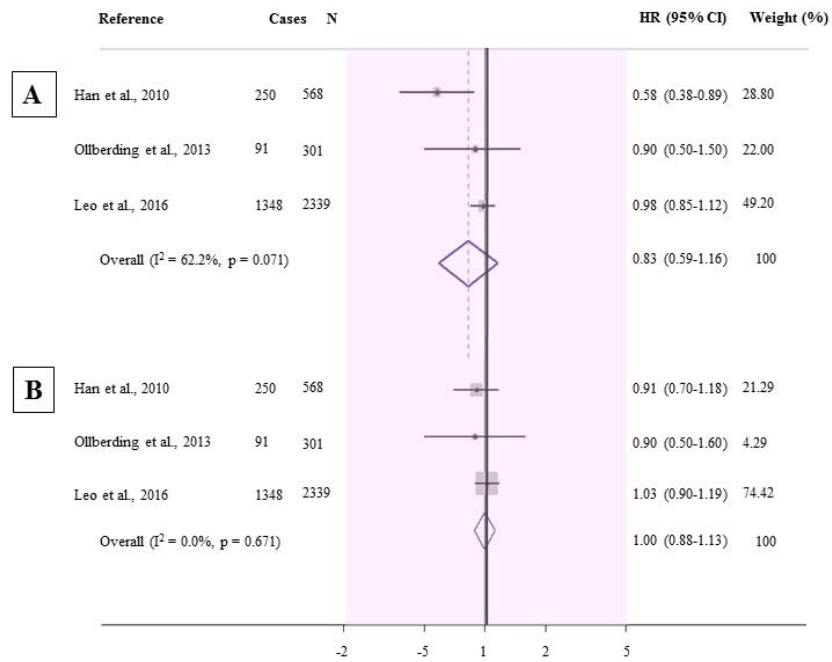




**Figure 2.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in head and neck cancer patients, comparing the highest vs. lowest intake of vegetable (A) and fruit (B) intake category.



**Figure 3.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in ovarian cancer patients, comparing the highest vs. lowest vegetable (A) and fruit (B) intake categories.



**Figure 4.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in non-Hodgkin lymphoma patients, comparing the highest vs. lowest vegetable (A) and fruit (B) intake categories.

## Supplementary Material

**Figure S1.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in ovarian cancer patients, comparing the highest vs. lowest cruciferous intake categories.

**Table S1.** Summary of studies included in the systematic review and meta-analysis evaluating the association between vegetable and fruit subgroup consumption and aerodigestive cancer prognosis.

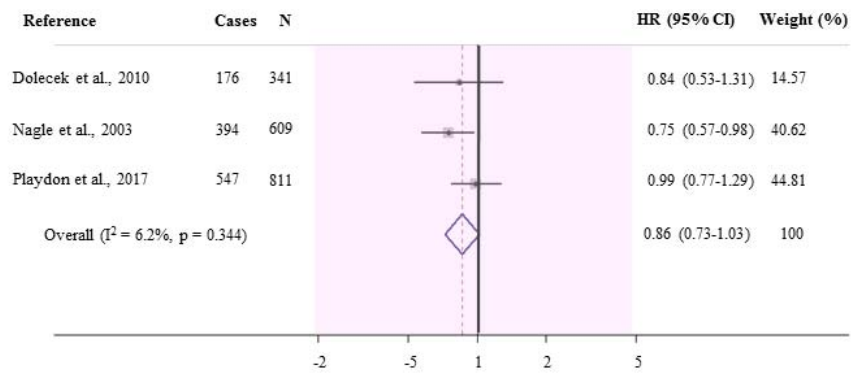
**Table S2.** Summary of studies included in the systematic review and meta-analysis evaluating the association between vegetable and fruit subgroup consumption and genital and urinary cancer prognosis.

**Table S3.** Summary of studies included in this systematic review and meta-analysis evaluating the association between vegetable and fruit subgroup consumption and other cancer prognosis.

**Table S4.** PRISMA checklist.

**Table S5.** Quality assessment of studies included in this systematic review and meta-analysis according to the Newcastle Ottawa Scale.

**Table S6.** Quality assessment of studies included in this systematic review and meta-analysis according to the STROBE-nut Statement.



**Figure S1.** Forest plot showing pooled risk ratios (RRs) with 95% CI for overall mortality risk in ovarian cancer patients, comparing the highest vs. lowest cruciferous intake categories.

Table S1. Summary of studies included in the systematic review and meta-analysis evaluating the association between vegetable and fruit subgroup consumption and aerodigestive cancer prognosis.

Cancer Site	Outcome	Country Cohort name	Follow-up (years)	Sample Size (cases)	Sex Age (years)	Dietary assessment Timeframe	Exposure categorization	HR/RR (95% CI)	Adjustments	Author, Year (Ref.)
Laryngeal	All-cause mortality	Italy Population-based case-control study	Up to 10	215 (136)	M 59 (median)	Dietary questionnaire Pre-diagnosis (1 year before diagnosis)	<b>Citrus fruits</b> T3 (1680) vs. T1 (0) g/week <b>Other fruits</b> T3 (4620) vs. T1 (580) g/week	0.76 (0.49-1.19) 0.65 (0.39-1.07)	Age at diagnosis, clinical stage, occurrence of new primaries and total calorie intake	Crosignani <i>et al.</i> , 1996 (56)
Oesophagus	All-cause mortality	China ns	4.1 (median)	185 (ns)	M/F >70	Modified FTQ Pre-diagnosis (1 year before diagnosis)	<b>Preserved vegetables</b> Highest vs. lowest Cut-off: $\geq 1$ time/week	1.58 (1.01-2.47)	Age and sex	Shi <i>et al.</i> , 2018 (38)
Gastric	Cancer cause-specific mortality	Japan ns*	Up to 10	877 (241)	M/F 40-79	Questionnaire for a trained interviewer about beverage and food intake Pre-diagnosis (before examination and diagnosis, in the first hospital visit)	<b>Raw vegetables</b> Highest vs. lowest Cut-off: $>3$ times/week. <b>Carrot</b> Highest vs. lowest Cut-off: $>3$ times/week <b>Pumpkin</b> Highest vs. lowest Cut-off: $>3$ times/week <b>Cabbage</b> Highest vs. lowest Cut point: $>3$ times/week <b>Lettuce</b> Highest vs. lowest Cut point: $\geq 3$ times/week	0.74 (0.56-0.99) 0.67 (0.45-1.01) 1.00 (0.62-1.62) 0.88 (0.61-1.29) 0.82 (0.57-1.17)	Age, gender, and pathological type and stage of cancer	Huang <i>et al.</i> , 2000 (42)
Colorectal	Colorectal all-cause mortality Colon all-cause mortality Rectal all-cause mortality	Japan Cases of CRC from BBJ	7.4 (median)	1,709 (559)	M/F $\geq 20$	Dietary interview Post-diagnosis (within 90 days after diagnosis)	<b>Green leafy vegetable consumption</b> Q1 (almost never) vs. Q4 (almost every day) <b>Green leafy vegetable consumption</b> Q1 (almost never) vs. Q4 (almost every day) <b>Green leafy vegetable consumption</b> Q1 (almost never) vs. Q4 (almost every day)	1.87 (1.22-2.88) 2.06 (1.10-3.86) 1.40 (0.70-2.83)	Age and entry year	Tamakoshi <i>et al.</i> , 2017 (39)
Pancreas	All-cause mortality	Italy From hospital-based case-	10 months (median) Up to 27	648 (612)	M/F 2 cohorts of 59 and 63	Dietary interview Pre-diagnosis (up to the time of	<b>Green vegetables</b> Highest vs. lowest Cut-off: $\geq 7$ portions/week	0.96 (0.81-1.14)	Age and calendar period at diagnosis, study centre, and sex	Pellecchi <i>et al.</i> , 2014 (40)

	control studies		years	diagnosis)						
			(median)							
Lung	All-cause mortality	Denmark	From 1993-1997 to death or 2004	286 (ns)	M/F	192-item FFQ	Leaky T3 vs. T1 Fruiting T3 vs. T1 Root T3 vs. T1 Cabbage T3 vs. T1 Onion T3 vs. T1 Stalk T3 vs. T1	0.77 (0.46-1.28) 1.01 (0.59-1.74) 0.86 (0.54-1.36) 0.99 (0.65-1.50) 0.94 (0.62-1.43) 0.68 (0.44-1.07)	Sex, extent of disease, duration of smoking, intake of fruit, potatoes and the other subcategories studied	Skuladottir <i>et al.</i> , 2006 (47)
Lung	Cancer cause-specific mortality	China	13.2 (mean)	547 (393)	F	Semi-quantitative 77-item FFQ	Cruciferous vegetables Q4 (≥118.7) vs. Q1 (<53.2) g/day	0.69 (0.49-0.95)	Age at diagnosis, total energy intake, BMI, tea drinking, cigarette smoking, intakes of fruits and non-cruciferous vegetables	Wu <i>et al.</i> , 2015 (41)
		SWHS study			59.2±8.5	Pre-diagnosis (at baseline and the first follow-up conducted 2-3 years after baseline)				

\*Retrospective cohort study; M: male; F: female; M/F: male and female; BMI: body mass index; FFQ: food frequency questionnaire; ns: not specified.

Table S2. Summary of studies included in the systematic review and meta-analysis evaluating the association between vegetable and fruit subgroup consumption and genital and urinary cancer prognosis.

Cancer Site	Outcome	Country Cohort name	Follow-up (years)	Sample Size (cases)	Sex Age (years)	Dietary assessment Timeframe	Exposure categorization	HR/RR (95% CI)	Adjustments	Author, Year (Ref.)
Ovarian	All-cause mortality	Australia	5.9 (mean)	811 (547)	F	135-item FFQ Pre-diagnosis (> 1 year before diagnosis)	<b>Green leafy vegetables</b> T3 ( $\geq 0.67$ ) vs. T1 (none or <0.33) servings/day	0.79 (0.62-0.99)	Age at diagnosis, International Federation of Gynaecology and Obstetrics (FIGO) stage, amount of residual disease, grade, tumour subtype, smoking status, BMI, physical activity index, marital status, and daily caloric intake	Playdon <i>et al.</i> , 2017 (57)
		ns			18-79		<b>Cruciferous vegetables</b> T3 ( $\geq 1.5$ ) vs. T1 (none or <0.75) servings/day <b>Red/yellow vegetables</b> T3 ( $\geq 2$ ) vs. T1 (none or <1) servings/day	0.99 (0.77-1.29) 0.91 (0.69-1.20)		
Ovarian	All-cause mortality Cancer cause-specific mortality	US	From 1995 to 2012	636 (354)	F	WHI FFQ Pre-diagnosis (>1 year before diagnosis)	<b>Dark green and orange vegetables, legumes</b> T3 (5) vs. T1 (>2.5) points	1.10 (0.71-1.72)	Age at diagnosis, stage at diagnosis, race/ethnicity, diabetes, physical activity, total energy intake, waist circumference, family history of ovarian cancer, and clinical trial arms	Thomson <i>et al.</i> , 2014 (58)
		WHI		636 (305)	50-79		<b>Dark green and orange vegetables, legumes</b> T3 (5) vs. T1 (>2.5) points	1.17 (0.74-1.87)		
Ovarian	All-cause mortality	US	Up to 10	341 (176)	F	60-item FFQ	<b>Yellow vegetables</b> T3 ( $\geq 3$ ) vs. T1 (<1) servings/week	0.61 (0.39-0.94)	Age group, race, stage, grade, residual lesions, smoking status, BMI, oral contraceptive use, parity, and total energy intake	Dolecek <i>et al.</i> , 2010 (59)
		ns			18-74	Pre-diagnosis (3-5 years before diagnosis)	<b>Green vegetables</b> T3 ( $\geq 7$ ) vs. T1 (<3) servings/week <b>Cruciferous vegetables</b> T3 ( $\geq 3$ ) vs. T1 (<1) servings/week <b>Citrus fruits</b> T3 ( $\geq 7$ ) vs. T1 (<3) servings/week <b>Other fruits</b> T3 ( $\geq 7$ ) vs. T1 (<3) servings/week	0.71 (0.47-1.07) 0.84 (0.53-1.31) 0.82 (0.53-1.26) 0.71 (0.46-1.09)		
Ovarian	All-cause mortality	Australia	7.3 (mean)	609 (394)	F	119-item FFQ	<b>Cruciferous vegetables</b> T3 ( $\geq 0.83$ ) vs. T1 (<0.41)	0.75 (0.57-0.98)	FIGO stage, age, grade, total energy intake and BMI	Nagle <i>et al.</i> , 2003 (60)
		ns			18-79	Pre-diagnosis (1 year before diagnosis)	<b>Yellow vegetables</b> T3 ( $\geq 0.99$ ) vs. T1 (>0.6) servings/day	0.98 (0.73-1.31)		
Prostate	All-cause mortality	Italy	7.5 (median)	777 (263)	M	78-item FFQ	<b>Raw vegetables</b> Q4 ( $\geq 114$ ) vs. Q1 (<53) g/day	0.64 (0.44-0.91)	Area of residence at diagnosis, calendar period, age at diagnosis, years of education, Gleason score, BMI, smoking habits, and total energy intake	Tahorelli <i>et al.</i> , 2016 (48)
		ns*			66 (median)	Pre-diagnosis (2 years before diagnosis)	<b>Cooked vegetables</b> Q4 ( $\geq 92$ ) vs. Q1 (<35) g/day <b>Citrus fruits</b> Q4 ( $\geq 38$ ) vs. Q1 (<5) g/day <b>Non-citrus fruits</b> Q4 ( $\geq 340$ ) vs. Q1 (<120) g/day <b>Raw vegetables</b>	1.18 (0.82-1.68) 0.77 (0.53-1.10) 0.61 (0.43-0.87) 0.49		
	Cancer cause-		5.7 (median)	777 (81)						



specific mortality	USA RPCL	8 (mean) or 6-42 (median)	239 (179)	M/F, Categories: <60, 60-70 and >70	44-item FFQ Pre-diagnosis (few years before diagnosis)	Q4 (≥14) vs. Q1 (<5) g/day Cooked vegetables Q4 (≥92) vs. Q1 (<35) Citrus fruits Q4 (≥38) vs. Q1 (<5) g/day Non-citrus fruits Q4 (≥340) vs. Q1 (<120) g/day	(0.26-0.91) 1.55 (0.79-3.05) 1.34 (0.72-2.51) 0.66 (0.33-1.33)	Age at diagnosis, total meat intake, pack-years of smoking, tumour stage and radiation therapy	Tang <i>et al.</i> , 2010 (36)
All-cause mortality	USA RPCL	8 (mean) or 6-42 (median)	239 (179)	M/F, Categories: <60, 60-70 and >70	44-item FFQ Pre-diagnosis (few years before diagnosis)	<b>Cruciferous</b> T3 (>14) vs. T1 (>5.5) servings/months <b>Raw cruciferous</b> T3 (>3) vs. T1 (<1) servings/months	0.87 (0.60-1.26) 0.89 (0.53-1.48)		
Cancer cause-specific mortality						<b>Cruciferous</b> T3 (>14) vs. T1 (>5.5) servings/months <b>Raw cruciferous</b> T3 (>3) vs. T1 (<1) servings/months	0.89 (0.53-1.48) 0.73 (0.44-1.21)		

\*Retrospective cohort study; M: male; F: female; M/F: male and female; BMI: body mass index; FFQ: food frequency questionnaire; ns: not specified.

Table S3. Summary of studies included in this systematic review and meta-analysis evaluating the association between vegetable and fruit subgroup consumption and other cancer prognosis.

Cancer Site	Outcome	Country Cohort name	Follow-up (years)	Sample Size (cases)	Sex Age (years)	Dietary assessment Timeframe	Exposure categorization	HR/RR (95% CI)	Adjustments	Author, Year (Ref.)
NHL	All-cause mortality	US ns	8.2 (mean)	301 (91)	M/F 20-75	117-item FFQ	Cruciferous vegetables T3 (>10.7) vs. T1 (<3.7) g/1000kcal/day	0.8 (0.5-1.3)	Age, sex, education, smoking status and total energy intake	Ollberding <i>et al.</i> , 2013 (61)
							Green leafy vegetables T3 (>18.1) vs. T1 (<6.3) g/1000kcal/day	0.8 (0.5-1.3)		
							Carotene-rich vegetables T3 (>7.0) vs. T1 (<2.8) g/1000kcal/day	0.7 (0.4-1.2)		
							Citrus fruits T3 (>57.2) vs. T1 (<17.0) g/1000kcal/day	1.2 (0.7-2.1)		
							Carotene-rich fruits T3 (>17.2) vs. T1 (<6.1) g/1000kcal/day	0.9 (0.5-1.7)		
							Bean vegetables Highest vs. Lowest Cut-point: 0.3	1.14 (0.85-1.54)	Age, education, stage, B-symptoms, initial treatment and total energy intake	
							Cruciferous vegetables Highest vs. Lowest Cut-point: 0.2	0.91 (0.67-1.24)		
							Green leafy vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	0.71 (0.51-0.98)		
							Red vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	1.03 (0.76-1.38)		
							Yellow vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	0.93 (0.69-1.55)		
NHL	Cancer cause-specific mortality	US Population-based case-control study	7.7 (median)	568 (250)	F 21-84	FFQ	Citrus fruits Highest vs. Lowest Cut-point: 1.1 servings/day	0.73 (0.54-0.99)		Han <i>et al.</i> , 2010 (52)
							Bean vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	1.05 (0.71-1.54)		
							Cruciferous vegetables Highest vs. Lowest Cut-point: 0.2 servings/day	0.75 (0.49-1.14)		
							Green leafy vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	0.82 (0.54-1.23)		
							Red vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	1.11		
							Cruciferous vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	0.93 (0.69-1.55)		
							Green leafy vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	0.71 (0.51-0.98)		
							Red vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	1.03 (0.76-1.38)		
							Yellow vegetables Highest vs. Lowest Cut-point: 0.3 servings/day	0.93 (0.69-1.55)		
							Citrus fruits Highest vs. Lowest Cut-point: 1.1 servings/day	0.73 (0.54-0.99)		

Melanoma	Cancer cause-specific mortality	US	16 years (median)	249 (92)	M/F	Categories: $\leq 65$ and $> 65$	Dietary interview Pre-diagnosis (at the time of diagnosis)	Highest vs. Lowest Cut-point: 0.3 servings/day <b>Yellow vegetables</b> Highest vs. Lowest Cut-point: 0.3 servings/day <b>Citrus fruits</b> Highest vs. Lowest Cut-point: 1.1 servings/day	(0.76-1.62) 1.11 (0.77-1.61) 0.81 (0.54-1.20)	Breslow thickness, age at diagnosis, sex, ulceration and metastasis	Gould Rothberg <i>et al.</i> , 2014 (37)
								<b>Green salad</b> Weekly or more vs. Less than weekly	0.87 (0.52-1.44)		

NHL: Non-Hodgkin lymphoma; F: female; M/F: male and female; FFQ: food frequency questionnaire; ns: not specified.

Table S4. PRISMA checklist.

Section/topic	#	Checklist item	Reported on page #
<b>TITLE</b>			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1,2
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria; participants; and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	3
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4-5
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	5
<b>METHODS</b>			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	-
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	5-6
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	5-6
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	5-6
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	6
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	6-7
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	7-8
Summary measures	13	State the principal summary measures (e.g., risk ratio, risk ratio, difference in means).	6
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I <sup>2</sup> ) for each meta-analysis.	8

Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	7-8
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	8
<b>RESULTS</b>			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	8-9
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICO, follow-up period) and provide the citations.	9
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	12
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	9-11
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	9-11
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	12
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	9-11
<b>DISCUSSION</b>			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	12-18
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	18-19
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	19
<b>FUNDING</b>			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	2

*From:* Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. *PLoS Med* 6(7): e1000097. doi:10.1371/journal.pmed1000097

Table S5. Quality assessment of studies included in this systematic review and meta-analysis according to the Newcastle Ottawa Scale.

Study	Topic			Topic		
	Selection n (****)	Comparability (**)	Outcome (****)	Selection (****)	Comparability (**)	Outcome (****)
Almeringen et al., 2004	***	**	***	****	***	**
Crosignani et al., 1996	****	**	***	***	***	***
Dikshit et al., 2005	***	**	***	****	***	***
Dolecek et al., 2010	***	**	***	****	***	**
Duffy et al., 2009	****	**	***	***	***	***
Dray et al., 2003	***	**	***	****	***	***
Feronha et al., 2012	****	**	**	***	***	***
Gould Rothberg et al., 2014	****	**	***	****	***	***
Han et al., 2010	****	**	***	***	***	***
Huang et al., 2000	***	**	***	****	***	***
Jochems et al., 2018	***	**	***	****	***	**
Kuzmann et al., 2016	***	**	***	***	***	***
Lang et al., 2019	****	**	***	****	***	***
Leo et al., 2015	****	**	***	***	***	***
				Li et al., 2017		
				Nagle et al., 2003		
				Ollbering et al., 2013		
				Pelucchi et al., 2014		
				Playdon et al., 2017		
				Sandoval et al., 2009		
				Skuladottir et al., 2006		
				Shen et al., 2012		
				Shi et al., 2018		
				Taborelli et al., 2016		
				Tamakoshi et al., 2017		
				Tang et al., 2010		
				Thomson et al., 2014		
				Wu et al., 2015		

**Table S6.** Quality assessment of studies included in this systematic review and meta-analysis according to the STROBE-nut Statement.

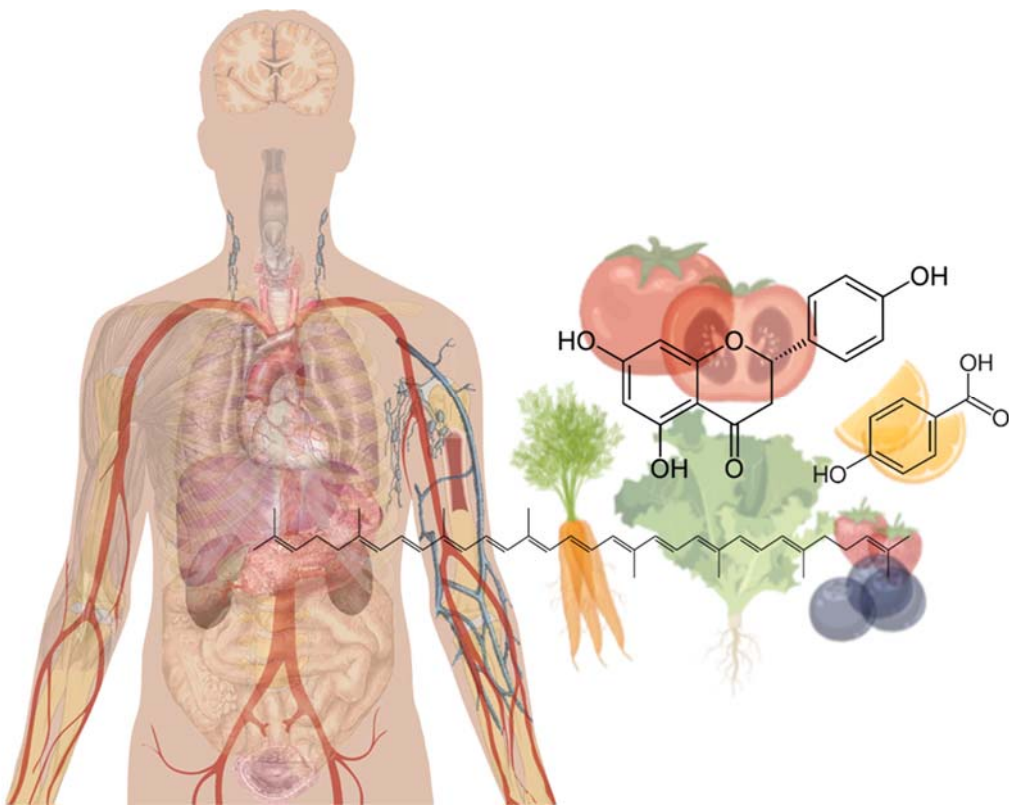
Section	Topic	Checklist Item	% of studies met the criteria	
Title and abstract		Nut-1. State the dietary/nutritional assessment method(s) used in the title, abstract, or keywords. Provide in the abstract an informative and balanced summary of what was done and what was found	35.7 100	
Introduction	Background/rationale	Explain the scientific background and rationale for the investigation being reported	100	
	Objectives	State specific objectives, including any pre-specified hypotheses	100	
Methods	Study design	Present key elements of study design early in the paper	75	
	Setting	Nut-5. Describe any characteristics of the study settings that might affect the dietary intake or nutritional status of the participants, if applicable.	100	
	Participants	Nut-6. Report particular dietary, physiological, or nutritional characteristics that were considered when selecting the target population.	100	
Variables	Data sources/ measurement	Nut-7.1. Clearly define foods, food groups, nutrients, or other food components.	96.4	
		Nut-8.1. Describe the dietary assessment method(s), e.g., portion size estimation, number of days and items recorded, how it was developed and administered, and how quality was assured. Report if and how supplement intake was assessed.	100	
		Nut-8.3. Describe the nutrient requirements, recommendations, or dietary guidelines and the evaluation approach used to compare intake with the dietary reference values, if applicable.	17.9	
		Nut-8.5. Describe the assessment of nondietary data (e.g., nutritional status and influencing factors) and timing of the assessment of these variables in relation to dietary assessment.	100	
		Nut-8.6. Report on the validity of the dietary or nutritional assessment methods and any internal or external validation used in the study, if applicable.	67.9	
		Bias	Nut-9. Report how bias in dietary or nutritional assessment was addressed, e.g., misreporting, changes in habits as a result of being measured, or data imputation from other sources.	21.4
		Study size	Explain how the study size was arrived at	3.6
		Quantitative variables	Nut-11. Explain the categorization of dietary/nutritional data (e.g., use of N-tiles and handling of non-consumers) and the choice of reference category, if applicable.	100
		Statistical methods	Nut-12.1. Describe any statistical method used to combine dietary or nutritional data, if applicable.	100
			Nut-12.2. Describe and justify the method for energy adjustments, intake modeling, and use of weighting factors, if applicable.	50

Results	Participants	Nut-13. Report the number of individuals excluded based on missing, incomplete, or implausible dietary/nutritional data.	82.1
	Descriptive data	Nut-14. Give the distribution of participant characteristics across the exposure variables if applicable. Specify if food consumption of total population or consumers only were used to obtain results.	96.4
	Outcome data	Report numbers of outcome events or summary measures over time	96.4
	Main results	Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g. 95% confidence interval). Make clear which confounders were adjusted for and why they were included	57.1
	Other analyses	Report category boundaries when continuous variables were categorized Report other analyses done—e.g. analyses of subgroups and interactions, and sensitivity analyses	96.4 100
Discussion	Key results	Summarize key results with reference to study objectives	100
	Limitations	Nut-19. Describe the main limitations of the data sources and assessment methods used and implications for the interpretation of the findings.	82.1
	Interpretation	Nut-20. Report the nutritional relevance of the findings, given the complexity of diet or nutrition as an exposure.	100
	Generalizability	Discuss the generalizability (external validity) of the study results	100
Other information	Funding	Give the source of funding and the role of the funders for the present study, and if applicable, for the original study on which the present article is based	89.3
	Ethics	Nut-22.1. Describe the procedure for consent and study approval from ethics committee(s).	82.1
	Supplementary material	Nut-22.2. Provide data collection tools and data as online material or explain how they can be accessed.	35.7





# DISCUSIÓN GLOBAL





## 5. DISCUSIÓN GLOBAL

Las hortalizas y frutas suponen el principal aporte dietético en compuestos bioactivos y su consumo ha sido ampliamente relacionado con la prevención de ENT. En general, estos compuestos bioactivos se conocen comúnmente como fitoquímicos, debido a que se producen a través del metabolismo de las plantas con el fin de desempeñar funciones fisiológicas (fotosintéticas y no fotosintéticas) y de defensa. Estos compuestos, a pesar de no ser esenciales, son muy importantes para el ser humano porque se obtienen exclusivamente a partir de la dieta y presentan propiedades biológicas beneficiosas (antioxidantes, antiinflamatorias, etc), favoreciendo el mantenimiento de la homeostasis. Además, en los últimos años el papel de la microbiota intestinal y su relación con la salud ha adquirido una gran relevancia, demostrándose que los productos metabólicos de la microbiota (ácidos grasos volátiles, ácidos fenólicos, etc) son activos biológicamente y desempeñan funciones vitales. También ha sido fuertemente evidenciada la relación entre la dieta y la composición microbiana, especulándose que una dieta saludable puede tener efectos directos e indirectos sobre la salud.

Por otro lado, el número de casos de ENT aumenta cada año debido al envejecimiento de la población y a otros factores de riesgo (sedentarismo, dieta no saludable, tabaquismo y otros hábitos tóxicos, etc). Las ENT que provocan mayor número de muertes al año son las ECV y el cáncer, siendo las responsables de casi la mitad de la mortalidad en el mundo [14]. Entre los factores de riesgo de estas ENT se incluye el consumo de una dieta pobre en hortalizas y frutas. Numerosos autores han destacado el papel cardioprotector de una dieta rica en compuestos bioactivos [42,67,68]. Sin embargo, la mayoría de los autores apuestan por estudiar los efectos de la dieta en poblaciones de edad avanzada o con alteración de algunos parámetros biológicos (colesterol, presión arterial, etc) que ya tienen una mayor predisposición a sufrir ciertas enfermedades. En cuanto al efecto de la dieta sobre el cáncer, a pesar de que el nivel de evidencia es menor, también se especula que los compuestos bioactivos tienen propiedades quimiopreventivas y pueden disminuir el riesgo de algunos tipos de cáncer [42,68,69]. Sin embargo, las recomendaciones dietéticas reportadas por el WCRF/AICR se enfocan en la prevención primaria, pero son insuficientes las investigaciones sobre las consecuencias de la dieta en los pacientes para mejorar su pronóstico [44]. A excepción del cáncer de mama, no existen recomendaciones específicas para el resto de supervivientes de cáncer [214].

Por lo tanto, la hipótesis que plantea la tesis es que una dieta rica en hortalizas y frutas, como la dieta mediterránea, puede tener efectos cardioprotectores mejorando los

biomarcadores vasculares e inflamatorios, incluso en sujetos adultos y sanos que no presentan ninguna alteración aparente en los parámetros bioquímicos (colesterol, triglicéridos, presión arterial, etc). Además, se plantea que el consumo de hortalizas y frutas puede aumentar la supervivencia en pacientes con cáncer. A partir de esta hipótesis se propuso un objetivo general: verificar si el consumo de una dieta rica en hortalizas y frutas puede afectar positivamente a la homeostasis vascular e inflamatoria, incluso en sujetos con un riesgo aparentemente bajo, gracias a su composición en compuestos bioactivos; y corroborar si este beneficio es también extrapolable a los pacientes de cáncer, evitando así la recurrencia de la enfermedad y disminuyendo la mortalidad por cáncer y global.

Primero se abordó la relación entre la dieta, los compuestos bioactivos y los parámetros vasculares en sujetos sanos. Para ello, fue llevado a cabo un primer estudio de intervención cruzado para evaluar el efecto a corto plazo de restringir los alimentos ricos en antioxidantes de la dieta (sección 4.1. Publicación 2). La intervención consistió en el consumo de una dieta baja en antioxidantes (LAD) con adherencia baja a la dieta mediterránea durante dos semanas, en comparación con su dieta habitual (UD, con una adherencia media a la dieta mediterránea de 8,5 puntos en una escala de 14). La TPE en orina, utilizada como marcador de la adherencia a la intervención, disminuyó ~35% después de la LAD con respecto a la UD. Para evaluar los cambios a nivel vascular se determinaron los siguientes parámetros: NO, ICAM-1, VCAM-1, TXI<sub>2</sub> y PGI<sub>2</sub>. Curiosamente, el NO plasmático disminuyó también significativamente y en un porcentaje muy similar al observado en la TPE (~35%) después de la LAD. Además, ambos marcadores estaban directamente relacionados con la adherencia a la dieta mediterránea. El NO es un potente vasodilatador y se ha descrito previamente su asociación con un alto consumo de polifenoles [215]. Esta disminución de NO podría estar relacionada con la menor producción de iNOS debido a la expresión de NF-κB [84,85]. Por otra parte, recientemente se ha demostrado que los fitoquímicos pueden reducir el nitrato ingerido a partir de las hortalizas a NO, especulándose también una posible interacción entre la vía L-arginina-NOS y la nitrato-nitrito-NO que podría explicar parcialmente el efecto beneficioso del consumo de hortalizas sobre las ECV [192]. No se observaron diferencias significativas en las moléculas de adhesión solubles en plasma (ICAM-1 y VCAM-1), ni en los eicosanoides cuantificados en orina (TXI<sub>2</sub> y PGI<sub>2</sub>). Sin embargo, si se vio una tendencia favorable en la concentración de estos últimos siendo significativo el aumento del cociente TXI<sub>2</sub>/PGI<sub>2</sub> después de la LAD. Es importante destacar que estos valores se encontraban dentro de un rango normal, debido a que se trataba de pacientes sanos. Tras solo dos semanas de seguimiento, la intervención con una dieta baja en antioxidantes indicó los efectos

negativos, incluso sin alteraciones previas de los marcadores fisiológicos. Estos resultados corroboran el papel crucial de los fitoquímicos, y en particular de los polifenoles, en la regulación de los procesos biológicos relacionados con la salud cardiovascular. Se ha demostrado previamente que un consumo elevado de estos compuestos reduce el riesgo de ciertas ENT y, además, el presente estudio sugiere que un bajo consumo de fitoquímicos podría tener consecuencias perjudiciales sobre la función endotelial incluso a corto plazo y con un buen estado de salud.

Los fitoquímicos son ingeridos a través de la dieta, pero solo una proporción de estos compuestos es liberada desde la matriz del alimento para ser metabolizada, siendo en general el grado de absorción de estos compuestos muy bajo [193]. Sin embargo, se conocen algunos factores que modifican la bioaccesibilidad y la biodisponibilidad de los fitoquímicos (ver más detalles en las secciones 2.3.1.2. y 2.3.2.2.). En concreto, en esta tesis se estudió i) el efecto de consumir *sofrito*, una salsa procesada que contiene tomate, cebolla y aceite de oliva; y ii) la concentración de compuestos bioactivos en el organismo según el método de cultivo de los alimentos: ecológico *versus* convencional.

A raíz de los efectos beneficiosos del tomate en la prevención de ENT [194,195], algunos autores han demostrado que el procesado podría aportar una mayor concentración de algunos de los fitoquímicos predominantes en el tomate como los carotenoides y los polifenoles y además parecen mantenerse más estables durante el almacenamiento [196]. El procesado térmico de los vegetales puede favorecer la biodisponibilidad de los carotenoides, debido a que favorece la isomerización de la forma *trans* a *cis*. En particular, este efecto ha sido demostrado en el caso del licopeno, el principal fitoquímico de los tomates y sus derivados. [138]. Además, se ha observado que la bioaccesibilidad y biodisponibilidad de los polifenoles y carotenoides de los tomates aumenta con la adición de una matriz lipídica como el aceite de oliva [53,55,56] y que la mejora en algunos marcadores de inflamación relacionados con la función vascular es mayor con respecto al tomate crudo [197]. Los estudios llevados a cabo hasta la fecha sobre los efectos del tomate cocinado se han centrado principalmente en el estudio de estos dos factores. Sin embargo, el *sofrito* presenta otra ventaja con respecto al tomate cocinado con aceite debido a la interacción con otros vegetales como la cebolla, que favorece la isomerización del licopeno [59]. Por lo tanto, en esta tesis nos propusimos evaluar el efecto de la ingesta de *sofrito* sobre algunos marcadores de inflamación sistémica de bajo grado en sujetos sanos y adultos. En la publicación 3 (sección 4.2.) se describen la investigación llevada a cabo en 22 individuos que consumieron una sola dosis de *sofrito* (240 g/ 70 kg de peso corporal). Tras la ingesta, se detectaron diferencias significativas en la concentración de todos los

compuestos bioactivos cuantificados y disminuyeron los marcadores pro-inflamatorios CRP y TNF- $\alpha$ . Los carotenoides fueron analizados en plasma y en todos los casos aumentaron 24 horas después del consumo de *sofrito*. Además, se evaluó la TPE en distintos intervalos de tiempo y se observó que la excreción aumentaba desde las 5 horas, siendo su máximo incremento entre las 12 y las 24 horas. Los biomarcadores pro-inflamatorios se analizaron en plasma y en particular la CRP y el TNF- $\alpha$  disminuyeron después de la intervención, pero no se encontraron diferencias significativas en las concentraciones de citoquinas, IL-6 e IL-1 $\beta$  (esta última no se detectó en ningún caso). A pesar de que los beneficios del tomate y sus derivados son principalmente atribuidos al licopeno [198,199], en el presente estudio se observó una disminución del TNF- $\alpha$  con el incremento de la TPE y de  $\beta$ -caroteno, sugiriendo la importancia de otros compuestos bioactivos.

En cuanto al método de cultivo, los alimentos obtenidos mediante agricultura ecológica parecen contener una mayor cantidad de polifenoles [118,200] y probablemente de carotenoides [136]. Como ya se ha explicado anteriormente, las plantas producen fitoquímicos durante su metabolismo, necesarios para llevar a cabo sus funciones vitales y defensivas. Por lo tanto, una mayor exposición a estímulos estresantes puede aumentar la producción de estos compuestos. Los cultivos ecológicos limitan mucho el uso de productos sintéticos, tales como pesticidas y fertilizantes, lo cual favorece el estrés de las plantas y con ello la síntesis de fitoquímicos. Sin embargo, los efectos en el ser humano de llevar a cabo una dieta ecológica no han sido esclarecidos hasta la fecha. Hay pocos estudios y la mayoría son observacionales, lo cual indica posibles sesgos en las muestras poblacionales. En general, se ha mostrado que los consumidores de alimentos ecológicos están más concienciados con la salud y el medioambiente y tienen estilos de vida más saludables [201]. Por lo tanto, la [publicación 4](#) (sección 4.3.) resume el trabajo llevado a cabo en este ámbito y los resultados obtenidos. Fue realizado un estudio piloto abierto, cruzado, aleatorizado y controlado en 19 adultos sanos (10 mujeres y 9 hombres). Cada sujeto llevo a cabo una dieta de tipo saludable con alimentos ecológicos ( $\geq 80\%$  de la dieta) y otra similar con alimentos cultivados mediante métodos convencionales. Cada intervención tuvo una duración de un mes y entre ambas hubo un período de descanso de dos meses. El análisis de ácidos fenólicos en orina mostró un aumento significativo del metabolito 4-HBA, aunque no se encontraron diferencias en las concentraciones plasmáticas de carotenos, minerales o metales pesados. El 4-HBA es un fenol clasificado dentro del grupo de los ácidos benzoicos, que es sintetizado a partir del metabolismo de las antocianinas [202–204], y además se ha mostrado que este compuesto puede generarse desde la microbiota intestinal [205]. Algunos autores han

especulado sus beneficios, directos o indirectos, en la prevención de enfermedades cardiovasculares y neurológicas y de algunos tipos de cáncer [205–210].

También ha sido previamente demostrado el impacto de la dieta sobre la microbiota [152–154]. En particular, el consumo de fibra dietética favorece la producción de VFA, debido a que es su sustrato principal. Numerosos autores han investigado los efectos beneficiosos de los VFA en el mantenimiento del metabolismo energético y la prevención de enfermedades crónicas [180,182,183,211,212]. Sin embargo, hay muy poca evidencia sobre la interacción de los fitoquímicos ingeridos en la dieta y la liberación de VFA desde la microbiota. Se ha sugerido una interacción bidireccional entre los polifenoles y la microbiota, debido a que una gran proporción de estos compuestos no se absorbe y llegan hasta el colon. Una vez allí, los polifenoles pueden modificar la composición microbiana, lo cual influye en la liberación de VFA y a su vez en la producción de ácidos fenólicos a partir de la microbiota [29,187,213]. En cambio, el impacto de otros compuestos bioactivos sobre la microbiota resulta completamente desconocido hasta la fecha. En la [publicación 5](#) (sección 4.4.) se expone un estudio que forma parte de la presente tesis, en el cual se evalúa la asociación de los carotenos en plasma y la concentración fecal de VFA, así como la influencia de esto compuestos sobre los marcadores lipídicos relacionados con la salud cardiovascular. Los carotenos son compuestos liposolubles que se relacionan con el consumo de hortalizas y frutas [214]. Tanto los carotenos como los VFA están implicados en la regulación de la síntesis del colesterol, sin embargo, la interacción de ambos compuestos no ha sido previamente estudiada. Los resultados obtenidos en este trabajo indican algunas asociaciones entre los carotenos y la liberación de VFA. Principalmente, se observó una relación directa de los carotenos y la concentración fecal de propionato, encontrándose que elevadas concentraciones de estos compuestos aumentaban significativamente el colesterol HDL. Lo cual indica que los carotenos podrían regular la síntesis del colesterol, en parte, a través de la modulación de la síntesis de VFA desde la microbiota. Sin embargo, faltan estudios que establezcan una relación de causalidad y evalúen los mecanismos biológicos implicados. Además, el total de carotenos y el *E*-licopeno se relacionaron directamente con la liberación de acetato y se identificaron otras asociaciones entre carotenos y VFA minoritarios. Por otra parte, la liberación de butirato se relacionó con una disminución del colesterol total y del LDL y con un incremento del colesterol HDL, en consonancia con investigaciones previas [185]. Los resultados sugieren que los carotenos consumidos mediante la dieta podrían favorecer la liberación de VFA desde la microbiota y modular la síntesis del colesterol, pero sería necesario realizar más estudios con un



tamaño muestral mayor para verificar estas observaciones y los mecanismos implicados.

En resumen, observamos que una dieta saludable puede tener efectos beneficiosos y prevenir la inflamación sistémica de bajo grado previa a las enfermedades crónicas por su aporte en compuestos bioactivos. En concreto, encontramos que los polifenoles tienen propiedades cardioprotectoras en individuos sanos, en condiciones homeostáticas, y que los carotenos se asocian directamente con la producción del propionato y otros VFA. El butirato, el propionato y los carotenos parecen estar implicados en la regulación del colesterol. Además, el consumo de *sofrito* parece tener un efecto destacable en el control de la inflamación, gracias a su contenido en carotenos y polifenoles, debido a que con una sola dosis ingerida se observan cambios significativos en la CRP y el TNF- $\alpha$ . Por otra parte, el seguimiento de una dieta con alimentos ecológicos puede incrementar la presencia de compuestos bioactivos, en concreto de ácido fenólico 4-hidroxibenzoico, del que se han descritos efectos beneficiosos para la salud. Sin embargo, más estudios son necesarios para corroborar el valor nutritivo superior de estos alimentos y sus efectos sobre la salud.

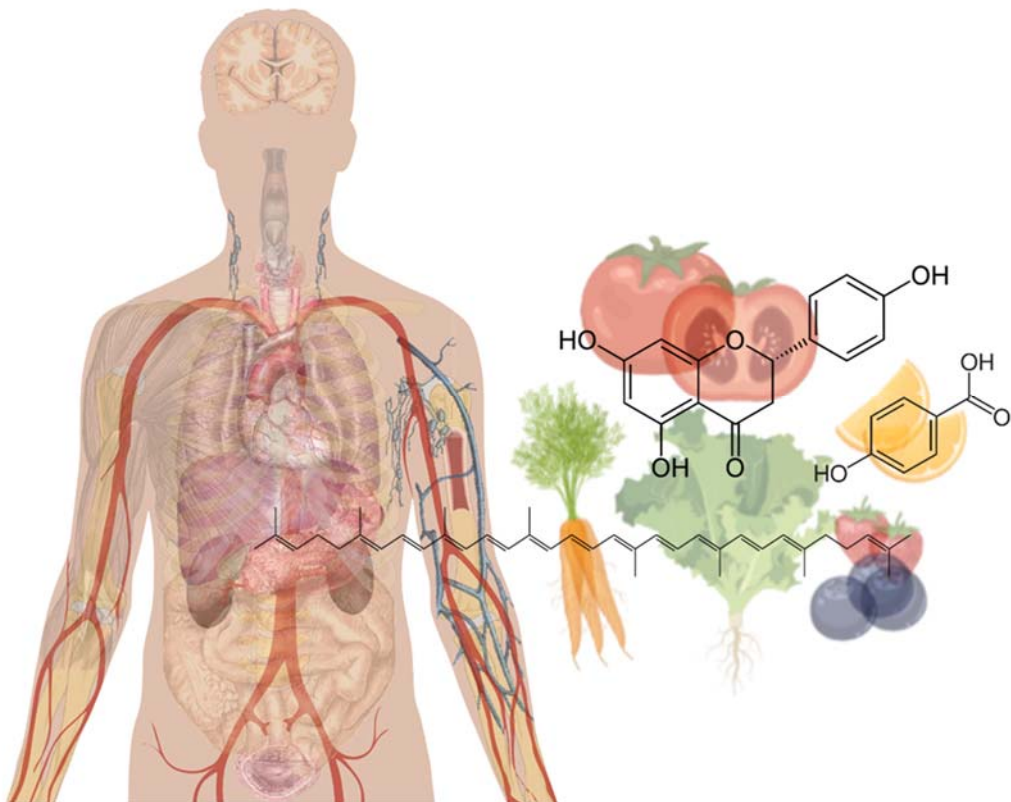
En esta tesis también nos planteamos si una dieta rica en hortalizas y frutas podría tener efectos beneficiosos en pacientes de cáncer. Para ello se realizó un meta-análisis de estudios de cohorte a partir de una búsqueda bibliográfica exhaustiva en PubMed y Scopus, evaluando la relación entre la prognosis (recurrencia, mortalidad por cáncer y mortalidad global) y la ingesta de hortalizas y frutas ([publicación 6](#), sección 4.5.). Fueron analizados un total de 28 artículos y los resultados indicaron que el consumo de hortalizas podría disminuir un 25% y un 22% la mortalidad por todas las causas en los pacientes de cabeza y cuello y de ovario, respectivamente. El consumo de frutas también se asoció con una reducción del 18% de la mortalidad total en las pacientes de cáncer de ovario. No se extrajeron resultados claros sobre el efecto de las hortalizas y frutas en los supervivientes de linfoma no Hodgkin, debido a que los estudios analizados eran moderadamente heterogéneos. Tampoco se observaron resultados concluyentes en cuanto a los subgrupos de hortalizas y frutas. Por lo tanto, un elevado consumo de hortalizas y frutas podría favorecer a los supervivientes de cáncer de cabeza y cuello y de ovario, pero se necesitan más estudios para dilucidar la asociación con la prognosis de los demás tipos de cáncer y los mecanismos implicados.

Acorde con las recomendaciones de la OMS y las indicaciones sobre la dieta mediterránea, se sugiere que un consumo mínimo de 400 g/ día (equivalente a 5 raciones/ día) de hortalizas y frutas combinadas es necesario para prevenir las ENT [47]. Sin embargo, el meta-análisis de dosis-respuesta llevado a cabo por Aune *et al.*,

mostró que el menor riesgo de ECV y de mortalidad por todas las causas se alcanzó con un consumo de 800 g/día (10 raciones al día) y de cáncer total con 550-600 g/día (7-7,5 raciones al día). El riesgo de ECV y mortalidad por todas las causas disminuyeron un 28% y un 31%, respectivamente, con la ingesta de 800 g/día de hortalizas y frutas combinadas y fue más destacable el efecto de algunos subgrupos específicos como las manzanas y peras, frutas cítricas, crucíferas y vegetales de hoja verde/ensaladas. Además, el consumo de hortalizas y frutas combinadas se asoció a una reducción del 14% en el riesgo de cáncer total, siendo más significativo el consumo de crucíferas y vegetales amarillos y verdes [42]. Resultados similares fueron obtenidos a partir del meta-análisis sobre el consumo de hortalizas y frutas y la prognosis del cáncer, sugiriendo una mejora de la supervivencia de cáncer de cabeza y cuello cuando la ingesta de hortalizas es igual o superior a 8 raciones diarias. En el caso del cáncer de ovario, acorde con las recomendaciones de la OMS, el consumo de 5 raciones de hortalizas o frutas podría disminuir la tasa de mortalidad.



# CONCLUSIONES





## 6. CONCLUSIONES

A continuación, se exponen las conclusiones extraídas a partir de los objetivos planteados y los resultados obtenidos en los estudios que forman parte de esta tesis.

### Conclusión general:

- El consumo de una dieta de tipo mediterránea, rica en hortalizas y frutas, aporta compuestos bioactivos que son necesarios para mantener un buen estado de salud y prevenir la mortalidad en pacientes diagnosticados algunos cánceres con el de cabeza y cuello y el de ovario.

### Conclusiones específicas:

#### **Sobre el efecto del consumo de una dieta baja en antioxidantes en biomarcadores de la función endotelial:**

- La TPE se correlaciona directamente con la adherencia a la dieta mediterránea.
- En tan solo dos semanas de adherencia a una LAD se observó una disminución en la concentración de NO en voluntarios sanos.
- La disminución de NO en plasma se asoció con la menor adherencia a la dieta mediterránea.
- El cociente  $TXA_2/PGI_2$  aumentó significativamente después de la LAD, sugiriendo la implicación activa de la dieta en la homeostasis de los eicosanoides.
- El consumo de alimentos ricos en antioxidantes es necesario para el mantenimiento de la homeostasis vascular a través de la regulación de moléculas implicadas en la función endotelial.

### **Sobre la ingesta de una única dosis de *sofrito* (240 g/ 70 kg de peso corporal) y marcadores relacionados con la inflamación sistémica de bajo grado:**

- Tras el consumo de *sofrito* se observó un aumento en la excreción de TPE, alcanzando su máximo entre las 12 y las 24 horas.
- A las 24 horas de la ingesta, se observó un aumento en las concentraciones plasmáticas de carotenos y xantofilas.
- Los niveles de CRP y TNF- $\alpha$  cuantificados en el plasma de los individuos sanos disminuyeron tras el consumo de *sofrito*.
- La reducción del TNF- $\alpha$  se relacionó inversamente con la TPE y con la concentración de  $\beta$ -caroteno.
- Los polifenoles y el  $\beta$ -caroteno presentes en el *sofrito* podrían favorecer la prevención de ENT evitando la inflamación sistémica de bajo grado.

### **Sobre los compuestos bioactivos y los elementos inorgánicos tras el consumo de una dieta ecológica:**

- Tras un mes siguiendo una dieta basada en alimentos saludables y de origen ecológico se observó un aumento en la excreción urinaria del metabolito fenólico 4-HBA.
- No se observaron cambios significativos en la concentración de otros compuestos bioactivos ni de elementos inorgánicos (minerales y metales pesados).
- Una dieta ecológica podría conllevar un mayor aporte de compuestos bioactivos, en particular, de aquellos que se originan a partir del metabolismo.

### **Sobre los carotenos de la dieta, los ácidos grasos volátiles producidos por la microbiota y el perfil lipídico:**

- Un incremento en la concentración plasmática de carotenos se asoció directamente con los niveles fecales de VFA, particularmente con propionato.

- Tanto el aumento de los carotenos totales en plasma (y particularmente del  $\alpha$ -caroteno) como del propionato en heces se relacionaron directamente con el incremento del colesterol HDL plasmático.
- El efecto del butirato sobre el perfil lipídico fue aún más evidente, debido a que se asoció con una disminución del colesterol total y del LDL y con un aumento del HDL.
- Los carotenos podrían regular la síntesis del colesterol en plasma mediante la producción de VFA a partir de la microbiota.

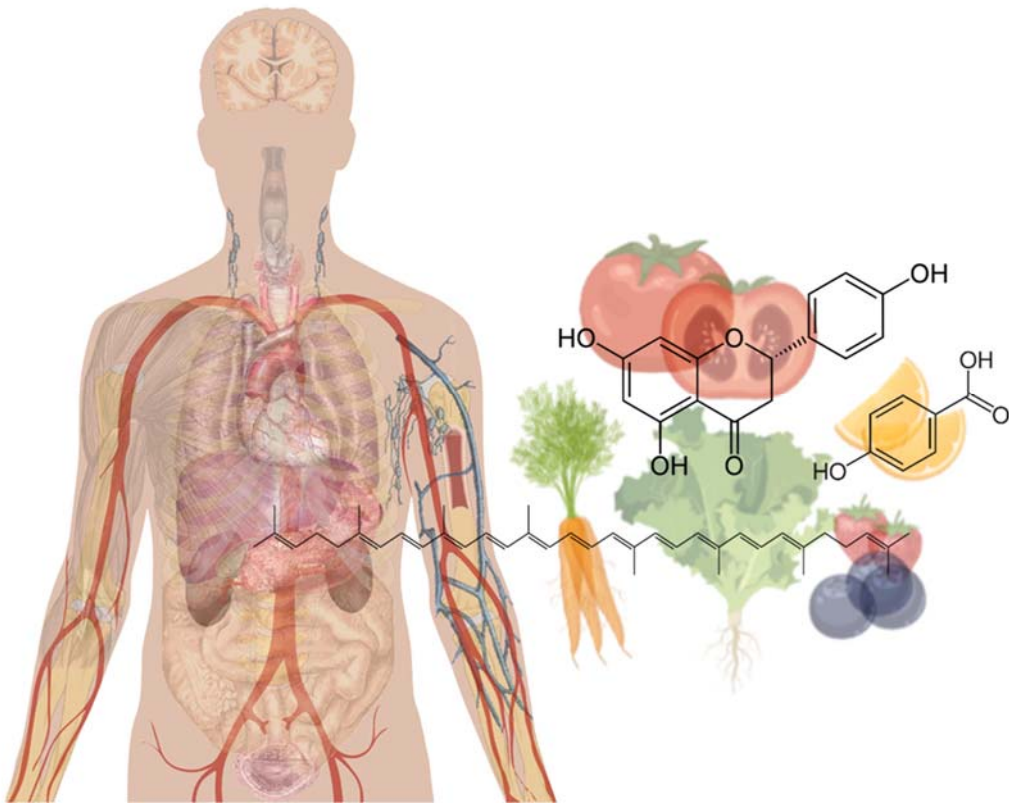
**Sobre la asociación entre el consumo de hortalizas y frutas y la prognosis de cáncer:**

- El consumo de hortalizas podría disminuir la mortalidad global en pacientes de cabeza y cuello y de ovario un 25% y un 22%, respectivamente.
- El consumo de frutas disminuye un 18% la mortalidad en pacientes de cáncer de ovario.
- Las pacientes que consumían al menos 5 raciones al día de hortalizas o frutas tuvieron una mejor tasa de supervivencia. Efectos similares fueron observados en el caso del cáncer de cabeza y cuello con un consumo superior de hortalizas ( $\geq 8$  raciones al día).
- Los resultados sobre la asociación de hortalizas y frutas y la prognosis fueron no concluyentes o insuficientes para el resto de cánceres.





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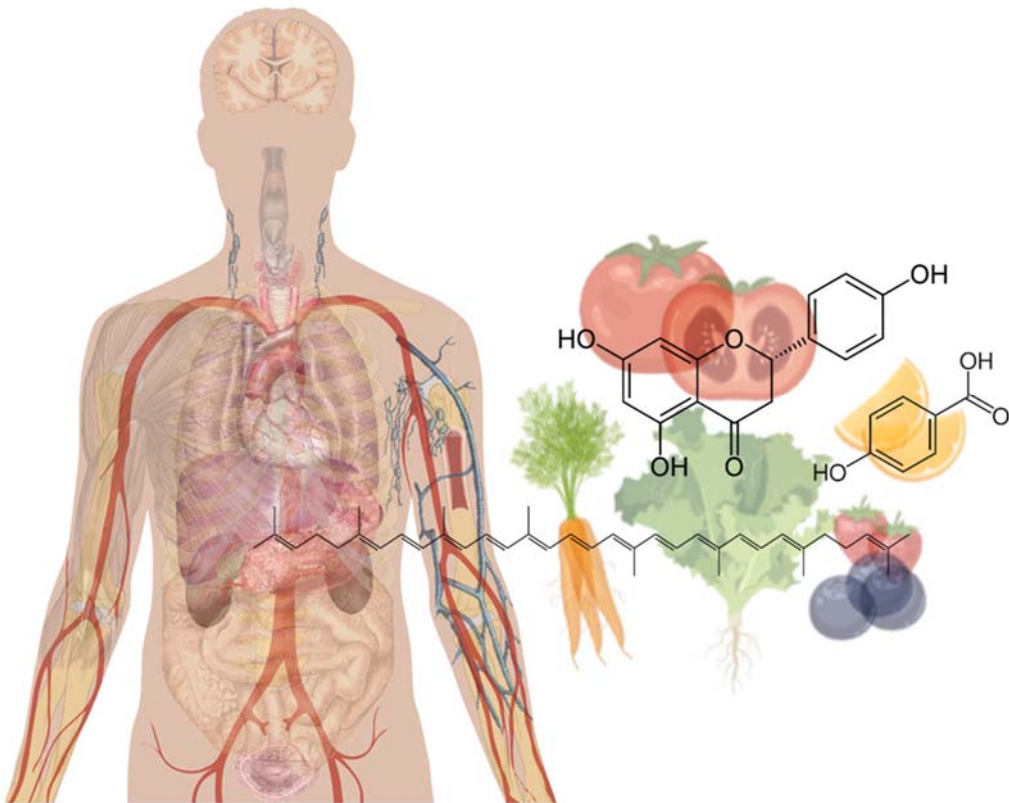
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# ANEXO





## 8. ANEXO

### 8.1. Otras publicaciones en revistas

En esta sección se presentan otras publicaciones en las cuales he colaborado, pero no forman parte de esta tesis doctoral.

**Publicación 7:** Hrvolová B, Martínez-Huélamo M, Colmán-Martínez M, Hurtado-Barroso S, Lamuela-Raventós RM, Kalina J. Development of an Advanced HPLC-MS/MS Method for the Determination of Carotenoids and Fat-Soluble Vitamins in Human Plasma. *Int J Mol Sci*. 2016 Oct 14;17(10).

**Publicación 8:** Rinaldi de Alvarenga JF, Tran C, Hurtado-Barroso S, Martínez-Huélamo M, Illan M, Lamuela-Raventós RM. Home cooking and ingredient synergism improve lycopene isomer production in *Sofrito*. *Food Res Int*. 2017 Sep;99(Pt 2):851-861.

**Publicación 9:** Rinaldi de Alvarenga JF, Quifer-Rada P, Francetto Juliano F, Hurtado-Barroso S, Illan M, Torrado-Prat X, Lamuela-Raventós RM. Using Extra Virgin Olive Oil to Cook Vegetables Enhances Polyphenol and Carotenoid Extractability: A Study Applying the *sofrito* Technique. *Molecules*. 2019 Apr 19;24(8).

**Publicación 10:** Marhuenda-Muñoz M, Hurtado-Barroso S, Tresserra-Rimbau A, Lamuela-Raventós RM. A review of factors that affect carotenoid concentrations in human plasma: differences between Mediterranean and Northern diets. *Eur J Clin Nutr*. 2019 Jul;72(Suppl 1):18-25.

**Publicación 11:** Rinaldi de Alvarenga JF, Quifer-Rada P, Westrin V, Hurtado-Barroso S, Torrado-Prat X, Lamuela-Raventós RM. Mediterranean *sofrito* home-cooking technique enhances polyphenol content in tomato sauce. *J Sci Food Agric*. 2019 Jul 19.



## **8.2. Comunicaciones en congresos**

En esta sección se presentan las comunicaciones presentadas en congresos nacionales e internacionales.

### 8.2.1. Comunicación 1. Póster

**Título:** Diferencias nutricionales entre una dieta rica en antioxidantes y una dieta baja en antioxidants.

**Autores:** Sara Hurtado-Barroso, Jose Fernando Rinaldi Alvarenga, Paola Quifer-Rada, Anna Creus-Cuadros, Rosa María Lamuela-Raventós.

**Congreso:** XI Congreso Internacional sobre la Dieta Mediterránea.  
Barcelona (España).  
27-28 de abril de 2016.

**Diferencias nutricionales entre una dieta rica en antioxidantes y una dieta baja en antioxidantes**

Sara Hurtado-Barroso<sup>1,2</sup>, Jose Fernando Rinaldi Alvarenga<sup>1</sup>, Paola Quifer-Rada<sup>1,2</sup>, Anna Creus-Cuadros<sup>1</sup>, Rosa María Lamuela-Raventós<sup>1,2</sup>

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**INTRODUCCIÓN**

La dieta mediterránea, rica en hortalizas y frutas, previene enfermedades crónicas tales como las enfermedades cardiovasculares debido en parte al alto contenido en compuestos con propiedades antioxidantes para la salud.

**OBJETIVO**

Valorar si con el seguimiento de una dieta típicamente mediterránea, rica en antioxidantes, respecto a una dieta con un consumo de 2 piezas de fruta u hortalizas (pobre en antioxidantes), mejoraba el aporte de antioxidantes.

**DISEÑO DEL ESTUDIO**



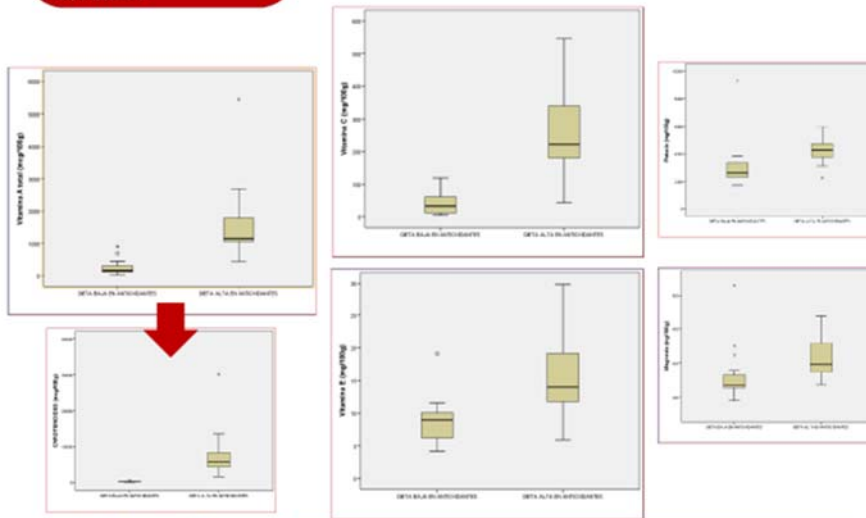
18 varones  
18-32 años  
No fumadores  
Sanos

- Randomizado
- Cruzado
- Aleatorizado



REGISTROS DIETÉTICOS DE LOS 3 ÚLTIMOS DÍAS

**RESULTADOS**



**CONCLUSIÓN**

Las recomendaciones nutricionales van dirigidas a aumentar el consumo de alimentos ricos en antioxidantes (frutas y hortalizas), incrementar la ingesta de las vitaminas antioxidantes (A, E y C), de los carotenoides; así como de algunos minerales tales como el potasio y magnesio.



### 8.2.2. Comunicación 2. Póster

**Título:** Biodisponibilidad de los carotenoides del *sofrito* en varones tras una dieta rica en alimentos con componentes antioxidantes.

**Autores:** Sara Hurtado-Barroso, Miriam Martínez-Huélamo, Mariel Colmán-Martínez, Jose Fernando Rinaldi Alvarenga, Rosa María Lamuela-Raventós.

**Congreso:** VIII Seminario sobre Alimentación y Estilos de Vida Saludable. Mallorca (España).

21-22 de julio de 2016.

## Biodisponibilidad de los carotenoides del sofrito en varones tras una dieta rica en alimentos con componentes antioxidantes

Sara Hurtado-Barroso<sup>1,2</sup>, Miriam Martínez-Huélamo<sup>1,2</sup>, Mariel Colmán-Martínez<sup>1</sup>, Jose Fernando Rinaldi Alvarenga<sup>1</sup>, Rosa María Lamuela-Raventós<sup>1,2</sup>

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### INTRODUCCIÓN

El sofrito es una salsa típica de la dieta mediterránea que contiene tomate como componente principal y otros ingredientes de origen vegetal (aceite de oliva, cebolla y ajo) ricos en componentes bioactivos. Entre ellos cabe destacar los carotenoides, que están presentes principalmente en el tomate. Sin embargo, la biodisponibilidad de los mismos es baja y varios factores como el procesamiento y la matriz del alimento pueden interferir positiva y/o negativamente en su absorción.

### OBJETIVO



Evaluar la biodisponibilidad de los carotenoides del sofrito tras una dieta rica en antioxidantes



### DISEÑO DEL ESTUDIO



### ANÁLISIS CROMATOGRÁFICO

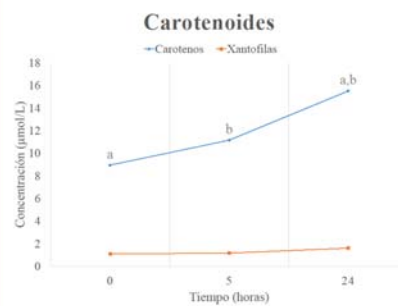
### CONCLUSIONES

El contenido de carotenoides aumenta significativamente, tras la ingesta de sofrito, debido en gran parte al aumento de licopeno a las 24 horas de su administración.

### FINANCIACIÓN

Este estudio ha sido financiado por el Ministerio de Economía y Competitividad (AGL2013-49083-C3-1-R) y por el Centro de Investigación Biomédica en Red-Fisiopatología de la Obesidad y la Nutrición (CIBEROBN).

### RESULTADOS

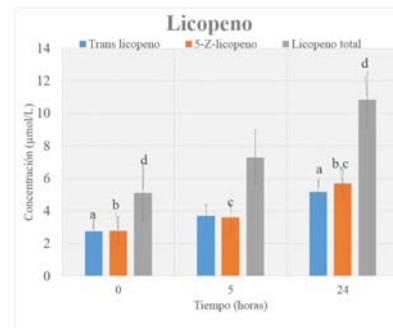


- El licopeno es el carotenoide mayoritario en el plasma antes y después de la ingesta de sofrito, alcanzando su máximo a las 5 horas después de la ingesta.

- A las 5 horas, el licopeno representa el 75% de los carotenoides presentes en el plasma.

- En todos los casos, el licopeno aumenta de las 0 a las 24 horas. Además el aumento de 5 a 24 horas del isómero *cis* también es significativo.

- El 5-*cis*-licopeno se encuentra en la misma proporción que el *trans*-licopeno a las 0 y 5 horas, pero a las 24 horas el isómero *cis* aumenta con respecto al *trans* un 4,7%, aunque sin diferencias significativas.



(a-d): diferencias significativas con un p-valor <0,05

### 8.2.3. Comunicación 3. Póster

**Título:** Bioavailability of carotenoids *sofrito* in healthy and young men following a diet rich in foods with antioxidant compounds.

**Autores:** Hurtado-Barroso, S, Martínez-Huélamo, M, Colmán-Martínez, M, Alvarenga, JFR, Lamuela-Raventós, RM.

**Congreso:** II Workshop Anual sobre 'Cacao y chocolate; ciencia y gastronomía'. Santa Coloma de Gramenet, Barcelona (España).

09 de noviembre de 2016.



## BIOAVAILABILITY OF CAROTENOIDS SOFRITO IN HEALTHY AND YOUNG MEN FOLLOWING A DIET RICH IN FOODS WITH ANTIOXIDANT COMPOUNDS

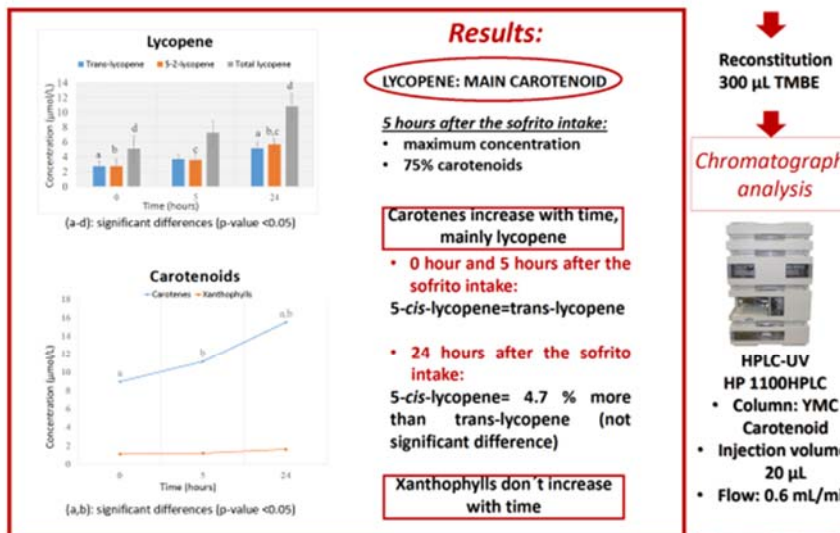
Hurtado-Barroso, S.<sup>1,2\*</sup>, Martínez-Huélamo, M.<sup>1,2</sup>, Colmán-Martínez, M.<sup>1</sup>, Alvarenga, J.F.R.<sup>1</sup>, Lamuela-Raventós, R.M.<sup>1,2</sup>

<sup>1</sup>Department of Nutrition, Food Science, and Gastronomy, School of Pharmacy and Food Science, INSA-University of Barcelona, Barcelona, Spain; <sup>2</sup>CIBER Physiopathology of obesity and nutrition (CIBERObn), Instituto de Salud Carlos III, Madrid, Spain

### Background and objectives:

The *sofrito* is a typical sauce of Mediterranean diet containing tomato as the main component and other plant-based ingredients (olive oil, onion and garlic) rich in bioactive compounds such as carotenoids. However, the bioavailability is low and various factors such as processing and food matrix may interfere positively and/or negatively. The aim of this study was to evaluate the bioavailability of carotenoids of *sofrito* after a diet rich in antioxidants

### Methodology:



### Conclusions:

The carotenoids in plasma increase significantly after the *sofrito* intake, mainly lycopene after 24 hours of *sofrito* intervention.

### Acknowledge:

This study was funded by the Ministry of Economy and Competitiveness (AGL2013-49083-C3-1-R) and the Biomedical Research Center in Red-Pathophysiology of Obesity and Nutrition (CIBERObn). The *sofrito* was provided by GB Foods.

#### 8.2.4. Comunicación 4. Póster

**Título:** Effect of composition of tomato-based mediterranean *sofrito* on color changes during accelerated storage.

**Autores:** Cordero-García, M.; Rinaldi-Alvarenga, J.F.; Hurtado-Barroso, S.; Lamuela-Raventós, R.M.

**Congreso:** II Workshop Anual sobre 'Cacao y chocolate; ciencia y gastronomía'. Santa Coloma de Gramenet, Barcelona (España).

09 de noviembre de 2016.

# EFFECT OF COMPOSITION OF TOMATO-BASED MEDITERRANEAN SOFRITO ON COLOR CHANGES DURING ACCELERATED STORAGE

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<sup>3</sup>CIBER 06/003 Physiopathology of Obesity and Nutrition (CIBEROBN), Institute of Health Carlos III, Spain

## INTRODUCTION

Color in food is a very important quality indicator and marketing factor that affects the buying decision of the consumer, and it is also a good indicator of degradation of components during time. The color of *sofrito*, a tomato-based key component of the Mediterranean diet, is strongly related with the content of carotenoids, bioactive compounds which are also associated with many health benefits. The objective of this study was to evaluate if different ingredients of *sofrito* formulations might have an effect of the color preservation during accelerated storage.

## METHODOLOGY

Sample	Olive oil	Onion	Garlic
1	0%	0%	0%
2	10%	0%	0%
3	0%	20%	0%
4	10%	20%	0%
5	0%	0%	2%
6	10%	0%	2%
7	0%	20%	2%
8	10%	20%	2%

100 °C, 30 min  
 Sterilized

TNC - 40 °C  
 t = 0, 4, 8, 12 and 16 weeks

CIE Lab System

**COLOR ANALYSIS**  
 Hue  
 $\text{ArcTG} [(b^*)/a^*]$   
 Total Color Change ( $\Delta E$ )  
 $\Delta E^* = \{[\Delta L^*]^2 + [\Delta a^*]^2 + [\Delta b^*]^2\}^{1/2}$

**KINETIC EVALUATION**  
 $C = C_0 + kt$  (zero order)  
 $C = C_0 \exp(kt)$  (first order)  
 $C = (C_0/1 + C_1 kt)$  (second order)

## RESULTS L\*

L*	zero-order model		first-order model		second-order model	
	Co	k[sem-1] R2	Co	k[sem-1] R2	Co	k[sem-1] R2
1	19.666	1.3229 0.6142	2.9511	0.067 0.9663	0.0502	-0.0028 0.6311
2	24.305	1.5217 0.3844	3.1794	0.0585 0.4062	0.042	-0.0023 0.4248
3	20.689	1.4563 0.5832	3.0318	0.0611 0.6051	0.0481	-0.0026 0.6242
4	21.254	2.0223 0.5838	3.0608	0.079 0.6134	0.0487	-0.0031 0.6385
5	18.822	1.558 0.9714	2.9511	0.067 0.9663	0.0516	-0.0029 0.96
6	22.124	1.8315 0.7795	3.104	0.0695 0.7684	0.0446	-0.0027 0.7594
7	18.423	2.0801 0.7398	2.8129	0.0985 0.7498	0.0595	-0.0047 0.7344
8	20.115	2.3977 0.6595	3.0312	0.0946 0.6599	0.0489	-0.0038 0.6976

Zero-order model kinetics better describe the luminosity (L\*) behavior during accelerated storage, showing an increase of luminosity values in time. Samples containing garlic suffer a bigger change in this parameter, probably due to the loss of carotenoids.

## RESULTS h\*

Formulations containing onion and oil help with red color retention of *sofrito* samples, better than those containing garlic. Increase in hue (h\*) values were observed as a consequence of storage, showing loss of red color with time. This occurrence is better described using zero-order model kinetics.

h*	zero-order model		first-order model		second-order model	
	Co	k[sem-1] R2	Co	k[sem-1] R2	Co	k[sem-1] R2
1	33.455	1.0708 0.5128	3.476	0.0315 0.6884	0.0309	-0.0009 0.6945
2	36.546	1.2932 0.6412	3.5989	0.033 0.6427	0.0273	-0.0008 0.6435
3	39.252	1.1887 0.6898	3.6705	0.0284 0.6859	0.0255	-0.0007 0.682
4	44.718	0.2648 0.2309	3.8005	0.0058 0.2314	0.0224	-0.0001 0.2318
5	33.455	1.0708 0.5128	3.5092	0.0303 0.5183	0.0299	-0.0009 0.523
6	38.254	0.7922 0.6521	3.6445	0.0308 0.6543	0.0261	-0.0005 0.6561
7	35.585	1.6669 0.7359	3.5735	0.0425 0.7225	0.028	-0.0011 0.7091
8	36.546	1.2932 0.6412	3.71	0.0343 0.5447	0.0245	-0.0008 0.5598

## RESULTS ΔE\*

Delta E	zero-order model		first-order model		second-order model	
	Co	k[sem-1] R2	Co	k[sem-1] R2	Co	k[sem-1] R2
1	-0.8773	1.5299 0.5305	-0.7958	0.572 0.5529	0.9799	-0.1526 0.0746
2	1.4094	2.3752 0.4743	0.5458	0.4482 0.6402	0.5431	-0.1101 0.6405
3	1.3787	1.1782 0.5304	0.4829	0.3225 0.6453	0.5764	-0.0996 0.7069
4	2.1703	1.935 0.4693	0.8699	0.3464 0.4134	0.3948	-0.0716 0.4861
5	-0.894	1.3537 0.9587	-0.7227	0.5361 0.9207	1.3971	-0.2785 0.8854
6	2.2383	2.1596 0.6977	0.8955	0.3707 0.6481	0.4171	-0.0809 0.5784
7	5.0824	-0.0624 0.0088	1.5741	-0.0018 0.0002	0.2187	-0.002 0.004
8	1.4302	2.1403 0.6987	0.8409	0.3642 0.7214	0.4026	-0.0748 0.6704

Increase of  $\Delta E$  values was perceived for all formulations, except for sample containing garlic and onion, which suffered degradation of color during heating. Color change of the sample containing only garlic (5) showed a good correlation with zero-order model kinetics during accelerated storage.

## CONCLUSION

The presence of onion and extra virgin olive oil allows a better preservation of the color, showed by the Hue values and total color change kinetic model. Formulations containing garlic accelerate the degradation of color, indicated by good models of L\* and suffering the most expressive total color change during storage. The presence of onion and extra virgin olive oil must be encouraged in the *sofrito* formulation to preserve the color and carotenoid content.



### 8.2.5. Comunicación 5. Póster

**Título:** Development of an advanced HPLC-MS/MS method for the determination of carotenoids and fat-soluble vitamins in human plasma.

**Autores:** Martínez-Huélamo, M.; Hrvolová, B.; Colmán-Martínez, M.; Hurtado-Barroso, S.; Kalina, J.; Lamuela-Raventós R.M.

**Congreso:** II Workshop Anual sobre 'Cacao y chocolate; ciencia y gastronomía'. Santa Coloma de Gramenet, Barcelona (España).

09 de noviembre de 2016.

# Development of an advanced HPLC-MS/MS method for the determination of carotenoids and fat-soluble vitamins in human plasma

Martínez-Huélamo, M.<sup>1,2\*</sup>, Hrvolová, B.<sup>3</sup>, Colmán-Martínez, M.<sup>1</sup>, Hurtado-Barroso, S.<sup>1,2</sup>, Kalina, J.<sup>3</sup>, Lamuela-Raventós R.M.<sup>2,3</sup>

<sup>1</sup> Department of Nutrition, Food Science and Gastronomy-XARXA-INGA, School of Pharmacy and Food Science, University of Barcelona, Barcelona 08028, Spain. <sup>2</sup> CIBER CB06/03 Fisiopatología de la Obesidad y la Nutrición, CIBEROBN, Madrid, Spain. <sup>3</sup> Faculty of Science, University of Ostrava, 701 03 Ostrava, Czech Republic.

## BACKGROUND AND OBJECTIVES

Carotenoids, xanthophylls and carotenes, are natural fat-soluble, red, yellow and orange pigments characterized by a wide distribution, structural diversity and numerous physico-chemical and biological properties. Another group of interesting and useful compounds are fat-soluble vitamins and their metabolites such as retinol, retinol acetate, cholecalciferol, and  $\alpha$ -tocotrienol. These compounds have free radical scavenging properties that allow them to function as antioxidants.

Available methods can determine only a few representatives of the aforementioned fat-soluble micronutrients, and few of them can be applied for the simultaneous analysis of compounds in biological samples. Most use HPLC separation coupled to UV-VIS or DAD detection, but with these methods it is extremely challenging to obtain the sensitivity required for the analysis of human fluids, in which the concentration of fat-soluble micronutrients is very low. The problem of sensitivity can be solved by usage of tandem mass spectrometry detection, although finding general ionization conditions suitable for all targeted analytes is very difficult.

The aim of this research was to develop and validate a new HPLC-MS/MS method for the quantification of selected carotenoids and fat-soluble vitamins in human plasma.

## METHODOLOGY

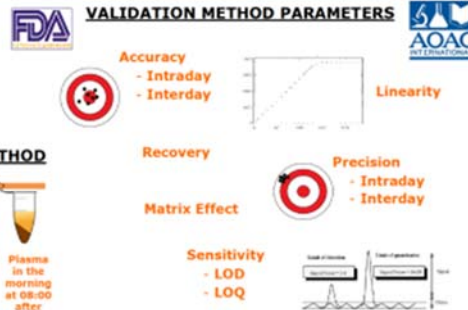
### EXTRACTION OF CAROTENOIDS AND VITAMINS



### APPLICATION METHOD



### VALIDATION METHOD PARAMETERS



## RESULTS

Table 1. Limit of detection (LOD), limit of quantification (LOQ), recovery, matrix effect, linearity range, interday and intraday precision, interday and intraday accuracy and concentration obtained in the study with high antioxidant diet by the HPLC-MS/MS method.

Analyte	Transition	LOD (nM)	LOQ (nM)	Recovery (%)	Matrix effect (%)	Linearity Range (µg/mL)	Interday Precision (% RSD)	Intraday Precision (% RSD)	Interday Accuracy (%)	Intraday Accuracy (%)	Concentration plasma (nM)
retinol	269 → 181	7.0	17.5	103 ± 9	109 ± 9	17.5-34609	6.7	11.5	101 ± 4	105 ± 4	115 ± 11
25-hydroxycholecalciferol	383 → 365	7.5	27.5	92 ± 3	87 ± 1	27.5-12480	3.3	11.5	102 ± 3	105 ± 6	190 ± 33
retinol acetate	329 → 269	6.1	24.4	103 ± 2	95 ± 2	24.4-30442	1.3	11.1	105 ± 1	108 ± 7	<LOQ*
$\alpha$ -tocotrienol	411 → 165	266.1	885.4	99 ± 5	90 ± 3	885.4-11774	10.4	9.5	104 ± 4	108 ± 3	n.d.*
cholecalciferol	385 → 367	13.0	46.8	103 ± 3	90 ± 1	46.8-25998	2.0	12.7	103 ± 1	104 ± 7	n.d.*
astaxanthin	597 → 147	1.7	5.0	102 ± 3	100 ± 3	5.0-1675	8.8	10.3	103 ± 3	105 ± 6	<LOQ*
lutein	551 → 429	14.1	49.2	86 ± 1	91 ± 2	49.2-17579	4.1	12.1	106 ± 2	109 ± 6	260 ± 139
zeaxanthin	568 → 476	741.8	2471.9	88 ± 2	87 ± 2	2471.9-17578	3.5	10.8	107 ± 5	113 ± 2	n.d.*
cryptoxanthin	545 → 363	3.5	10.6	100 ± 3	104 ± 8	10.6-1770	6.2	11.5	104 ± 6	109 ± 11	28 ± 12
$E$ - $\beta$ -apo-8'-carotenal	417 → 325	7.2	24.0	104 ± 2	100 ± 1	24.0-24002	13.1	11.5	107 ± 8	114 ± 2	<LOQ*
cryptoxanthin	553 → 535	441.3	1468.8	105 ± 3	94 ± 2	1468.8-18088	4.4	14.0	101 ± 1	103 ± 8	<LOQ*
13- $Z$ - $\beta$ -carotene	536 → 444	104.3	348.3	101 ± 2	88 ± 1	348.3-18626	9.1	13.6	101 ± 9	109 ± 2	n.d.*
$\alpha$ -carotene	536 → 444	41.0	136.0	104 ± 5	103 ± 4	136.0-9313	5.9	12.1	107 ± 4	112 ± 1	101 ± 19
$\beta$ -carotene	537 → 413	76.4	257.0	101 ± 2	96 ± 5	257.0-9313	3.4	12.2	100 ± 3	103 ± 4	2634 ± 1870
9- $Z$ - $\beta$ -carotene	537 → 413	545.8	1816.1	97 ± 7	92 ± 2	1816.1-18626	4.9	14.0	101 ± 5	107 ± 4	n.d.*
5- $Z$ -lycopen	537 → 413	352.0	1175.3	105 ± 8	97 ± 3	1175.3-18626	5.2	13.4	102 ± 3	107 ± 9	n.d.*

\* under limit of quantification; n.d. in not detected

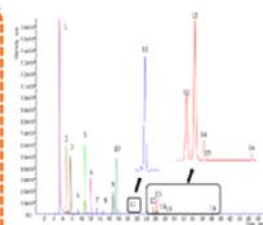


Figure 8. Chromatogram of working standard solutions obtained by HPLC-MS/MS analysis. Peak: (1) retinol; (2) 25-hydroxycholecalciferol; (3) retinol acetate; (4)  $\alpha$ -tocotrienol; (5) cholecalciferol; (6) astaxanthin; (7) lutein; (8) zeaxanthin; (9) cryptoxanthin; (10)  $E$ - $\beta$ -apo-8'-carotenal; (11) cryptoxanthin; (12) 13- $Z$ - $\beta$ -carotene; (13)  $\alpha$ -carotene; (14)  $\beta$ -carotene; (15) 9- $Z$ - $\beta$ -carotene; and (16) 9- $Z$ -lycopen.



## CONCLUSIONS

A unique HPLC-MS/MS method for the simultaneous quantification of 16 carotenoids and fat-soluble vitamins in human plasma was designed and fully validated. Good quality values of LOD, LOQ, recovery, linearity, matrix effect, accuracy, and precision were obtained by the proposed method. According to our knowledge, no similar HPLC-MS/MS method for the determination of such a large number of analytes has been previously published. In the future, considering the excellent validation results obtained, this method could be used in various applied clinical studies or investigations.

## ACKNOWLEDGMENTS

This work was supported by CICYT (AGL2013-49083-C3-1-R and AGL2016-70113-R), the Instituto de Salud Carlos III, ISCIII (CIBEROBN) from the Spanish Ministry of Economy and Competitiveness (MEC), Generalitat de Catalunya (GC) 2014 SGR 773, and by the Project LO1208 (TEWEP) of the National Feasibility Programme I of the Czech Republic. Hrvolová, B. also thanks the student grant n. SGS04/PFF/2016 from University of Ostrava, Czech Republic.



### 8.2.6. *Comunicación 6. Oral*

**Título:** Home cooking and ingredients synergism improve lycopene isomers in *sofrito*.

**Autores:** Rinaldi-Alvarenga, J.F.; Tran, C.; Hurtado-Barroso, S.; Martínez-Huélamo, M.; Illan, M.; Lamuela- Raventós, R. M.

**Congreso:** II Workshop Anual sobre 'Cacao y chocolate; ciencia y gastronomía'. Santa Coloma de Gramenet, Barcelona (España).

09 de noviembre de 2016.

## HOME COOKING AND INGREDIENTS SYNERGISM IMPROVE LICOPENE ISOMERS IN *SOFRITO*

Alvarenga, J.F.R.<sup>1\*</sup>, Tran, C.<sup>1</sup>, Hurtado-Barroso, S.<sup>1,2</sup>, Martínez-Huélamo, M.<sup>1,2</sup>, Illan, M.<sup>1</sup>, Lamuela-Raventós, R.M.<sup>1,2</sup>

<sup>1</sup> Nutrition, Food Science and Gastronomy Department, *XaRTA, INSA, Pharmacy and Food Science School, University of Barcelona, Spain*

<sup>2</sup> CIBER 06/003 *Physiopathology of Obesity and Nutrition (CIBEROBN), Institute of Health Carlos III, Spain*

### **Background and objectives:**

Tomato products rich in lycopene Z-isomers are of interest since these carotenoids present more bioavailability and antioxidant capacity than the all-E forms. Intrinsic food properties, processing, and the interaction between dietary components are all factors that can influence the content, type and bioavailability of carotenoids. The aim of this study was to evaluate how the content of carotenoids and their isomerization in tomato-based Mediterranean, *sofrito*, might be affected by the process of home cooking as well as by the presence of other ingredients.

### **Methodology:**

A full factorial design 2<sup>4</sup> was applied to clarify the contribution of the ingredients: extra virgin olive oil (5-10%), onion (20-40%) and garlic (2-4%), and cooking duration (30-60 min) on the carotenoid composition of *sofrito*. The identification of the carotenoids was based on retention time; chromatography with standards; UV/VIS absorption spectrum:  $\lambda_{max}$ , %III/II and %Ab/II; and mass spectrum. Quantification was performed by HPLC-DAD, using external calibration curves with standards.

### **Results and conclusions:**

The factors associated with a higher production of 5-Z-lycopene, 9-Z-lycopene and 13-Z-lycopene in *sofrito* were the cooking duration and onion content. Onion proved to be the most interesting ingredient in the *sofrito* formulation due to its enhancing effect on lycopene isomerization. Combined with an adequate processing time, this vegetable could be explored as an ingredient to improve the bioavailability of lycopene in tomato products.

### **Acknowledge:**

CNPq (Brazil); MECD, ME AGL2013-49083\_C3-1C, CIBERON and Generalitat de Catalunya (Spain)

All authors of the present work authorize the Organizing Committee of the II Workshop INSA-UB to include the present abstract as a part of a conference report to be published in a journal of the field.

### 8.2.7. Comunicación 7. Póster

**Título:** Synergism effect of home cooking and ingredients enhance tomato polyphenols in Mediterranean *sofrito*.

**Autores:** Rinaldi-Alvarenga, J.F.; Weastrin, V.; Quifer-Rada, P.; Hurtado-Barroso, S.; Torrado-Prat, X.; Lamuela-Raventós, R. M.

**Congreso:** II Workshop Anual sobre 'Cacao y chocolate; ciencia y gastronomía'. Santa Coloma de Gramenet, Barcelona (España).

09 de noviembre de 2016.

# SYNERGISM EFFECT OF HOME COOKING AND INGREDIENTS ENHANCE TOMATO POLYPHENOLS IN MEDITERRANEAN SOFRITO

Alvarenga, J.F.R.<sup>1</sup>; Weastrin, V.<sup>1</sup>; Quifer-Rada, P.<sup>1,2</sup>; Hurtado-Barroso, S.<sup>1,2</sup>; Torrado-Prat, X.<sup>1</sup>; Lamuela-Raventós, R.M.<sup>1,2</sup>

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## INTRODUCTION

There has been increasing interest in the food matrix study since the bioavailability of bioactive compounds, like polyphenols, are affected by intrinsic food properties, processing and interaction of these factors. Sofrito, a typical home-made Mediterranean tomato based sauce, present a complex matrix by the addition of ingredients like olive oil, onion and garlic that can influence the content, type and bioavailability of polyphenols. The aim of this study was to evaluate whether home cooking and ingredients addition in Mediterranean sofrito sauce may interact and improve tomato's polyphenols.

## METHODOLOGY



## RESULTS

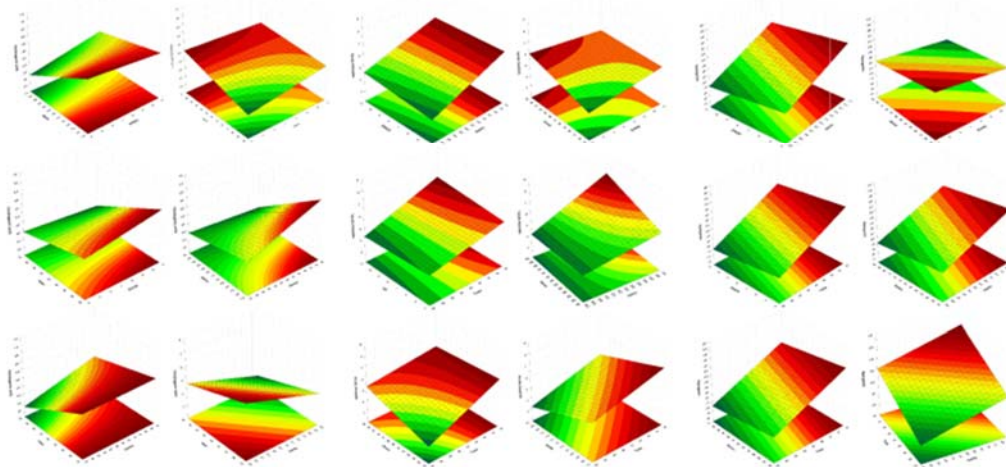


Figure 1. Response surface plot of the combined effects of garlic and oil at time level 0 (a-f) and onion and garlic time on oil level 0 (g-l) on the content of chlorogenic acid of home cooked Mediterranean sofrito. (level 0: garlic = 2%, olive oil = 7.5%, onion = 20% and time = 45 min).

Figure 2. Response surface plot of the combined effects of garlic and oil at time level 0 (a-f) and onion and garlic time on oil level 0 (g-l) on the content of naringenin of home cooked Mediterranean sofrito. (level 0: garlic = 2%, olive oil = 7.5%, onion = 20% and time = 45 min).

Figure 3. Response surface plot of the combined effects of garlic and oil at time level 0 (a-f) and onion and garlic time on oil level 0 (g-l) on the content of ferulic acid hexoside of home cooked Mediterranean sofrito. (level 0: garlic = 2%, olive oil = 7.5%, onion = 20% and time = 45 min).

Extract polyphenols from food matrix  
 Improve extraction of polyphenols from cell plant fraction

EXTRA VIRGIN OLIVE OIL



ONION

Source of quercetin  
 Stabilizes free radicals in water/oil interface, avoid oxidation of lipids and consequently polyphenols

PRESERVE POLYPHENOLS IN SOFRITO

## CONCLUSION

Short cooking time was able to increase the content of chlorogenic acid, ferulic acid hexoside and naringenin. The presence of olive oil enhances the extractability of some polyphenols from tomato improving the bioaccessibility. Onion shows to be capable to protect some phenolic compounds from oxidation during cooking process. The use of olive oil and onion with adequate cooking time may improve tomato's polyphenols stability.



### 8.2.8. Comunicación 8. Póster

**Título:** Total Polyphenols and antioxidant capacity in organic versus conventional white wine from two Spanish varieties: Chardonnay and Xarel-lo.

**Autores:** Hurtado-Barroso, S, Alvarenga, JFR, Treserra-Rimbau, A, Francetto Juliano F, Lamuela-Raventós, RM.

**Congreso:** Wine and Health 2017 Meeting.

Logroño, La Rioja (España).

16-18 de febrero de 2017

## Total Polyphenols and antioxidant capacity in organic versus conventional white wines from two spanish varieties: Chardonnay and Xarel·lo

Hurtado-Barroso, S.<sup>1,2</sup>, Alvarenga, J.F.R.<sup>1</sup>, Tresserra-Rimbau, A.<sup>1,2</sup>, Juliano F.F.<sup>3</sup>, Lamuela-Raventós, R.M.<sup>1,2</sup>

<sup>1</sup>Department of Nutrition, Food Science, and Gastronomy; School of Pharmacy and Food Science, INSA-University of Barcelona, Barcelona, Spain;

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### Background

It is well-known that the amount of polyphenols in wines depends on several factors such as grape variety, growing conditions, and wine making process. However there are not many studies about the differences between organic and conventional wines.

### Methodology

#### White wines:

- ❖ Organic Chardonnay (n=6)
- ❖ Conventional Chardonnay (n=8)
- ❖ Organic Xarel·lo (n=12)
- ❖ Conventional Xarel·lo (n=4)

#### Samples



#### ✓ Total Polyphenols (TP) – Folin Ciocalteu method:

Standard: gallic acid

184  $\mu$ L Milli-Q water + 24  $\mu$ L sample + 12  $\mu$ L Folin-Ciocalteu solution + 30  $\mu$ L  $\text{Na}_2\text{CO}_3$  20% + 1h-darkness  $\rightarrow$  765 nm

#### ✓ Antioxidant Capacity (ABTS and DPPH):

Standard: Trolox

5  $\mu$ L sample + 250  $\mu$ L ABTS + 1h-darkness  $\rightarrow$  734 nm

5  $\mu$ L sample + 250  $\mu$ L DPPH + 1/2h-darkness  $\rightarrow$  515 nm



96-well plates

### Results



TP, ABTS and DPPH are significantly higher in Chardonnay than in Xarel·lo wines

All organic wines had higher levels in the antioxidant capacity; however only DPPH was significantly higher in organic Chardonnay versus conventional Chardonnay

	TP		ABTS		DPPH	
	Organic	Conventional	Organic	Conventional	Organic	Conventional
<b>Chardonnay</b>	254,93 $\pm$ 36,28	276,33 $\pm$ 33,16	2,30 $\pm$ 0,43	2,28 $\pm$ 0,15	0,79 $\pm$ 0,13*	0,62 $\pm$ 0,13*
<b>Xarel·lo</b>	214,09 $\pm$ 103,53	212,86 $\pm$ 4,72	1,79 $\pm$ 1,05	1,59 $\pm$ 0,71	0,59 $\pm$ 0,26	0,36 $\pm$ 0,23

\*Significative difference (p<0,05)

### Conclusion

Antioxidant capacity seems higher in organic wine than conventional wine; however more research is needed to corroborate this results.

### Acknowledgement

This work was supported in part by:

- CICYT(AGL2013-49083-C3-1-R and AGL2016-79113-R) and the Instituto de Salud Carlos III, ISCIII (CIBEROBN) from the Ministerio de Economía y Competitividad (MEC) (AEI/FEDER, UE) and Generalitat de Catalunya (GC) 2014 SGR 773.
- INSA (Institut de Recerca en Nutrició i Seguretat Alimentària)
- Generalitat de Catalunya. Ajuts per incentivar la recerca aplicada en producció agroalimentària Ecològica 530 50122 016

### 8.2.9. *Comunicación 9. Oral*

**Título:** Cooking effects on the bioavailability and bioactivity of phenolic and carotenoids of Mediterranean *sofrito*.

**Autores:** Rosa M. Lamuela-Raventos, Jose Fernando-Rinaldi, Sara Hurtado-Barroso, Miriam Martinez-Huelamo, Montse Illan and Xavier Torrado.

**Congreso:** 253rd National Meeting of the American-Chemical-Society (ACS) on Advanced Materials, Technologies, Systems, and Processes. San Francisco, CA. 02-06 de abril de 2017

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**AGFD 124 Cooking effects on the bioavailability and bioactivity of phenolic and carotenoids of Mediterranean *sofrito***

Rosa M Lamuela-Raventos<sup>1,2</sup> [lamuela@ub.edu](mailto:lamuela@ub.edu), José Fernando-Rinaldi<sup>3</sup>, Sara Hurtado-Barroso<sup>1,2</sup>, Miriam Martínez-Huélamo<sup>1,2</sup>, Montse Illan<sup>1</sup>, Xavier Torrado<sup>1</sup>.

(1) Univ. of Barcelona, Spain (2) CIBEROBN, Madrid, Spain

Tomato is one of the vegetables most consumed worldwide, mainly in its processed forms, as sauce, canned or juice and it is very rich in bioactive compounds such as vitamin C, carotenoids and polyphenols. The behavior of bioactive compounds during cooking has been extensively studied, however the effect of time the addition of different ingredients and the complexity of these interaction improving the bioavailability of bioactive compounds is a challenging subject for research. The aim of this study was to evaluate the effect of home cooking process and the presence of the ingredients used in the preparation of Mediterranean *sofrito* (extra virgin olive oil, onion and garlic) could interact, modify and improve the content of carotenoid and phenolics from tomato. A Full Factorial Design was applied to clarify how the contribution of each ingredient and time modify the phenolic and carotenoid profile and possible synergism between the ingredients.



8.2.10. *Comunicación 10. Oral y póster*

**Título:** Effect of *sofrito* intake on diastolic blood pressure in healthy men after high and low antioxidants diet.

**Autores:** Hurtado-Barroso, S.; Alvarenga, J.F.R.; Marhuenda-Muñoz, M.; Lamuela-Raventós, R.M.

**Congreso:** Mediterranean Diet & Health.  
Halkidiki (Greece).

19 de octubre de 2017.

**Effect of *sofrito* intake on diastolic blood pressure in healthy men after high and low antioxidants diet****Hurtado-Barroso, S.<sup>1,2</sup>; Alvarenga, J.F.R.<sup>1</sup>; Marhuenda-Muñoz, M.<sup>1</sup>; Lamuela-Raventós, R.M.<sup>1,2</sup>**<sup>1</sup>*Department of Nutrition, Food Science, and Gastronomy, School of Pharmacy and Food Science, INSA-University of Barcelona, Barcelona, Spain*<sup>2</sup>*CIBER Physiopathology of obesity and nutrition (CIBERObn), Instituto de Salud Carlos III, Madrid, Spain*

**Introduction:** *Sofrito* is a typical tomato-based Mediterranean sauce made with olive oil and onions that are rich in bioactive compounds. **Hypothesis:** The hypothesis was that *sofrito* intake could have a beneficial effect on cardiovascular health, particularly in the regulation of blood pressure. **Methodology:** A cross-sectional, controlled and randomized study in which 22 healthy men between 18 and 32 years followed a high antioxidants diet ( $\geq 5$  vegetables and fruits) and a low antioxidants diet ( $\leq 2$  vegetables and fruits) for 2 weeks and after that intake a single portion of *sofrito* (240g/70kg) was carried. Volunteers were previously informed about the antioxidants level in foods to perform a free diet with high and low content in antioxidants. The blood pressure and heart rate was measured on basal and after the *sofrito* intake by a digital blood pressure monitor. **Results:** Lower diastolic blood pressure was observed after five hours of *sofrito* intake compare to basal measure in both diets (75,89 $\pm$ 8,25 vs. 70,86 $\pm$ 8,31, p value=0.006 and 76,14 $\pm$ 9,06 vs. 71,87 $\pm$ 8,11, p value=0,039). **Conclusion:** According to these preliminary results, *sofrito* intake could improve cardiovascular health, reducing diastolic blood pressure. The mechanisms involved in this fact will be studied soon. **Acknowledgment.** This work was supported by Ministerio de Economía y Competitividad (AGL2013-49083-C3-1-R) and Centro de Investigación Biomédica en Red Fisiopatología de la Obesidad y Nutrición (CIBEROBN).

**Effect of *sofrito* intake on diastolic blood pressure in healthy men after high and low antioxidants diet**

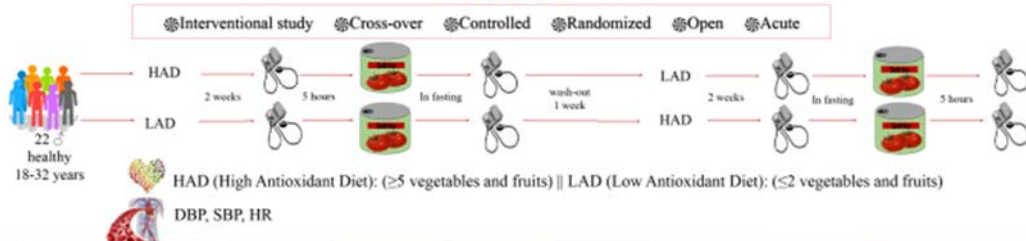
Hurtado-Barroso, S.<sup>1,2</sup>; Alvarenga, J.F.R.<sup>1</sup>; Marhuenda-Muñoz, M.<sup>1,2</sup>; Lamuela-Raventós, R.M.<sup>1,2</sup>

<sup>1</sup>Department of Nutrition, Food Sciences and Gastronomy, School of Pharmacy and Food Sciences, INSA-University of Barcelona, Barcelona, Spain  
<sup>2</sup>CIBER Physiopathology of obesity and nutrition (CIBEROBn), Instituto de Salud Carlos III, Madrid, Spain, electronic address: sara.hurtado\_17@ub.edu

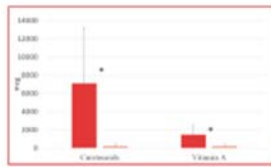
**INTRODUCTION**

*Sofrito* is a typical tomato-based Mediterranean sauce made with olive oil and onions that are rich in bioactive compounds. The hypothesis was that *sofrito* intake could have a beneficial effect on cardiovascular health, particularly in the regulation of blood pressure.

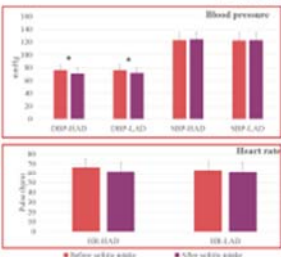
**METHODOLOGY**



**RESULTS**



Carotenoids and vitamin A in plasma after a HAD (High Antioxidant Diet) and LAD (Low Antioxidant Diet). p-value <0.001(\*). The dietary registers were analysed with the PCN Pro software (Programa de Càlcul Nutricional Professional).



Significantly lower diastolic blood pressure was observed after five hours of *sofrito* intake compared to basal measure in both groups.

	HAD	LAD	p-value
BMI (kg/m <sup>2</sup> )	24.91±3.73	24.84±3.70	0.476
WHR (cm/cm)	0.839±0.046	0.839±0.047	0.793
	p-value		
DBP 0H (mmHg)	75.89±8.25	76.14±9.06	0.897
DBP 5H (mmHg)	70.86±8.31	71.87±8.11	0.039*
SBP 0H (mmHg)	122.77±10.81	122.44±11.66	0.715
SBP 5H (mmHg)	124.09±11.26	122.63±11.62	0.987
HR 0H (bpm)	66.06±9.49	63.03±9.85	0.118
HR 5H (bpm)	61.91±9.31	61.57±10.19	0.322

BMI: Body Mass Index, WHR: waist-hip ratio, DBP: Diastolic Blood Pressure, SBP: Systolic Blood Pressure, HR: Heart Rate, HAD: High Antioxidant Diet, LAD: Low Antioxidant Diet, 0H: 0 hours (before intake *sofrito*), 5H: 5 hours (after intake *sofrito*). p-value <0.05(\*).

**CONCLUSION**

According to these preliminary results, *sofrito* reduce diastolic blood pressure. The mechanisms involved in this fact will be evaluated soon.

**ACKNOWLEDGMENT**

This work was supported by Ministerio de Economía, Industria y Competitividad (AGL2013-49083-C3-1-R and AGL2016-79113-R) and Centro de Investigación Biomédica en Red Fisiopatología de la Obesidad y Nutrición (CIBEROBn).



8.2.11. *Comunicación 11. Póster*

**Título:** Comparison of urinary excretion of total polyphenols after a high antioxidant diet and a low antioxidant diet.

**Autores:** Hurtado-Barroso, S; Tresserra-Rimbau, A; Alvarenga, JFR; Lamuela-Raventós, RM.

**Congreso:** III Workshop INSA-UB: «La salut de la microbiota. Prebiòtics i probiòtics en nutrició animal i humana».

Barcelona (España).

16 de noviembre de 2017



8.2.12. *Comunicación 12. Póster*

**Título:** Following a low-polyphenol diet for a short time cause changes in vascular biomarkers in healthy men

**Autores:** Sara Hurtado-Barroso, Paola Quifer-Rada, José Fernando Rinaldide Alvarenga, Silvia Pérez-Fernández, Anna Tresserra-Rimbau and Rosa M. Lamuela Raventos.

**Congreso:** XI Jornada de Recerca de la Facultat de Farmàcia i Ciències de l'Alimentació.

Barcelona (España).

17 de octubre de 2018.

## FOLLOWING A LOW-POLYPHENOL DIET FOR A SHORT TIME CAUSE CHANGES IN VASCULAR BIOMARKERS IN HEALTHY MEN

Sara Hurtado-Barroso<sup>1,2,3</sup>, Paola Quifer-Rada<sup>4</sup>, José Fernando Rinaldi de Alvarenga<sup>1,3</sup>, Sílvia Pérez-Fernández<sup>5,6</sup>, Anna Tresserra-Rimbau<sup>2,7</sup> and Rosa M Lamuela Raventos<sup>1,2,3</sup>

<sup>1</sup>Department of Nutrition, Food Sciences and Gastronomy, School of Pharmacy and Food Sciences, University of Barcelona, Barcelona, Spain; <sup>2</sup>CIBER Physiopathology of Obesity and Nutrition (CIBEROBN), Institute of Health Carlos III, Madrid, Spain; <sup>3</sup>INSA-UB, Nutrition and Food Safety Research Institute, University of Barcelona, Barcelona, Spain; <sup>4</sup>Department of Endocrinology & Nutrition, CIBER of Diabetes and Associated Metabolic Diseases, Biomedical Research Institute Sant Pau, Hospital de la Santa Creu i Sant Pau, Barcelona, Spain; <sup>5</sup>IMIM Hospital del Mar Medical Research Institute, Barcelona, Spain; <sup>6</sup>CIBER Cardiovascular (CIBERCV), Institute of Health Carlos III, Madrid, Spain; <sup>7</sup>Human Nutrition Unit, University Hospital of Sant Joan de Reus, Department of Biochemistry and Biotechnology, Faculty of Medicine and Health Sciences, Pere Virgili Health Research Center, University Rovira i Virgili, Reus, Spain.

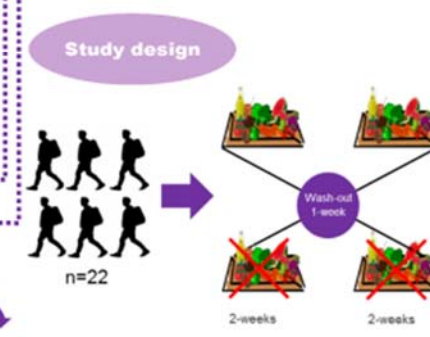
### INTRODUCTION

Phenolic compounds from diet play a critical role in the cardiovascular health maintenance. Several studies have showed their effects on endothelial function.

### AIM

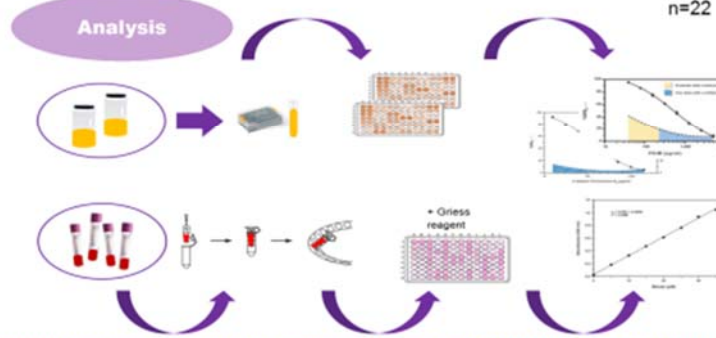
Evaluate if adopting a diet low in polyphenol-rich food for two weeks would affect vascular biomarkers in healthy men.

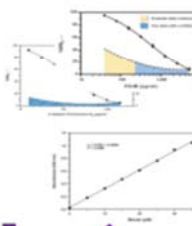
### Study design



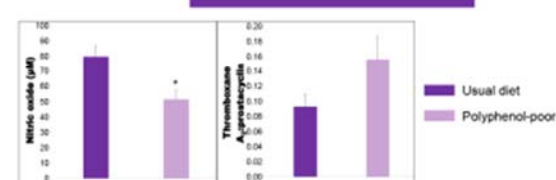
### METHODS

#### Analysis





### RESULTS



Biomarker	Usual diet	Polyphenol-poor diet
Nitric oxide (µM)	~80	~55*
Thromboxane A <sub>2</sub> /Prostacyclin ratio	~0.08	~0.15

After a diet low in polyphenols, a reduction of nitric oxide (adjusted p value= 0.006) and an increase of the ratio Thromboxane A<sub>2</sub>/prostacyclin (adjusted p value= 0.093) were observed regarding the usual diet.

### CONCLUSION

Polyphenols poor diet for a short period may modify the vascular biomarkers, even in healthy population.

### ACKNOWLEDGEMENT

This work was supported by CYCIT (AGL2016-79113-R) from Ministerio de Economía, Industria y Competitividad and CIBERObn (Centro de Investigación Biomédica en Red Fisiopatología de la Obesidad y Nutrición).



8.2.13. *Comunicación 13. Oral*

**Título:** Total polyphenols and antioxidant capacity are higher in Chardonnay than in Xarel-lo white wines

**Autores:** Hurtado-Barroso, S, Alvarenga, JFR, Treserra-Rimbau, A, Francetto Juliano F, Lamuela-Raventós, RM.

**Congreso:** IV Workshop INSA-UB vi i cava.  
Santa Coloma de Gramenet, Barcelona (España).  
15 de noviembre de 2018.



## TOTAL POLYPHENOLS AND ANTIOXIDANT CAPACITY IS HIGHER IN CHARDONNAY THAN IN XAREL·LO WHITE WINE

Hurtado-Barroso, S.<sup>1,2\*</sup>, Alvarenga, J.F.R.<sup>1</sup>, Treserra-Rimbau, A.<sup>2,3</sup>, Francetto Juliano F.<sup>4</sup>, Lamuela-Raventós, R.M.<sup>1,2</sup>

<sup>1</sup>*Department of Nutrition, Food Science, and Gastronomy, School of Pharmacy and Food Science, INSA-University of Barcelona, Barcelona, Spain*

<sup>2</sup>*CIBER Physiopathology of obesity and nutrition (CIBERObn), Instituto de Salud Carlos III, Madrid, Spain*

<sup>3</sup>*Human Nutrition Unit, University Hospital of Sant Joan de Reus, Department of Biochemistry and Biotechnology, Faculty of Medicine and Health Sciences, Pere Virgili Health Research Center, University Rovira i Virgili, Reus, Spain*

<sup>4</sup>*Department of Agri-food Industry, Food and Nutrition, “Luiz de Queiroz” College of Agriculture, University of São Paulo, Piracicaba, SP, Brazil*

### **Background and objectives:**

Several studies in the field of the dietary antioxidants are carried out using the wine as polyphenol-rich product. However, the concentration of these bioactive compounds contained in the wine depends on the factors like the grape variety. We evaluated the total polyphenols (TP) and the antioxidant capacity (AC) in two Spanish varieties of white wine: Chardonnay and Xarel·lo.

### **Methodology:**

TP and AC were analyzed in a total of 30 samples of white wine, of which 14 were of the Chardonnay variety and 16 of Xarel·lo variety. TP was measured by Folin-Ciocalteu method and AC by ABTS and DPPH methods.

### **Results and conclusions:**

Both TP and AC were higher in Chardonnay than in Xarel·lo wines ( $267.16 \pm 34.92$  vs.  $217.78 \pm 88.68$   $\mu\text{g GAE/mL}$ ,  $p=0.001$ ;  $2.28 \pm 0.29$  vs.  $1.75 \pm 0.94$   $\text{mM TE/L}$ ,  $p<0.001$  and  $0.69 \pm 0.53$  vs.  $0.15 \pm 0.27$   $\text{mM TE/L}$ ,  $p=0.012$ ). So, it seems that Chardonnay could be better regarding to bioactive compounds content than Xarel·lo variety.

### **Acknowledgements:**

This work was supported by CICYT (AGL2016-79113-R) from Ministerio de Economía y Competitividad (MEC) and Generalitat de Catalunya. In addition, thanks to the collaboration of Physiopathology of obesity and nutrition CIBER (CIBEROBN) and the Institut de Recerca en Nutrició i Seguretat Alimentària (INSA).

8.2.14. *Comunicación 14. Póster*

**Título:** Following a low-polyphenol diet for a short time causes changes in vascular biomarkers in healthy men

**Autores:** Sara Hurtado-Barroso, Paola Quifer-Rada, José Fernando Rinaldide Alvarenga, Silvia Pérez-Fernández, Anna Tresserra-Rimbau and Rosa M. Lamuela Raventos.

**Congreso:** Simposio Anual CIBEROBN 'Obesity and Nutrition in the 21st Century'.

Madrid (España).

21-22 de noviembre de 2018.

## FOLLOWING A LOW-POLYPHENOL DIET FOR A SHORT TIME CAUSES CHANGES IN VASCULAR BIOMARKERS IN HEALTHY MEN

Sara Hurtado-Barroso<sup>1,2,3</sup>, Paola Quifer-Rada<sup>4</sup>, José Fernando Rinaldi de Alvarenga<sup>1,3</sup>, Silvia Pérez-Fernández<sup>5,6</sup>, Anna Tresserra-Rimbau<sup>2,7</sup> and Rosa M Lamuela Raventos<sup>1,2,3</sup>

<sup>1</sup>Department of Nutrition, Food Sciences and Gastronomy, School of Pharmacy and Food Sciences, University of Barcelona, Barcelona, Spain; <sup>2</sup>CIBER Physiopathology of Obesity and Nutrition (CIBEROBN), Institute of Health Carlos III, Madrid, Spain; <sup>3</sup>INSA-UB, Nutrition and Food Safety Research Institute, University of Barcelona, Barcelona, Spain; <sup>4</sup>Department of Endocrinology & Nutrition, CIBER of Diabetes and Associated Metabolic Diseases, Biomedical Research Institute Sant Pau, Hospital de la Santa Creu i Sant Pau, Barcelona, Spain; <sup>5</sup>IMM Hospital del Mar Medical Research Institute, Barcelona, Spain; <sup>6</sup>CIBER Cardiovascular (CIBERCV), Institute of Health Carlos III, Madrid, Spain; <sup>7</sup>Human Nutrition Unit, University Hospital of Sant Joan de Reus, Department of Biochemistry and Biotechnology, Faculty of Medicine and Health Sciences, Pere Virgili Health Research Center, University Rovira i Virgili, Reus, Spain.

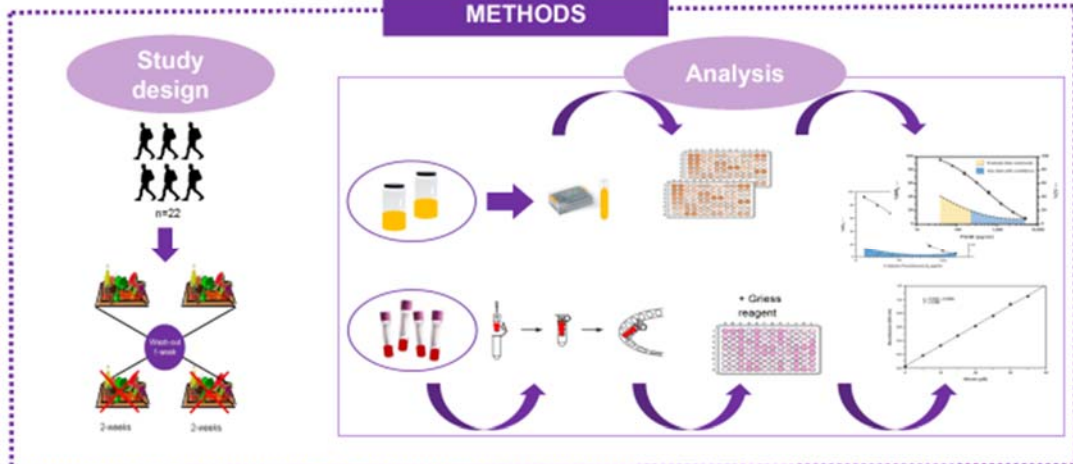
### INTRODUCTION

Phenolic compounds from diet play a critical role in the cardiovascular health maintenance. Several studies have showed their effects on endothelial function.

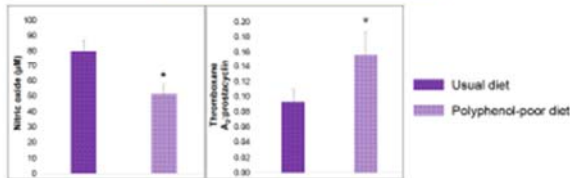
### AIM

Evaluate if adopting a diet low in polyphenol-rich food for two weeks would affect vascular biomarkers in healthy men.

### METHODS



### RESULTS



After a diet low in polyphenols, a reduction of nitric oxide (adjusted p value= 0.002) and an increase of the ratio Thromboxane A<sub>2</sub>/prostacyclin (adjusted p value= 0.048) were observed regarding the usual diet.

### CONCLUSION

Polyphenols poor diet for a short period may modify the vascular biomarkers, even in healthy population.

### ACKNOWLEDGEMENT

This work was supported by CYCIT (AGL2016-79113-R) from Ministerio de Economía, Industria y Competitividad and CIBERobn (Centro de Investigación Biomédica en Red Fisiopatología de la Obesidad y Nutrición).



8.2.15. *Comunicación 15. Oral*

**Título:** El efecto de la dieta mediterránea y sus compuestos bioactivos sobre los marcadores vasculares en humanos sanos.

**Autores:** Sara Hurtado-Barroso and Rosa M. Lamuela Raventos.

**Congreso:** 2ª Jornada de Recerca. Departament de Nutrició, Ciències de l'Alimentació i Gastronomia.

Santa Coloma de Gramenet, Barcelona (España).

16 de julio de 2019.



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Barcelona, Julio 17, 2019


A quien pueda interesar.

Mediante el presente escrito, como responsable de la organización de la II Jornada de Investigación del Departamento de Nutrición, Ciencias de la Alimentación y Gastronomía, celebrada el 16 de Julio de 2019 en el Campus de Torribera, hago constar que:

Sara Hurtado Barroso participó en dicha Jornada y presentó una comunicación que lleva por título

*El efecto de la dieta mediterránea y sus compuestos bioactivos sobre los marcadores vasculares en humanos sanos*

Atentamente.



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Administració de Campus de l'Alimentació Torribera

Des Campus d'Excel·lència Internacional:

