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## *Robust structural damage detection by using statistical hybrid algorithms*

By

**Jhonatan Camacho Navarro**

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**ROBUST STRUCTURAL DAMAGE DETECTION BY USING STATISTICAL  
HYBRID ALGORITHMS**



**UNIVERSITAT POLITÈCNICA DE CATALUNYA  
BARCELONATECH**

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**Department of Civil and Environmental  
Engineering**

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Doctor in Civil Engineering

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March 2019

**I would like to dedicate this thesis to my wife, for they love, endless support and encouragement.**

## **Declaration**

I hereby declare that except where specific reference is made to the work of others, the contents of this dissertation are original and have not been submitted in whole or in part for consideration for any other degree or qualification in this, or any other university. This dissertation is my own work and contains nothing, which is the outcome of work done in collaboration with others, except as specified in the text and Acknowledgements.

Jhonatan Camacho Navarro  
March 2019

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# ABSTRACT

This thesis presents the results of applying a statistical hybrid approach for structural health monitoring using piezo actuating signals. Where, by combining statistical processing based on Principal Component Analysis (PCA), cross-correlation functions and pattern recognition methods it was possible to detect, classify and locate damages under varying environmental conditions and possible sensor faults. The proposed methodology consists of first transmitting/sensing guided waves along the monitored structure surface by using piezoelectric (PZT) devices. Then, cross-correlated piezoelectric signals are statistically represented by means of a PCA model. Later, damages are identified through error indexes computed from a statistical baseline model. Finally, clustering methods and scattered plots are used to verify the performance of the proposed algorithm. Improved or new techniques are presented in this thesis which were focused to achieve more reliable diagnosis with high robustness and good performance. Specifically, differential genetic algorithms are used for automatically tuning parameters in a PCA-SOM damage detection/classification approach. Additionally, Ensemble Learning is explored as approach for obtaining more efficient diagnosis with high separable boundaries between undamaged and damage conditions taking advantages of learner algorithms built from Non-Linear PCA and a Multiactuating active scheme of piezodiagnostics. Also, a modified version of the Reconstruction Algorithm for Probabilistic Inspection of Damage – RAPID is implemented to solve location tasks in SHM. The proposed methodology was experimentally evaluated on different structures such as a carbon-steel pipe loop, a laminate plate, aircraft wings and a scale tower wind, among others; where different damage scenarios were studied, including leaks scenarios, mass adding and cuts. The effectiveness of the proposed methodology to detect, locate and classify damages under varying environmental and operational conditions is demonstrated. Likewise, the feasibility for continuous monitoring is validated by embedding the code of the proposed algorithm whose capacity to detect structural damages was demonstrated. As a result, the combination of piezodiagnostics approach, cross-correlation analysis, principal component analysis, clustering techniques and Ensemble Learning become as promising solution in the field of structural health monitoring and specifically to achieve a robust solution for damage detection and location.

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# Chapter 1

## 1. Introduction

### 1.1. Research Framework and motivation

"Structural Health Monitoring (SHM) is the integration of several systems or devices: sensory, data acquisition, data processing and archiving, communication, damage detection and modelling, in order to acquire knowledge about the integrity and load worthiness of in-service structures on either a temporary or continuous basis" [1]. In general terms, according to Farrar and Worden [2], SHM can be defined as the process of implementing a damage identification strategy for engineering structures, where damage refers to changes of the material and/or geometric properties of a structural system, that adversely affect the system's performance. Some of benefits by implementing a damage identification strategy through a SHM system are: avoidance of premature breakdowns, reduction of maintenance costs, continuous remote diagnosis and economic benefits in terms of an operational life extension. Many SHM approaches have been reported in literature [3], where a trade-off between efficiency and accuracy in the diagnosis is one of the main problems to be solved. It is desirable that SHM systems satisfy characteristics regarding to reliability, accuracy, robustness, and high sensitivity to the presence of damages [4], [5]. Thus, one of the main concerns in the field of SHM is the implementation of systems with the capability to continuously evaluate the health of a structure. Such systems should have the ability to manage uncertainty caused by operational and environmental conditions [6], facilitating the failure identification through algorithms with high performance levels (degree of damage diagnosis: detection, location, quantification and prognosis [2]) in order to reduce maintenance costs when continuous action is considered. The above requirements demand efficient hardware and software resource consumption since the high amount of data recorded when continuous SHM systems is achieved.

An adequate SHM system should meet the following characteristics:

- Efficiency for identifying structural damages, taking into account that access to structure can be limited, and implementation and operation costs should be low.
- Robustness to environmental changes, noisy data and sensor faults.
- Capability to identify different damage types.
- Offer facilities for practical implementation.

Thus, this thesis is devoted to present a robust approach for structural condition monitoring with the next features: Detection of multiple structural damages with implementation feasibilities, such as: automatic parameter tuning, easy results interpretation for diagnosis and low hardware requirements.

### 1.2. Objectives

#### 1.2.1. Main Objective

The main objective of this thesis is to propose a robust structural damage detection algorithm based on statistical tools such as nonlinear PCA combined with ensemble classification, in order

to detect multiple damages in structures under varying environmental conditions and possible sensor faults.

### **1.2.2. Specific Objectives**

To achieve the main objective, the following specific objectives have been developed:

- To study different approaches based on Principal Component Analysis, neural Networks and Genetic Algorithms, proposed to solve the damage detection problem in structures under environmental conditions.
- To study different approaches of Nonlinear Principal Component Analysis and adapt it to the damage detection problem in order to evaluate its performance.
- To evaluate evolutionary optimization techniques as tool for parameter tuning and sensitivity analysis in damage detection algorithms.
- To evaluate ensemble learning approaches as solution to the multiple damage detection problem.
- To conduct experiments on laboratory specimens and sections of complex structures, such as aircraft wing skin, scale wind generator, among others in order to test its sensitivity and specificity.
- To recreate damages such as mass adding and leakages in a laboratory scale pipeline.
- To code the proposed and previous algorithms developed by CoDALab group on a final integrated system of easy implementation, where any determined piezoelectric can be chosen as actuator and the other ones as sensors.
- To test the embedded system in structures such as lab scale pipelines, operating in continuous use.

### **1.3. Outline of the main contributions of this thesis**

This thesis was focused to contribute on the online identification of structural multi-damages problem by using algorithms with capability of processing experimental data obtained from real-scale structures under varying environmental conditions as well as monitoring state of sensors. In order to achieve this goal, a damage detection algorithm based on piezo-diagnostics approach and Principal Component Analysis (PCA) is implemented in a Linux-Based ARM hardware platform. The methodology proposed to detect structural damages consists of first obtaining a structural baseline model by applying PCA (linear and no linear) on a set of experiments from a pristine structure and then evaluating the current condition (Damaged or Undamaged) of the structure by comparing new measurements respect to the baseline model. In this sense, square prediction error index is used to detect deviations from undamaged condition. The methodology includes the use of SOM<sup>1</sup> neural networks for visualization and damage classification purposes. As a result, a robust methodology was obtained (low sensitivity to noisy measurements, sensor faults and several operational conditions) to address SHM tasks, with following novel features:

- Computational resources related to memory and processor consumption were efficiently used as possible by means of a low cost implementation of Principal Component Analysis (PCA) through Proper Orthogonal Decomposition (POD) algorithm. Thus, the feasibility of continuous monitoring taking advantage of piezo diagnostics principle is

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<sup>1</sup> Self-Organizing Maps - SOM

no longer major setback due to the use of an embedded version of PCA damage detection algorithm.

- Identification of atypical and noisy data, as well as management of data cleansing stages are facilitated by the implementation of a pre-processing stage based on cross-correlation analysis.
- An augmented PCA baseline model, including environmental influences on the data variability, is used as alternative to deal environmental conditions regarding to temperature and humidity variations.
- An automatic tuning of parameters is proposed by using a Differential Evolutionary Algorithm (DEA).
- False alarms and missing report of the damage detection algorithm are minimized by improving the differentiation between damage and undamaged conditions through an ensemble learning scheme, where diagnosis from several subsystems are mixed to obtain a more distinguishable boundary defined by damage indexes.
- Damage location is achieved by implementing an ensemble learning approach by combining the squared prediction error from sensor pairs with an adapted version of RAPID<sup>2</sup> algorithm, demonstrating the capability to manage features diversity.
- Robustness of damage detection was validated for two sensor fault conditions: debonding and wiring losses. It was demonstrated that sensor failure condition corresponds to atypical performance in the diagnosis response and high indices out or below of common values can be associated to failures in the connection system.
- Alternative novel indices for damage detection were proposed by using errors between measured and reconstructed signals, which are combined in an ensemble framework in order to obtain a robust index with capabilities to detect structural damages in a more distinguishable way.
- The feasibility of the system was verified by conducting laboratory experiments in several structures: a pipeline section, a pipe loop structure, two aircraft wings, a laminar structure of composite material and a scaled wind generator model. Thus, the suitability of the proposed methodology was shown in a great variety of structures.
- Several damages scenarios were used to validate the performance of the proposed methodology, in order to detect reversible and non-reversible damages. Thus, the proposed algorithms have the capability of detecting and classifying damages such as mass adding, cuts and leak conditions.

According to above statements, in this thesis a hybrid formulation is proposed by combining ensemble learning, nonlinear statistical feature extraction and evolutionary based optimization, as an alternative method to classify multiple damage scenarios under environmental/operative structural varying conditions, with possible sensor fault monitoring and minimal false/missing diagnostics.

#### **1.4. Organization of the thesis**

The present thesis is organized in ten chapters, starting with this introduction where the objectives, general results and research framework and the organization are described. The second chapter includes a theoretical background that covers a brief definition of the methods used in the proposed algorithms. The review covers selected aspects of Structural Health Monitoring (SHM) based on statistical methods (Principal Component Analysis - PCA) for

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<sup>2</sup> Reconstruction Algorithm for Probabilistic Inspection of Damage – RAPID.

structural damage detection using baseline models obtained from piezoelectric measurements. Afterwards, the third chapter presents the use of cross-correlation signals to facilitate data cleansing, noise filtering and damage grouping. Thus, the advantages of including a preprocessing stage based on a cross-correlation technique for improving the overall performance of structural damage detection algorithms are detailed. The fourth chapter is devoted to present the proposed methodology for damage classification by means of unsupervised learning algorithms. For this purpose, clustering techniques are implemented in order to group different states of a structure, which allows building a damage classifier. In the five chapter, an approach for automatically tuning parameters of structural assessment algorithms is discussed. The automatic tuning is obtained by using differential evolutive algorithms due to its flexibility, which facilitates the adaptation of general data-driven methodologies for structural damage detection. In chapter six, a solution for the treatment of environmental conditions related to humidity and temperature changes is explained. In this chapter, a short review of the influences and methods for environmental conditions treatment are depicted, and it is also detailed how it can be managed through augmented baseline models. Chapter 7 contains design, test and specifications for a standalone inspection prototype developed on an embedded hardware platform. The following elements are shown: instrumentation equipment, computational core capability, programming procedure and practical considerations for the implementation of embedded approach of PCA-SHM algorithms. Chapter 8 analyzes the results of using Ensemble Learning as approach for SHM tasks: robust damage detection and damage location. Robust damage detection is achieved by combining several proposed damage indices taking into account errors between measured and reconstructed signals, which minimizes false alarms and missing reports. In order to determine the position of the damage in the structure, contributions of each transducer in the sensor network to each damage index are calculated and then merged by means of an adapted version of RAPID algorithm. Chapter 9 discusses the performance and sensitivity of PCA based damage detection for two sensor fault conditions: sensor debonding and wiring losses. Each chapter details the case studies and laboratory efforts on different structures that have performed in this survey. Finally, the last chapter presents the main conclusions of this thesis and some comments about the methodologies proposed and the obtained validation results. Moreover, this final chapter contains some proposal for future research and main pursued objectives to conclude this thesis.

## Chapter 2

### 2. Theoretical research overview

This thesis is focused on developing a hybrid multi damage identification approach by combining different techniques, damage features and classifiers in order to improve the overall damage identification performance. The developments are based on previous work explored by CoDALab and CEMOS research groups at the UPC and UIS universities. In this chapter the fundamental concepts of previous algorithms are presented, which serve as technical support for the results in this thesis.

#### 2.1. Structural Health Monitoring principles and concepts

Structural Health Monitoring (SHM) can be defined as a strategy for detection, location and quantification of damages on several structures from mechanical, aeronautical to civil ones. In the last years, a special interest is noted for the condition monitoring of structures such as wings, bridges, oil pipes, towers and sea platforms among others, which are widely used in tasks related to mechanical, civil and aeronautical applications. As a result, new techniques have been developed in the field of SHM, in order to detect structural damages due to aging, overloads, fatigue or external disturbances. Thus, when damage is early detected, proper actions can be conducted to repair or reinforce structural elements that minimize accidents risk, economical losses, catastrophic events, and avoid possible human deaths. According to Ooijselaar [7], structural damage diagnosis algorithms include the elements summarized in figure 2.1.

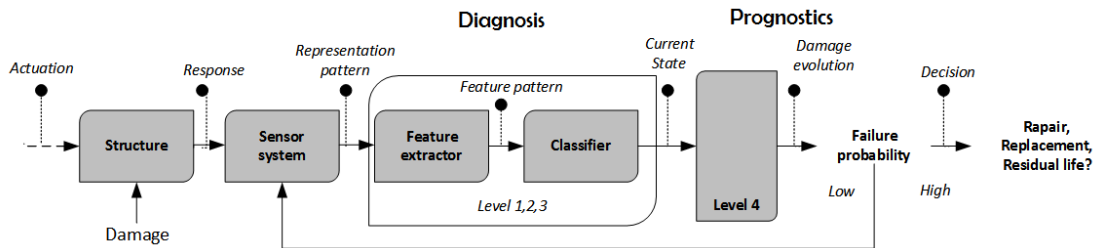


Figure 2.1: Components of a SHM process for damage diagnosis according to Ooijselaar [7]

In figure 2.1, the sensor system obtains the signal signature describing the current state of the monitored structure. Then, data collected by the sensor network is characterized through features in order to get a sensible representation to damage conditions. These features are exploited by classification, regression or clustering algorithms with capability to identify abnormal conditions (i.e. possible damage). Thus, by implementing the scheme depicted in figure 2.1 the basic SHM levels can be achieved: Diagnosis constituted by damage detection (Level 1), location (Level 2) and quantification (Level 3), and prognostics by estimating the damage evolution (Level 4), where, feature extraction is the area with most attention in the literature [5]. Since SHM process requires features with high sensitivity, to distinguish between the undamaged and damage conditions, they should be robust to noisy measurements. Thus, feature extraction can be complemented by using data cleansing and pre-processing techniques in order to improve diagnosis response of whole system and consequently to minimize effects due to variable operational and environmental conditions as well as sensor drifts.

## 2.2. Piezo-diagnostics approach for damage assessment

The high sensitivity of the guided-wave ultrasonic technique has been an advantage for structural health monitoring applications [8]. Guided waves have been extensively studied for damage detection and characterization in a wide range of industrial applications, including transportation and civil engineering [9]. In this sense, it has been demonstrated that guided waves can be easily generated by using Lead Zirconate Titanate piezoelectric devices (PZT). Thus, several researches have shown the feasibility of using PZT measurements for condition monitoring [10], [11], and [12].

Piezoelectric instrumentation is a cheap but effective technology for generating guided waves, which comprises PZTs, fine-tuning filters, high wide-band amplifiers and acquisition systems. According to figure 2.2, piezo-diagnostics principle is exploited through a piezoelectric active scheme to acquire the structural signature of current state condition. Thus, damage identification is based on the phenomenon of elastic waves propagation, where several piezo devices are attached along the surface of the structure to trace the elastic wave travelling. In this sense, a pitch-catch mode is configured to examine scattering, reflection, and mode conversion caused by discontinuities (figure 2.2).

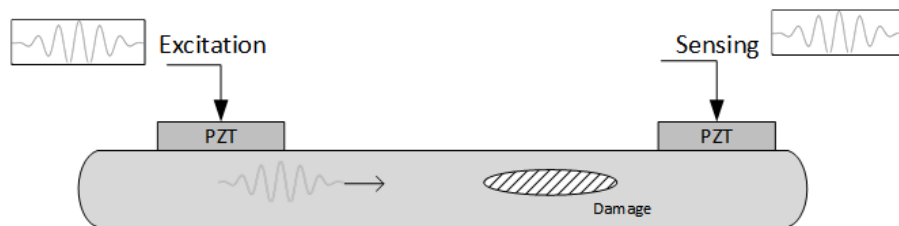


Figure 2.2: Piezoelectric active configuration

According to figure 2.2, one of the piezoelectric devices is excited with a periodic high frequency burst type signal inducing a guide wave and the remaining piezo-devices are used as sensors to measure guided wave response at different locations of the structure. The most important parameters to be considered for generating guided waves are related to frequency and type of electric field excitation, coupling material for the bonding layer and recommendations for electrical connection of piezoelectric elements. Thus, the following experimentation conditions should be considered:

- i. A burst type signal is applied to obtain a PZT's actuator response near its resonance frequency (around 100 KHz). Since, this type of signal has finite duration and contains frequency components around a central band, a maximum amplitude can be guaranteed during the process.
- ii. Adhesive Cyanoacrylate is used as coupling layer, which has a better performance than other materials due to repeatability of its waveform pattern and transmitted energy [13]. Also, the adhesive property makes it suitable for continuous monitoring tasks unlike other materials used for ultrasonic tests.
- iii. General soldering procedure was done using APC instructions [14]. Due to some tests executed, it is recommended to use shielded and twisted pair wires to cancel external noise and it is highly recommended to build a circuit that works as interface between piezo-devices and electronic components

### 2.3. Damage detection by using principal component analysis

The objective of PCA is to reduce the dimensionality of a data set by preserving the data variation as much as possible. In this sense, a large number of interrelated variables in a new reduced space of coordinates with minimal redundancy can be represented. This reduced representation serves to obtain a baseline model respect to a reference state or to reduce data features. Thus, PCA is a powerful statistical tool for data fusion and supervised learning [15].

In this work, PCA is used to represent piezoelectric signals in the reduced space, regarding the dynamical response of the structure in nominal state (no damage), which allows comparing respect to unknown states (possible damage).

#### 2.3.1. Data Organization

Piezoelectric measurement signals of each PZT sensor belonging to several repetitions of the undamaged structural state are organized in an unfolded data matrix ( $X$ ) (figure 2.3).

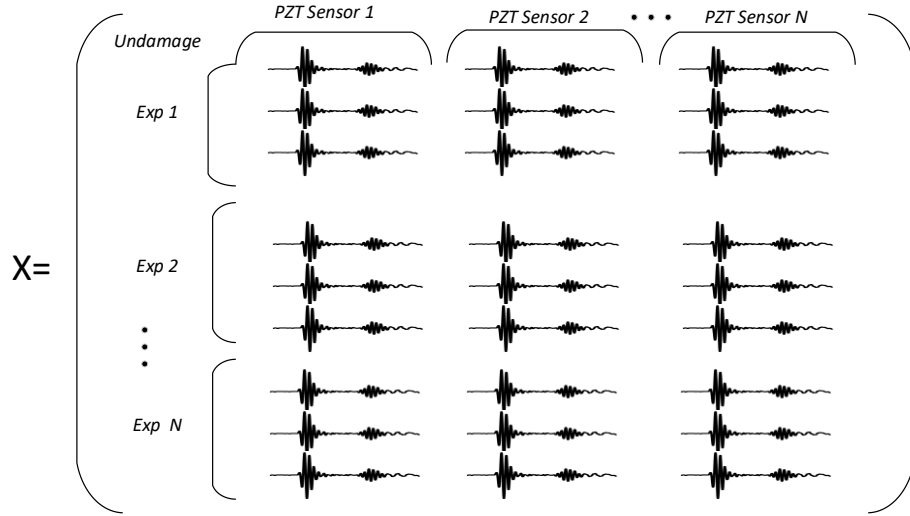


Figure 2.3: Undamaged baseline Matrix

#### 2.3.2. Data normalization

The undamaged baseline matrix is normalized in order to avoid scaling and bias issues, and to reduce the influence of different sources of variability. In this work, normalization is computed by means of *Group Scaling (GS)* method, where each data-point from the undamaged baseline matrix ( $X$ ) is scaled by considering changes between sensors and the nature of data by estimating standard deviation for each block of piezo measurements [16]. Thus, a normalized data matrix  $\bar{X}$  is obtained by standardizing  $X$  using the mean of each time sample for every experiment and the standard deviation of each sensor sample vector, where each  $\bar{x}_{ijk}$  element is determined by eq. (2.1). Specifically, normalization is computed by using the standard deviation of data from each of the total  $J$  PZT sensors, and the mean values of each column of data matrix  $X$  with dimensions  $(M \times JK)$  ( $K$  is the number of time samples recorded in the  $i$ -th experiment repetition and  $M$  are the total of experiments).

$$\hat{\mu}_{jk} = \frac{\sum_i^M x_{ijk}}{M}; \quad \hat{\sigma}_j = \frac{\sum_i^M \sum_k^K x_{ijk}}{M \cdot K}; \quad \bar{x}_{ijk} = \frac{x_{ijk} - \hat{\mu}_{jk}}{\hat{\sigma}_j} \quad (\text{Eq. 2.1})$$



where  $\hat{\sigma}_j$  is the standard deviation per PZT sensor and  $\hat{\mu}_{ij}$  is the mean value per column of undamaged baseline matrix  $X$ .

### 2.3.3. Optimal basis representation

A set of  $r$  basis vectors ( $P$ ) that satisfies the extreme value problem established by eq. (2.2) in order to minimize the fitness function  $\varepsilon^2$  [17] is used to find an optimal representation of undamaged baseline matrix.

$$\begin{aligned} \min_{P_i} \varepsilon^2(r) &= E\{\|\bar{X} - \bar{X}(r)\|^2\} \\ \text{s. t. } P_i^T P_j &= \delta_{i,j} \quad i, j = 1, 2, \dots, r \end{aligned} \quad (\text{Eq. 2.2})$$

The basis vector  $P$  can be estimated by computing the singular value decomposition of the covariance matrix  $C_x$  established by eq. (2.3), which can be solved by using NIPALS, POD or QR procedures [18]

$$C_x P = P \lambda, \quad \text{where } C_{\bar{X}} = \frac{1}{M-1} \bar{X}^T \bar{X} \quad (\text{Eq. 2.3})$$

where,  $M$  is the number of trial records used to estimate the covariance matrix, and  $\lambda$  the respective eigenvalues. Singular value decomposition (SVD) procedures consume high computing resources and requires especial treatment when processing big data matrices. The classical algorithm to obtain the PCA matrix transformation consists of three main steps:

- i. Estimate the covariance matrix of the normalized data-matrix  $\bar{X}$  :

$$C_{\bar{X}} = \frac{1}{n-1} (\bar{X})(\bar{X})^T \quad (\text{Eq. 2.4})$$

- ii. Calculate the Eigenvectors-Eigenvalues of the covariance matrix.
- iii. Select the first eigenvectors as the principal components. The transformation matrix  $P$  contains column vectors of the selected eigenvectors, while the model variance is described by the respective eigenvalues.

For obtaining the Eigenvectors-Eigenvalues of the step *ii.*) it is necessary to compute the singular value decomposition, where an Eigenvector is a nonzero vector that satisfies the eq. (2.5):

$$A\vec{v} = \lambda\vec{v} \quad (\text{Eq. 2.5})$$

Where,  $A$  is a square matrix,  $\lambda$  is a scalar, and  $\vec{v}$  is the eigenvector. The eigenvalues and eigenvectors can be find by solving a matrix as a linear equations system. The covariance matrix is  $M \times M$  size, thus it is necessary to determine  $M$  eigenvectors and  $M$  eigenvalues. However, because  $n \ll M$  ( $n = JK$ ) only  $n-1$  eigenvalues are nonzero, the transformation matrix  $P$  consists of  $n-1$  statistically significant principal components. The QR algorithm [19] is commonly used to obtain the singular value decomposition of a data-matrix expressed in eq. (2.5).

Since only  $n-1$  eigenvalues are nonzero, alternative methods can be used to estimate the singular value decomposition of a data-matrix such a Proper Orthogonal Decomposition (POD) method. By applying POD, the normalized undamaged baseline matrix can be decomposed by eq. (2.6):

$$\bar{X} = U\Sigma V^T \quad (\text{Eq. 2.6})$$

Where, U and V are called the left-singular vectors and right-singular vectors of  $\bar{X}$ , respectively and  $\Sigma$  is a diagonal matrix with the nonzero singular values. If the left-singular vectors of  $\bar{X}$  are eigenvectors of  $\bar{X}\bar{X}^T$  and the right-singular vectors of  $\bar{X}$  are eigenvectors of  $\bar{X}^T\bar{X}$ , it is possible to establish that:

$$\begin{aligned}\bar{X}\bar{X}^T &= (U\Sigma V^T)(U\Sigma V^T)^T = U\Sigma V^T V\Sigma^T U^T = U\Sigma^2 U^T \\ \bar{X}^T\bar{X} &= (U\Sigma V^T)^T (U\Sigma V^T) = V\Sigma^T U^T U\Sigma V^T = V\Sigma^2 V^T\end{aligned}\quad (\text{Eq. 2.7})$$

According to classical procedure, the transformation matrix P corresponds to the singular value decomposition of  $\bar{X}\bar{X}^T$ , thus it can be inferred from eq. (2.7) that  $U=P$ . By using eq. (2.6), the transformation matrix can be computed as:

$$P \equiv \bar{X}\Sigma^{-1}V \quad (\text{Eq. 2.8})$$

In addition, it is noted that the non-zero singular values of  $\bar{X}$  are equal the square roots of the non-zero eigenvalues of both  $\bar{X}\bar{X}^T$  and  $\bar{X}^T\bar{X}$ . In this sense, it is enough to find the singular value decomposition of  $\bar{X}^T\bar{X}$ , with dimensions  $n \times n$  instead of  $\bar{X}\bar{X}^T$  with dimensions  $M \times M$ . These relations reduce the computational cost required to compute the transformation matrix of the statistical model.

#### 2.3.4. Baseline modeling

A baseline model is obtained according to PCA procedure in eq. (2.9). The baseline model is a reduced representation of piezoelectric signals of the pristine structure, arranged in the undamaged baseline matrix (X), after the normalization procedure ( $\bar{X}$ ).

$$\bar{X} = TP^T + E = \text{model} + \text{noise} \quad (\text{Eq. 2.9})$$

where, the basis vectors P form the linear transformation matrix that relates the data matrix  $\bar{X}$  in the new coordinates and they are known as the principal components. T is the projected matrix to the reduced space and the noise E-matrix describe the residual variance neglected by the statistical model (eq 2.9.). The variances of this new coordinates reduced-space are the singular values ( $\lambda$ ).

#### 2.3.5. Condition monitoring

The integration of PCA for structural condition monitoring in the piezo-diagnostics approach is depicted in figure 2.4, where two main phases can be identified: Modeling and Monitoring. The modeling phase is the baseline model building by applying PCA to the undamaged baseline matrix, while monitoring phase refers to the projection of current piezoelectric measurements to the baseline model. Since current measurements stands for unknown structural states, a statistical index is computed to distinguish possible abnormal conditions, where abrupt changes of this index can be associated to a structural damage.

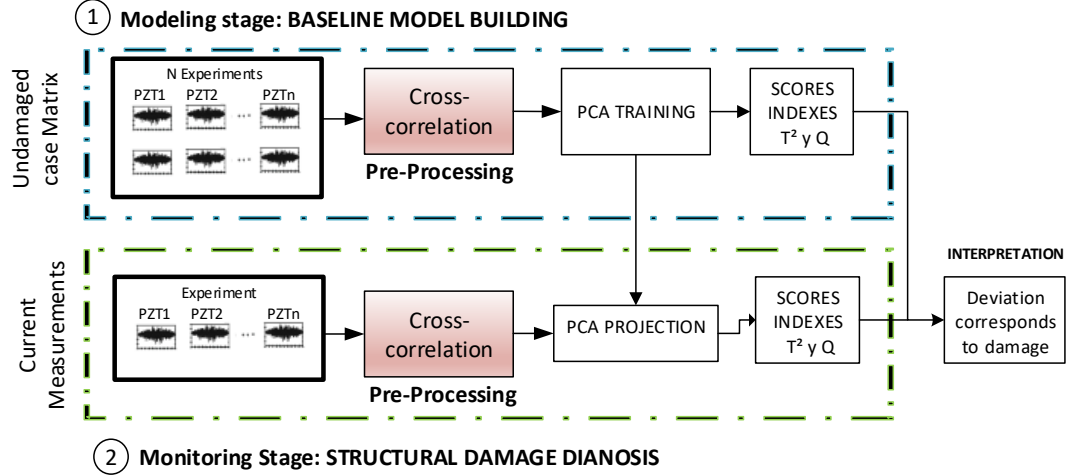


Figure 2.4: Damage condition monitoring approach

The methodology depicted in figure 2.4 has been previously validated on different structures: an aircraft turbine blade, an aircraft wing and an aircraft fuselage. However, a novelty of methodology to be presented in this thesis with respect to previous works, is the inclusion of cross correlation analysis as a tool for improving separation boundaries for damage conditions. Thus, cross-correlation between actuation and sensing piezo-signals is computed previous to the principal component analysis. The cross-correlation function between two signals  $X(t)$  and  $Y(t)$  is defined as:

$$R_{XY}(t, t + \tau) = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{k=1}^N X_k(t) Y_k(t + \tau) \quad (\text{Eq. 2.10})$$

Where  $N$  is the number of signal samples and  $\tau$  is the lag time interval used to compute the cross-correlation function.

On the other hand, Q-index has shown to be successful in fault diagnosis systems, where distinguishable differences between baseline and current state are found, which is attributed to damage. Also, it is suitable for visualization purposes on 2D plots of different structural damage conditions and it can be easily adapted as input for supervised and unsupervised algorithms in order to obtain complementary results regarding to damage classification and quantification tasks [20], [21]. The Q-statistic, defined by eq. (2.11), is a lack of fit measurement between the current experiment and the baseline records. The Q-values chart is obtained by computing the squared prediction error resulting from the reconstruction with the PCA model.

$$Q = \sum_j (e_j)^2 \quad (\text{Eq. 2.11})$$

where,  $e_j$  is the residual error for each  $j$ -th principal component used to reconstruct the trial experiment. In general terms, error-based indexes like Q-index has been shown good results for damage detection compared to others such a hotelling T-squared. The Hotelling  $T^2$  statistic, defined by eq. (2.12), indicates how far each trial is from the center ( $T = 0$ ) of the reduced space of coordinates.

$$T^2 = \sum_{j=1}^r \frac{t_{sij}^2}{\lambda_j} = T' \lambda^{-1} T \quad (\text{Eq. 2.12})$$

The diagnostics is achieved by using visualization tools, which facilitate the interpretation of statistical indexes values. It is accomplished by means of scatter plots ( $T^2$  vs  $Q$ ) or a clustering technique. The scatter plot is an easy manner of representing the information obtained from the statistical damage indexes, however some type of damages and possible boundaries can be masked. For this reason, clustering is used as a complementary method to the graphical interpretation for classification purposes

### 2.3.6. The non-linear approach

#### Kernel analysis

The basic idea of kernel PCA is to use a nonlinear kernel function  $\mathbf{k}$  instead of the standard dot product [22]. Implicitly, it is performed PCA in a possibly high dimensional space  $\mathbf{F}$  which is nonlinearly related to input space. In figure 2.5 it is portrayed the effects of using kernel functions as feature extraction method.

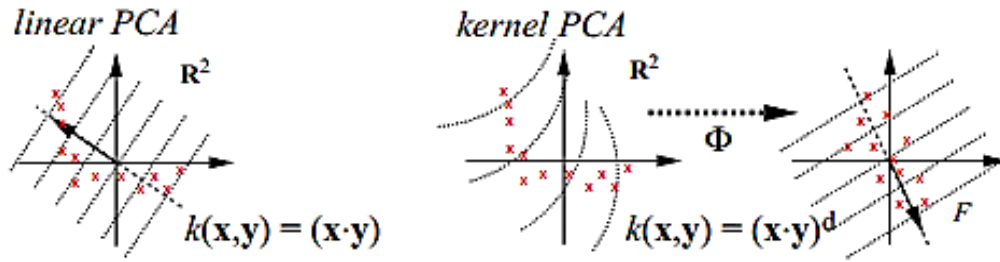


Figure 2.5: Kernel PCA [22]

The advantage of implementing kernel functions is their capability for turning nonlinearities into possible linearities, without knowing a priori the transformation function. Three kernels are commonly reported as successful for pattern recognition problems: polynomial, sigmoidal and radial base function (RBF). This work focuses on the RBF kernel, particularly, the Gaussian kernel (see eq. (2.13)), since this kernel is the most common in pattern recognition tasks with lower errors.

$$k(x, y) = e^{-\frac{\|x-y\|^2}{2\sigma^2}} \quad (\text{Eq. 2.13})$$

Where,  $x$  and  $y$  corresponds to experiments which contain the features in the input space, and sigma ( $\sigma$ ) is the standard deviation estimated by using the measurement samples. As a novel measurement, it is used the reconstruction error in feature space [23] calculated by using eq. (2.14):

$$p(z) = ps(z) - \sum_{l=1}^q fl(z)^2 \quad (\text{Eq. 2.14})$$

Where,  $ps(z)$  is the squared distance from the mapping space to the center of the new higher-dimensional feature space and  $fl(z)$  is the projection of centered data onto the  $q$  eigenvectors evaluated using the kernel function.

### Auto-associative Neural Networks

Nonlinear PCA can be achieved by using a neural network with an auto-associative architecture, also known as auto-encoder, replicator network, and bottleneck or sandglass type network [24]. Such auto-associative neural network is a multi-layer perceptron that performs an identity mapping, meaning that the output of the network is required to be identical to the input (see figure 2.6). However, the middle of the network is a layer that works as a bottleneck, where a data dimension reduction is enforced. This bottleneck-layer provides the desired component value (scores).

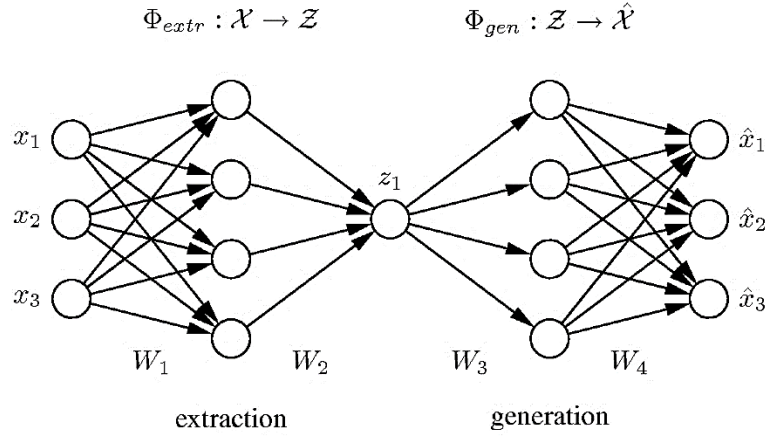


Figure 2.6: Auto-associative neural network (Autoencoder)<sup>3</sup>.

Suspected damage data from those of the intact data can be determined based on the residual error [25]  $e_r$  according to eq. (2.15):

$$e_r = X - \hat{X} \quad (\text{Eq. 2.15})$$

Where  $X$  is both the input and the output of AANN and  $\hat{X} = G(z)$  is the response of the network to the de-mapping function  $G$ , which is intended to minimize the residual error. Thus, the AANN is trained to reconstruct the signature response of piezoelectric waves propagating along the structure.

<sup>3</sup> Extracted from [<http://www.nl pca.org/>]. Accessed at 16/02/2019

## Chapter 3.

### 3. Data preprocessing based on Cross-correlation functions.

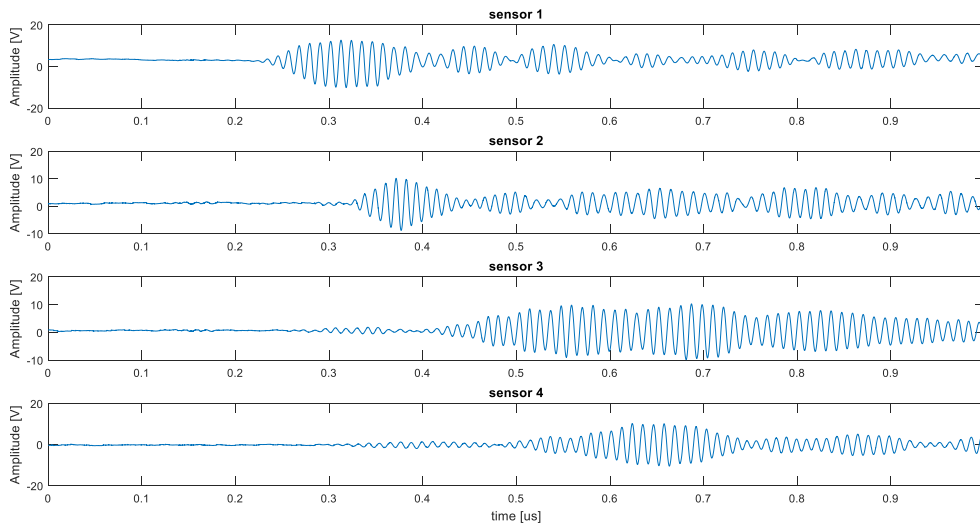
This chapter discusses the advantage of using cross-correlation analysis in a data-driven approach in order to obtain successful diagnosis of events in Structural Health Monitoring (SHM). In this sense, the identification of atypical and noisy data, as well as the management of data cleansing stages can be facilitated through the implementation of a pre-processing stage based on cross-correlation signals. Additionally, the obtained results evidence an improving on damage detection and classification when the cross-correlation is included. The influence of cross-correlation analysis used in the pre-processing stage is evaluated for damage detection and classification, by means of statistical plots and self-organizing maps. Three laboratory specimens were used as test structures in order to validate the preprocessing methodology i) a carbon steel pipe section with leak and mass damage types, ii) an aircraft wing specimen and iii) a turbine blade structure, where damages are specified by mass adding. As the main concluding remark, the suitability of cross-correlation features to achieve a more robust damage assessment algorithm is verified in order to be used in SHM tasks.

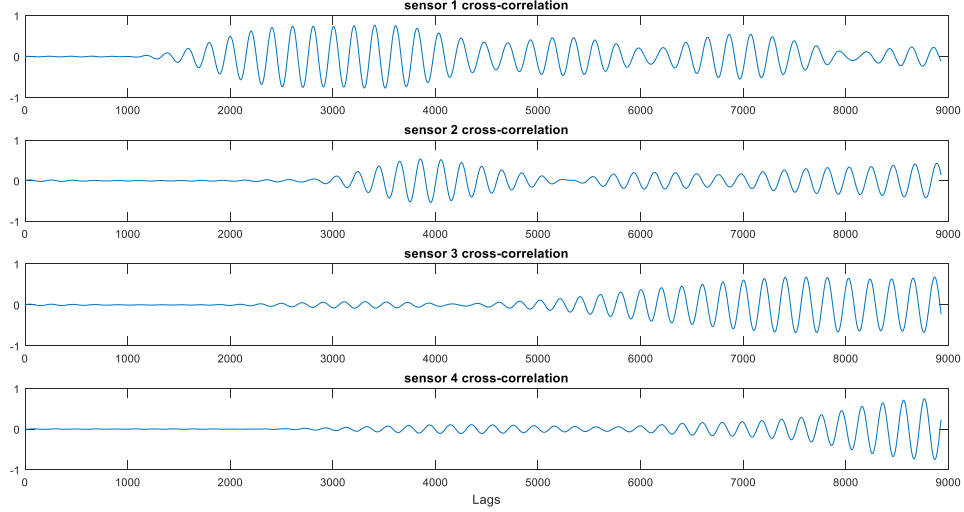
#### 3.1. Cross-correlation analysis for PZT signals

Several applications for structural damage assessment have demonstrated the effectiveness of using cross-correlation signals [26]. For example, in [27] damage identification methods based on natural excitation Technique (NeXT) employs cross-correlation signals for modal analysis, which has been useful for damage identification in civil structures. Another proposal [28] includes the estimation of the time of flight of wave packages by means of cross correlation signals to locate defects within a large area of a thin-plate specimen. The cross-correlation function between two signals  $X(t)$  and  $Y(t)$  is defined as in eq. (3.1).

$$R_{XY}(t, t + \tau) = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{k=1}^N X_k(t) Y_k(t + \tau) \quad (\text{Eq. 3.1})$$

Where  $N$  is the number of signal samples and  $\tau$  is the lag time interval used to compute the cross-correlation signal. An example of cross-correlation signals belonging to four different PZT are illustrated in figure 3.1.





a.) Raw data b.) Cross-correlated signals  
*Figure 3.1: Results of preprocessing stage of guided wave structural response*

The signals presented in figure 3.1 belongs to PZT measurements for increasing positions (i.e. location of PZT-4 is further than PZT -3, and PZT-3 is further than PZT-2, and so on). The profile of the computed cross-correlated signals allows to infer that information about time of flight is preserved from time raw measurements. If the smoothed tone-burst signal generated by piezoelectric actuator device is stated in the form of eq. (3.2), it can be deduced that implicit arrival time is present in the PZT sensor response and can be mathematically represented by eq. (3.3) [29].

$$S_T(t) = S_0(t)\text{Cos}(2\pi f_c t) \quad (\text{Eq. 3.2})$$

where  $S_0(t)$  is a short-duration smoothing window applied to the carrier signal of frequency  $f_c$  between 0 and  $t_p$ . The total signal received at point P by a PZT sensor can be expressed by eq. (3.3).

$$S_P(t) = \sum_{m=0}^{M-1} A_{r,m} S_T(t - t_{d,m}) \quad (\text{Eq. 3.3})$$

where  $A_{r,m}$  represents the decreasing of the wave amplitude due to the omni-directional 2-D radiation, and  $t_{D,m}$  is the arrival time delay due to the travel distance between the reference PZT ( $m = 0$ , i.e. actuator) and the point P with no dissipation (i.e. wave energy conservation is assumed). It is assumed a distance  $d$  between two consecutive PZT's of the array, which is much smaller than the distance  $r$  to a generic far-distance point, P ( $d \ll r$ ).

Additional advantages of using cross-correlation signals relies on frequency interpretation, which can also be analyzed as a convolution filter. In terms of cross power spectral density, the cross-correlation function between two signals  $X(t)$  and  $Y(t)$  is defined as in eq (3.4).

$$R_{XY}(t, t + \tau) = \frac{1}{N} \sum_{k=1}^N S_{XY}(k) e^{\frac{j2\pi k \tau}{N}} \quad (\text{Eq. 3.4})$$

According to eq. (3.4), the cross-correlation function is an average sum of N cross-spectral densities  $S_{XY}(k)$ , which allows filtering high frequency disturbances caused by outliers. Thus, a

smoothed version of the dynamical structure response is obtained, with cleansed data and outliers removed or minimized.

### 3.2. Condition monitoring approach

The concept of non-intrusive structural damage detection used in this approach is shown in figure 3.2. It consists of three main stages: 1. Piezo-electric instrumentation; 2. Statistical processing; and 3. Supervised classification.

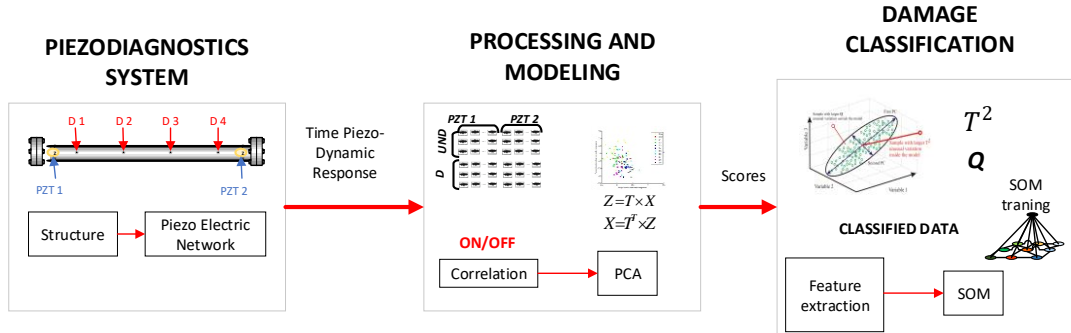


Figure 3.2: Damage assessment methodology

### 3.3. Proof of concept: Experimental setup

The proposed methodology was experimentally validated into three structures: a carbon steel pipe section, an aircraft wing specimen and a turbine blade section. The three lab specimens are instrumented with piezoelectric devices in order to induce guided waves along the surface structure. The carbon steel pipe section facilitates simulating leak and mass adding damage types, while in the other two specimen non-reversible damage types as adding masses can be recreated.

#### 3.3.1. Pipe section

This test structure is a carbon-steel pipe section with material properties similar to those used in the local industry. Its dimensions are 1m length, 2.54 cm diameter and 3mm thickness with 4” bridles welded at the ends. In one of the extremes, a blind bridle is connected while in the other extreme, an air source is coupled. It is instrumented with piezoelectric devices distributed along the structure to capture guided wave response. Two types of damages can be simulated: leak condition and mass adding.

#### Damage conditioning

The pipe section is depicted in figure 3.3. Leaks are induced through elements denominated as Hole, where four ¼-inch holes are drilled along the pipe section wall by means of adjustable screws to control where the leak is produced. A valve is used to set at 80 psi the air pressure from a compressor. Bolts and other elements used to recreate leak damages are included in the nominal state of the structure and consequently in the statistical baseline model.



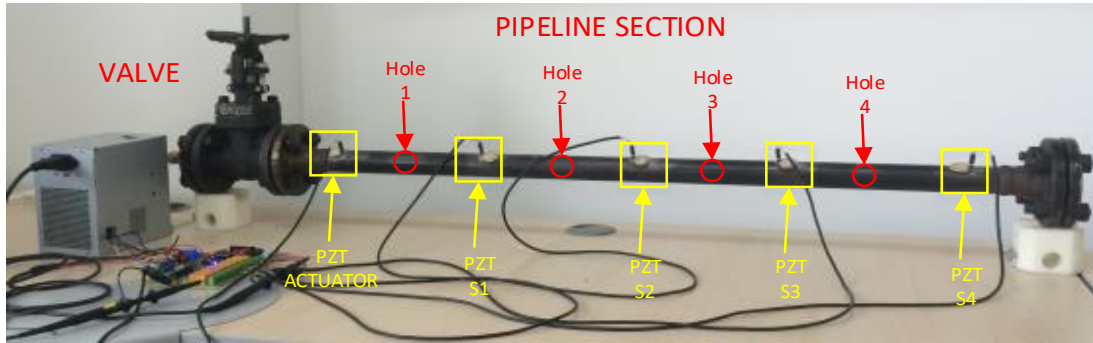


Figure 3.3: Leak damage type configuration.

In addition to leak conditions, experimental data from mass adding scenarios were used to validate the effectiveness of the methodology. Figure 3.4 shows the configuration of this type of damage.

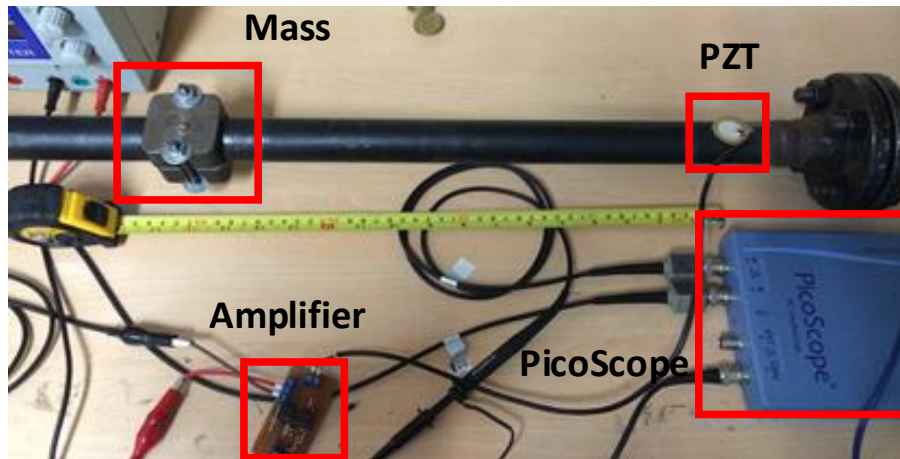


Figure 3.4: Mass adding experiment mockup.

According to photo in figure 3.4 a special shaped accessory is added to the surface of the pipe section to recreate mass adding damage type. In this sense, damages cases are the mass accessory attached to the structure at different locations. The mass occupies 5 cm of the pipe length, which is considered as a source of uncertainty involved in the escenarios configuration.

### 3.3.2. Aircraft wing structure

An aircraft wing specimen hosted in the “Universidad Politécnica de Madrid” (UPM – Spain) was also used to validate the proposed damage assessment methodology. This structure is an aircraft wing panel, which is divided by stringers and ribs as is illustrated in figure 3.5a. Two sections of it were instrumented with 6 PZTs (two at the upper section, two in the lower section and two at the rib). Four reversible adding-mass damage type were induced in both structures by adding a clay element at different positions according to figure 3.5b.



a). skin panel                      b.) Mass adding damage description.

Figure 3.5: Aircraft wing test structure

### 3.3.3. Turbine blade structure

The third specimen used to validate the proposed methodology is an aircraft turbine blade, which has an irregular form and includes stringers in both faces (figure 3.6). 10 PZTs were attached to its surface, but only 7 of them, located at intermediate positions between the stringers and labeled in figure 3.6 as PZT1, PZT2, ..., PZT6, were used. The remaining PZT devices are assumed to be part of the structure and taken into account at the baseline model. Four mass-adding damage types were simulated in the turbine blade by attaching coins of different denomination and labeled in figure 3.6 as D1, ..., D4.

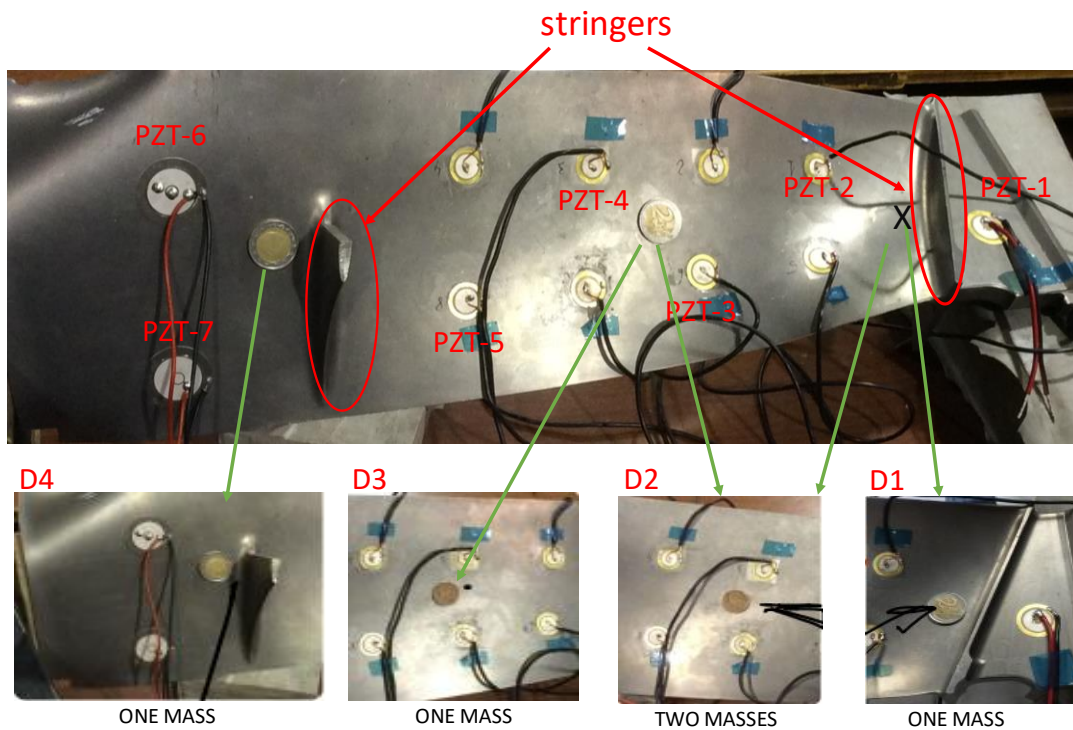


Figure 3.6: Mass adding damage description for turbine blade structure.

According to figure 3.6, the damage configuration considers scenarios including different positions, severities and potential barriers for guided wave. For example, damage two (D2) is the addition of two masses at different positions of the surface structure.

### 3.4. Results and Discussion

The experimental results herein obtained were evaluated taken into account information consistency and effectiveness of structural damage identification. Thus, firstly a spectrum analysis is performed to evaluate data cleansing and filtering properties of the cross-correlation analysis, where a preliminary test is aimed for data anomaly detection. Then, different damage scenarios are evaluated according to the methodology explained previously, where the main goal of this work is to demonstrate the contribution of cross-correlation functions as pre-processing stage, for a better boundary between damage cases. In the next sections, these experimental results are presented and discussed.

#### 3.4.1. Data cleansing and filtering

This item describes some results intended to demonstrate the applicability of pre-processing stage based on cross-correlation in order to minimize the adverse influence of noisy data. For this purpose, experimental data from pipe section in figure 3.3 are analyzed. In this experiment, 4 PZTs are used to sense the guide wave produced by one PZT located at the extreme of the pipe section and excited by an 80 KHz burst signal each one (1) second. 100 repetitions of the experiment were conducted and recorded for the undamaged state by using a sample time  $T_s=56$  ns. Thus, the potential advantages of using cross-correlation for data cleansing and filtering are explored by analyzing measurements from undamaged state.

##### Data Filtering

First, a spectrum analysis is achieved in order to verify that information in the frequency domain is preserved. Figure 3.7 is an example of the recorded signals for each PZT and their respective cross-correlated signals respect to the actuation signal.

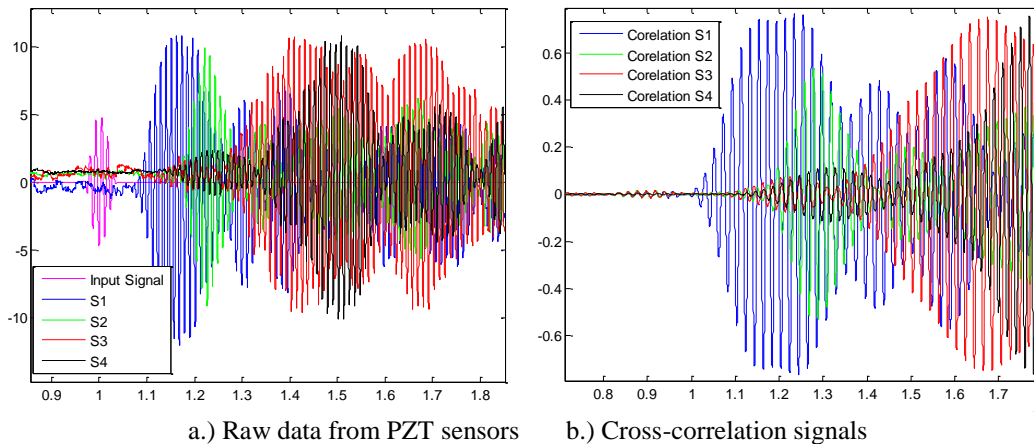
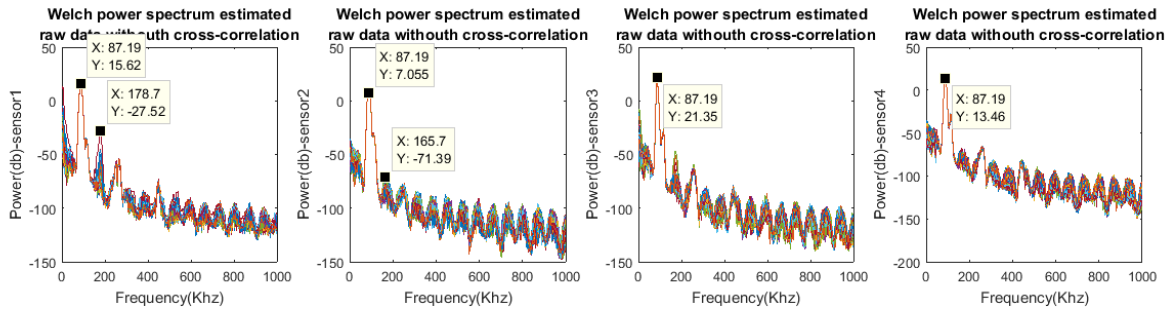
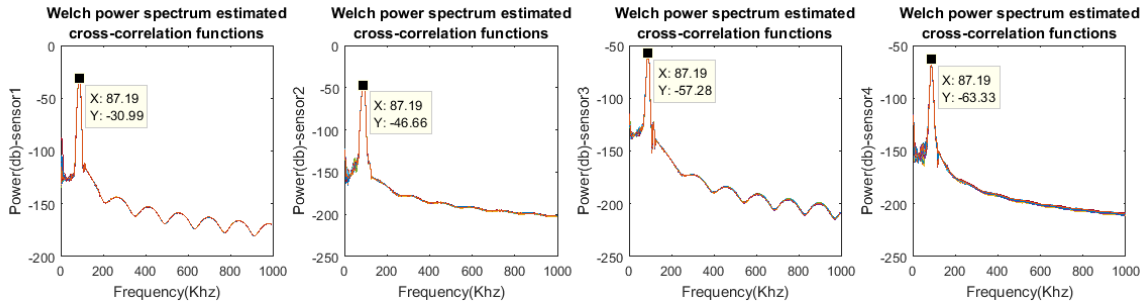


Figure 3.7: Experiment acquired signals

According to figure 3.7, it can be observed that cross-correlation reduces the offset signal, besides it is a smoothed representation of dynamical behavior. The above result can be confirmed by estimating the power spectrum, which is illustrated in figure 3.8 for all 100 acquired signals.



a.) PSD from Raw data PZT measurements



b.) Cross-PSD from correlation signals.

Figure 3.8: Power spectrum

In figure 3.8 is observed that high frequency noise is reduced by the attenuation of the high order harmonics. Thus, the power density of secondary side lobules in the power spectrum is reduced. As a result, the consistency of frequency information is preserved by means of an average spectrum with the same central frequency. Also, the common offset values are excluded from signal representation. In this sense, cross-correlation function is an effective filtering technique to be applied to piezoelectric measurements.

### 3.4.2. Data anomaly detection

Cross-correlation analysis is also useful as data anomaly detection tool. For this purpose, information about occurrence of maximum values of cross-correlation signal can be used. Thus, the locations where occur maximum cross-correlation are found and plotted in order to find possible atypical data. Figure 3.9 shows the index location for maximum values of cross-correlation piezo-measurements, where each value is associated with only one of the 100 experiments.

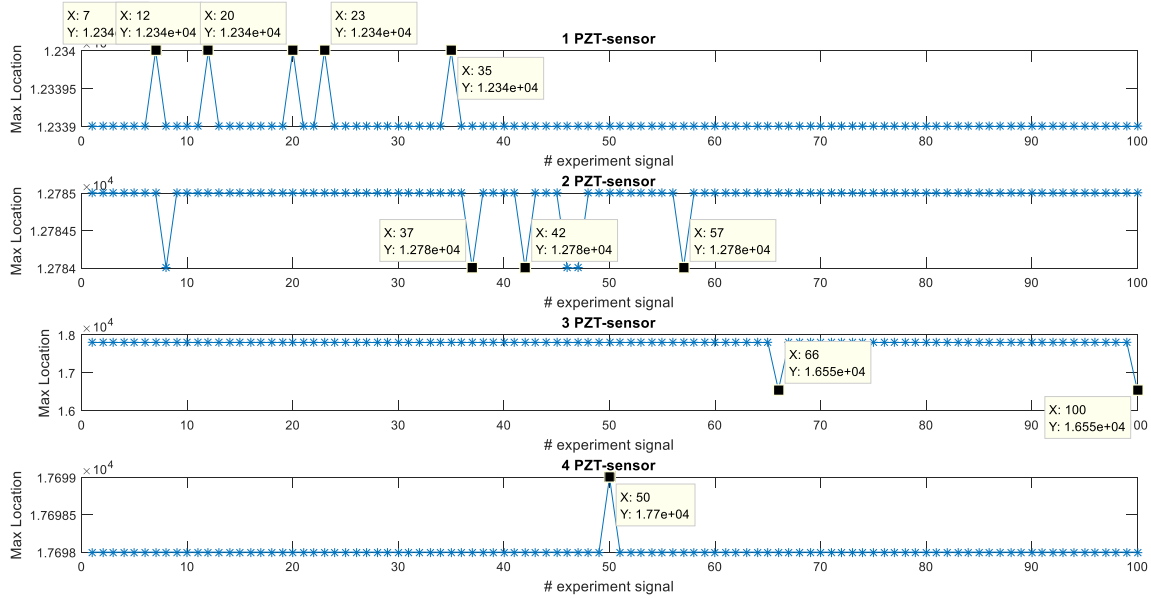


Figure 3.9: Indexes of cross-correlation maximum values.

According to figure 3.9 the maximum cross-correlation values are located in the same lag position. Thus, possible abnormal or atypical data measurements could be associated to deviations of max positions. In figure 3.10 can be identified some possible atypical data belonging to five measurement signals (i.e. 7, 12, 20, 23, and 35 indexes) from PZT sensor 1. In this way, the atypical data according to information extracted from cross-correlation are depicted in figure 3.10 and could be associated to offset values and trends. However, according to upper subplot, the cross-correlation filters these atypical signals which results in a well-defined pattern for all of the 100 experiment repetitions. Thus, the structural dynamical response due to guided waves are characterized by the mode conversion and low amplitude changes as is remarked in figure 3.11, where variations of concatenated cross-correlation signals are highlighted.

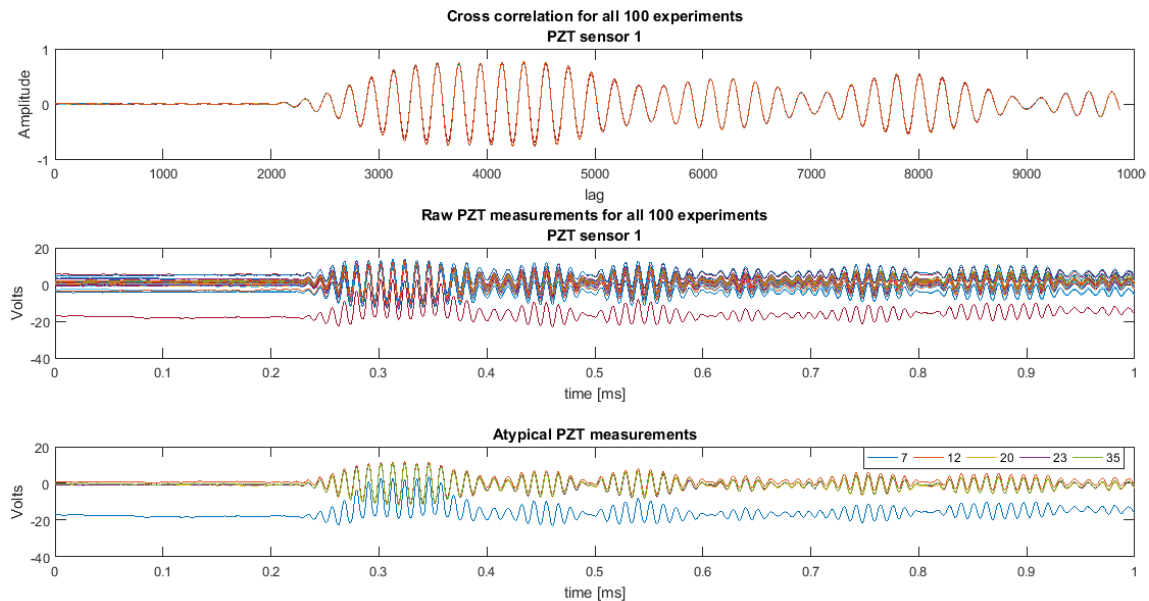


Figure 3.10: Cross-correlation signals from atypical data.

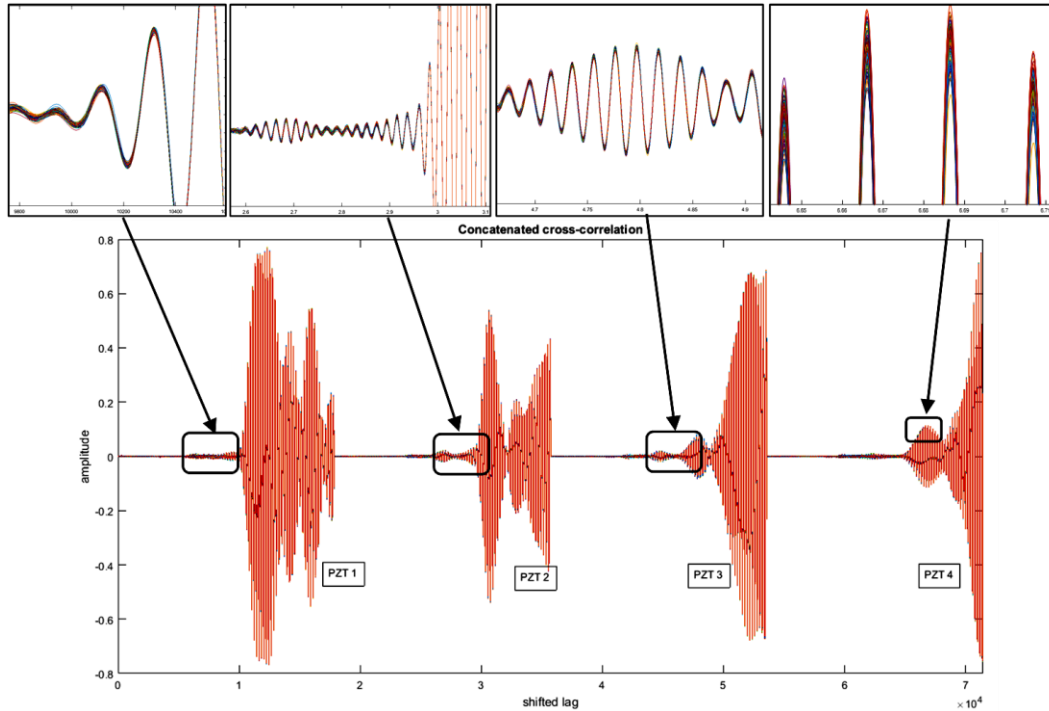


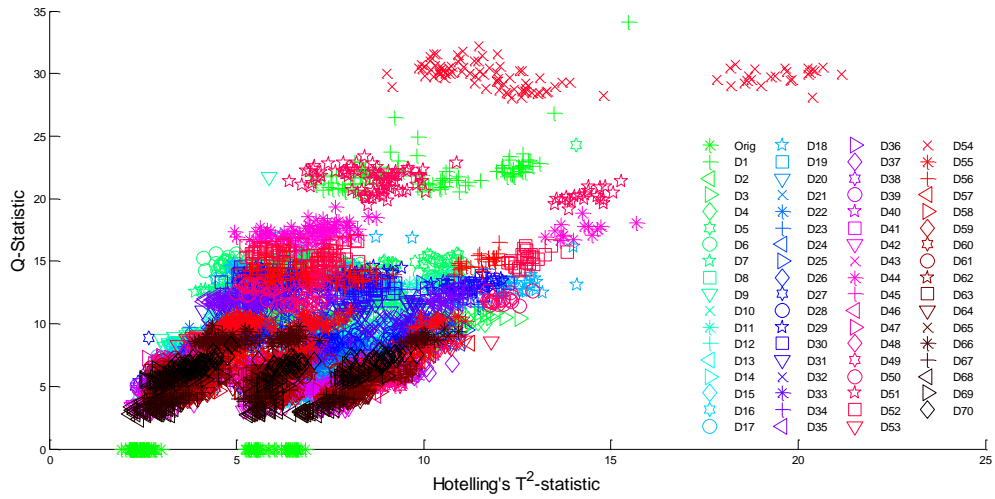
Figure 3.11: concatenated cross-correlation signals.

### 3.4.3. Structural damage detection

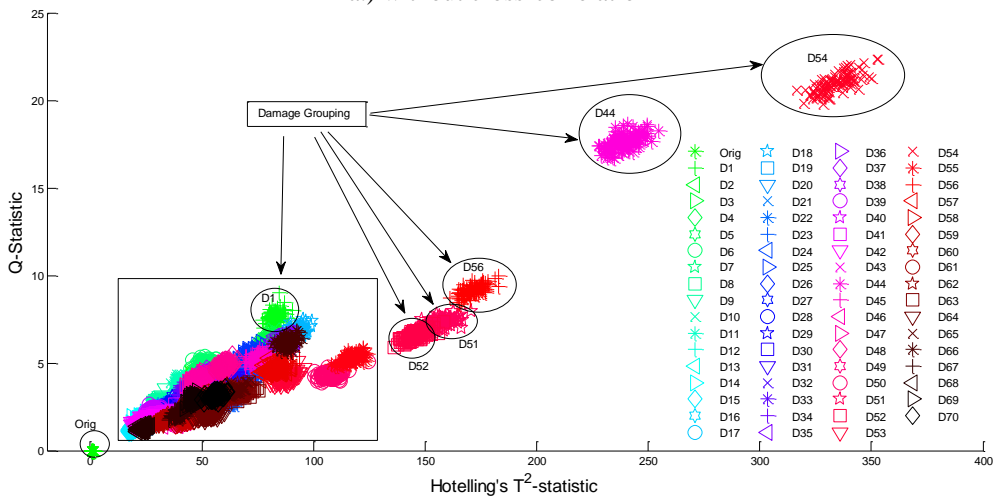
In this section is intended to illustrate how pre-processing technique based on cross-correlation signals improve the results of structural damage and classification algorithms. For this purpose, several experiments were conducted to show its suitability by considering different damage scenarios over the three previously described structures.

#### Pipe section experiment

As a first scenario, mass-adding damage type is considered according to experiment set up in figure 3.4. Thus, two piezoelectric devices (sensor-actuator) were attached near to the structure bridles in the pipe section. 70 damage classes were recreated in the test specimen by consecutive displacements of the mass along the structure. Each damage scenario, (denominated D1, D2 ...D70), belongs to a mass located at 1cm, 2cm, and so on, respect to the PZT actuator. Experiments related to pristine structure cases are labelled as 'UND' (70%) and 'orig' (30%). A number of 100 experiments per condition (Damaged/Undamaged) were conducted. A guided wave is induced by applying a 5 cycles, 80 kHz burst type pulse on the PZT located at one end of the pipe section. The resulting T2 Vs Q scatter plot is depicted in figure 3.12, for both cases: with and without cross-correlation analysis.



a.) without cross-correlation



b.) By including cross-correlation

Figure 3.12: Damage indexes.

According to figure 3.12, by including cross correlation some damage clusters can be distinguished unlike processing raw PZT measurements. Also, a clear boundary for the undamaged condition is obtained, which facilitates damage detection process. A comparison between PCA model variances are depicted in figure 3.13.

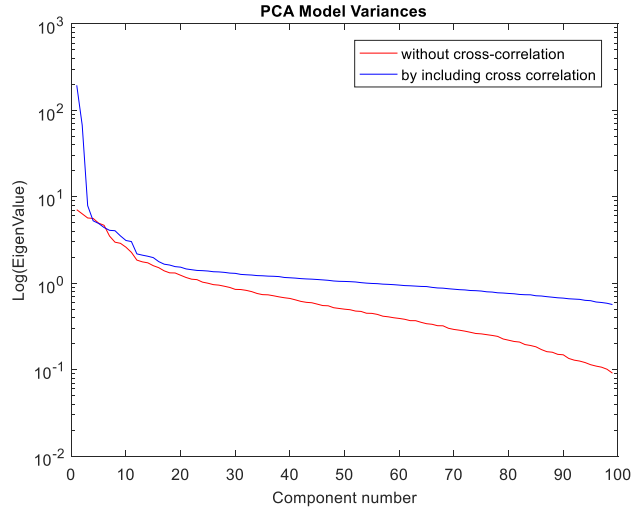


Figure 3.13: PCA model variances for mass adding scenarios in pipe section.

According to results in figure 3.13, a smoothed distribution of variance model for each principal component is obtained for the case of cross-correlation signals. Thus, unlike the results obtained from processing raw PZT measurements, it does not exist the abrupt change respect to the first principal component. In this sense, the variance distribution due to cross-correlation analysis entails on a better clustering of damage case data.

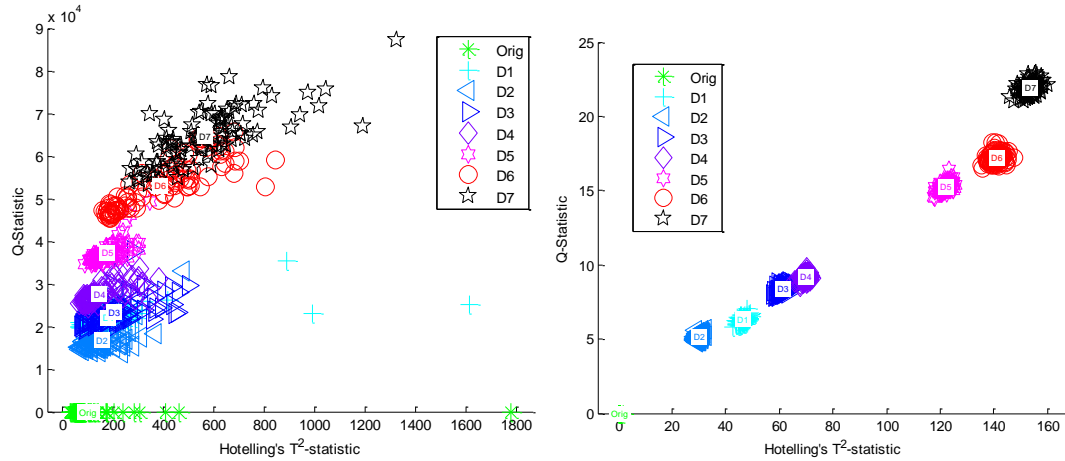
The second example is a leak damage detection using the experimental configuration of figure 3.3, where five PZTs were attached along the structure. The PZT at one of the ends is used as actuator and the remaining ones as sensors. The proposed damage configuration includes different leak sizes specified in table 3.1. For each type of damage, 100 experiment repetitions were conducted, where undamaged experiments are tagged with label 'UN'.

Table 3.1: Leak Damage specification

Label	Leaks (Red = open)	Label	Leaks (Red = open)
D1	H1,H2,H3,H4	D5	H1,H2,H3,H4
D2	H1,H2,H3,H4	D6	H1,H2,H3, H4
D3	H1,H2,H3,H4	D7	H1,H2,H3,H4
D4	H1,H2,H3,H4		*H denotes hole

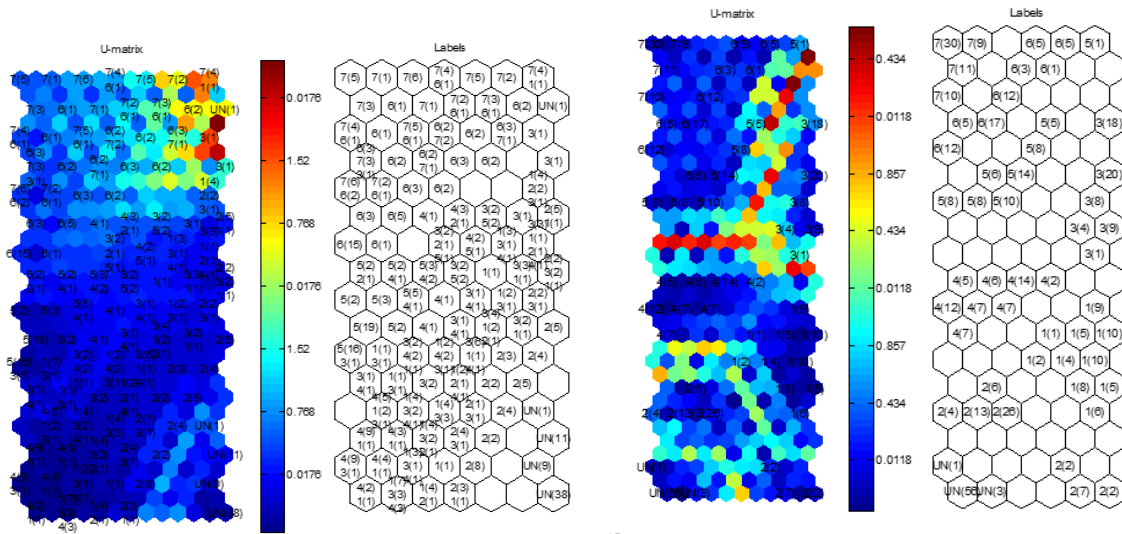
Figure 3.14 presents the resulting Q and T2 statistical indices, where a well-defined separation between different leaks combinations can be appreciated for the case of cross-correlated signals.





a.) without cross-correlation                      b.) By including cross-correlation  
 Figure 3.14: Damage indexes for leak detection.

In order to emphasize the advantage of using cross-correlated signals, a Self-Organizing Map was trained by using as feature inputs the T-squared and Q-statistics indexes (same data from figure 3.14), whose clusters are depicted in figure 3.15.



a.) without cross-correlation analysis                      b.) By including cross-correlation processing  
 Figure 3.15: SOM network for leak damages.

According to figure 3.15, boundaries clearly defined by empty clusters and BMU distance matrix (U-matrix) can be observed when the cross-correlation as preprocessing stage is applied. Thus, a major differentiation between different damage types is obtained. In addition, the cases distribution avoids damages combination in one similar cluster, which allows a better classification

**Skin panel structure**

Experimental results for the skin panel test structure are depicted in figure 3.16, by using statistical indices values and cluster centers for each damage scenario. It can be observed that

major dispersion appears without than with correlation analysis. Additionally, correlation analysis shows its efficacy to filter atypical data-cases.

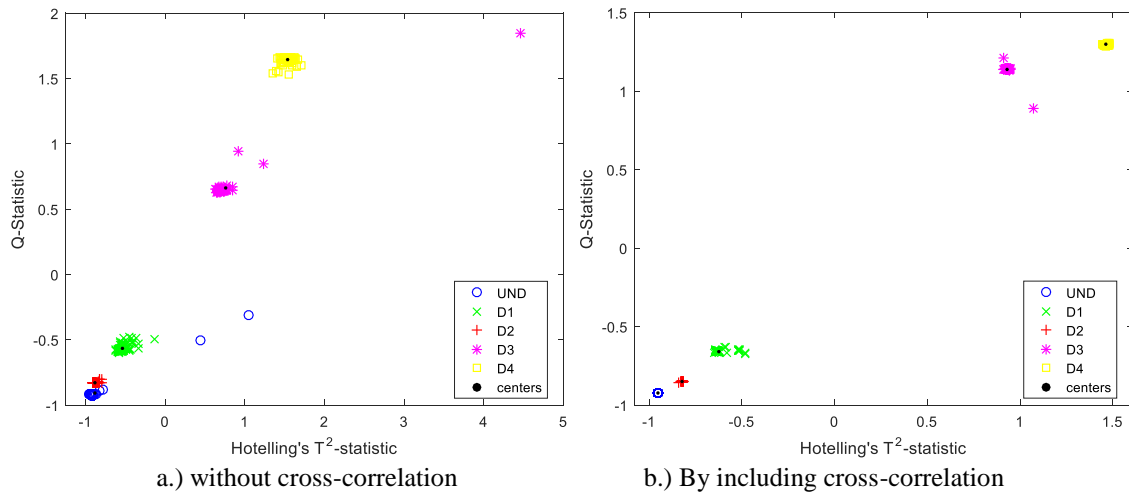


Figure 3.16: Statistical indexes for skin panel experiment.

The respective SOM network is depicted in figure 3.17.

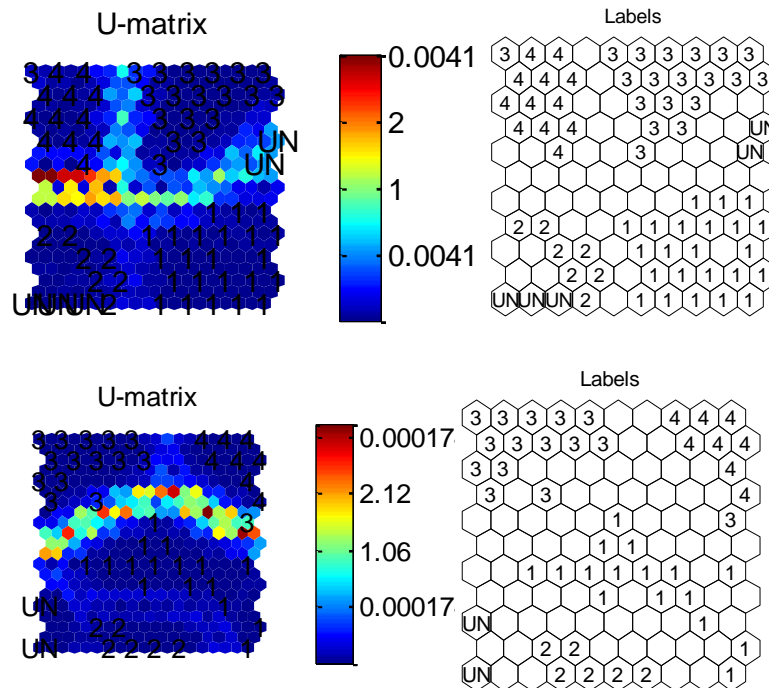


Figure 3.17: SOM network for skin panel structure damages.

According to figure 3.17, undamaged cases are separated in a better way when cross-correlation signals are used to obtain the SOM network. Also, the U-matrix shows a major distance values between damage cases. Table 3.2 summarizes the SOM quality indexes for skin panel structure data.

Table 3.2: SOM quality indexes for skin panel structure data

Index	Uncorrelated Signals	Cross-correlated signals
Quantization error	0.0186	0.0025
Topographical error	0.0686	0.2381
Distortion measure	0.7840	0.2734
Training Error	0.5714	0
Empty Clusters	42	63
Validation Error	2.6667	1.3333

### Turbine blade structure

Experimental results on turbine blade test structure are depicted in figure 3.18, by using similar parameters of the above experiment. Here can be highlighted a clear separation between different types of damage when cross-correlation analysis is included and a better performance by including cross-correlation analysis is confirmed.

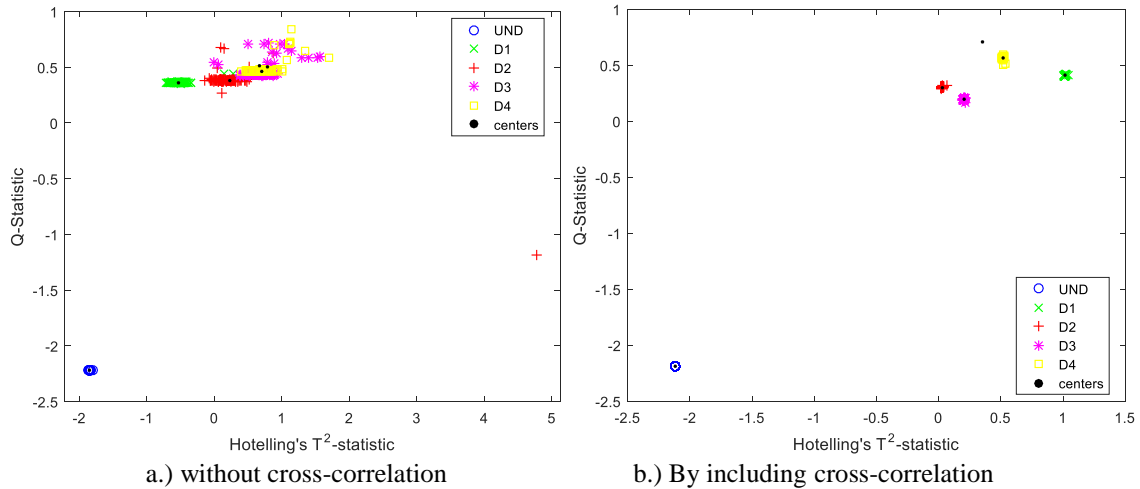
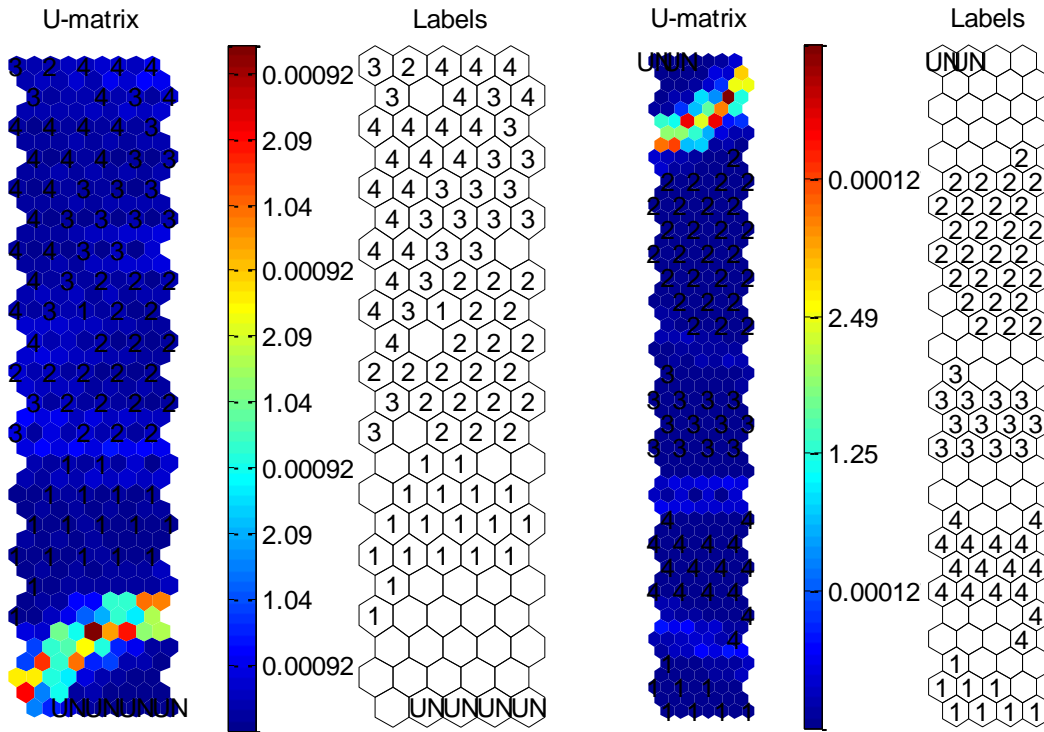


Figure 3.18: Results for turbine blade experiment.

The respective SOM network is presented in figure 3.19.



a.) without cross-correlation analysis

b.) By including cross-correlation processing

Figure 3.19: SOM network for turbine blade structure damages.

Also, it is observed a better cluster separation for the case when cross-correlation is used as feature inputs to SOM network. It is validated by the SOM quality indexes summarized in table 3.3, where best indices are obtained for the case of cross-correlated signals.

Table 3.3: SOM quality indexes for turbine blade structure data

Index	Uncorrelated Signals	Cross-correlated signals
Quantization error	0.0238	0.0021
Topographical error	0.3320	0.0362
Distortion measure	0.7840	0.1053
Training Error	6.2857	0
Empty Clusters	27	46
Validation Error	15.5556	1.3333

## Chapter 4.

### 4. A Data-driven Approach Based on Clustering Techniques for Damage Classification

This chapter discusses a data driven approach for structural damage classification based on clustering analysis. Unsupervised learning is implemented to a reduced feature space, in order to identify clusters of damaged cases. The experimental results show an improvement of the classification-learning rate, evaluated through the performance of clustering indices. For validation purposes, a first experiment is conducted over two test structures: i.) A turbine blade of a commercial aircraft and ii.) The skin panel of the torsion box of a wing. Damages are induced adding masses at different locations of the structure section surface. Then, a second experiment is conducted on a steel carbon pipe section conditioned with leaks of different sizes and locations in order to emulate abnormal conditions. The results obtained show the effectiveness of the methodology to distinguish between different damage cases.

#### 4.1. Structural Damage Classification Methodology

Figure 4.1 summarizes the procedure for structural damage classification, where statistical indices are used as inputs for unsupervised learning algorithms in order to cluster cases in similar damage types, which allows manage classification tasks in SHM.

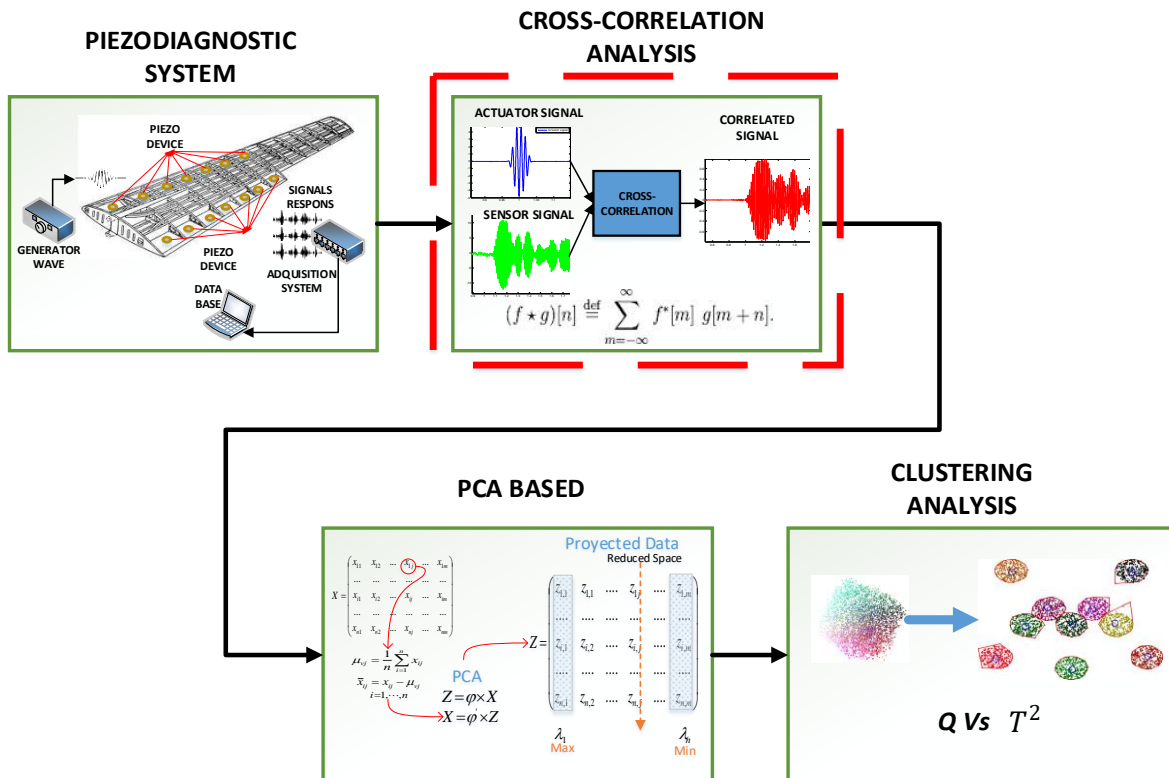


Figure 4.1: Structural Damage Classification Approach.

The methodology depicted in figure 4.1 involves the application of cross-correlation analysis, as novelty with respect to previous works, in order to improve separation boundaries for damage conditions. Also, different techniques for clustering analysis are evaluated demonstrating its feasibility for structural damage classification and detection.

## 4.2. Unsupervised learning for damage clustering

In this section, the basic fundamentals of clustering techniques are described. These algorithms can be adapted in SHM methodologies for damage classification. Results of its application are detailed in next section.

### K-means algorithm.

The K-means algorithm can be used to organize damage cases into groups. This algorithm is one of the most commonly used optimization-based unsupervised learning methods. The goal of K-means clustering is to organize the data into  $k$  groups, such that the within-group sum-of-squares be minimized [30].

$$\min \left[ \sum_{g=1}^k \sum_{i=1}^{n_g} (x_{ig} - \bar{x}_g)' (x_{ig} - \bar{x}_g) \right] \quad (\text{Eq. 4.1})$$

Each  $g_{th}$ -cluster in the partition is defined by  $n_g$  data case members and by its centroid  $\bar{x}_g$ , or center. The centroid for each cluster is the point to which the sum of distances from all members in that cluster is minimized. The K-mean centers represent adequately the data in a cluster if the observations within a group are more similar to each other [31]. The quantization error (squared sum of errors) and the dispersion in each cluster (standard deviation) can be also computed as quality indices to evaluate the clustering process.

### SOM Neural Networks

Self-organizing maps (SOM) are also used as clustering technique in order to group cases in similar damage types [32]. This clustering is achieved by means of competitive learning and preserving topology. Accordingly, nearby data in the input space are mapped into neighbor clusters. Thus, SOM network facilitates classification tasks and graphical interpretation. Figure 4.2 depicts how SOM network operates over the input space, specified by  $T^2$  and  $Q$ -indexes.

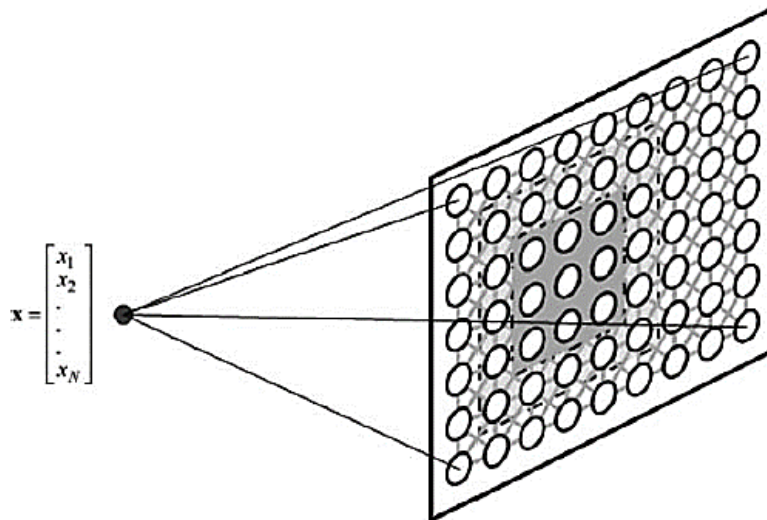


Figure 4.2: SOM clustering for damage classification.

From figure 4.2, the SOM consists of  $N$  clusters, characterized by a prototype vector (Codebook) or cluster center, and grouping several labelled cases. Then similar cases are labelled in clusters, where each label keeps only one instance and the number of stored cases. Similarly, the validation cases are ticked assigning the label with most instances and with the most similar cluster to find the best matching units (BMU). In consequence, the classification error can be estimated by majority voting.

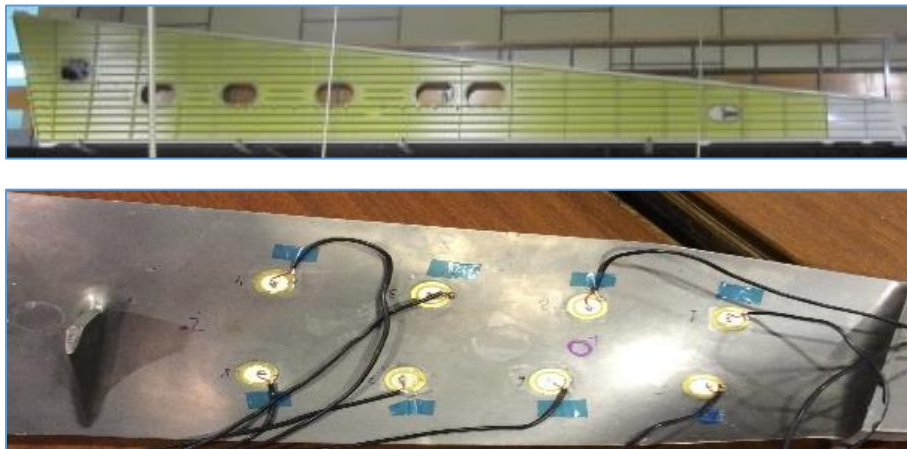
Finally, the SOM quality is evaluated with the quantization and topographic errors. The first one is the average distance between each experiment and its BMU. The second one corresponds to the proportion between data vectors whose first and second BMUs are not adjacent clusters and the total number of experiments.

### 4.3. Proof of concept

In this section, the results for damage classification by means of clustering techniques are detailed. The methodology is experimentally validated for pipeline leaks detection and results for classifying several mass adding in a wing aircraft structure are also discussed.

#### 4.3.1. Damage Classification in Aircraft Wings

Two test structures were used to validate the damage detection/classification approach (figure 4.3). The specimens are hosted in the “Universidad Politécnica de Madrid” (UPM – Spain). The first structure belongs to an aircraft wing, which is divided by stringers and ribs and the second one to an aircraft turbine blade, which has an irregular form and includes stringers in both faces.



*Figure 4.3: Aircraft section structures used to validate the methodology.  
Above: skin panel of aircraft wing, Below: turbine blade*

The skin panel was instrumented with 10 PZTs, while the turbine blade with 8 PZT's. An 80 KHz burst type signal was configured to produce guided waves along the surface structures. Reversible damages were induced in both structures by adding masses in different positions, four damages for skin panel experiment (D1, ..., D4) and five damages for turbine blade case (D1, ..., D5). For both specimens 150 experiment repetitions for each damage were recorded.

Results for each experiment consider a number of clusters for the K-means algorithm equal to the number of damages in the respective structure. Therefore, each damage can be grouped in an individual cluster. In addition, 50 replicates of the K-means algorithm are executed to avoid local minima. Data normalization by means of variance values are used before K-means algorithm to minimize the within-cluster dispersion. In addition, NIPALS algorithm is configured to estimate

a number of principal components equal to the number of experiments induced for each damage case, i.e. 150.

**Test 1: Skin Panel Experiment**

Variance of the principal components are depicted in figure 4.4, where it can be observed that 100 components capture most of the principal components variability. In consequence, only 100 components are selected as the most meaningful features.

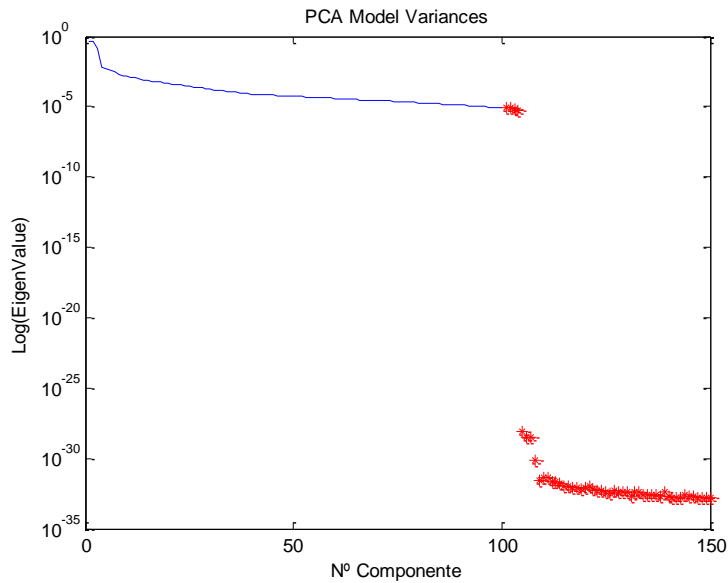


Figure 4.4: Principal component variances for skin panel experiment.

The figure 4.5 presents statistical indices and K-means clusters centers for each damage type.

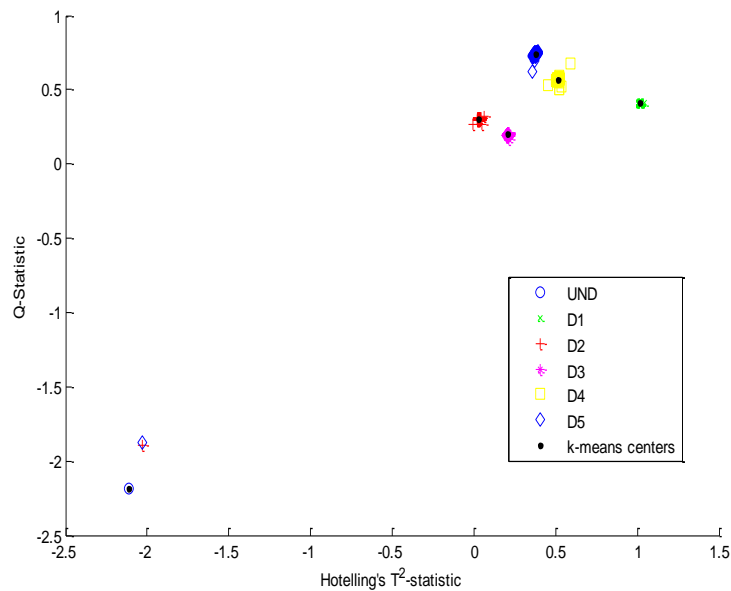


Figure 4.5: K-means centroids for skin panel experiment.



According to results in figure 4.5, each damage scenario can be well-represented by means of cluster centers, which can be used for classify damage types through similarity measures.

**Test 2: Turbine Blade Experiment**

According figure 4.6 and similarly to the previous experiment, most of the principal components variability is captured with 100 components.

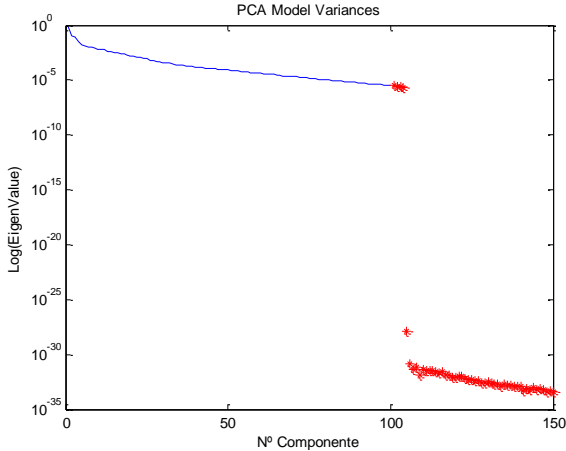


Figure 4.6: Principal component variances for turbine blade experiment.

Once the cluster procedure is performed, the final clusters for damages in turbine blade experiments are depicted in figure 4.7.

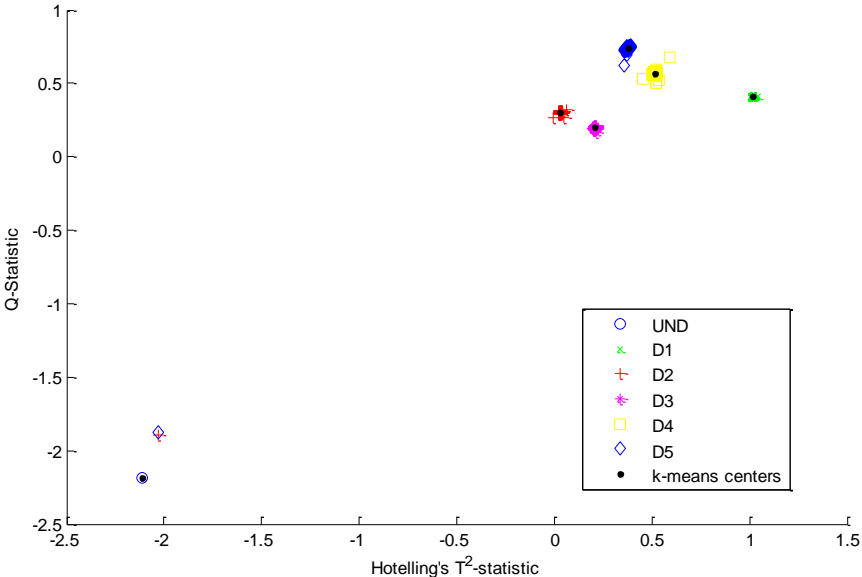


Figure 4.7: K-means centers for turbine blade experiment.

According to results in figure 4.7, it is possible to highlight a clear separation between different types of damage.

**4.3.2. Pipe Leaks Classification**

The methodology detailed in this chapter was validated for leak detection in a pipeline as part of a non-intrusive damage monitoring system. The specimen used as test structure is a carbon-steel

pipe section of dimensions 1 m x 0,0254 m x 0,003 m (length, diameter, thickness). The pipe section has bridles at the ends and a valve sets the air pressure from a compressor in 80 psi at one of the ends (figure 4.8).

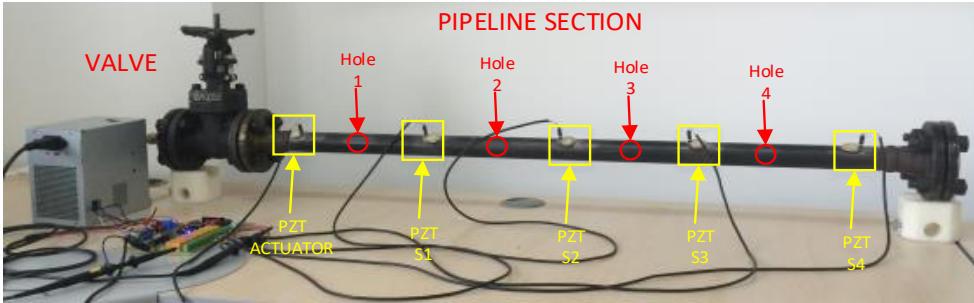


Figure 4.8: Experiment configuration

In order to induce leaks in the test structure, four 1/4-inch holes were drilled along the pipe section wall. Graduable screws are used to control where the leak is produced. Table 4.1 details the damages studied in this work. The proposed damage configuration allows concluding if classification and location of different sizes leaks is possible. For each damage type, 100 experiment repetitions were conducted with 1-second periodic excitation signal, where undamaged experiments are tagged with label ‘UN’.

Table 4.1: Leak Damage specification

Label	Open Hole	Label	Open Hole
1	H1	5	H4 ,H3
2	H2	6	H4, H3, H2
3	H3	7	H4, H3, H2, H1
4	H4		

Five piezoelectric devices (PZT) were attached along the structure, (previously explained in Figure 4.8). One of the PZT is used as actuator and the remaining devices as sensors. The PZT actuator was excited by an 80 KHz burst signal generated by an AWG PicoScope series 2000 and then amplified to  $\pm 10$  V. The piezo electrical response is recorded with a picoscope and multiplexor board. Figure 4.9 deploys the time piezo electrical response for each piezo device, where it is possible to visualize time of flights for one of the experiments under undamaged state.

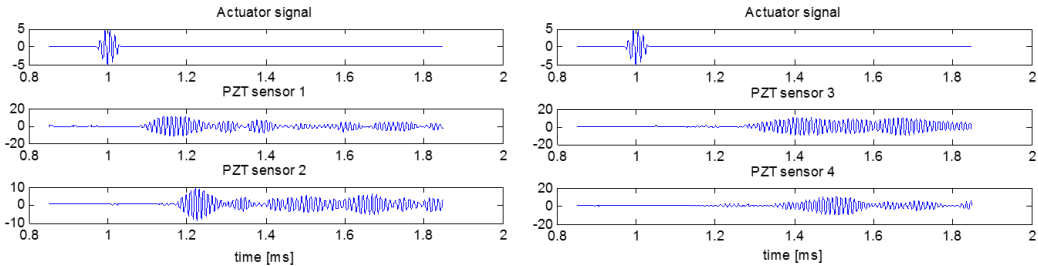


Figure 4.9 Time piezo dynamic structural response

The Figure 3.10 presents the respective cross-correlation functions.

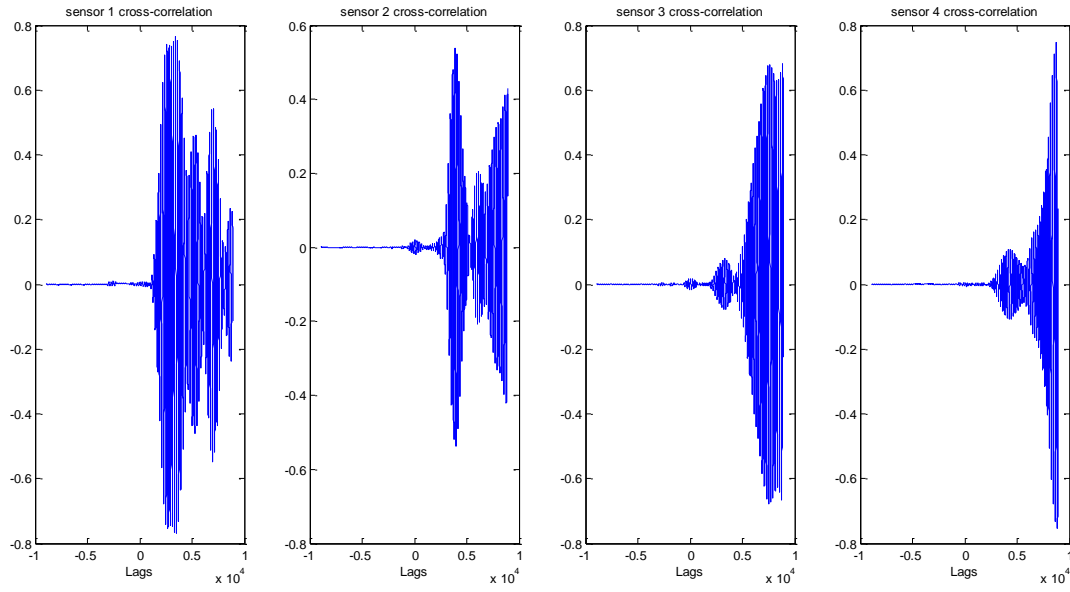


Figure 3.10. Evolution of the Cross-correlation function for each PZT sensor

**Results and Discussion**

Figure 4.11 presents the statistical indices for the studied experiments, which were estimated preserving 15 principal components. In addition, the SOM codebooks location and their respective labels (assigned by majority voting) are shown in the right scattered plot. It is observed that groups for studied damages are obtained and that empty SOM codebooks are necessary to describe the data distribution.

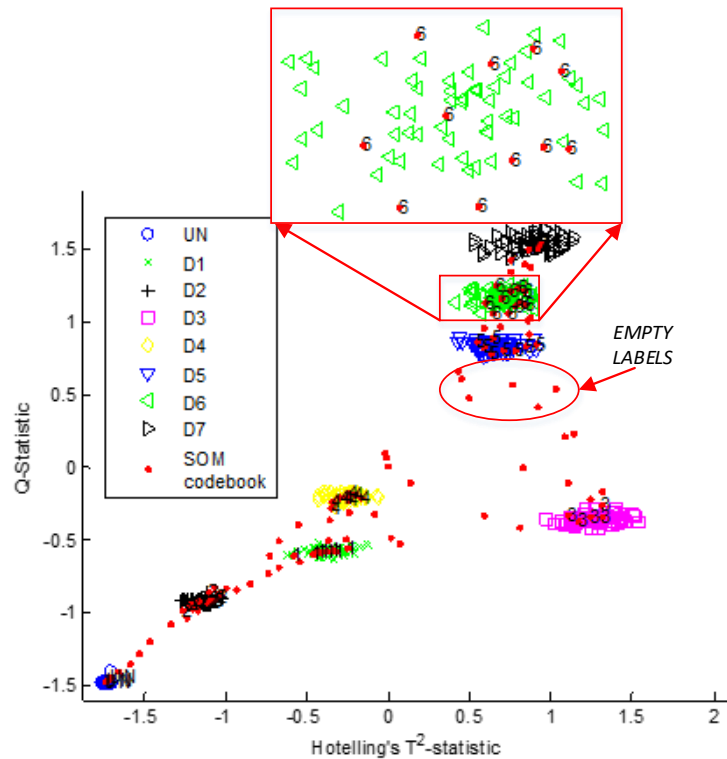


Figure 4.11: Distribution of damage indexes.

The clusters obtained in the Self Organizing Map are depicted in figure 4.12. Different damage types, with boundaries clearly defined by empty clusters and BMU distance matrix (U-matrix), can be well differentiated. In addition, the cases distribution avoids damages combination in one similar cluster, which allows a better classification.

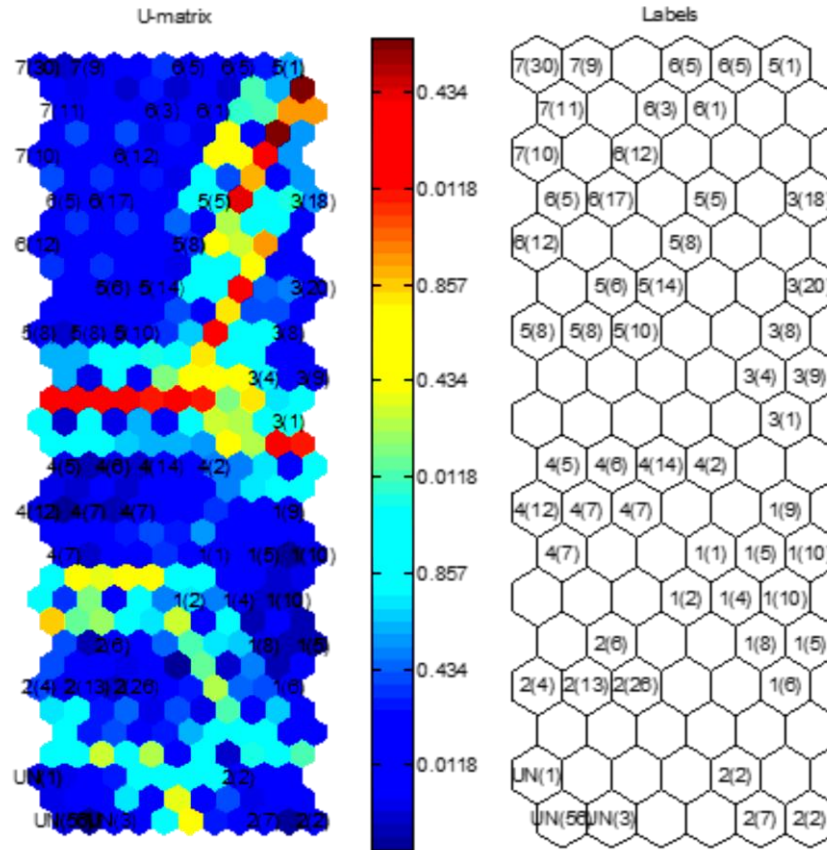


Figure 4.12: Training SOM network.

The SOM quality indices are summarized in table 3.2, which are calculated by using 70% of cases for training purposes and 30% of cases for validation.

Table 3.2: SOM quality indexes

Index	Value
Quantization error	0,0336
Topographical error	0,0833
Distortion measure	0,4327
Training Error	0
Empty labels in Training data	0
Empty Clusters	44
Validation Error	1,5625
Empty labels in validation data	5

## Chapter 5.

### 5. Parameters automatic tuning of structural damage detection algorithms

Nature is composed by a huge number of complex organisms that perform a large number of tasks in order to survive, reproduce and protect their communities. Biology produces complex and self-adaptable organisms with a vast amount of less reliable components, but with abilities such as self-assessment, self-repair, self-configuration, levels of redundancy and protection. Examples of such organisms are ants, birds and bees, which individually are fragile, but, together, can be very strong and exhibit intelligent solutions of daily survival problems. Since many organisms exhibit very effective functioning, bio-inspired techniques such as Evolutionary Algorithms (EA) have been extended for solving optimization problems.

Genetic Algorithms (GA) is one the most popular EAs and largely applied in optimization problems [33]. The main characteristic of a GA is the presence of mechanisms for selecting and recombining individuals, thereby enabling genetic inheritance from the parents throughout the search execution.

Price and Storn [34] recently proposed differential evolution (DE), which is an effective, robust, and simple global optimization algorithm with few control parameters. According to frequently reported comprehensive studies [35], [36], differential evolution outperforms many other optimization methods in terms of convergence speed and robustness over common benchmark functions and real-world problems.

A methodology for automatic tuning of the parameters of an SHM algorithm is proposed by minimizing a fitness function, which is a weighted sum of the damage identification error:

$$f(\vec{x}_{i,j}) = \sum_{j=1}^M w_j * e_j \quad (\text{Eq. 5.1})$$

Where  $\vec{x}_{i,j}$  Is a vector containing a combination of SHM algorithm parameters;  $w_j$  are weighting factors, and  $e_j$  is the classification error for each damage type. Figure 5.1 summarizes the operation mode for DE algorithm [26], which consist of seven main steps:

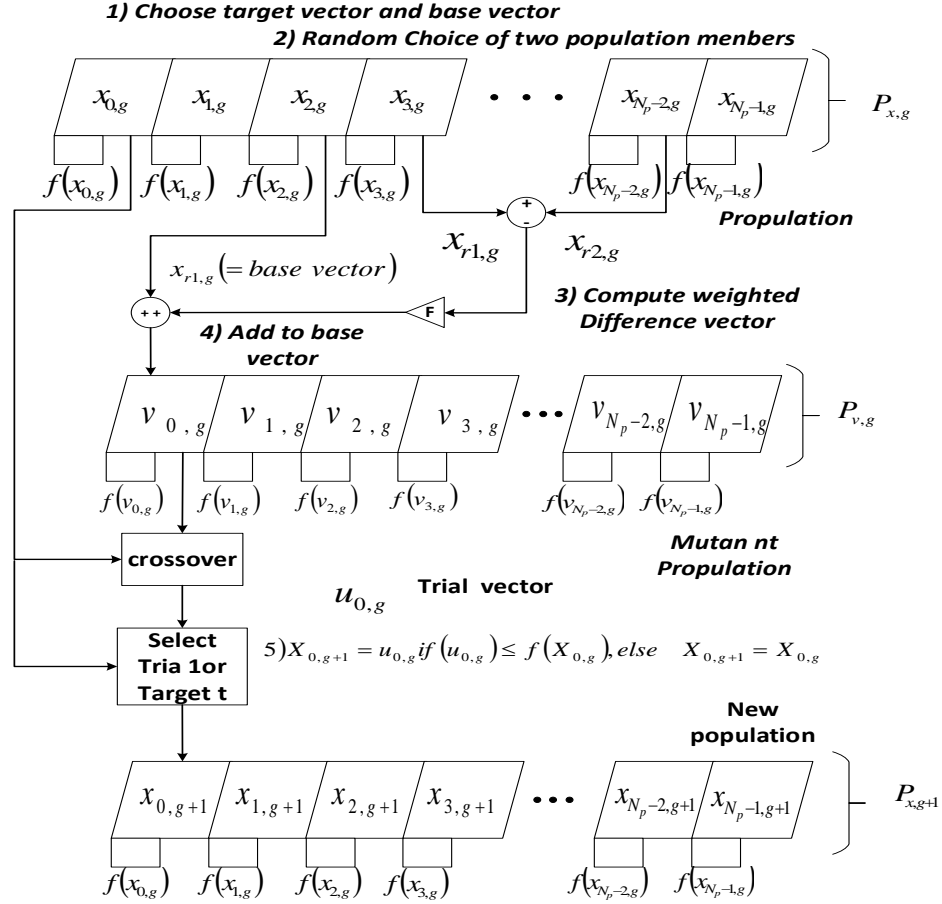


Figure 5.1: Differential Evolution Algorithm Operation [37]

- Generate a random population according to the SHM algorithm parameters.
- Choose randomly two population members as target vector and base vector.
- Compute the weighted difference vector between two random population members.
- Add the resulting difference to the base vector and apply mutant operator.
- Apply crossover operator between target vector and the result of step iv.) in order to obtain a trial vector.
- Select the trial vector or target vector as member of the next population according to fitness evolution.
- Repeat steps ii.) – iv.) in order to obtain all members of the new generation

### 5.1. Automatic parameter tuning of a SOM damage assessment approach

A damage assessment scheme based on SOM networks is evaluated in order to validate the proposed methodology with parameters involved in structural damage assessment methods automatically tuned. In figure 5.2 the general scheme for damage assessment is summarized, where evolutive algorithms are used to find optimal parameters of a damage classification method based on SOM networks.

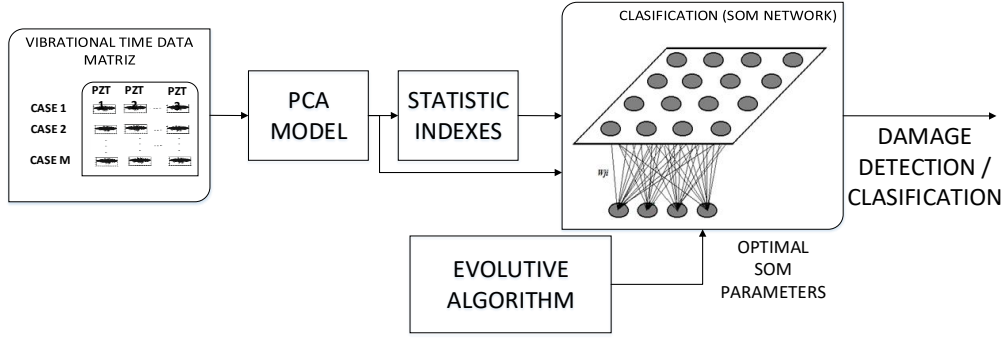


Figure 5.2: SOM network for structural damage assessment and classification.

According to figure 5.2, the scores and PCA indices are used as features to train a SOM Network, in order to facilitate visualization of different damage types and to assist classification tasks. The success of the damage classification algorithm depends of an appropriated SOM training. In table 5.1 is detailed the SOM algorithm parameters required, in order to obtain a high-quality SOM according to the indices exposed in table [32].

Table 5.1: SOM parameters and indexes

SOM parameters	Description	SOM Quality Indexes	Description
<b>Normalization method</b>	Data normalization avoids false dominant clusters. Options: variance/linear range/logarithm/logistic.	<b>Topographical error</b>	It is a measurement of topology preservation. It should be near to zero
<b>Output neurons number</b>	It is the <i>clusters</i> number	<b>Distortion</b>	Shows how well each neuron represents the input data
<b>Grid structure</b>	Local topology map. Options: Rectangular/Hexagonal		
<b>Map shape</b>	Local topology map. Options: Laminar/Cylindrical/Toroid	<b>Histogram uniformity</b>	It is measurement of the cases distribution in the clusters. Ideally, each cluster should be containing cases of the same type and there is not be empty clusters
<b>Neighborhood function</b>	Interactions between reference vectors. Affects the precision and generalization of the SOM network. Options: <i>Gaussian</i> / cut <i>Gaussian</i> / <i>Bubble</i> .		

Thus, the SOM parameters are automatically tuned by using a Differential Evolutive Algorithm (DE), where a fitness function consisting of a weighted sum of SOM quality indices and damage identification error (see eq. 5.2), is minimized

$$f(\vec{x}_{i,j}) = \sum_{i=1}^n w_i * q_i + \sum_{j=1}^M w_j * e_j \quad (\text{Eq. 5.2})$$

Where  $\vec{x}_{i,j}$  Is a vector containing a combination of SOM parameters;  $w_i, w_j$  are weighting factors,  $e_j$  the classification error for each damage type and  $q_i$  are the SOM quality indexes. The fitness function is minimized by applying the.

## 5.2. Validation on a turbine blade structure

A first experiment was conducted over a test structure, which corresponds to a turbine blade of a commercial aircraft manufactured by a homogenous material, with a similar density than titanium (3.57 g/mL) and whose experimental data where supplied by the research group CoDALab of the Universitat Polit cnica de Catalunya (UPC). Time vibrational response was recorded by using an

active piezoelectric system with seven PZT nodes distributed over the surface structure (see figure 5.3). PZT 1 was excited by means of a burst signal of 3 peaks and 350 kHz and the other PZTs were used as sensors.

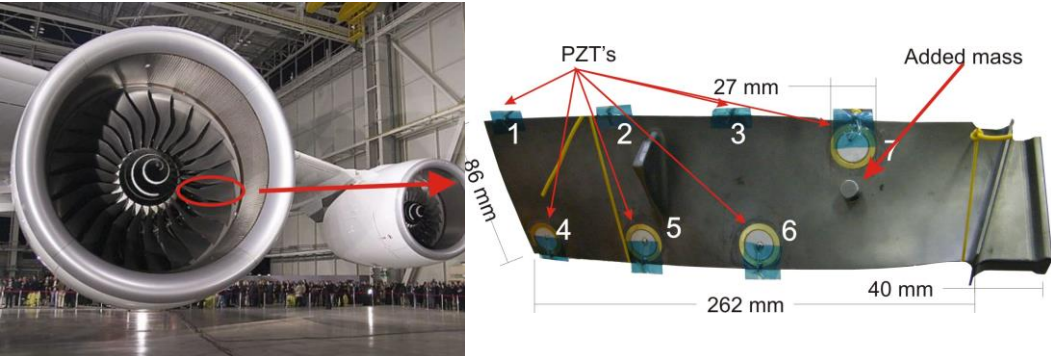


Figure 5.3: Aircraft turbine blade and active PZT system

Damages were induced by adding masses at several locations shown in figure 5.4. 19 undamaged cases were used to build the PCA model, where 18 principal components were maintained. 100 experiments (10 per each of 9-damage types D1-D9 and 10 undamaged cases) were conducted in order to evaluate the performance of the fault detection algorithm.

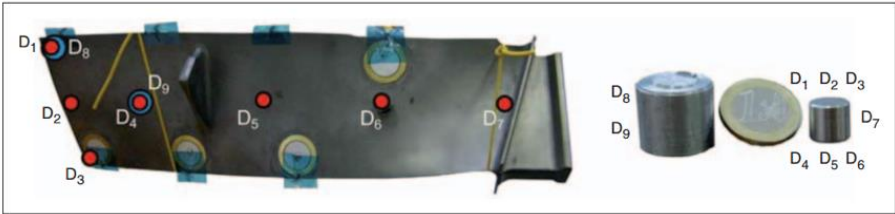


Figure 5.4: Locations of simulated damages

In figure 5.5 the damage detection indices  $T^2$  and  $Q$  are depicted in a scatter plot. Shapes and colors represent different types of damage. Original data correspond to the undamaged cases used to build the PCA model and labeled with tag ‘orig’.

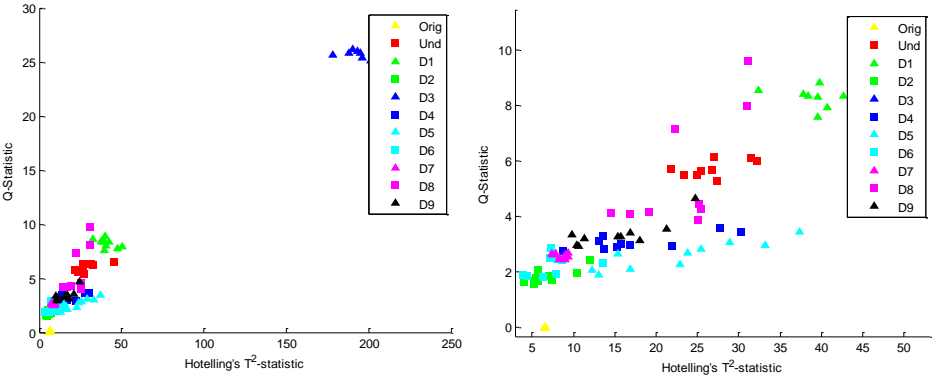


Figure 5.5:  $Q$  and  $T^2$  indexes for damaged cases.

In figure 5.5 is observed that undamaged cases (Orig, Und) are clearly separated from damaged cases (D1-D9). Then, presence or absence of damages can be easily detected by using a PCA model. On other hand, discrimination of damages could be complex for some groups where they appear quite overlapped. Since only PZT 1 is being excited, damages 5, 6 and 7 are the most



difficult to be identified. Also, damages 8 and 9, which take into account quantification performance, appear to be overlapped with their similar located damages 1 and 4. In order to take into account possible nonlinear relations between features, a SOM network was built to map them onto a 2D cluster representation and the resulting Map is depicted in figure 5.6.

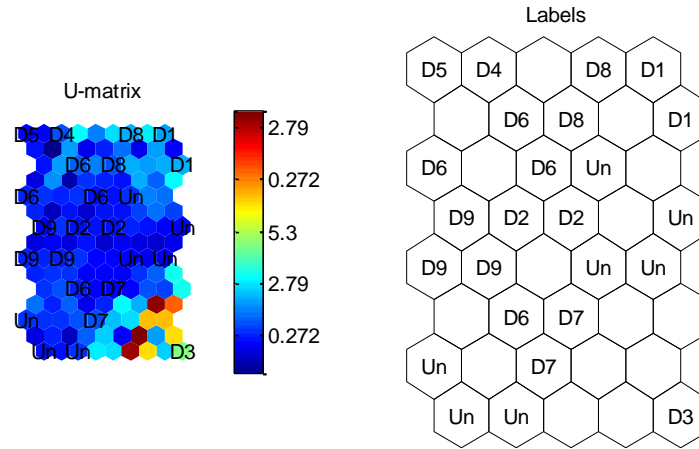


Figure 5.6: SOM network using default-training values

The SOM was trained by using default values: map size: [8 5]; lattice: 'hexa'; shape: 'sheet'; norm method: 'var'; neigh: 'gaussian'. The Final quantization error: 1.865 and Final topographic error: 0.000. For SOM training purposes 14/29 undamaged cases and the half of damaged cases were used. According to figure 5.6, the SOM network has 15/40 empty clusters, which influence empty labeling for validation cases. The damage cases grouped in each cluster are specified in table 5.2.

Table 5.2: Damage cases cluster

#→ SOM Clúster							
#	Cases	#	Cases	#	Casos	#	Cases
1	'Un','D8','D8'	11	'D7'	21	'Un', 'D2'	31	Empty
2	'D8'	12	'D6'	22	'Un', 'D2'	32	Empty
3	'D8','D9'	13	'D6'	23	'D9'	33	Empty
4	'Un','D9'	14	Empty	24	'D9'	34	'Un','Un','Un','Un','D7'
5	'D4','D5','D9'	15	'D6','D6'	25	Empty	35	'Un', 'Un'
6	Empty	16	'D4','D4'	26	'D1','D1','D7'	36	'Un','Un','Un'
7	'D5', 'D5'	17	'D5'	27	Empty	37	'Un','Un','Un','Un'
8	'D4','D4','D5'	18	'D1','D1','D1'	28	'D2','D7'	38	'Un', 'Un', 'D2'
9	'D8'	19	Empty	29	Empty	39	Empty
10	Empty	20	'D6'	30	'D2','D7'	40	'D3','D3','D3','D3','D3'

In a more detailed view of table 5.2, it is clearly identifiable that damage types D3, D6, D9 and undamaged cases appear in separate groups. Figure 5.7 shows the labels assigned by the SOM network to the training/validation data.

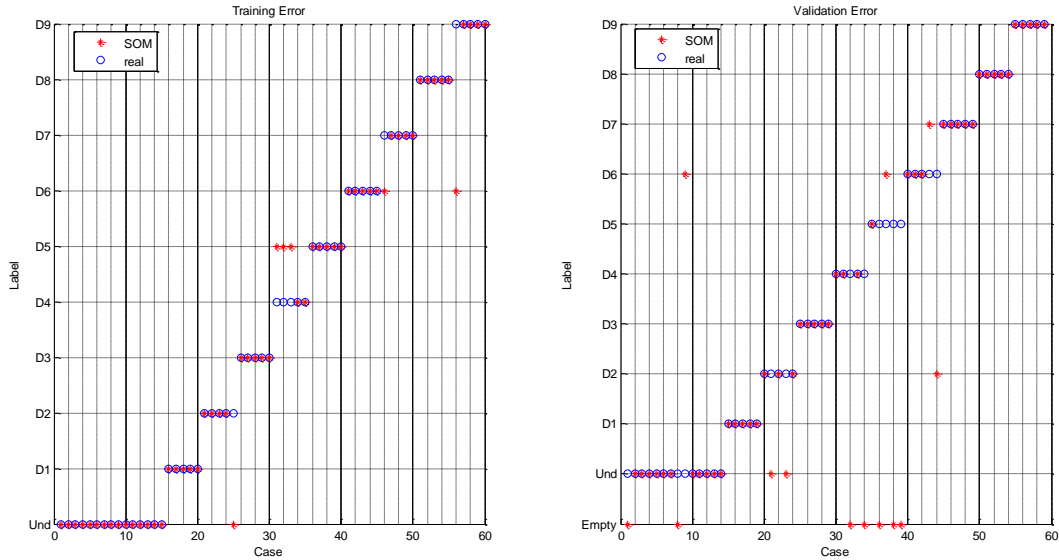


Figure 5.7: Class assignment by using SOM default-training values

In figure 5.7, the training and validation errors correspond to 10% and 22.0339% respectively. Since the SOM empty clusters, 7/59 test data appear without labels and no damage type were assigned to seven validation cases. In order to improve the SOM quality, 10,000 iterations of a DE algorithm were executed for minimizing the sum of training and validation errors. The main parameters for solving the optimization problem were set to: CR: 0.2000, F: 0.5629, VTR: 0 and NP: 200, where the DE algorithm taken about two hours. Figures 5.8 and 5.9 illustrate the evolution of the fitness function for all SOM parameters options.

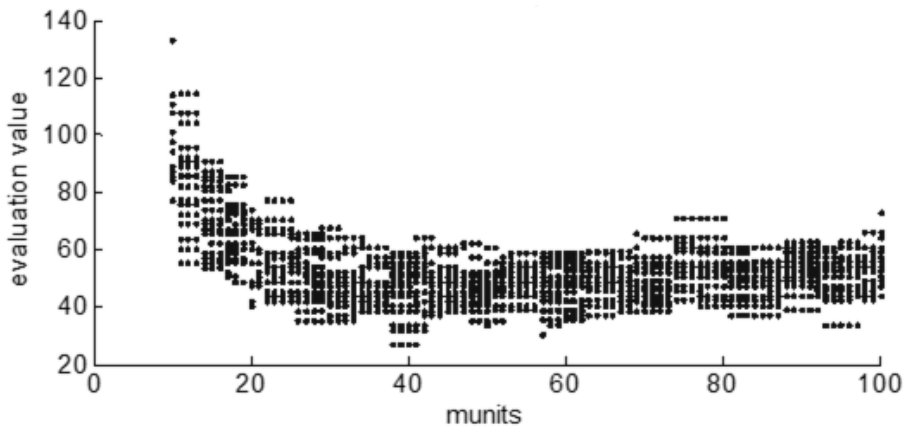


Figure 5.8: All evaluation values over parameter munits.

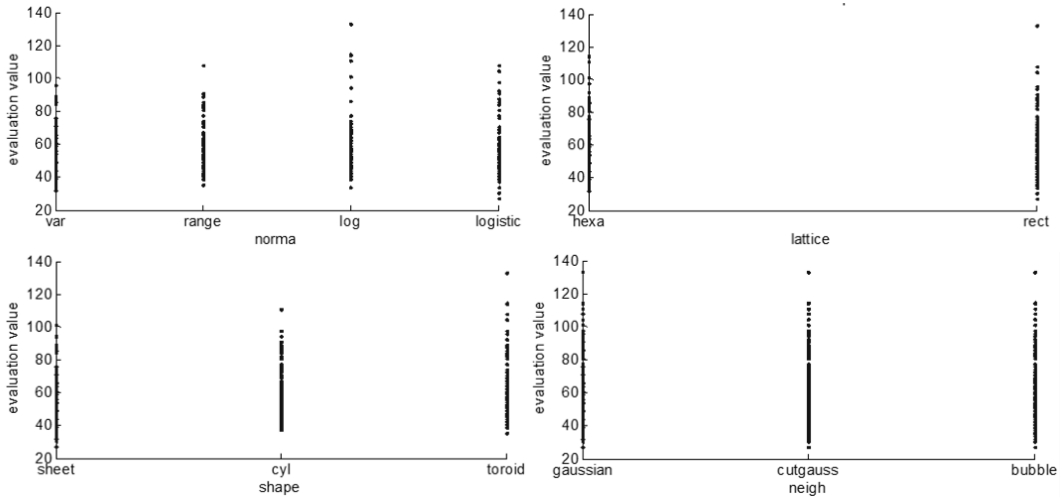


Figure 5.9: All evaluation values over parameters Norma, lattice, shape and neighborhood.

The results obtained in the optimization process show that the munits parameter affects the identification error in a major proportion. The number of SOM clusters must be at least 20 and approximately 40 in order to obtain low identification errors. Otherwise, all other SOM parameters (Norma, lattice, shape and neighborhood) allows low identification errors for their different options. The best configuration for the SOM network parameters, which are suggested by DE algorithm, correspond to norm method: 'logistic', neigh: 'gaussian', msize: [8 5], lattice: 'rect', and shape: 'sheet'. Figure 5.10 depicts the final resulting map.

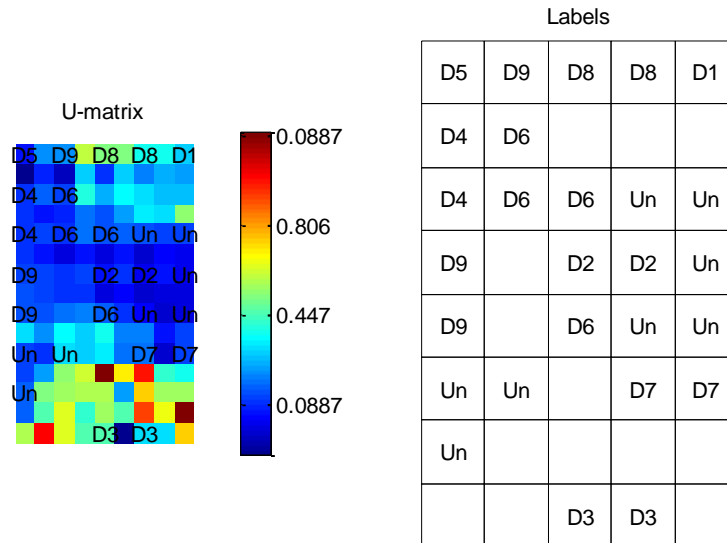


Figure 5.10: Optimal SOM network.

The resulting quantization error, topological error and Distortion measure are 0.3896, 0.0333 and 1.6440 respectively. The distance values illustrated in the U-matrix indicate that damage types are separate by better-defined boundaries. In addition, it is observed that empty clusters were reduced to 13/40. Figure 5.11 shows the labels assigned by SOM network to the training/validation data and by using the optimally tuned parameters.

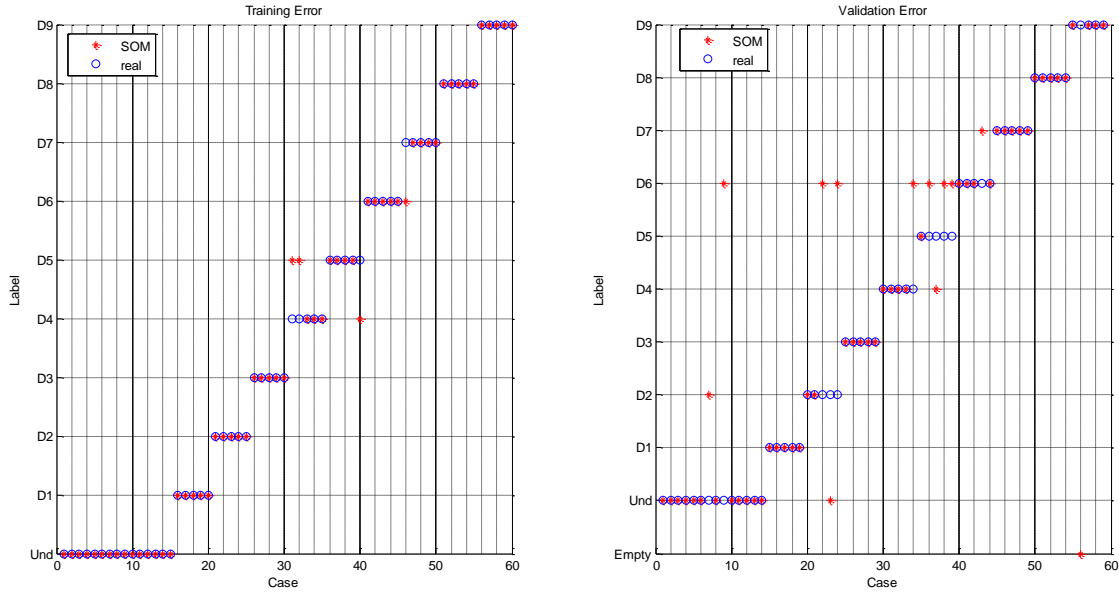


Figure 5.11: Class assignment by using SOM optimal-training values.

The associated training and validation errors were 6.6667% and 20.3390%. In this case, only one validation case was classified as empty label. Table 5.3 details the distribution of damage types in each SOM cluster.

Table 5.3: Damage cases cluster

# → SOM Clúster		# → SOM Clúster		# → SOM Clúster		# → SOM Clúster	
#	Cases	#	Cases	#	Cases	#	Cases
1	'D5' 'D4' 'D4' 'D5' 'D5' 'D5'	11	'D6' 'D6'	21	'D6' 'D6'	31	Empty
2	'D4' 'D4' 'D4' 'D5'	12	Empty	22	Empty	32	'D3' 'D3'
3	'D4' 'D4'	13	Empty	23	Empty	33	'D1' 'D1' 'D1' 'D1' 'D1'
4	'D9' 'D9' 'D9' 'D9'	14	'Un' 'Un'	24	'D3' 'D3' 'D3' 'D3'	34	Empty
5	'D9' 'D9'	15	Empty	25	'D3'	35	'Un' 'Un' 'Un'
6	'Un' 'Un'	16	Empty	26	'D8' 'D8' 'D8'	36	'Un' 'Un' 'Un' 'Un' 'Un'
7	'Un' 'Un' 'Un' 'Un'	17	'D8' 'D8' 'D8' 'D8'	27	'Un' 'Un'	37	'Un' 'Un' 'Un'
8	Empty	18	Empty	28	'D2' 'D2'	38	'D7' 'D7' 'D7' 'D7'
9	'D9' 'D9'	19	'D6' 'D6' 'D6' 'D7'	29	'Un' 'Un'	39	Empty
10	'D6' 'D6'	20	'D2' 'D2' 'D2' 'D2'	30	'D7' 'D7'	40	Empty

In table 5.3, it is observed that overlapping between damage types was reduced and separation groups are defined most clearly.

### 5.3. Validation on a pipeline structure

A second experiment was conducted over a test structure, which consists of a section of carbon steel pipeline (similar to those used in the local industry) flanged at the extremes, whose dimensions are: 1m x 1 x 3mm (Large x diameter x thickness). Time vibrational response was recorded by using an active piezoelectric system with three PZT nodes distributed along the surface of the structure (see figure 5.12). Every PZT was operated both as sensor and as actuator, thus if PZT n is used to excite the structure, the remaining PZT record signals used to build the PCA model. The obtained model is named Model n (n=1,2,3) and this configuration is repeated

for every installed PZT, thus 3 different PCA models are built. The structure was excited during one period of a 350 KHz sinusoidal signal and time vibrational response, corresponding to the difference between actuation signal and sensed signal (Ch1 – Ch2), was recorded and stored by using a scope with sample period equal to 40ns.

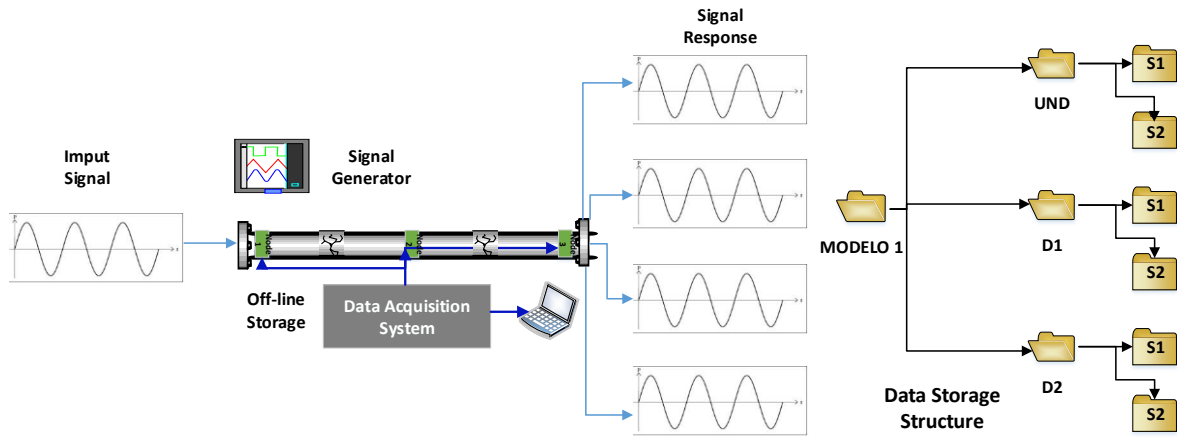


Figure 5.12: Tubing section and active PZT system

Structural damages were induced by adding two masses between nodes 1 and 2 (see figure 5.13). In order to build the PCA model, seven undamaged cases were used (five for training purposes – orig, and two for validation purposes - und). In order to evaluate the performance of the fault detection algorithm, three different damage types (D1, D2, and D3) were considered, which are described in table of figure 5.13. Seven repetitions for every damage case were conducted and PCA models were built by retaining four principal components.

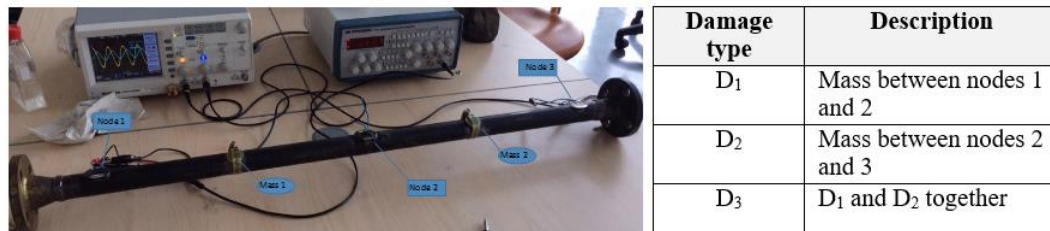


Figure 5.13: Locations of generated damages and description

Figures 5.14 and 5.15 depict the two most significant scores and the damage detection indices ( $T^2$  and  $Q$ ) in a scatter plot for the PCA-Models. Shapes and colours represent different damage types. Original data corresponds to the undamaged cases used to build the PCA model labelled with tag orig.

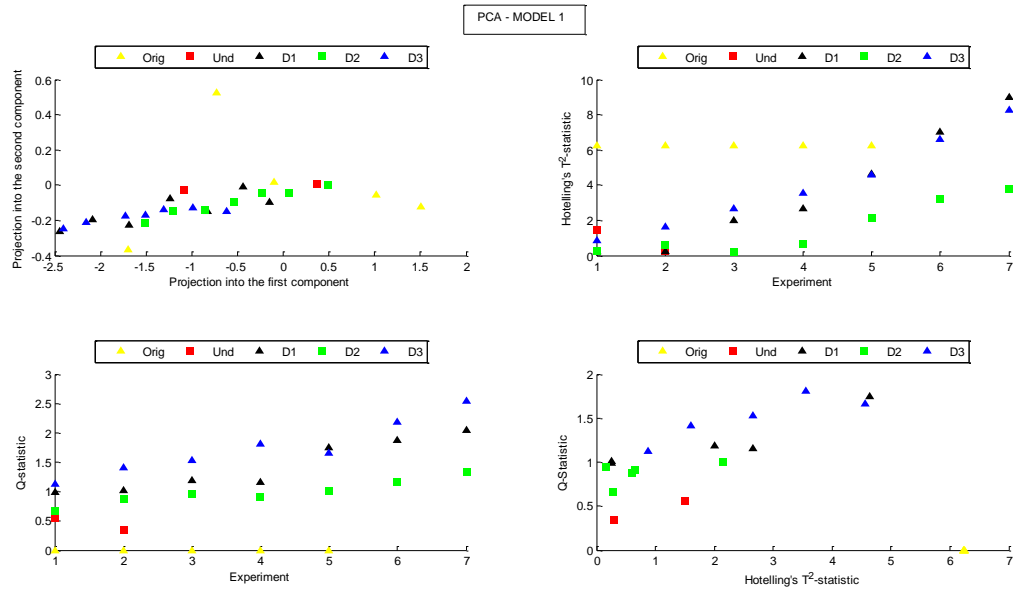


Figure 5.14: Results for PCA-model.

In figure 5.14 can be observed that undamaged cases (Orig, Und) are clearly separated from damaged cases (D1-D3) by using the statistical indices. Thus, the PCA model allows detecting easily the presence or absence of damages. Discrimination of damages is hardly for some groups since they appear quite overlapped.

In order to take into account possible nonlinear interactions between the features used to detect damages, a SOM network was built in order to map the inputs onto a 2D cluster representation. Figure 5.15 depicts the resulting Map, for a SOM network trained by using parametric values by default: map size: [6 5]; lattice: hexa; shape: sheet; norm method: var; neigh: gaussian. The Final quantization error: 1.014 and Final topographic error: 0.000.

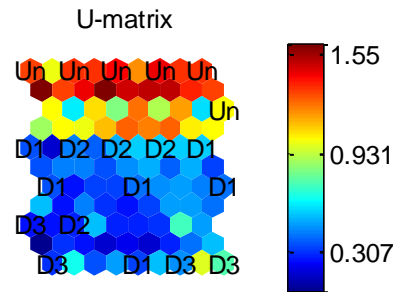


Figure 5.15: SOM network using default-training values

By training group labels using the SOM network it was obtained a high identification error, where only 4/30 cases were properly labelled. Then a differential genetic algorithm (GA) was programmed in order to obtain a set of optimally tuned parameter of a SOM neural network. Figure 5.16 shows the evolution of the fitness function, where the weighted sum of identification errors and SOM quality indices were normalized to one.

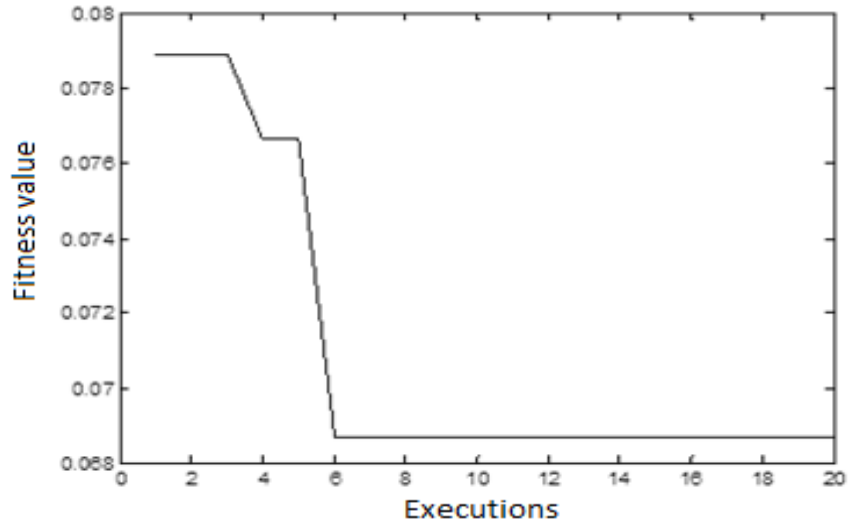


Figure 5.16: Fitness function evolution for the GA

After applying the optimization, it was found that the SOM Neural Network automatically tuned by using the differential genetic algorithm, improves the identification error at rate of at least 50 percent. Since only a few of experiments were used to build the PCA model, an improving could be expected if this number is increased.

## Chapter 6.

### 6. Environmental conditions treatment through augmented baseline models

Structural damage diagnosis under varying environmental conditions is one of the main challenges for developing reliable condition monitoring systems. If damage detection systems are implemented without taking into account environmental influences, false alarms can be produced. Thus, in this chapter a data driven approach, which considers augmented baseline models, is used to treat different temperature and moisture scenarios in a pipe leak detection algorithm based on principal component analysis (PCA). Thus, PCA based damage detection strategy is adapted to environmental conditions by using robust features where statistical indexes allow establishing several temperature and moisture levels as different cluster states. Specifically, PCA is used as alternative to deal environmental conditions regarding to temperature and humidity variations. It is proposed to build an augmented baseline model in order to include the environmental influences into the data variability, where environmental variables are measured only once. The effectiveness of the methodology is demonstrated by analyzing experimental measurements obtained from a carbon steel pipe section. The results show that the methodology can be used to detect leaks under different environmental conditions, suitable for noninvasive structural damage detection. It is demonstrated that pipe leaks detection is achieved considering several temperature/humidity scenarios at laboratory scale.

#### 6.1. Environmental influence for structural damage identification.

In most of SHM methodologies proposed in the state of the art that consider varying environmental conditions, they can be confused with changes caused by structural damages. Thus, reliable methodologies for damage detection should take into account the effect of these environmental conditions (humidity, wind, temperature gradients, etc.) in order to avoid false-positive or negative damage diagnosis. In this sense, in last years, several approaches in the field of damage diagnosis have paid attention to the effect of variable environmental conditions [6].

One of the methods used to deal this effect is to perform correlation between the measured vibration characteristics and the corresponding environmental conditions [38]. Other approaches separate different environmental conditions into different clusters by means of Self Organizing Maps [39] or by using NullSpace method [40], which facilitates optimal based selection techniques [41]. Also, principal component analysis (PCA) [42] have been proposed as statistical tool to distinguish structural damages under environmental conditions, where environmental effects are treated as embedded features.

However, to discern changes resulting from environmental influences respect to changes due to actual damages is still a challenging task. One of the drawbacks is the high sensitivity of measured responses from a structure to damage and environmental variables; therefore, it is difficult to define robust features insensitive to environmental variations. Other issues regarding practical situations is the requirement of environmental variable sensors installed in the host structure permanently, which is highly dependent of a proper location. Thus, SHM methods are adversely influenced by variable environmental and operational conditions of the monitored structure, where false alarms are the main issue reported in the literature.



### Temperature and humidity changes effects on PZT measurements

Several researches (see [43], [44] and [45]) in the field of damage detection based on measurements from piezoelectric devices summarize the next adverse effects produced by temperature changes:

- Change in the properties of PZT transducer such as piezoelectric constants.
- Degradation in properties of adhesive used to bond transducers to the host structures.
- Thermal expansion, such as change in plate thickness, piezo dimensions and distances traveled by the guided wave along the structure.
- Change in elastic properties, including density and Young modulus, which cause changes in wave velocity.

In addition, high temperatures in metallic materials cause thermal creep and stress conditions, which reduce its useful life with a higher effect when it is subjected to fatigue.

On the other hand, the mechanical and piezoelectric properties of the PZT are fewer influenced by humidity absorption. However, in the literature is reported a remarkable large impact of humidity changes over amplitudes measured from PZT systems [46]. Additionally, high relative humidity produces corrosion degradation in the structure.

### **6.2. Methodology for structural damage identification under environmental influence.**

In this thesis temperature and humidity changes effects are considered by augmenting the baseline model, in order to become robust damage detection. Since the structure to be monitored operates under several temperature and humidity scenarios, it is proposed to consider these conditions by building an augmented baseline model including measurements at the expected temperature/humidity real operation scenarios. Thus, experimental records of undamaged state at different temperature/humidity levels should be unfolded in a two-way matrix as is shown in figure 6.1.

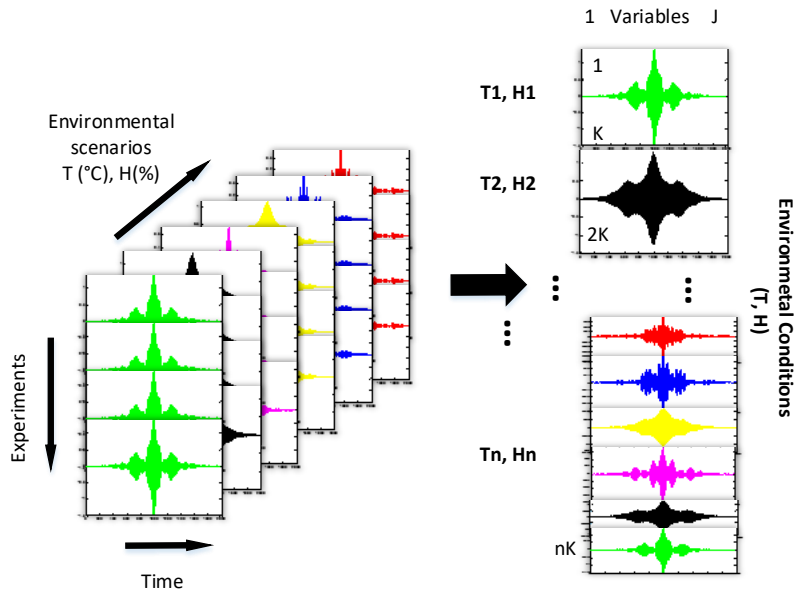


Figure 6.1: Undamaged - augmented experimental data matrix

In this sense, by processing the augmented experimental data in figure 6.1, the variation for different temperature/humidity levels are taken into account in the PCA model building procedure. It means that the PCA baseline model is built after computing cross-correlated piezoelectric signals of the undamaged-augmented data matrix.

### 6.3. Experiment design

The methodology described in the above section was experimentally validated in a carbon-steel pipe loop by considering leaks at different temperature and humidity conditions. Thus, this section presents the experimental setup to produce different temperature/humidity scenarios.

#### 6.3.1. Humidity and Temperature Conditioning

Figure 6.2 describes the conceptual design established to obtain experimental records considering several temperature/humidity scenarios. High power lamps, located at the top of the pipe structure, mimic the sun influence by radiating heat waves on the pipe. The heating is focused in the area where the PZT devices are installed, which is intended to affect mainly the piezo-devices couplant properties. On the other hand, a trough-shaped vessel was conditioned between the floor and pipe zone to produce humidity changes. Temperature levels were feedback controlled by an adjustable power source, while the humidity was treated as a disturbance since the high interrelation between these two environmental variables. However, in order to achieve homogenized conditions in the moisture levels, the through-shaped vessel was filled with water, which is then regulated by using coolers and heating resistors. Thus, moist air and steam flow affects the humidity conditions near to the pipe structure.

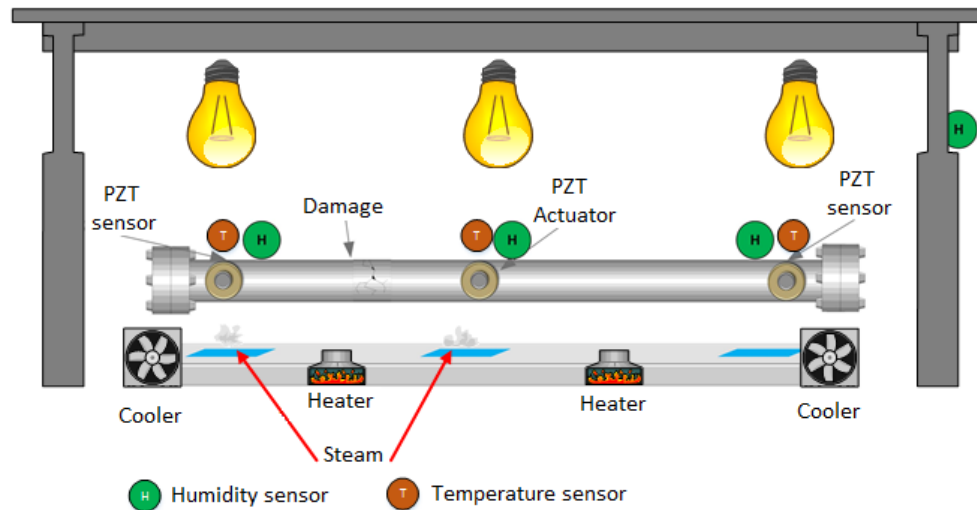


Figure 6.2: Experiment design to produce temperature and humidity variations

Temperature and humidity measurements were obtained by using the HSM20G and LM35 sensor devices, which operate at [10% to 90%] and [55° C to 150°C] ranges respectively. In addition, a PI algorithm was implemented (phase controlled) in the Arduino hardware platform in order to regulate the average temperature in the pipe structure. The scenarios studied in this chapter are detailed in figure 6.3, where a combination of heating lamps, heating resistors (steam) and cooler elements (moist air) are specified.

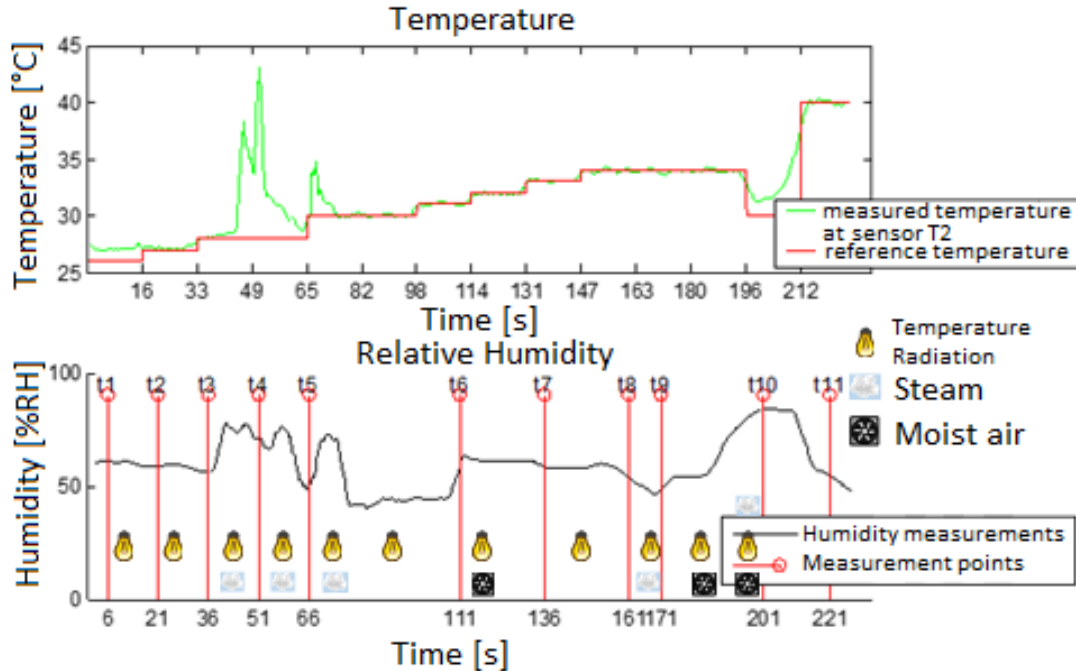


Figure 6.3: Temperature and humidity scenarios

According to figure 6.3, the first record ( $t_0$ ) corresponds to uncontrolled environmental conditions, and then eleven temperature set-points ( $t_1, \dots, t_{11}$ ) were produced each 10 minutes for a total of 11 humidity/temperature scenarios.

### 6.3.2. Pipe loop description

The test structure used to validate the methodology is a carbon-steel pipe loop, which consists of five 100x2.54x0.3 cm (length, diameter, thickness) sections (see figure 6.4). Each pipe section contains bridles at its ends and three piezoelectric devices (PZT) bonded along the surface structure. The PZT devices located at the middle of each section operated as actuators and the remaining ones as sensors. A burst type signal, generated by means of an AWG PicoScope series 2000, was used to excite the PZT actuator around its resonance frequency ( $\sim 100$  KHz) and then it is amplified to  $\pm 10$  V. In addition, a valve that controls the airflow from a compressor at 80 psi is installed in the pipe loop, while a manometer is used to indicate the operation pressure.

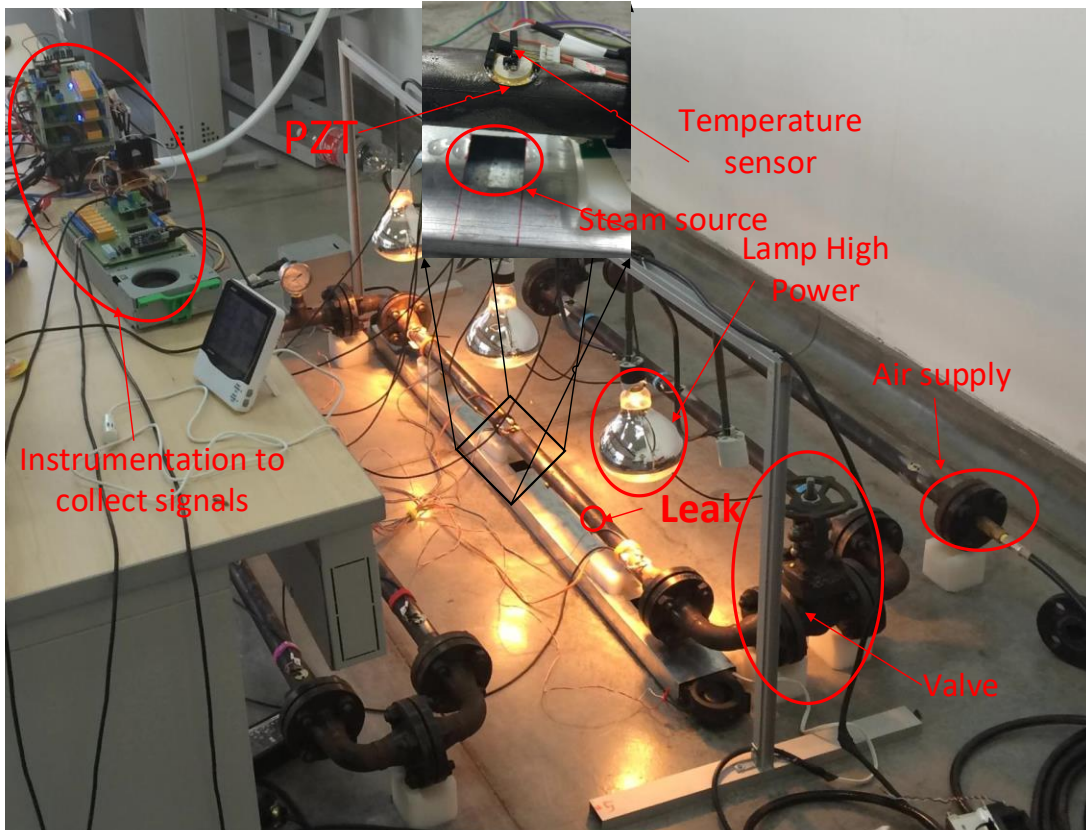


Figure 6.4: Pipe loop experiment.

According to figure 6.4, changes in the temperature/humidity conditions mainly affects the third section of the pipe loop, where three lamps and two coolers are used for these purposes. In order to evaluate the effectiveness of the methodology, 100 experimental repetitions were recorded for scenarios corresponding to undamaged and leak states under different temperature/humidity conditions ( $t_0, \dots, t_{11}$ ). The leaks were induced by a full opening of a hole between the PZT devices (Actuator-Sensor) in the third section of the pipe loop structure. The baseline model is built by using measurements from the ten PZT sensors.

#### 6.4. Experimental results

A preliminary test was conducted by using only the 100 experiments at uncontrolled environmental conditions, in order to build the PCA baseline model. Figure 6.5a presents the T – squared and Q statistical indexes for the undamaged (UND1, ..., UND11) scenarios under different temperature/humidity conditions, while figure 6.5b depicts results for one leak at the respective humidity/temperature scenarios ( $T_0, \dots, T_{11}$ ), where clusters for each environmental condition are observed.

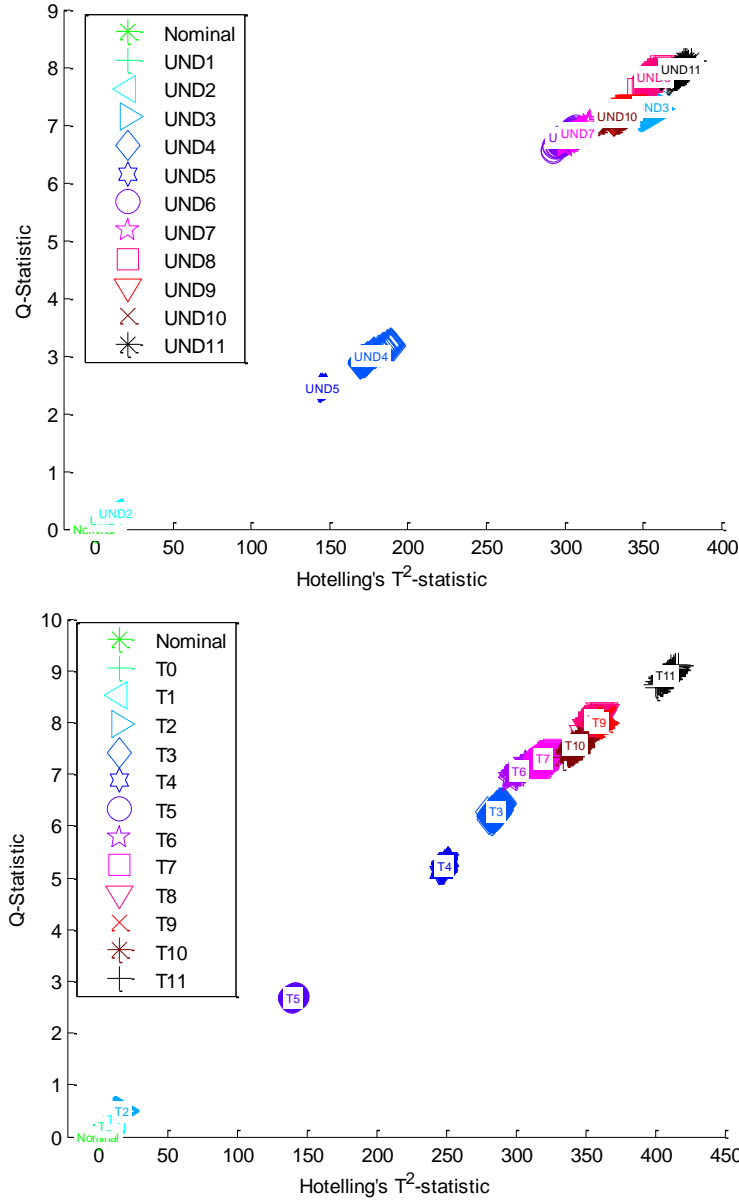


Figure 6.5:  $Q$  vs  $T$ -squared statistical indexes at different humidity/temperature conditions computed by using a non-controlled temperature signals baseline model. A.) Undamaged states. B.) Leak condition

According to figure 6.5, the leak and undamaged states are treated as different conditions for each temperature/humidity scenario. Even, for small environmental changes is difficult to differentiate between the undamaged and leak states. Also, the dispersion for different temperature/humidity conditions at undamaged state is large and comparable to the leak measurements. Therefore, humidity/temperature changes could be confused with leak states, which is an undesirable characteristic for detection purposes.

In order to build the robust baseline model, 1200 undamaged experiments under different environmental conditions (UND0,..., UND11) were achieved. The  $Q$  and  $T$ -squared statistical

indexes for undamaged and leak scenarios at different humidity/temperature conditions, computed by using this augmented baseline model, are depicted in figure 6.6.

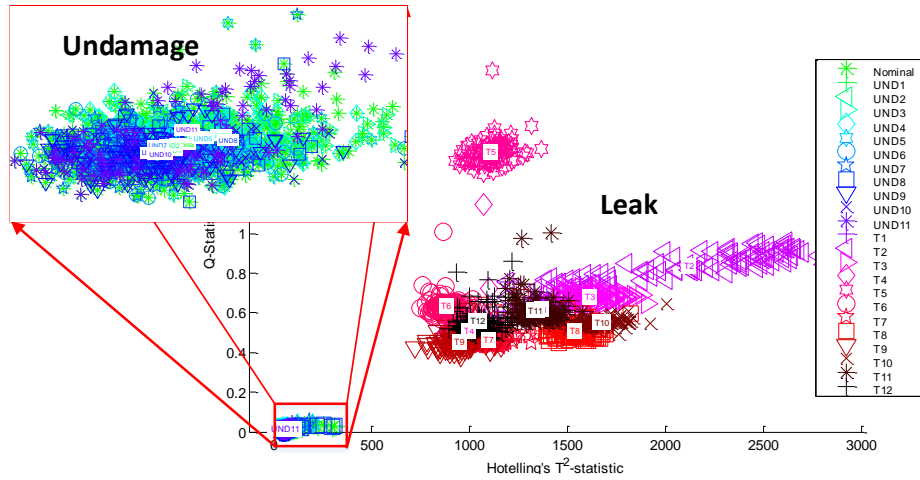


Figure 6.6: Damage indexes for leaks at different environmental conditions by using an augmented baseline model.

According to the results in figure 6.6, a better differentiation between the undamaged and leak states is observed, and they are grouped in separated clusters. For undamaged cases it is observed low Q-values [ $0,3 \times 10^{-3}$  -  $2 \times 10^{-3}$ ] and  $T^2$  indexes with lower dispersion respect to the initial indexes (figure 6.5a). In addition, Q statistic has a lower sensitivity to variations in environmental conditions than  $T^2$  index.

The time piezo-electrical signals recorded in one of the PZT sensors for the undamaged state under different temperature conditions are plotted in figure 6.7. The relative humidity for these cases can be considered constant ( $\sim 60\%$ ).

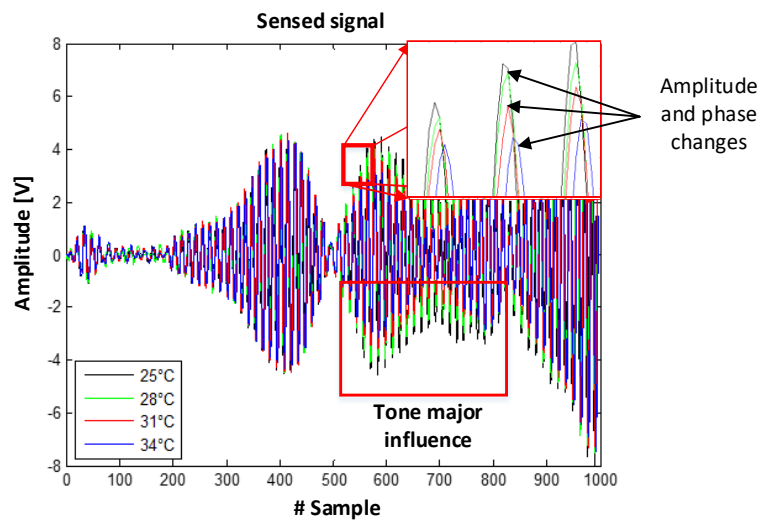


Figure 6.7: Time records of PZT responses at different temperature conditions

According to figure 6.7, temperature changes produce phase shift and amplitude attenuation in the guided waves response, which has been reported in the literature.

Since temperature and moisture environmental variables are highly correlated, a second test was conducted in order to emphasize the humidity influence. Thus, the lamps were power off and the humidity conditions were modified by means of coolers and heating resistors (figure 6.2). The six (t1, ..., t6) studied scenarios are detailed in figure 6.8.

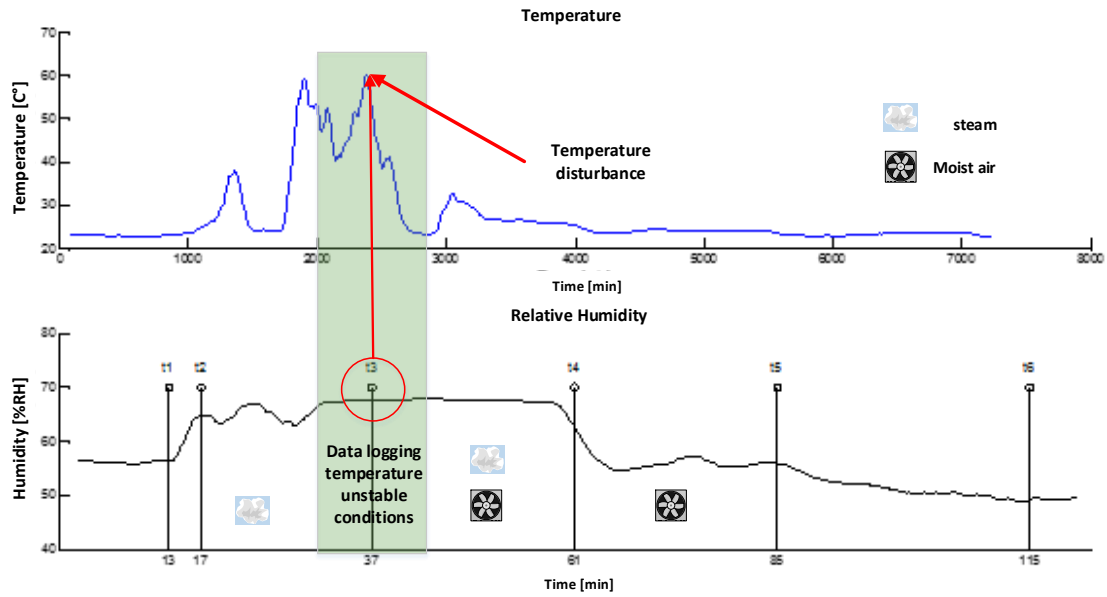


Figure 6.8: Scenarios to evaluate humidity influence

According to figure 6.8, if steam is used to change the humidity conditions, a temperature disturbance is induced (scenario t3). The respective time piezoelectric signals are illustrated in figure 6.9, where no meaning differences can be observed, except for scenario t3. In this case, similar to the above case, the temperature affects the signal amplitude.

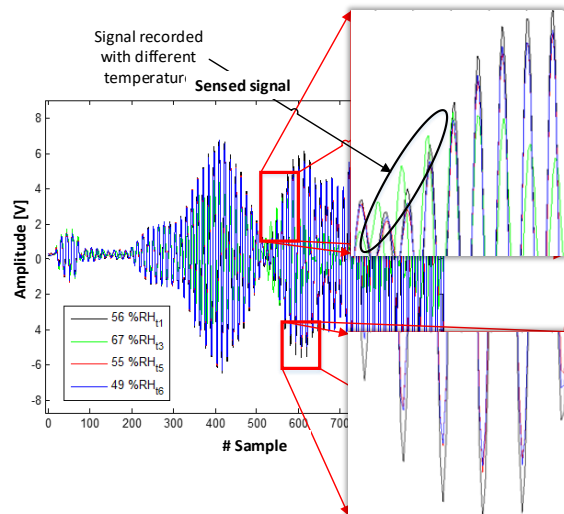


Figure 6.9: Time records of PZT responses at different humidity conditions

## Chapter 7.

### 7. Implementation of a Piezodiagnosics approach for damage detection based on PCA in a Linux-based embedded platform

The implementation of damage detection methods for continuously assessing the structural integrity entails systems with attractive features such as storage capabilities, memory capacity, computational complexity and time processing consuming. In this sense, embedded hardware platforms are a promising technology for developing integrated solutions in Structural Health Monitoring. In this chapter, design, test and specifications for a standalone inspection prototype is presented, which take advantage of piezo-diagnostics principle, statistical processing via Principal Component Analysis (PCA) and embedded systems. The equipment corresponds to a piezoelectric active system with the capability to detect defects in structures, by using a PCA-based algorithm embedded in the Odroid-U3 ARM Linux platform. The system performance was evaluated in a pipe test bench where two kind of damages were studied: first, a mass is added to the pipe surface, and then leaks are provoked to the pipe structure by means of a drill tool. The experiments were conducted on two lab structures: i) a meter carbon steel pipe section and ii.) a pipe loop structure. By means of the equipment it was recorded the wave response between the instrumented points for two conditions: i) The pipe in nominal conditions, where several repetitions will be applied to build the nominal statistical model and ii) when damage is caused to the pipe (mass adding or leak). Damage conditions were graphically recognized through Q-statistic chart. Thus, the feasibility to implement an automated real time diagnostic system is demonstrated with minimum processing resources and hardware flexibility.

#### 7.1. Architecture of the proposed piezo-diagnostics system

Some commercial solutions for SHM have been developed by companies such as Accelent with their Portable ScanGenie that integrated with Layer Sensors and Smartpatch (their operative software) can be used to monitor engine disks, joints, beams among others objects [47]. Digitexx Data System [48] also provides semi-permanent solutions for structural health monitoring, providing data from many different sensors such as accelerometers and tiny manometers. However, these systems have several implementation problems since they have not demonstrated high reliability and feasibility [49].

In this thesis, by combining Principal Component Analysis (PCA) with piezo diagnostics principle on an embedded system, advantages such as the risk reduction in the loss of information is added, in addition to providing greater flexibility at the where and when can be accessed to the stored information. Therefore, the making decisions to ensure structural health is facilitated, as some experts in remote monitoring can assure<sup>4</sup>. In this work a preliminary embedded system is performed, in that way most problems related to computational resources, such as memory and processor performance, are no longer a major problem for structural damage assessment. Also, several desirable features for condition monitoring systems like user-friendly results interpretation, low power requirements, easy setup, low cost, small size, expandability and

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<sup>4</sup> Permasense—Experts in Remote Monitoring Solutions. Available online: <https://www.permasense.com/> (accessed on 23 September 2018)



hardware accessibility can be obtained. The architecture of the system is schematized in figure 7.1, where its main components are: i) the structure to be monitored, ii) piezoelectric devices attached to the structure surface, iii) excitation elements, iv) power supply, v) data acquisition components, vi) digital processing unit (Odroid-U3) and vii) means to show results.

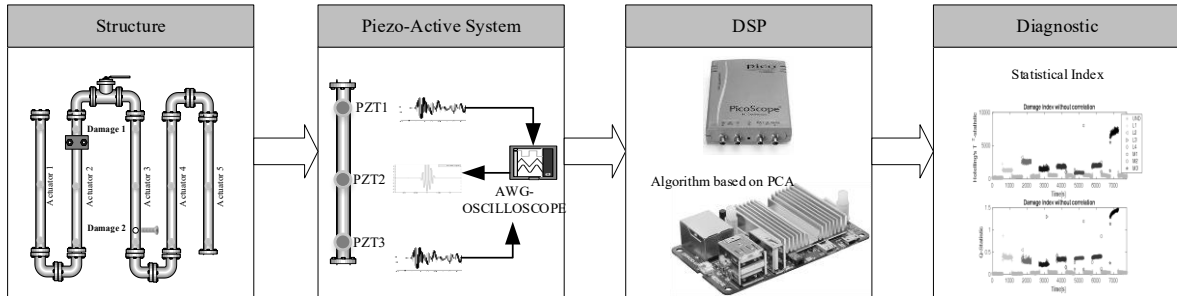


Figure 7.1: Damage diagnosis system schematic

According to figure 7.1, the equipment uses an active piezo-diagnosis scheme that consists of using piezoelectric devices to produce elastic waves and evaluate its propagation along the examined structure. The most important parameters to be considered for generating guided waves are related to frequency and type of electric field excitation, coupling material for the bonding layer and recommendations for electrical connection of piezoelectric elements, as was detailed in section 2.2.

## 7.2. Hardware design

The system configuration and its electronic components is depicted in figure 7.2, where its main component is the embedded platform (system's core) capable of streaming, processing (mathematical computations) and storing data, as well as handling a user interface. Other system components are the data acquisition system, amplifiers and signals conditioners.

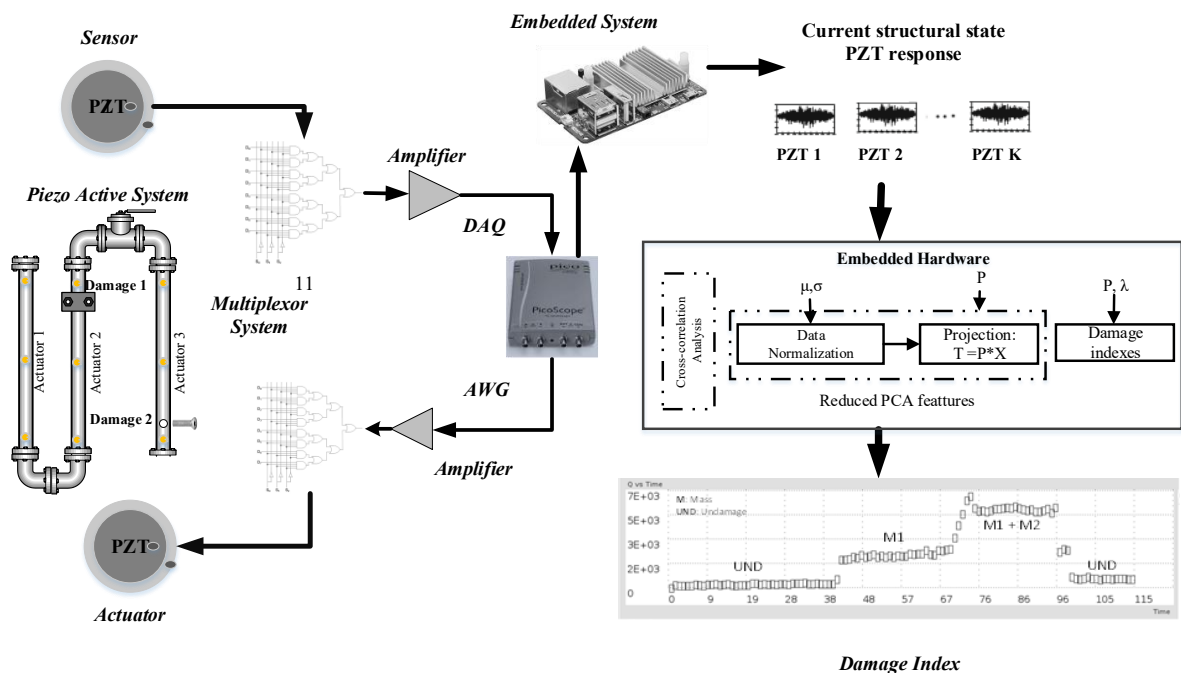


Figure 7.2: Logical relationship configuration diagram.

### 7.2.1. Signal conditioning and acquisition system

It was necessary to build a signal conditioning circuit for electrical coupling between PZT devices and electronic components, where a charge mode amplifier was used to ensure the operating frequency and to minimize signal loss due to loading effect [50] (see figure 7.3).

Piezo electric response is amplified to  $\pm 10$  V and acquired by means of a Picoscope 2000 and a 24-channel multiplexor board (16 PZT sensors and 8 PZT actuating signals), such that each PZT response can be acquired in each channel with low delay, depending on the total amount of sensors connected.

The PicoScope™ includes Arbitrary Wave Generation (AWG) function, which allows generate burst excitation. It is highlighted that PicoScope™ is used as DAQ/Generation system since it has desirable features for standalone and portable systems: Good bandwidth, faster waveform update rates, low price (From \$129), and ultra-compact size compared to other commercial devices [51]. The sample rate of system is specified by acquisition system bandwidth (100 MHz) and number of PZT-sensor channels (16). Thus, the maximum sampling frequency achievable in the system is 6.25 MS/s.

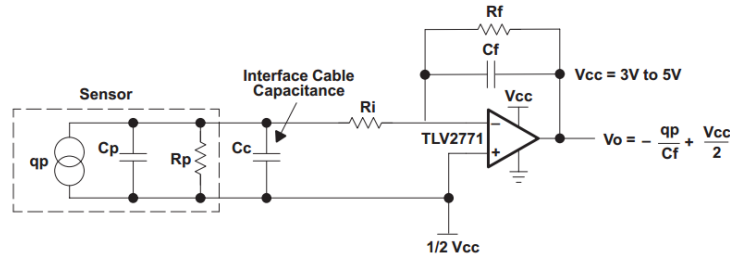


Figure 7.3: Charge amplifier. Extracted from [30]

### 7.2.2. Embedded platform

An embedded system is a small device designed to execute specific tasks and contains elements that vary according to its goal, but it always maintains a group of basic elements for operation: RAM memory, input-output peripherals and a microprocessor as CPU. These platforms are generally based on ARM architectures, with smaller size than regular computers making them ideal for low power applications. One of its main features is its capability of processing information in real-time. A good platform for SHM is Odroid-U3 and its characteristics are summarized in table 7.1.

Table 7.1: Odroid-U3 characteristics

Feature	Description
CPU	1.7GHz Exynos4412 Prime Cortex-A9 Quad-core processor 2Gbyte LPDDR2 880Mega Data Rate
SO	ubuntu-14.04.2lts-lubuntu-odroid-u-20150224
RAM	2072 [MB]
Onboard Flash	8Gb, eMMC
Power Source	5VDC/2A
USB 2.0 Host	3 x USB 2.0, 1 x Micro USB
Serial Port	UART 1.8 V
Ethernet	10/100, RJ45
Video Out	HDMI (480p/720p/1080p)
GPIO	5

According to table 7.1, the Odroid-U3 has peripherals package that allows making several improvements using Ethernet communication, USB, SD, HDMI ports, video out and on-board memory. It also allows execution in real time of operating system such as Ubuntu Distributions, which supports the architecture of the system described in this chapter.

The final component included in the hardware system design corresponds to the USB-to -IO expansion board that provides GPIO interface. It is used to implement the logical programming of the multiplexor board through the PIC18F45K50 microcontroller.

### 7.3. Algorithm programming

The overall methodology described in section 2.3.5 is implemented in the embedded hardware by using the flowchart detailed in figure 7.4, which is designed to evaluate the current time structural state through index damage charts.

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**Algorithm 1** PCA Based Piezodiagnostic Damage Detection Algorithm

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```

1: procedure 1. SETUP AND CONFIGURATION
2:    $p \leftarrow \text{Read experiment parameters}$            ▷ Number of PZT sensor
                                                    Sample frequency
                                                    Number of baseline
                                                    model experiments
3:
4:    $q \rightarrow \text{Setup Data Acquisition System}$        ▷ Configure PicoScope
                                                    and I/O-USB-Card

5: procedure 2. BASELINE MODEL BUILDING
6:   for ( $i = 1; i = N\text{Experiments}; i++$ ) do
7:     Undamage data Reading;
8:      $UND \leftarrow \text{undamage data matrix};$ 
9:      $P \leftarrow \text{POD decomposition of } UND \text{ matrix};$ 
10:    save principal components(P);
11:
12: procedure 3. STRUCTURAL CONDITION MONITORING
13:   while User Stop do
14:     Send Actuating Signal
15:     Read PZT measurements (current condition)
16:      $\hat{X} \leftarrow \text{normalize measurements}$ 
17:      $T \leftarrow \hat{X}P;$                                ▷ Project onto the model
18:      $IX \leftarrow \text{compute damage index}$ 
19:     Update damage index plots

```

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Figure 7.4: Pseudo-code of piezo-diagnostics algorithm in the embedded platform

According to figure 7.4, the embedded algorithm consists of three procedures: set up and configuration, baseline model building (training stage) and structural condition monitoring (monitoring stage), which are sequentially executed. Once the configuration parameters and drivers to manage data acquisition are established, the transformation matrix P of the statistical model is obtained via Proper Orthogonal Decomposition method (POD) [17]. POD require fewer computational resources over alternative methods such as SVD and NIPALS, maintaining a compromise among resources memory and time consuming [18]. Thus, POD method is implemented as processing tool for baseline model building since low memory and execution time are required.

## 7.4. Structural damage continuous monitoring

This section presents the results of using the damage detection approach based on principal component analysis, described in previous chapters, for continuous structural monitoring. The feasibility for continuous monitoring of the methodology is demonstrated by analyzing experimental measurements obtained from two structures: i.) a carbon steel pipe section and ii.) a laboratory tower that mimics a wind turbine. The results of the studied cases show the capability of the methodology for structural continuous monitoring by detecting abrupt changes in the structural response when damages occur.

### 7.4.1. Experiment description

The performance of PCA approach for online monitoring is evaluated by analyzing data from two structures in order to validate.

#### Carbon steel pipe section

The first specimen used as test structure is a carbon-steel pipe section of dimensions 100x 2.54 x0.3 cm (length, diameter, thickness). It was conditioned with piezoelectric devices in order to induce guided waves along the surface structure (figure 7.5).

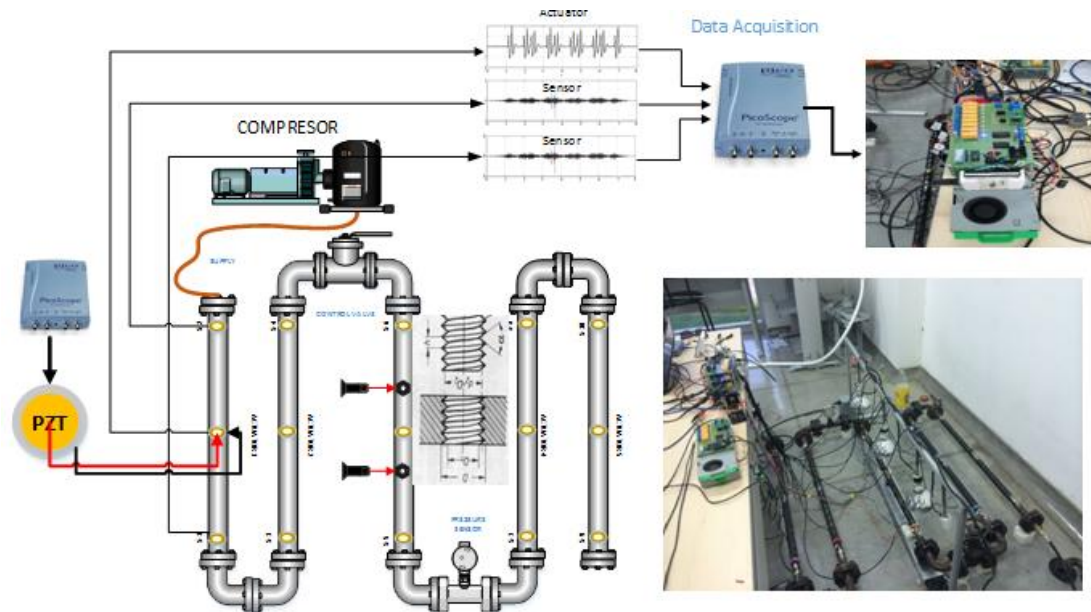


Figure 7.5: Carbon steel pipe section

In order to induce leaks in the test structure, four 1/4-inch holes were drilled along the pipe section wall with adjustable screws.

#### Laboratory tower

The second test structure is a tower model, representing a wind turbine model previously studied for damage detection [40]. The structure (2.7 m high) is composed by three components (figure 7.6a): jacket, tower and nacelle. A modal shaker simulates the nacelle mass and it is used to produce external 100 Hz white noise in the structure, which mimic the modal dynamics of an offshore wind turbine. Damage in the tower was induced by replacing one of the undamaged section in the jacket with a 5 mm cracked section (figure 7.6b). Five PZT sensors were installed

in the jacket (figure 7.6a, red markers correspond to PZT devices) in order to record 50-experiment repetitions from guided wave structural responses produced by the PZT actuator.

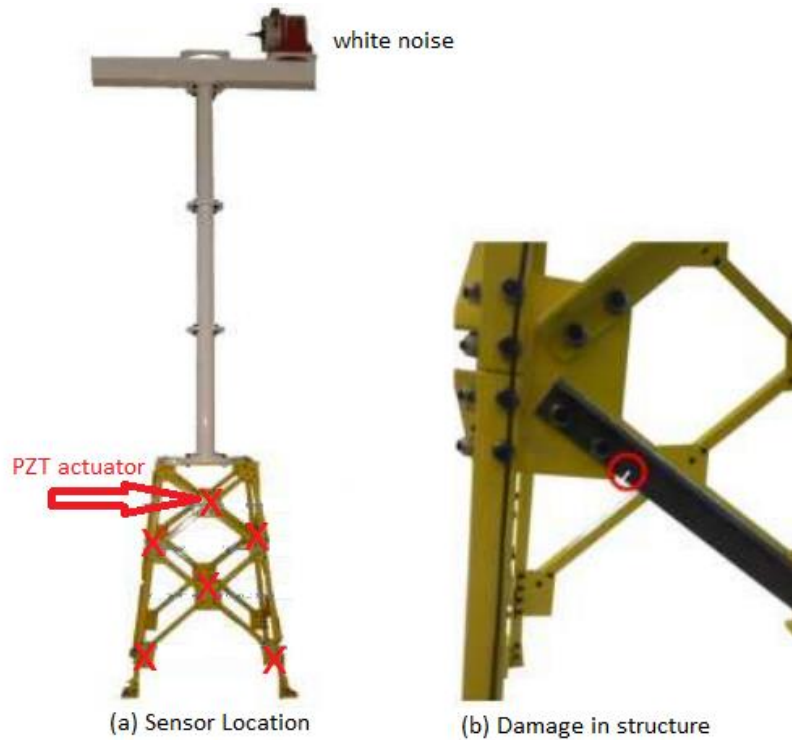


Figure 7.6: Laboratory tower structure.

Figure 7.7 presents the piezo-electrical response obtained from one of the PZT sensors by using a sample time  $T_s = 32.0$  [ns]. It is observed a noise trend due to the modal shaker, which is removed by means of a digital filter (figure 7.8).

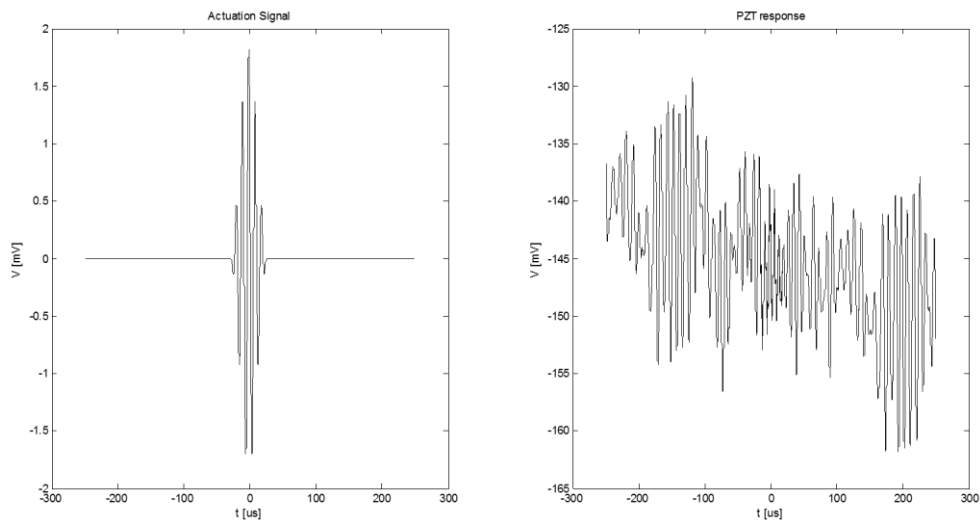


Figure 7.7: PZT response. Left: Actuator signal before amplification. Right: PZT Sensor measurement

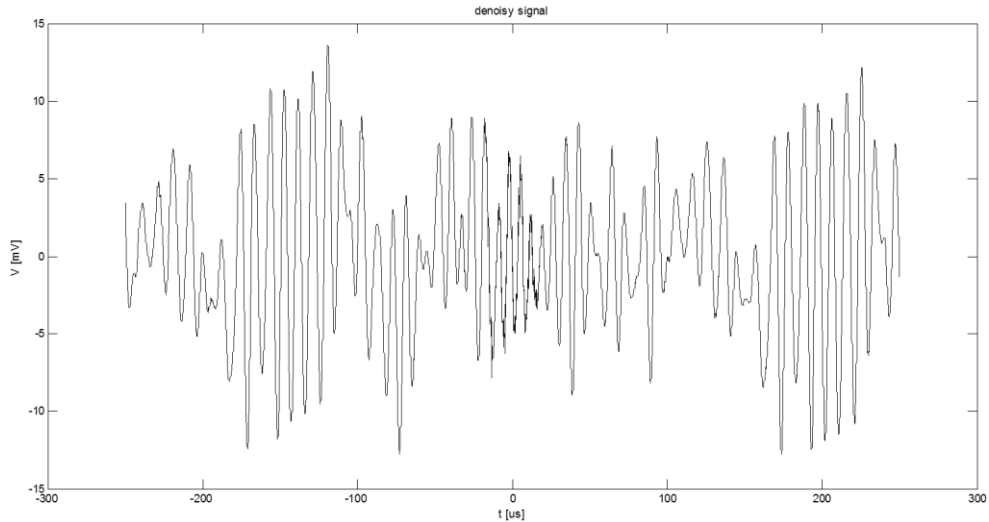


Figure 7.8: Noise removing from PZT response

#### 7.4.2. Results and performance for damage assessment

The feasibility to detect cracks in a laboratory tower and leaks in a pipe section is demonstrated by processing online measurements.

##### Continuous monitoring of Leak in the carbon steel pipe section

Figure 7.9 shows the Q-statistical index computed when a mass adding damage and a leak is caused in the pipe structure.

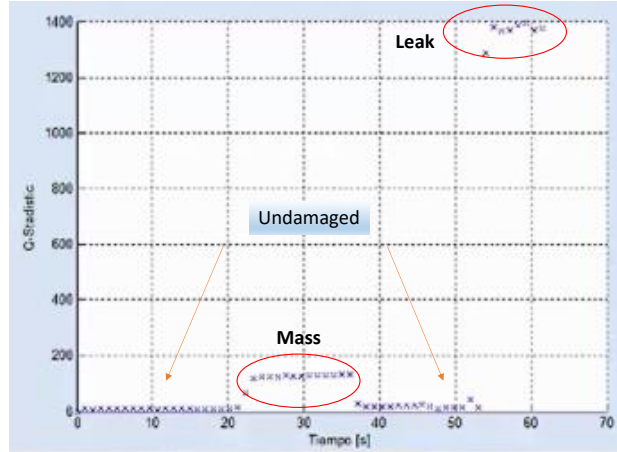


Figure 7.9: Damage index for monitoring pipe section.

According to results in figure 7.9, the Q-index values suffer notable changes when damage is induced in the structure. In addition, a clear difference in damages is observed. It is important remark that transient dynamics is captured in the index values.

##### Suddenly Crack detection in the laboratory tower

Figure 7.10 shows the evolution for Q-index values when crack damage is caused in the structure.

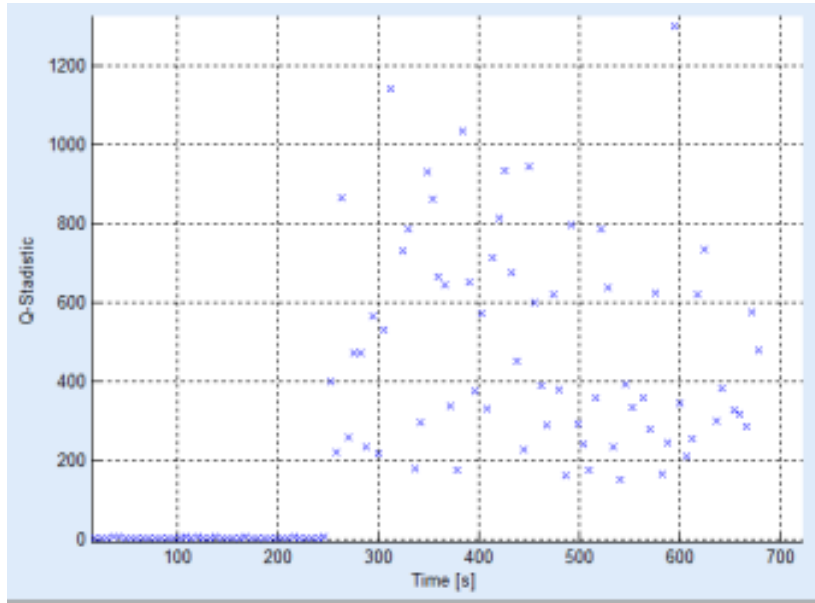


Figure 7.10: Statistical indexes in the Laboratory tower.

According to results in figure 7.10, a noisy trend is observed. It is because noise caused by the shaker which corresponds to a low frequency noise source. However, it can be distinguished the damage state from undamaged one. However, the transient dynamics it is no clearly observable.

### 7.5. Results and validation of embedded platform for damage assessment

This section details the main features and performance of the integrated piezoelectric damage diagnosis system. Validation tests were conducted on two structural lab models in order to evaluate the system performance: *i.*) a carbon steel pipe section, and *ii.*) a pipe loop bench. These two experiments were configured to evaluate the performance of the system and the overall methodology. The first experiment was validated in a pipe section with reversible damages by adding masses to the surface structure and the second one corresponds to a carbon steel pipe loop configured to study leaks type damage. For both experiments guided waves was induced with 5 cycles burst type pulse. One example of the scattered waves recovered is illustrated in figure 7.11.

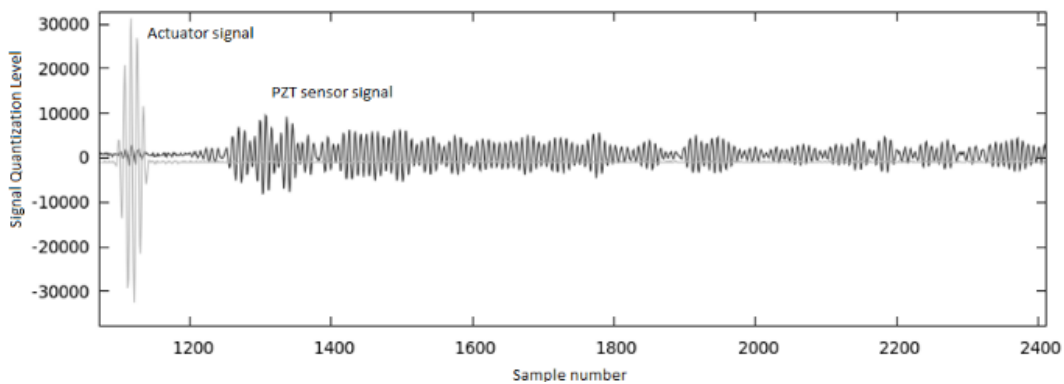


Figure 7.11: Actuation and sensing signals

For all experiments, baseline model was obtained by applying PCA to 100-experiment repetitions during 1s of periodic excitation signal ( $T_s=40$  ns).

### 7.5.1. Piezo-diagnoster Hardware performance

The piezo-diagnoster equipment developed for standalone inspection tasks is detailed in figure 7.12. Its dimensions are 19.3cm height x 18.8cm large x 33.2cm width and it is provided with a 7" HDMI display of 800 x 480 resolution. Configuration and operation are achieved by using standard input/output peripherals (keyboard and mouse).

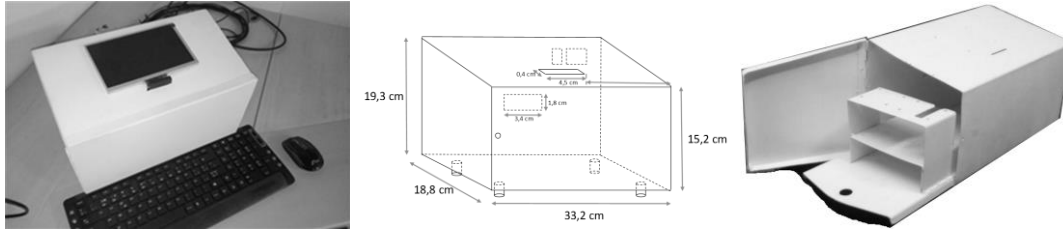


Figure 7.12: Piezo-diagnoster hardware platform

The instrumentation components including the data acquisition system, amplifiers and signal conditioners are detailed in figure 7.13. They comprise peripheral packages for Ethernet communication, as well as USB, SD, HDMI ports, video out and on-board memory.

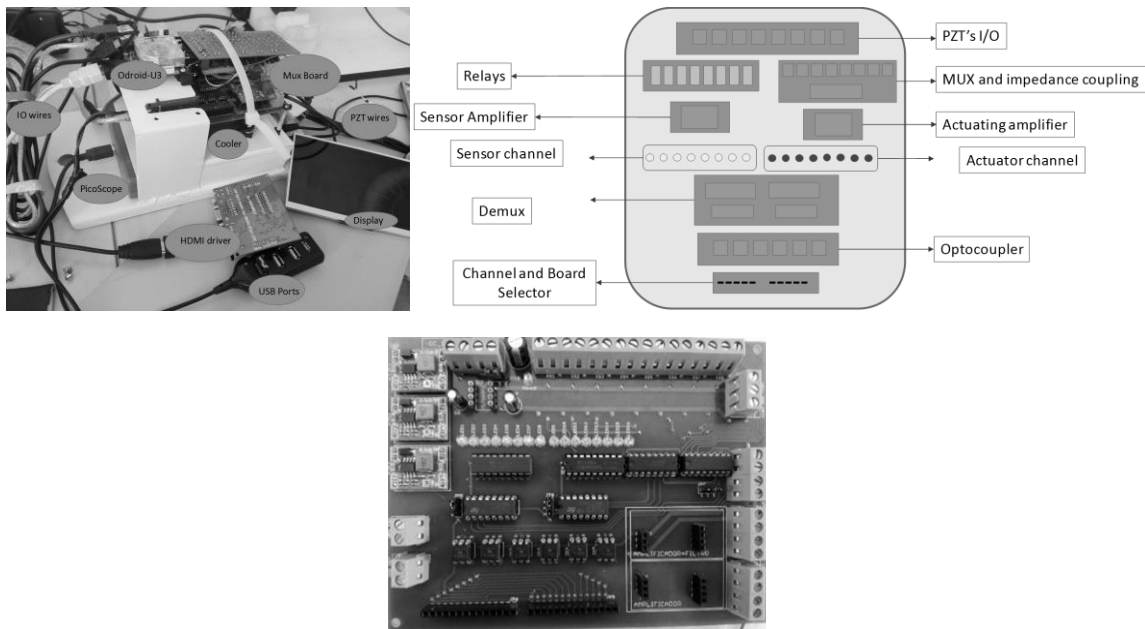


Figure 7.13: Components of Piezo-diagnoster hardware platform

The system is able to acquire signals up to a maximum of 24 PZT sensors, whose hardware was designed with J-fet technology amplifiers (THS4031) that allows a proper bandwidth response (100 MHz), high switching speed and good signal/noise ratio. Voltage gain is adjustable in order to manage drifts and offset of different structures to be monitored, which is produced by electrical and mechanical coupling effects. The typical performance of the proposed piezo-diagnoster system for a set of 8 PZT sensors is summarized in table 7.2.



Table 7.2: Average performance of Piezo-diagnoster system

	CPU usage %	Memory usage %	Time response	Visualization delay
<b>Graphical interface</b>	10.2	2.1	~1 second	4 seconds
<b>PCA processing</b>	50.3	0.9	0.876 seconds	NA
<b>TOTAL</b>	<b>60.5</b>	<b>3.0</b>	<b>1.876 seconds</b>	

Results in table 7.2 regarding to time corresponds to mean, while memory resources to maximum peak from all processed data in real time. A significant delay is observed on the performance of the system due mainly to graphical tasks, thus a dedicated graphical unit is required to manage visualization process. Likewise, results storage is managed through unformatted text-file writing and should be considered as resource demanding task. According to table 7.2, the embedded hardware platform accomplishes the computing tasks with acceptable resource consumption for monitoring purposes. A comparative test was conducted in a general-purpose computer (Intel core I5 @2.67GHz and 3.8GB RAM) where results was obtained in 0.4219993 seconds; demonstrating the consistency of the embedded algorithm with minimal hardware resources.

### 7.5.2. Reversible damage assessment in a pipe section

A carbon-steel pipe section test bench was used to validate the system performance. The pipe section (figure 7.14) is 100 cm length x 2.54 cm diameter, x 0.3 cm thickness and contains bridles at its ends. Three piezoelectric devices were attached to the surface of the structure, where the PZT located at the middle was used as actuator element and those near to the bridles as sensors. Acquisition hardware was configured in single mode setup; thus, a pitch-catch record is obtained from the respective PZT sensor each time that an actuation signal is sent to PZT actuator. As it is illustrated in figure 7.14, a special shaped accessory was added to the surface pipe section in order to recreate damages of type mass adding at different locations of the surface.

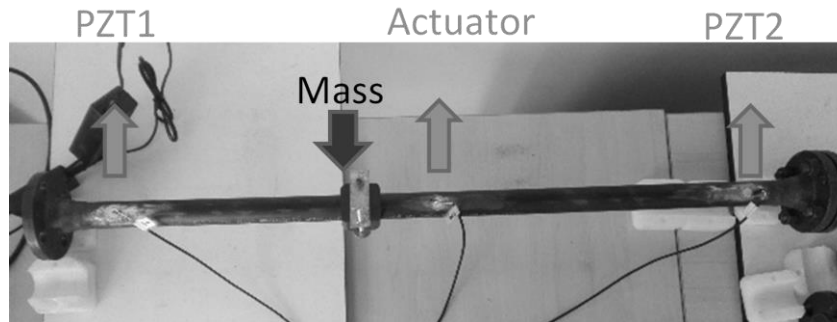


Figure 7.14: Pipe Section experiment mockup.

The behavior of Q-index when the mass element is added to the surface structure is presented in figure 7.15. First, the structure is monitored without damage, then the special mass accessory was added between PZT1 and PZT actuator (M1), next and additional mass was added between PZT2 and PZT actuator (M1+M2) and finally both masses was removed to return to the initial undamaged condition.

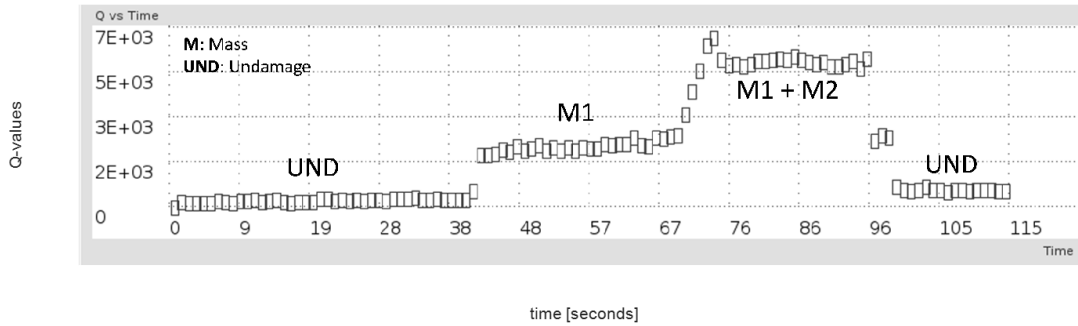


FIGURE 7.15: MASS ADDING DAMAGE DETECTION FOR A PIPE SECTION

According to figure 7.15, a clear difference between undamaged and damaged conditions is observed for abrupt changes in Q-values, and minimal variations are obtained for healthy state of the structure. Also, transient response is captured while the masses are being installed.

A second experiment was conducted to evaluate the system response sensitivity by locating the mass (damage) at different points of the test specimen. In this case, two piezoelectric devices (sensor-actuator) were attached near to the structure bristles and 100 experiments per condition (Damaged/Undamaged) were registered. Fifteen damage scenarios, (denominated D1, D2 ... D15), were recreated locating the mass at 5cm, 10cm, and so on, respect to the PZT actuator (see figure 7.16).

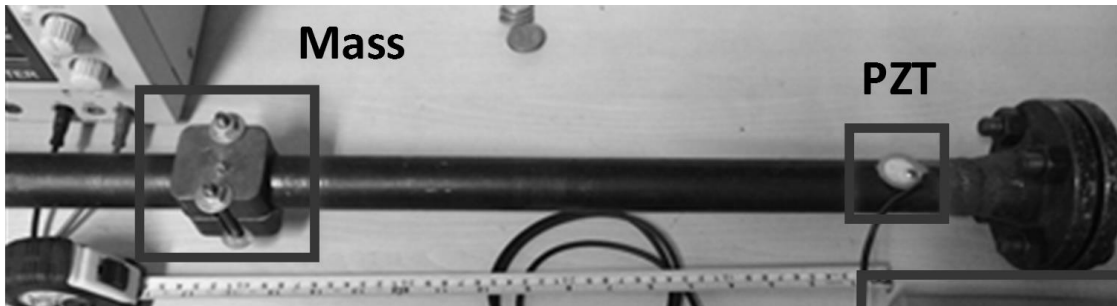


Figure 7.16: Mass displacement experiment mockup.

Performance of Q-statistic damage index is shown in figure 7.17, where all damage conditions are summarized in a scatter plot with ordered labels according to ascending Q-values for a better visual interpretation. Experiments related to pristine structure cases, used for validation purposes, are labeled as 'UND' and those used to build the PCA model as 'Orig'.

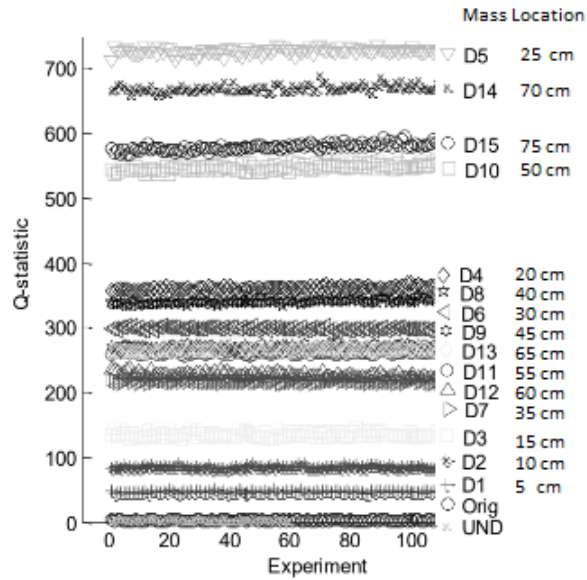


Figure 7.17: Sensitivity of  $Q$ -statistical index for mass location experiment.

According to results in figure 7.17, the system differentiates between damaged condition and healthy state, maintaining repeatability in  $Q$  values for pristine condition (Orig and UND). However, some scenarios are confused despite boundaries between several damage experiments. This overlapping is detailed in table 7.3, where it is observed that this occurs for experiments recorded when the mass is located around the middle of the pipe section, with major influence just in the center of the structure. For scenarios away from the center of the pipe better decision margins was obtained.

Table 7.3: Overlap degree of mass location experiment

Group	Damage Labels	Mass Location [cm]	Overlapping
0	{Orig, UND}	No damage	Expected
1	{D7, D12}	[35, 60]	Minimal
2	{D11, D13, D9}	[55, 65, 45]	Full
3	{D8, D4}	[40, 20]	Minimal

Also, the  $Q$ -values are not ordered in relation to mass position, meaning that is not possible use  $Q$  statistic as measurement of damage location.

### 7.5.3. Leak detection in a pipe loop

Figure 7.18 shows the experiment configuration for leak detection, which consists of three, 100 cm length x 2.54 cm diameter x 0.3 cm thickness, carbon-steel pipe sections. Each pipe section contains bridles at its ends and three piezoelectric devices (PZT) bonded along the surface structure. The PZT devices located at the middle of each section operate as actuators and the remaining ones as sensors. A valve controls airflow at 80 psi from a compressor installed in the pipe loop, while a manometer indicates the operation pressure. Acquisition hardware was configured in pairwise mode setup, such that sequential pitch-catch records are obtained from each pipe section in single mode set up.

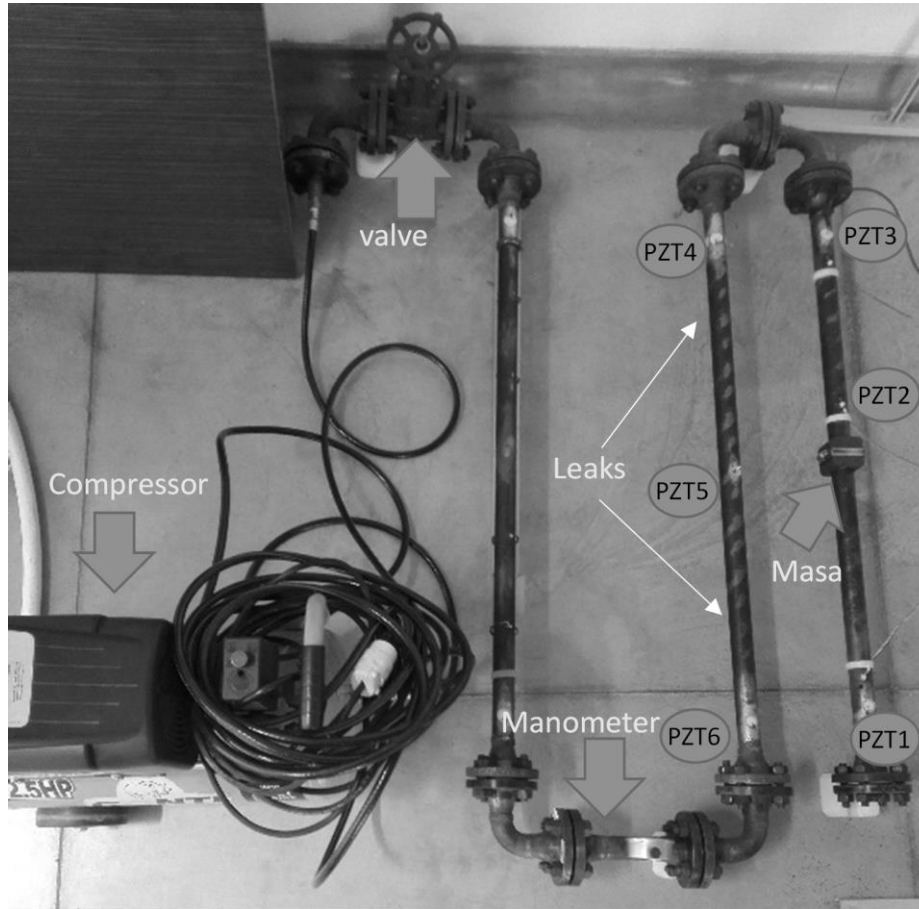


Figure 7.18: Pipe-Loop experiment

As it is illustrated in figure 7.18, leaks were produced by a full opening of a hole between the PZT devices (Actuator-Sensor) and located at different points along the structure. These kinds of leaks are recreated by means of 1/4-inch holes drilled along the pipe section wall, maintaining the pipe loop pressure at 80 psi. In this case, the influence of damages at curve portion of the pipe were not study and no PZT devices were located there. However, all pipe sections are considered as part of the undamaged state for baseline model building by concatenating the respective PZT response of each section in the undamaged case matrix. Figure 7.19 presents the corresponding Q-values for leak damage cases. First, the pipe loop is monitored in healthy state (no damage), then a leak is caused in one section (L1), next an additional leak is recreated in other section (L1+L2) and finally the last leak is plugged with a Teflon screw returning to condition where only one leak is present (L1).

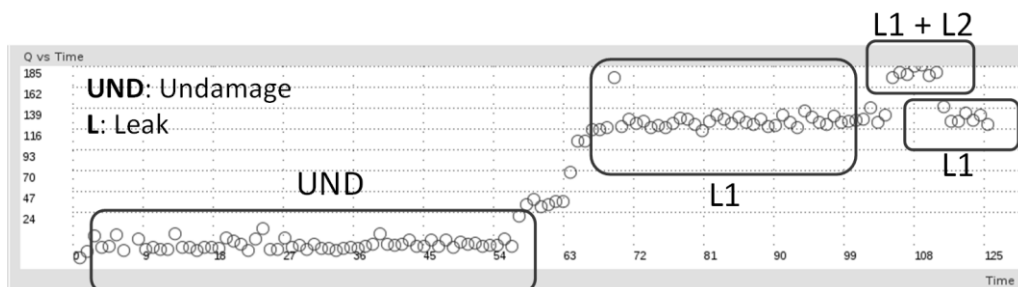


Figure 7.19: Leak detection in the loop experiment

The results presented in figure 7.19 confirm the suitability of Q-index for differentiating between damaged and undamaged conditions. In addition, the performance of Q-index shows that the system has capability for detecting different damage types (mass and leaks), high sensitivity to transient response and capacity to recover a previous state or condition. A final remark is highlighted about differentiation between leak and mass-adding damage types which requires additional modeling stages such neural networks and complementary features as is demonstrated in previous works [52]. Thus, by using only Q-index it is no possible to distinguish between mass damage and leak.

## Chapter 8.

### 8. Structural damage identification through an innovative hybrid ensemble approach

The algorithms commonly used for damage condition monitoring present several drawbacks related to unbalanced data, optimal training requirements, low capability to manage feature diversity and low error tolerance, which is traduced in a high probability for erroneous diagnostic. In addition, few strategies for damage localization in an integrated scheme have been reported in literature, which makes difficult failure identification and increases maintenance costs. Nevertheless, robust structural damage localization and identification can be achieved by integrating individual techniques through an ensemble architecture in order to increase the performance of the whole expert system, obtaining more efficient diagnosis. This chapter presents a hybrid algorithm approach as alternative to combine diagnosis obtained from different PCA-based damage indexes in order to improve the probability of damage identification. The proposed architecture employs a network of piezoelectric devices configured in multi-actuating scheme, where each pair sensor allows the construction of a baseline model. Different damage conditions are distinguished through error indexes computed by applying linear and non-linear PCA, while damage location is achieved by combining contributions of each baseline model, by using an adapted version of the RAPID algorithm. The proposed methodology allows determining the condition assessment and damage localization in the structure, and it is experimentally validated on a laminate plate, where cut saw scenarios are studied as possible failures. Results of the studied cases show the feasibility of ensemble learning for detecting occurrence of structural damages with successful results, where it is demonstrated the potential of the methodology to significantly enhance localization tasks.

#### 8.1. Ensemble learning as approach for SHM

This work proposes the use of ensemble learning as approach for structural damage assessment, since one of the main requirements to achieve reliable continuous monitoring systems is to minimize false alarms and missing reports. Ensemble learning algorithms are considered meta-algorithms designed to work on top of existing learning algorithms and the main idea is to combine multiple models to improve prediction performance. It is also known in the literature with several keywords: ensembles, ensemble methods, ensemble learning methods, model combination, combining models, combining classifiers, multiple classifiers, multiple classifier systems, majority voting or mixtures of experts. This diversity of keywords related to EL hinder the identification of previous works in any application field [53], [54], [55]. Also, EL is suitable to manage some issues when large or little data volumes are available by the adaptation of resampling techniques and decision averaging. Additionally, EL is useful to integrate features from diverse information sources, which serves as a simple data fusion scheme. Therefore, the efficiency and accuracy of expert systems for SHM are maximized by using EL. Figure 8.1 shows the concept of the expert system based on Ensemble Learning.

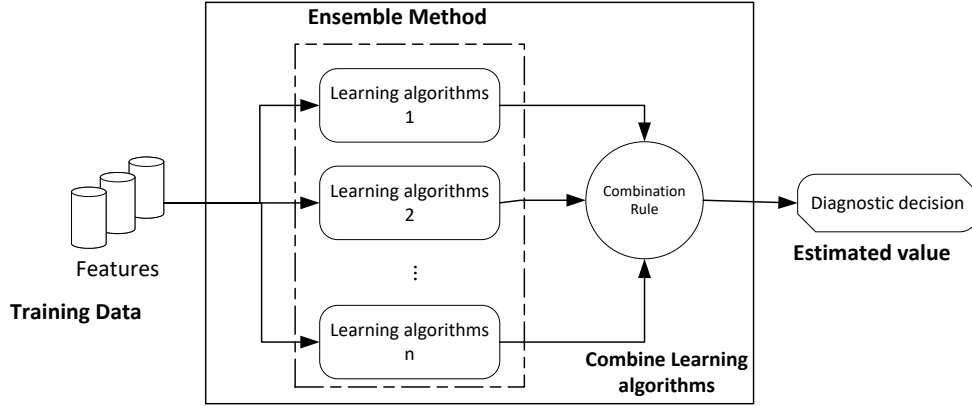


Figure 8.1: Expert System approach based on Ensemble Learning

According to figure 8.1, the main components of ensemble learning correspond to multiple learning algorithms organized in a parallel scheme and a combination function (fuser). Thus, the feature inputs are processed and manipulated with the methods selected to construct ensembles, in order to obtain the final diagnostic decision. The final output prediction in ensemble is averaged across the predictions of every sub-models, or produced on the concept of voting or weighted average according to the performance of ensemble models [56], [57]:

$$f_{ens}(x) = \sum_{i=1}^M w_i \hat{f}_i(x) \quad \text{Eq. (8.1)}$$

In eq. (8.1), the combined prediction  $f_{ens}(x)$  is obtained from the  $M$  models of the ensemble, and the output  $\hat{f}_i$  of model  $i$  on an input  $x$ . The weights  $w_i$  can be seen as the relative confidence in the correctness of the models predictions, and are thus constrained to sum to 1 ( $w_i > 0, \sum_{i=1}^M w_i = 1$ ) [58]

EL has not been applied sparingly in SHM despite the advantages of its use for task related to pattern recognition. As an illustration, support vector machines and neural networks have been used in combination to detect and classify two damage types in the aircraft fuselage [59]. Other example is detailed in [60], where a classifier is proposed as fusion strategy to manage different sources of information from acoustic emissions, ultrasound tests and flows measurements. The aim of this system is to improve leak detection in pipeline structures. A similar application is described in [61], where independent classifiers are used to combine measurements from different type of sensors in order to obtain higher level diagnostic responses. In this sense, a vote majority scheme is implemented to identify pipe damages as an approach in nondestructive testing. Other example is discussed in [62], where the authors present results of full-scale fatigue test (FSFT), which are analyzed by means of an ensemble of Artificial Neural Networks (ANNs). This last approach allows compensating temperature and environmental effects present during acquisition of Lamb-wave signals.

Different variations of ensemble learning have been reported, where different taxonomies are used. For example [55, 56, 57] use multiple models, while [62] combines classifiers, [59] proposes committee of experts and [60] classifier fusion. This is since philosophy of ensemble learning is not only the combination of classifiers, but also of different models, types of features, methods or any other combination that allow to improve the estimation. Thus, ensemble learning could be an adequate technique for locating damages on laminate structures by combining

different baseline models obtained when an array of several piezoelectric devices is attached to laminate structures, which is the purpose of this work.

### 8.2. Learning algorithm based on multi-actuating method

In recent years, different Structural Health Monitoring (SHM) techniques that use guided waves activated and sensed by means of piezoelectric devices have been proposed to assess the current structural state. Under this principle different methodologies have obtained a high performance for detecting damages [16], but also different works have demonstrated progress on damages localization. For example, in [63] a PZT sensors network was used for locating damages, [64] used the signal envelope for estimating wave group velocity through time of flight (TOF) and then locate different damages as crack, hole, clamp, while in [65] the Total Focusing Method is used to locate damages by using the TOF of a guided wave generated by means of PZTs on an aluminum square plate.

Thus, this chapter presents the mixing of Ensemble Learning with piezo-diagnostics principle as a novel approach for improving damage identification tasks regarding to robust detection and detection of small defaults. Firstly, piezo-diagnostics is based on analyzing guided waves (generated by means of piezo-electrical devices) propagation through the structure in order to find patterns with high sensitivity to structural damages, as is illustrated in figure 8.2.

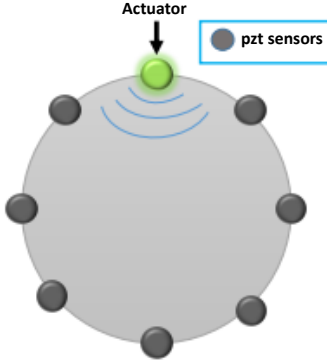


Figure 8.2: Guided wave generation through piezoelectric devices

In figure 8.2, one of the PZTs mounted on the surface structure operates as actuator, and the remaining PZTs work as sensors in a pitch-catch mode, taking advantage of piezoelectric effect. This approach proposes to build baseline models in order to examine the structural damages by using measurement from each pair of PZT devices. Thus, each PZT works as actuator and baseline model is obtained for each PZT sensor, as is illustrated in figure 8.3.

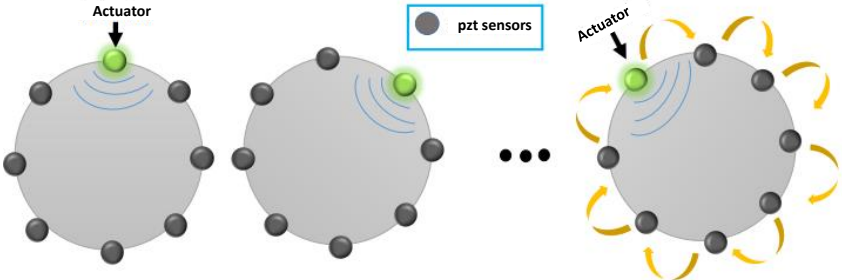


Figure 8.3: Guided wave generation through piezoelectric devices



According to figure 8.3,  $n^2(n - 1)$  baseline models are obtained, where each actuator produces  $n - 1$  models (being  $n$  the number of PZT devices). The responses of each model can be combined in an ensemble approach, according to described in previous section, by means of a simpler fuser like the average or multiplicative operator. In this sense, the learning algorithms correspond to  $n^2(n - 1)$  damage indexes computed through different PCA methods (linear or nor linear) and the combination rules is specified as the average or multiplication of all computed indexes.

### 8.3. Damage Location by using adapted RAPID algorithm

The Reconstruction Algorithm for Probabilistic Inspection of Damage (RAPID) [66] uses the correlation coefficient defined in eq. 8.2 to detect defects:

$$\rho = \frac{C_{AB}}{\rho_A \rho_B} \quad \text{Eq. (8.2)}$$

where  $C_{AB}$  is the covariance of the pristine data set A and each new set B with possible damage condition,  $\rho_A$  and  $\rho_B$  are the standard deviations of A and B. In order to estimate damage location, the probability of defect distribution is estimated by a linear summation of the correlation coefficients from all actuator-sensor pairs. The RAPID algorithm assumes the spatial distribution is to be a linearly decreasing following an elliptical distribution as shown in figure 8.4.

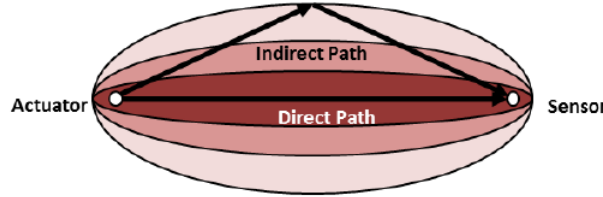


Figure 8.4: Elliptical distribution function of probability of defect location – RAPID [67]

According to figure 8.4, when a defect occurs, the sensor signals in the direct/indirect path will be affected by an elliptical distribution and the defect distribution probability will have higher probability where the defect is located, compared to other points according to correlation coefficient. The elliptical spatial distribution  $S_{ij}(x, y)$  is defined by eq. 8.3:

$$S_{ij}(x, y) = \frac{\beta - R_{ij}(x, y)}{1 - \beta} \text{ for } \beta > R_{ij}(x, y), \quad \text{Eq. (8.3)}$$

$$S_{ij}(x, y) = 0 \text{ otherwise, where}$$

$$R_{ij}(x, y) = \frac{\sqrt{(x_i - x)^2 + (y_i - y)^2} + \sqrt{(x_j - x)^2 + (y_j - y)^2}}{\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}}$$

where  $x$  and  $y$  define each coordinate position in the 2D plane, limited by the structure boundaries; and  $\beta$  is a scaling parameter to control the size of the elliptical distribution function.  $x_i, x_j, y_i,$  and  $y_j$  correspond to the locations of each pair of actuator-sensor.

This chapter presents an adapted version of RAPID algorithm as an approach to locate structural damages. Instead of correlation coefficient, it is suggested the use of the normalized Q-index obtained for each actuator-sensor pair. The normalization is computed taking into account the

maximum of Q-values computed from the respective actuator baseline model. Thus, the defect distribution probability  $E_{ij}(x, y)$  for each actuator-sensor pair is defined by eq. 8.4:

$$E_{ij}(x, y) = \sum_{i=1}^{N-1} \sum_{j=i+1}^N Q_{ij} S_{ji}(x, y) \quad \text{Eq. (8.4)}$$

After computing the squared prediction error (Q-index) from each baseline model, the final damage location is estimated by applying image sum operator as processing technique in order to combine all Q-index contributions. It is important to remark that localization procedure is applied after the damage detection stage, when damage index exceeds a predefined threshold.

## 8.4. Experiment and validation results

### 8.4.1. Experimental setup

An aluminum plate with dimensions 100cm width x 100cm large x 2mm thickness was used to validate the proposed methodology. According to figure 8.5, the structure was instrumented with 8 piezoelectric wafer devices of 10 mm diameter and 0.5 mm thickness (PWAS – PRYY+0110), which are manufactured by using PIC255 ferroelectric material suitable for guide wave generation with a resonance frequency of 200 KHz.

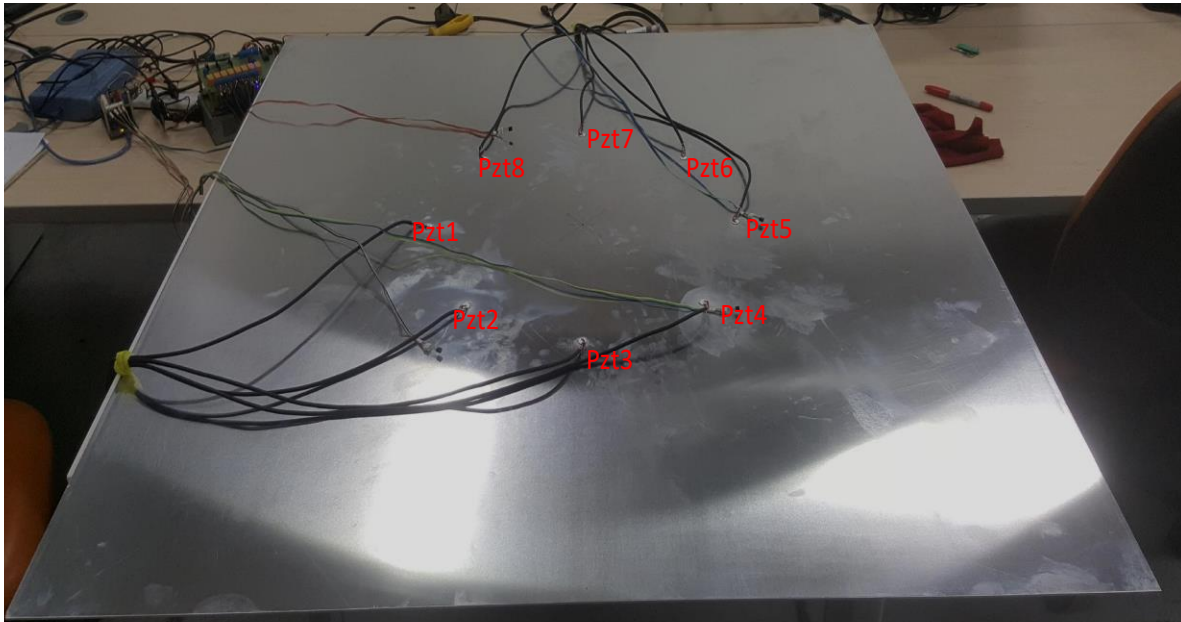
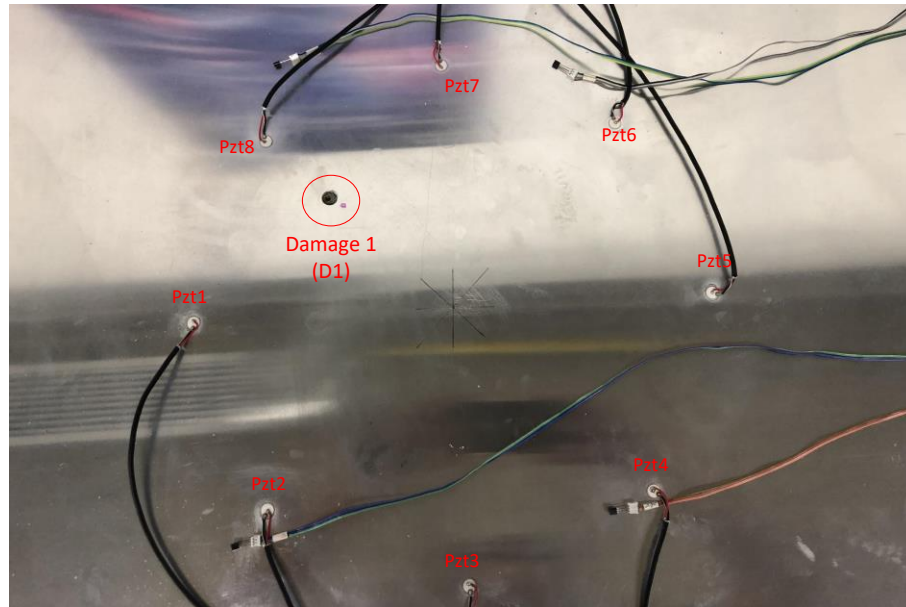
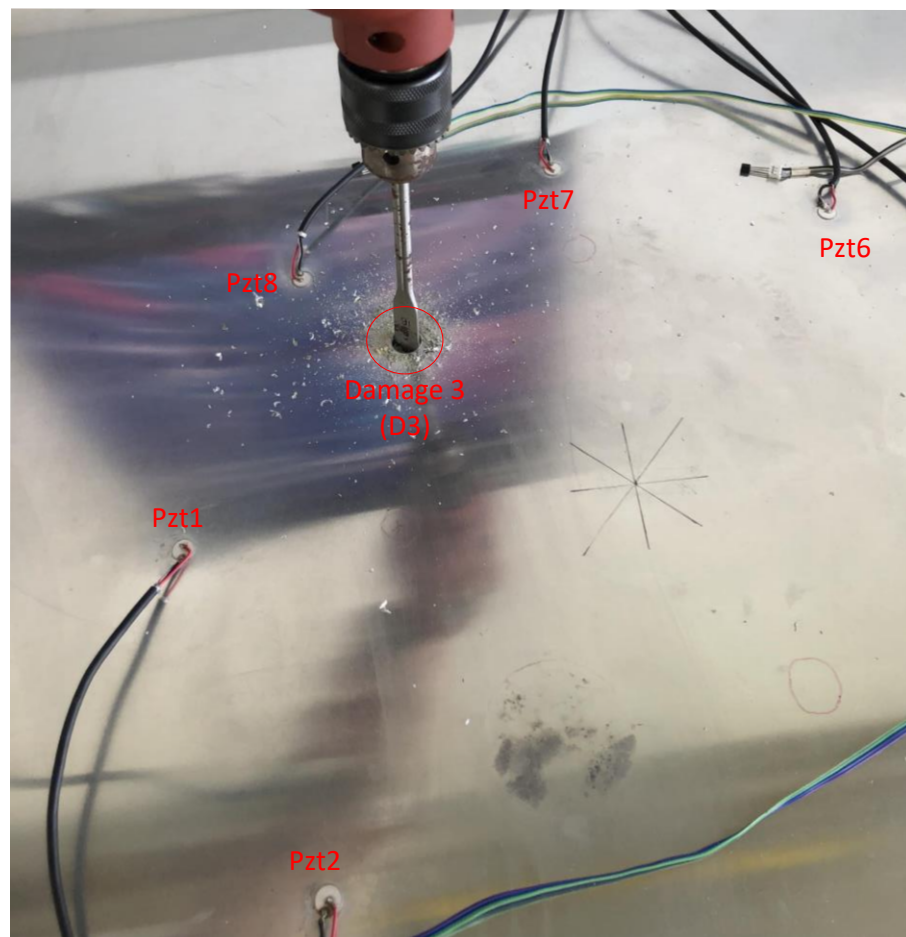


Figure 8.5: Aluminum plate for damage localization

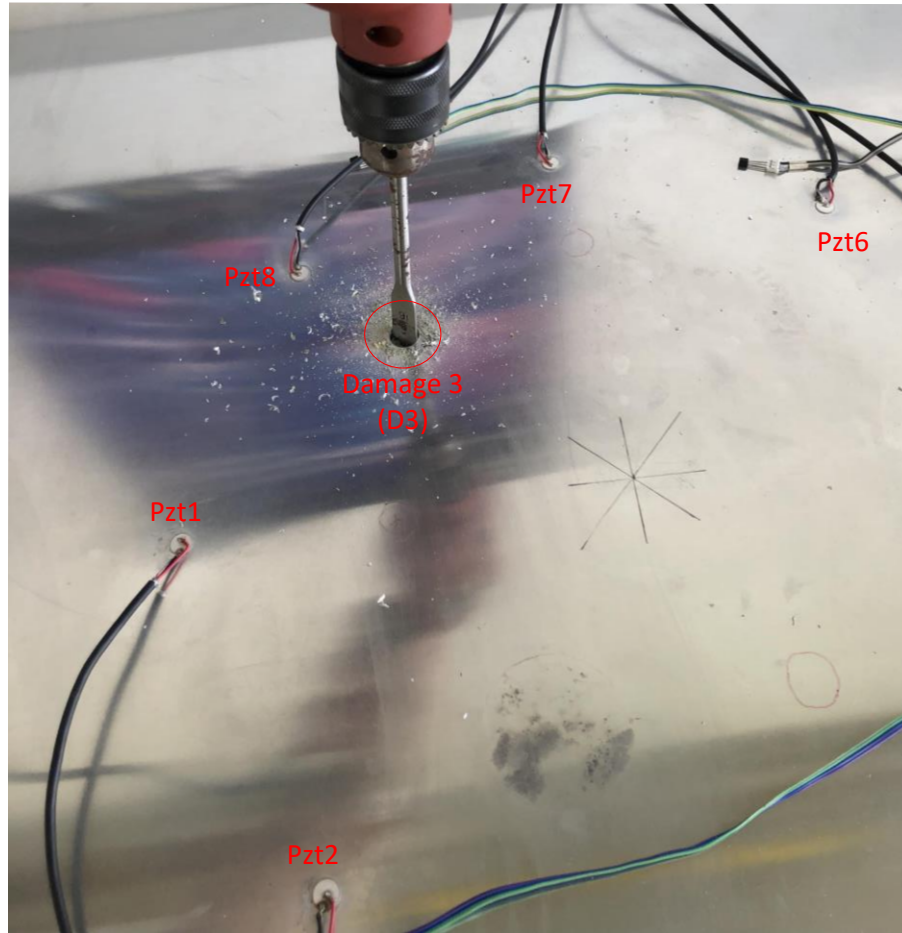
Four damage scenarios were conditioned by drilling a hole of different diameters between the piezo-devices path. The damages were obtained by increasing the size of the hole in a progressive manner, which are detailed in figure 8.6:



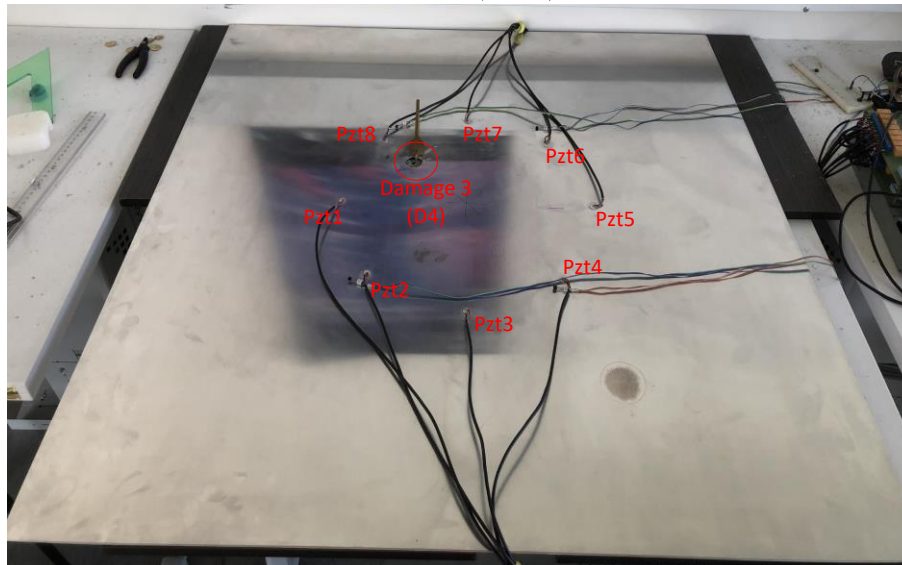
*D1: 3/8" (10mm)*



*D2: 7/16" (11mm)*



**D3: 9/16" (14mm)**



**D4: 1" (25.4mm)**

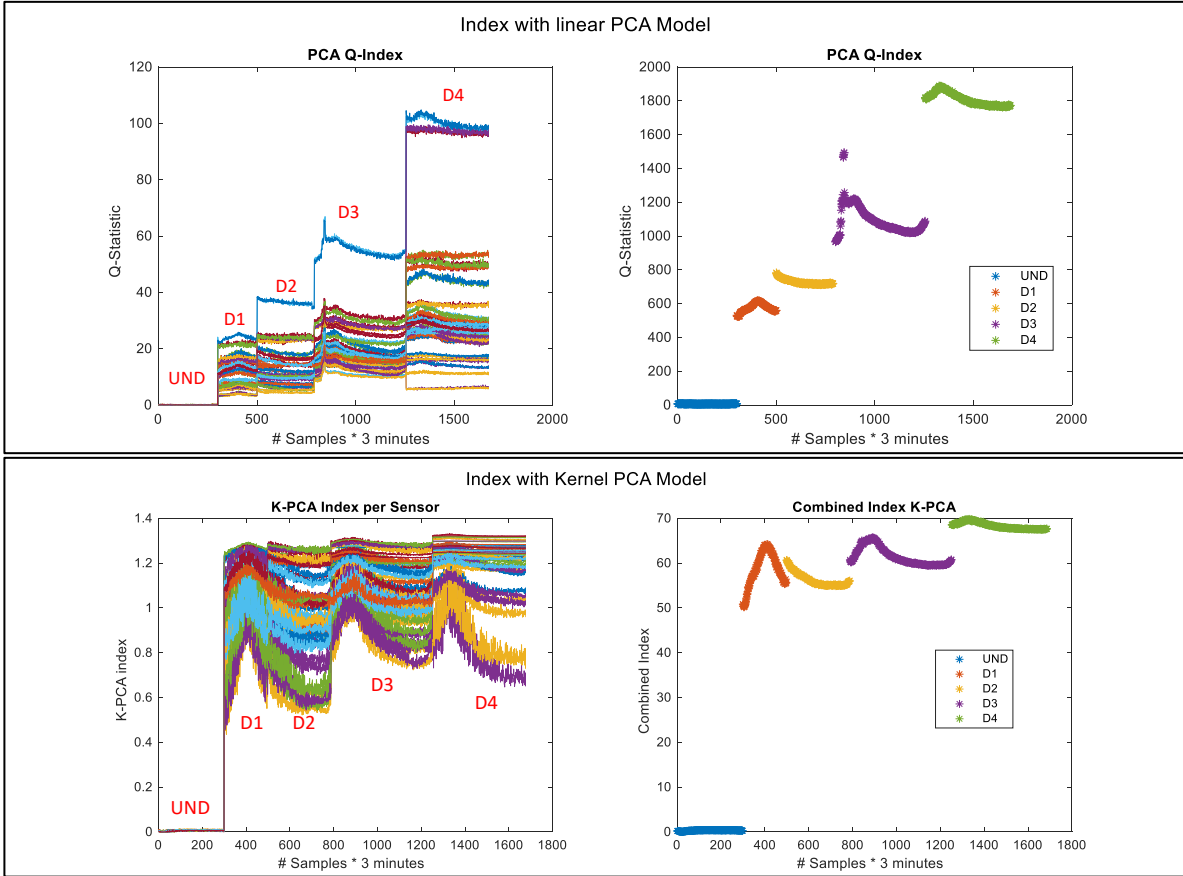
**Figure 8.6: Damage scenarios specification**

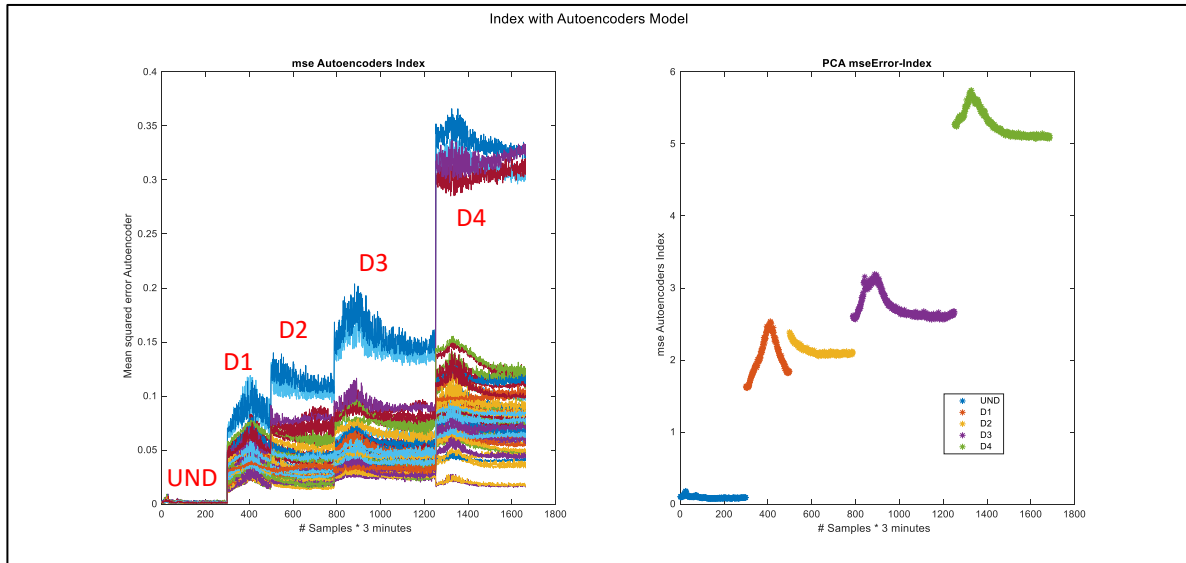
According to figure 8.6, undamaged condition (pristine structure) is labelled as 'UND' and the respective damage conditions as 'D1', 'D2', and so on. The damage is located in the same position

and only damage severity is considered, since the non-reversible property of studied damage condition. Experimental data were acquired during 90 hours of continuous monitoring.

**8.4.2. Robust damage detection**

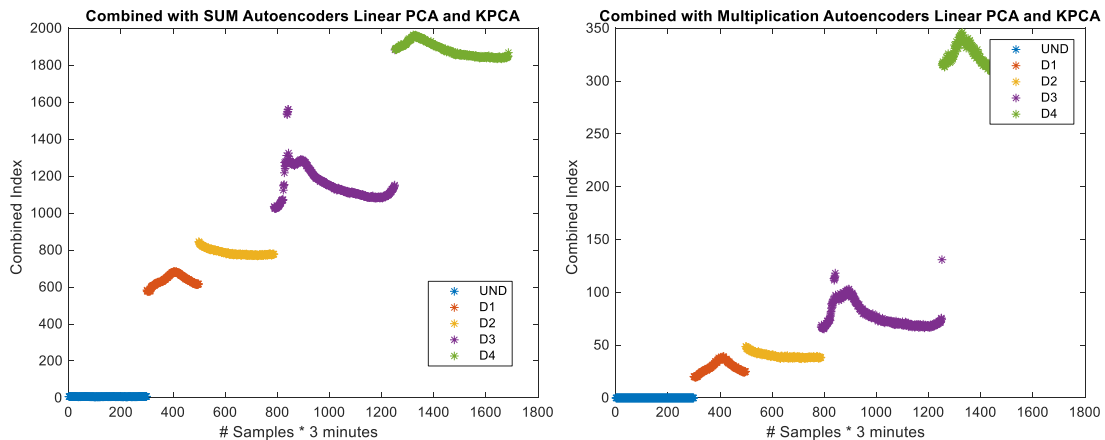
Experimental results for the different damage indexes are presented in figure 8.7, where all 56 baseline models ( $n = 8$ ) are evaluated for linear and non-linear PCA in a parallel ensemble scheme. As combination strategy an algebraic method based on average is used, which is presented in the right of figure 8.7. In this preliminary analysis, the combination is only applied for the same type of PCA method (linear or non-linear).





**Figure 8.7:** Damage index from multi-actuating scheme

According to results in figure 8.7, several degrees of sensitivity are obtained from each baseline model, where it can be observed that average of all damage indices works better than using only one, which avoids selection of the worst case and guarantees a better performance. The result of combining the answer of different PCA methods by means of sum and product operators are depicted in figure 8.8:



**Figure 8.8:** Combined damage index from ensemble

According to figure 8.8, by using a combined index through ensemble approach a better boundary is obtained for each damage scenario (class), specially for the sum operator. Also, overlapping is minimized and more identifiable separable regions between damage conditions can be recognized. Thus, a more reliable and robust procedure for damage detection is obtained by means of the ensemble architecture. Nevertheless, transient and behaviors caused by the continuous monitoring are maintained, which requires the use of methods for the treatment of the respective influences, like those caused by the environmental and operational conditions.

### 8.4.3. Damage location

In order to predict or estimate the location of the damages, a scaling parameter of  $\beta = 1.05$  is used (as literature suggests). Figure 8.9 depicts the performance of the adapted RAPID algorithm for the case of undamaged condition, where is observed that a smooth image is obtained due to low values of Q-index and only objects for PZT devices can be identified.

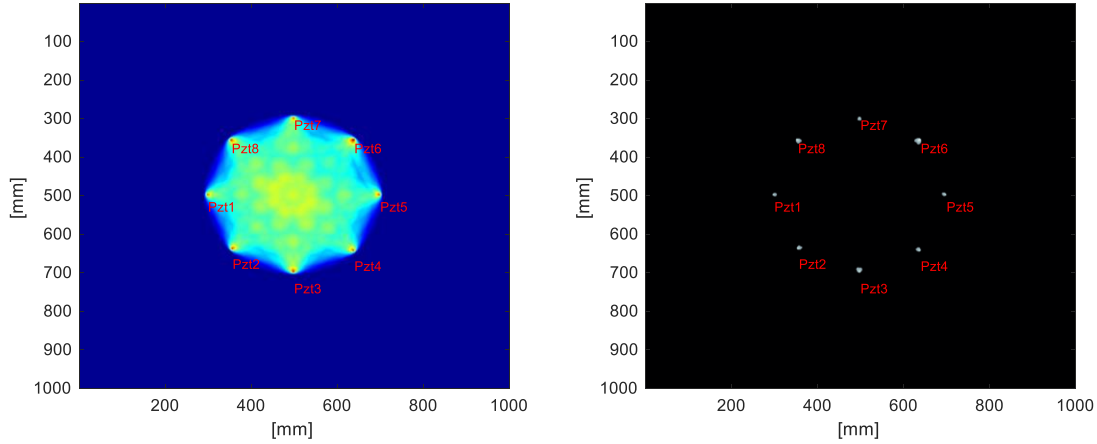


Figure 8.9: Image from undamaged condition.

Figure 8.10 shows the contributions of Q-index in the first damage scenario (D1) for each baseline model, when each one of the PZT devices operates as actuator.

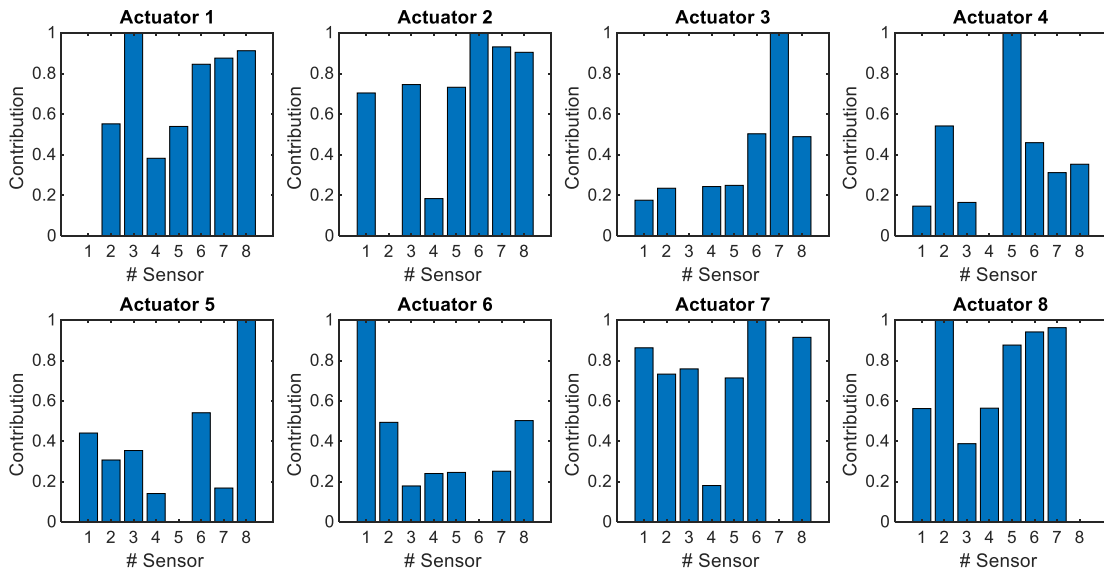
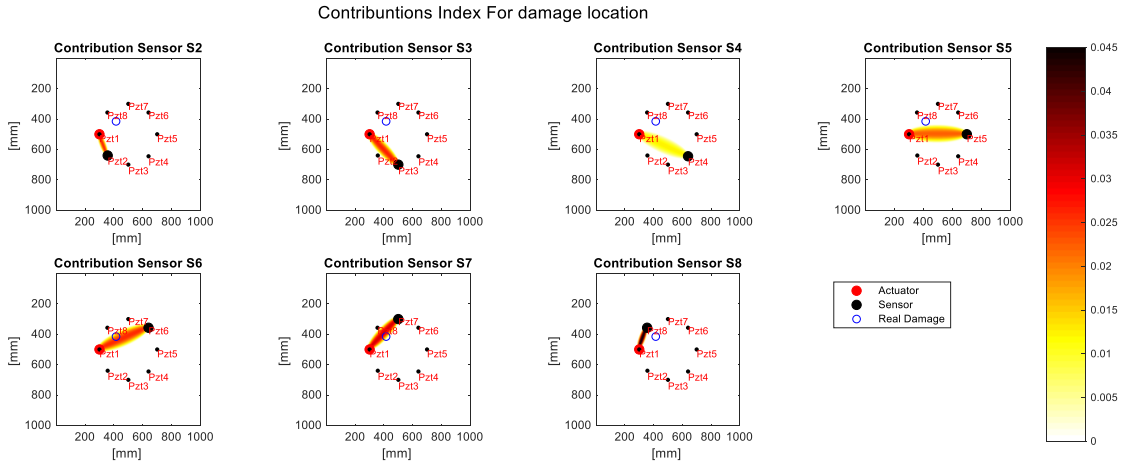


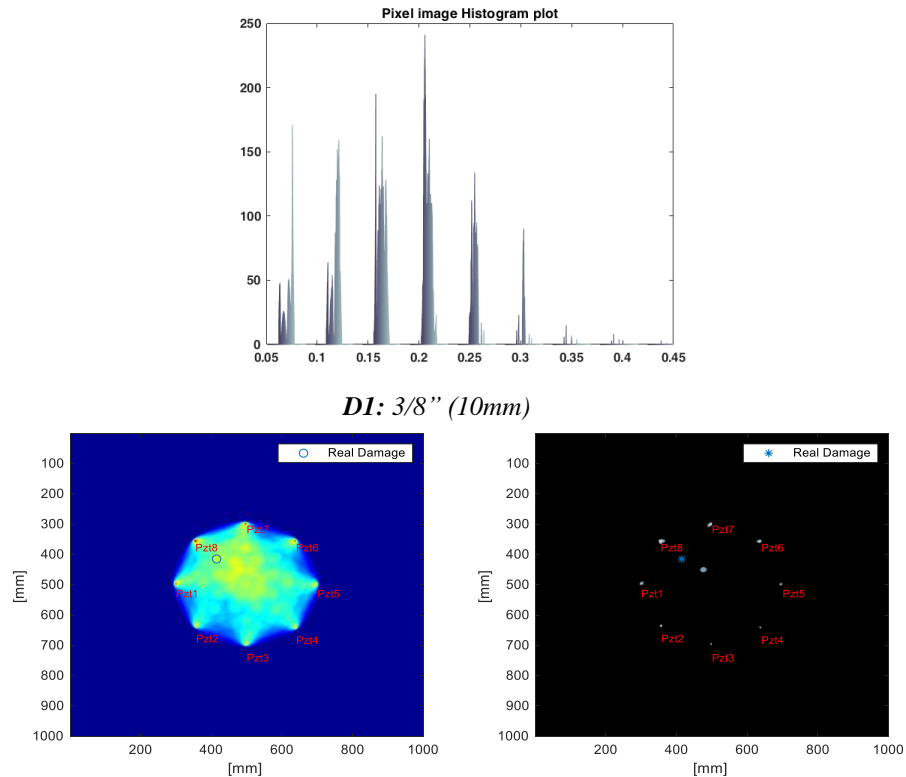
Figure 8.10: Normalize index contribution.

Figure 8.11 presents the elliptical spatial distribution, weighted by the contributions of Q-statistical index and obtained from the baseline model built with pzt-1 operating as actuator:



**Figure 8.11:** Spatial distribution of damage index contribution.

According to figure 8.11, the major contributions correspond to paths near to the location of damage, where signal is distorted by scattering, reflection and mode conversion caused by discontinuities (damage). Figure 8.12 depicts the image with the sum of all contributions for each pair of actuator-sensor, corresponding to the analysis of first damage scenario (D1). The real damage position is specified by the x-red mark and the damage location is estimated after binarizing the image with the sum of contributions. The binarization is achieved by filtering low modes of the image histogram ( $< 0.3$ ), which results in the identification of objects representing the damage and PZT devices.

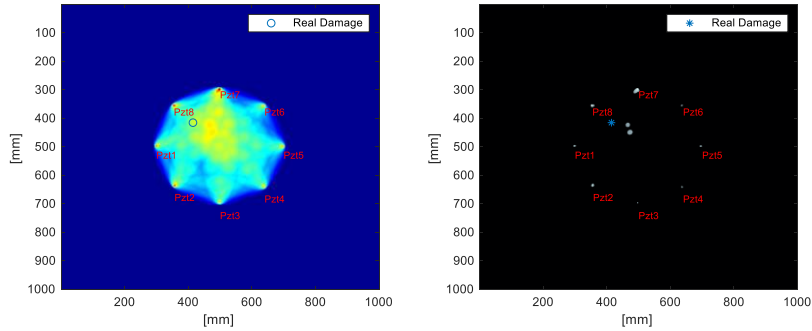


**Figure 8.11:** Image for damage.

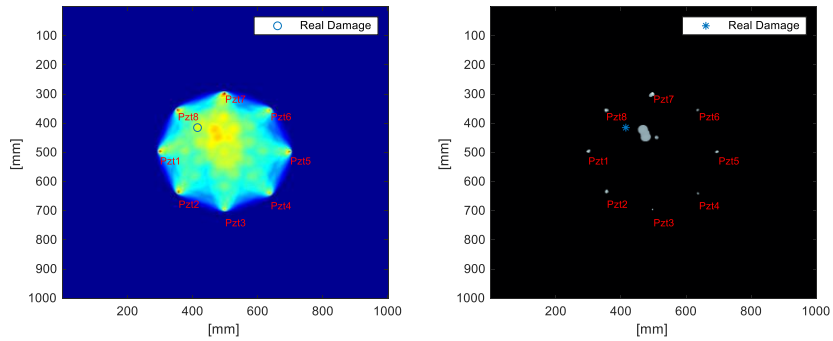


Results in figure 8.11 show that the proposed algorithm has the capacity of locating damage in the neighborhood, where the drill was conducted. The results for the remaining damage scenarios under study are depicted in figure 8.12.

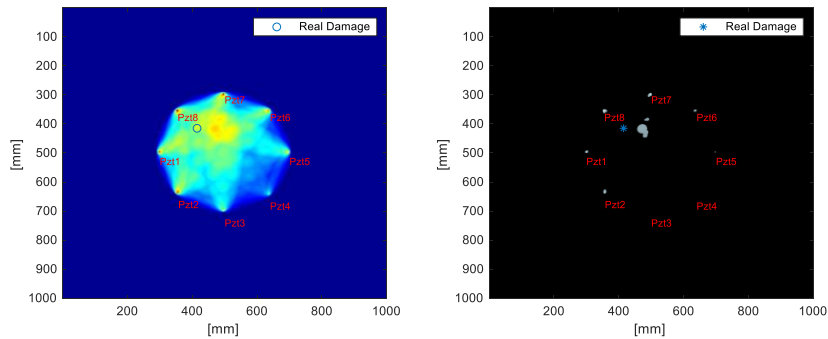
*D2: 7/16" (11mm)*



*D3: 9/16" (14mm)*



*D4: 1" (25.4mm)*



**Figure 8.12:** Damage location under progressive severity.

According to results of figure 8.12, it is demonstrated the feasibility of the proposed damage location methodology with a high sensitivity to different damage sizes. However, some disturbances are obtained for cases when damages are bigger than PZT devices.

## Chapter 9.

### 9. Study of damage index performance for sensor fault detection in a damage detection approach based on piezo-diagnostics

Online monitoring algorithms require an adequate operation of sensor system, since if a faulty sensor record is used in the diagnosis algorithm, it could be a source of uncertainties and unsuitable alarms could be generated. Thus, appropriate operation of sensor system is a critical requirement in order to obtain a high reliability for structural damage diagnosis algorithms. In this chapter a data-driven procedure is studied in order to mitigate the faulty sensor effect on a monitoring system. The studied method takes advantage of piezo-diagnostics approach, where PZT devices are attached to the surface structure to produce guided waves. Thus, PZT measurements are analyzed by applying PCA and cross-correlation in order to establish a baseline model, which allow detect abnormal behaviors. In this sense, the squared prediction error and *Hotelling* squared index are used as indicators to observe atypical performance caused by sensor problems or structural damages. The methodology is validated by using experimental data recorded from a carbon steel pipe section. The scenarios include electric power failures, disconnecting power cords as well as mass adding. As concluding remark, in this chapter it is demonstrated that is possible to separate structural damage and fault sensor states at different clusters.

#### 9.1. Methods and procedure

An important issue in SHM is the identification of faulty sensors, which can degrade the performance of the assessment system [68]. This research topic has been studied during the last years, where high sensitivity to connectivity, bias, complete failure, drifting and precision degradation have been found. Also, environmental variables influence greatly proper response of sensors including PZT based architectures [46].

This chapter is focused on studying methods and procedures used to manage possible PZT malfunction due degradation of its intrinsic properties and wrong manipulation. In this sense Overly et.al work [69] describes a PZT active-sensor diagnostics and validation using instantaneous baseline data. Also, fracture behaviors of PZT materials are studied by Zhang and Gao [70] and PCA is presented as alternative to evaluate sensor cuts and debonding in a PZT active system [71]. The methodology used in this thesis is based on piezo-diagnostics approach and (PCA) as it is summarized in figure 9.1.

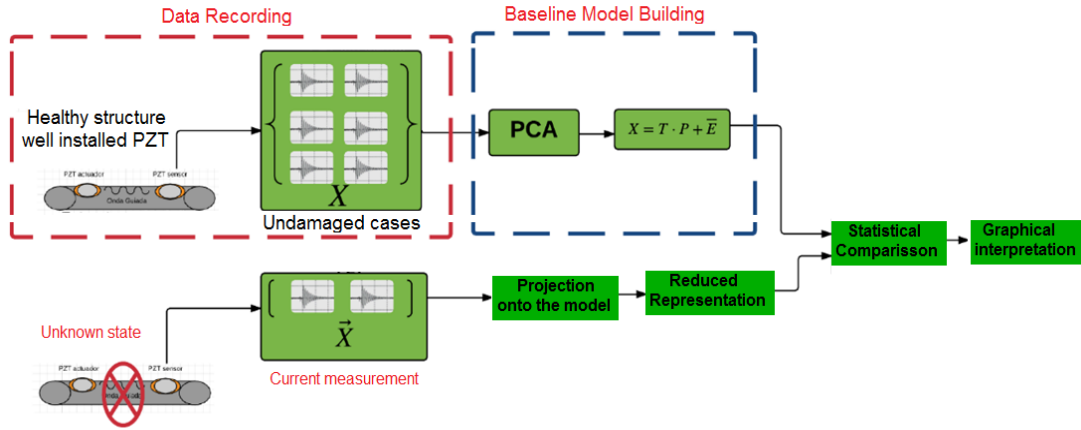


Figure 9.1: Diagram of the system

According to the scheme presented in figure 9.1, statistical indexes are used to detect any deviation of current PZT measurements respect to a baseline model. Therefore, for new PZT records, deviations can be reduced by means of the projection matrix P in the statistical model. Then, two indices are computed as a measurement of abnormal behavior: the squared prediction error (eq. 9.1) and the t-squared index (eq. 9.2).

$$Q = \sum_k (e_k)^2 \quad (\text{Eq. 9.1})$$

$$T^2 = T^T \lambda^{-1} T \quad (\text{Eq. 9.2})$$

In eq. (9.1),  $e_k$  is the residual error estimated with  $k$  principal components, and in eq. (9.2)  $\lambda$  is the variance of the statistical model. As a result, differences between index values computed from baseline and current state are attributed to a structural damage or a PZT failure.

## 9.2. Experimental set-up and structure conditioning

Tests were conducted in a carbon steel pipe loop, which is equipped with an air compressor at 80 PSI and the respective valve to control air-flux, a monometer as indicator of operation pressure and an aluminum frame with facilities to produce temperature variations in the environment through high power lamps. Also, the structural lab specimen is provided with a piezo-active system for producing guided wave by means of amplifiers, data recorders and signal conditioners.



Figure 9.2: test structure

The sketch of the specimen is presented on figure 9.2, that corresponds to a carbon steel pipe loop

with five bridled sections of dimensions 100x 2.54 x0.3 cm (length, diameter, thickness). On each section a PZT actuator was installed the middle point and two PZT sensors were located at the extremes. In order to evaluate system performance, structural damages consisted of mass adding were recreated and several experiments including PZT faults were considered, without varying environmental temperature around 27°C (ambient).

### 9.2.1. PZT fault scenarios

Two conditions were studied as sensor fault conditions: Sensor debonding and wiring losses. First scenario is intended to degrade adherent properties, while second one is aimed to recreate electric power failures or unexpected power cords disconnections. PZT failures are physically induced over one of the PZT sensors installed on the third section of pipe loop and they are supposed to be critical for acquisition purposes. Appearance of bonding damages is illustrated in figure 9.3.

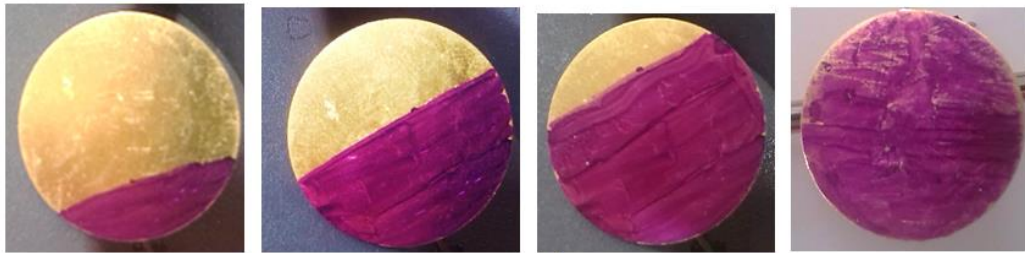


Figure 9.3: Debonding PZT areas

According to figure 9.3, PZT bonding damage cases comprise the absence of couplant layer which are shown as the shaded area. Specifically, adhesive cyanoacrylate serves as interface between piezoelectric device and structure surface (coupling material). The diameter of PZT devices used in this study is approximately 2 cm and decoupling areas are configured to be 0.5cm (25%), 1.0cm (50%), 1.5cm (75%) and 2.0cm (100%), which produces 4 scenarios from incipient failure to full debonding. On the other hand, the induced wiring faults are shown in figure 9.4, where two additional PZT failure scenarios were conducted. These experiments (ground loss and full disconnection) affect the data reliability due to an isolated condition of PZT sensor from the acquisition system, which produces corrupted information in the recording process with a high probability of false alarm in the diagnosis response.

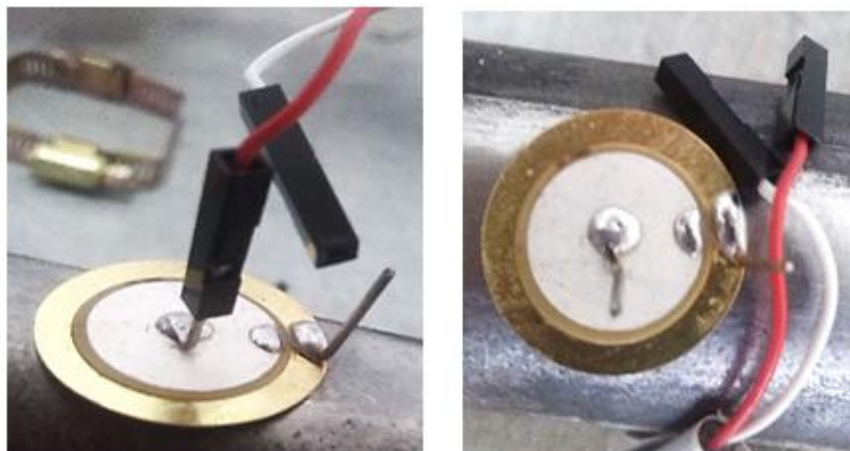


Figure 9.4: Wiring PZT failures. Left: Ground loss. Right: Full disconnection.

### 9.2.2. Structural damage scenarios

In order to show how the indexes for damage detection are affected by structural damage and sensor faults, a special shaped accessory was added to the surface pipe section between the PZT's sensor-actuator path (see figure 9.5). Thus, this external element modifies the equivalent mass of the structure and it alters the guided waves traveling through structural surface due to discontinuities.

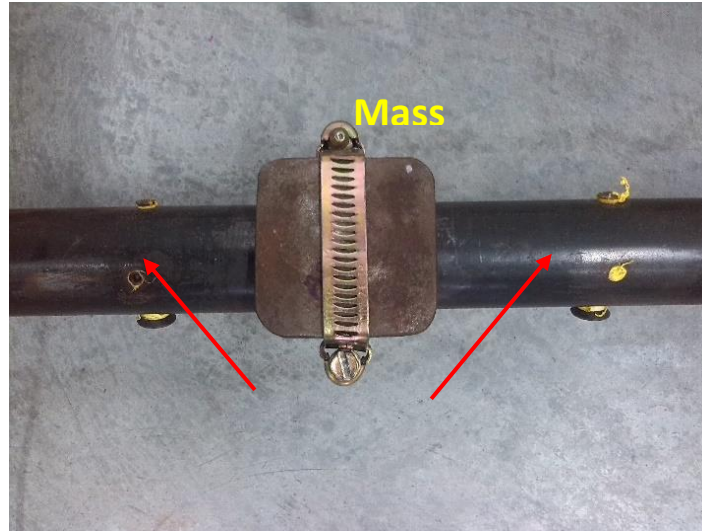


Figure 9.5: structural damage.

### 9.3. Results and discussion

The combination of different types of damages described on previous sections allows analyzing if it is possible to distinguish between structural damages and faulted sensor. For each condition previously described, 100 experiment repetitions were conducted in order to evaluate the methodology introduced in this work. Also, guided waves are induced with a 5 cycles Burst type pulse, which is then amplified to  $\pm 10V$  in order to excite the PZT actuators around resonance frequency (80 [KHz]).

As first result, the T-squared and Q-statistic plots are obtained for the case of healthy sensors and mass adding (see figure 9.6).

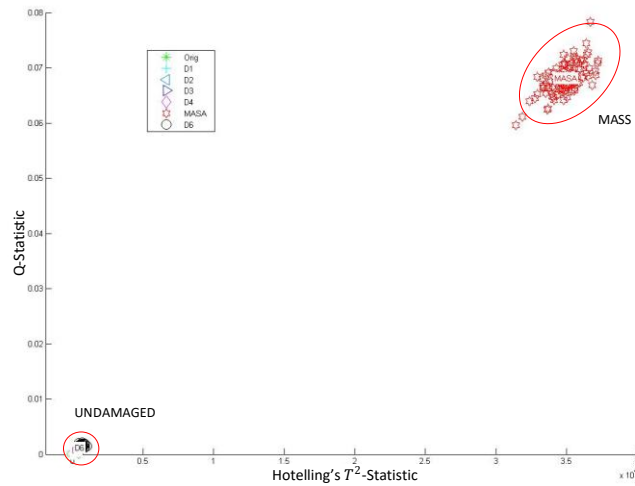


Figure 9.6: Statistical indexes for structural damage.

According to results in figure 9.6, a clear differentiation of structural damage is obtained when all sensors are well installed and they are working properly. It is highlighted a great difference on values of statistical indexes, which produce compact clusters with low variability. Then, in order to analyze the influence of sensor faults, the scatter plot of statistical indexes is obtained for the case of wiring losses (see figure 9.7). An additional experiment was conducted by acquiring data without signal actuation. This last condition corresponds to processing only noise signals since the PZT actuator was not excited.

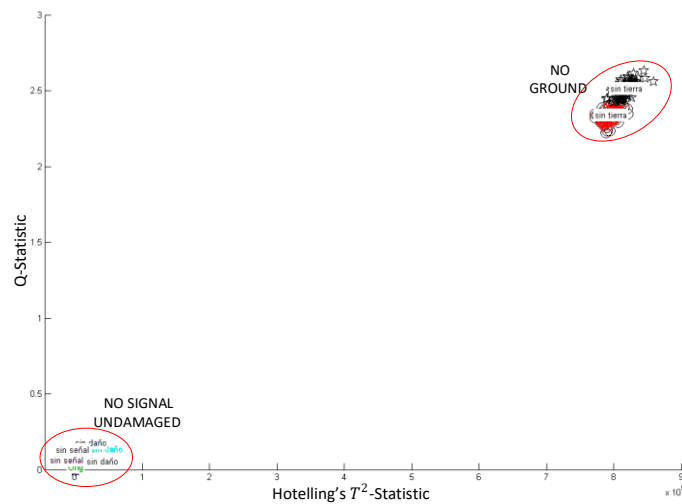


Figure 9.7: Statistical indexes for faulted sensors.

The scatter plot in figure 9.7 shows an evident separation for data corresponding to conditions of PZT sensor ground wiring losses. However, data regarding to undamaged state (no sensor faults and no structural damages) shows an apparent overlapping. In order to observe better how values are distributed, a zoom is presented in figure 9.8.

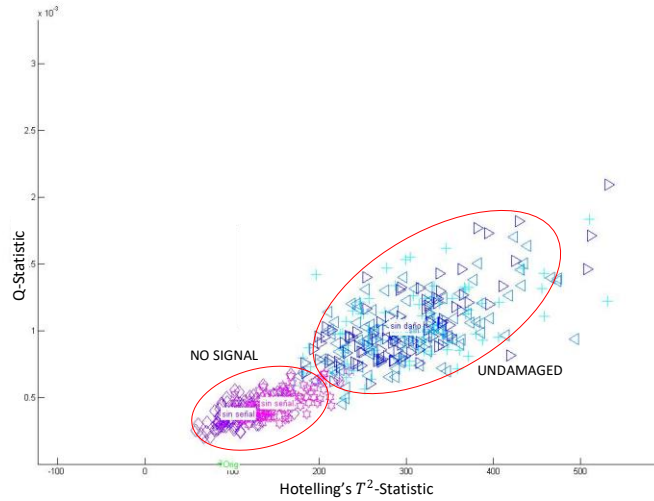


Figure 9.8: Zoom for sensor faulted condition.

The results in figure 9.8 indicate that behavior without actuation signal is located below undamaged state. Also, it is noted that exists a small difference between index values used to build the baseline model and those computed for undamaged conditions. As final outcome, damage index plot using data from debonding sensor condition is shown in figure 9.9.

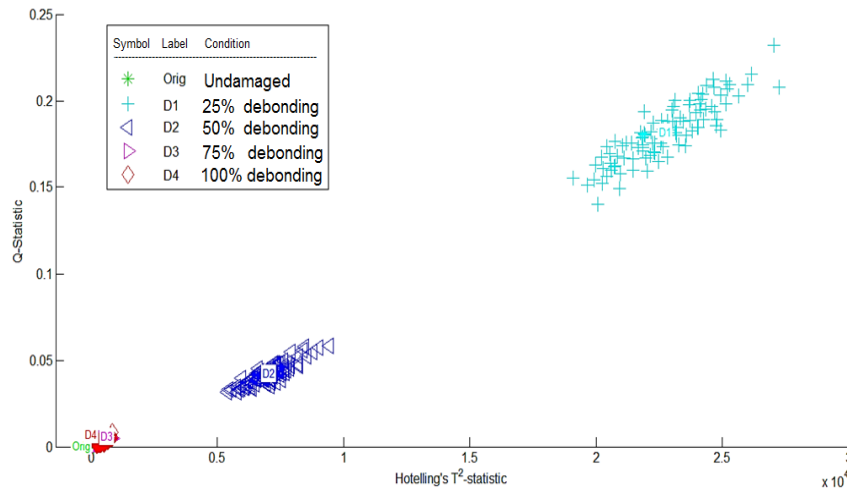


Figure 9.9: Statistical indexes for debonding PZT faults.

According to results detailed in figure 9.9, a bigger difference is obtained when debonding is greater than 25% probably since small energy of acquired signals. In addition, the index values are sorted in a decreasing way that could help to define identification zones in the scatter plot. However, in contrast to wiring scenarios, debonding fault type is hard to distinguish from other cases (wiring failures and structural damages) since statistical index values present some degree of confusion.

In summary, the methodology discussed in this work has the capability of differentiating sensor fault conditions and structural damages. Moreover, each damage type is grouped in different ranges and organized in separated clusters facilitating decision making process through thresholds or classification learning algorithms.

## Chapter 10.

### 10. Conclusions and future work

This thesis is dedicated to the development of a robust damage assessment methodology by combining piezo-diagnostics, cross-correlation signals, and principal component analysis with capabilities to detect, locate and classify structural damages. This development is focused on presenting statistical hybrid algorithms for damage detection in different types of structures such as aluminum plates, fuselage, commercial aircraft wing, pipe loops, etc. Different signal processing and pattern recognition methods are used to achieve this goal, with a novelty use of non-linear principal component analysis, cross-correlation functions, genetic algorithms, ensemble learning and clustering techniques; which are adapted for obtaining a more reliable structural damage diagnosis methodology. Moreover, the effect of temperature and humidity changes on presented methods is analyzed. It has been shown in this work that adverse effects caused by environmental and operational conditions can be addressed through augmented baseline models. In addition, discussion about the performance of the PCA algorithm for damage detection under possible sensor failures is presented, where results show that sensor faults can be identified as atypical cases. Finally, the implementation of a prototype for structural damage detection is developed by means of an embedded Linux platform. Main features such a memory consumption, signal conditioning, and time processing are optimized in order to accomplish requirements of continuous monitoring.

In this section, a brief conclusion on the described methods is presented and after that, some future work is suggested for further investigation. In general, several conclusions can be drawn from this thesis, highlighting the advantages from the application of proposed methods. They are organized in seven subsections according to the results throughout this manuscript: preprocessing based on cross-correlation, clustering approach for damage classification, automatic tuning of structural damage detection algorithms, environmental conditions treatment through augmented baseline models, implementation of PCA damage detection for continuous monitoring in an embedded platform, analysis of PZT fault sensor scenarios in the PCA-based damage detection approach and ensemble learning as approach for damage detection and location.

#### 10.1. Concluding remarks

##### 10.1.1. Preprocessing based on cross-correlation

One of the main contributions of this doctoral thesis is the inclusion of cross correlation analysis as preprocessing stage. In this way, cross-correlation analysis is used both to minimize the influence of atypical data and to generate better boundaries, in order to improve damage detection and classification tasks. Hence, abnormal data related to common external noise signals are excluded as well as atypical cases are filtered. Also, a better damage differentiation was obtained when cross-correlation technique is used as preprocessing technique.

On other hand, since cross-correlation improve the clustering and differentiation of statistical indices between damages, it is possible to classify damages by a simple graphical analysis. The effectiveness of the methodology was validated by analyzing experimental data from different laboratory structures, where improvements were obtained for all experiments by studying different damage types and complexity in the damage scenarios.



Experimental results reported in this thesis demonstrated that damage detection and classification are highly dependent of preprocessing stage. However, by using correlation of piezoelectric signals a better behavior is obtained, with promising results for analyzing different damage types. Thus, it was found that cross-correlation analysis can be used in real world structural damage assessment tasks.

#### **10.1.2. Clustering approach for damage classification**

This thesis shown that by using common clustering techniques is possible to distinguish damages in a simple way, through the implementation of unsupervised learning algorithms based on self-organizing maps and K-means. Thus, damage classification is achieved by assigning clusters to damage types in order to group damage cases represented in an optimal way. Then, applicability of proposed methodology for SHM tasks was experimentally validated, whose capacity to differentiate unhealthy from undamaged structural conditions with better boundary separation between damage classes and minimal damage type group dispersion, was demonstrated.

#### **10.1.3. Automatic tuning of structural damage detection algorithms-**

Another contribution of this thesis is the automatic tuning of a fault detection algorithm based on PCA analysis and a SOM neural network by means of a differential genetic algorithm. It was demonstrated that by using default parameters the algorithm does not work appropriately, however if optimal values are tuned by using differential GA, its performance is improved. Experimental results related in this work show that DE algorithm works adequately for SHM applications and it can be generalized for optimal training by choosing a proper cost function that allows to enhance the identification error. It is important to emphasize that if not proper tuning parameters are defined for each application, high identification errors can be found according to results obtained in this thesis. Finally, since no previous information about the best tuning parameters for a specific SOM network are available, it is recommended to apply evolutive strategies in order to obtain good classification tools.

#### **10.1.4. Environmental conditions treatment through augmented baseline models.**

In this thesis, effects of environmental conditions (such as humidity/temperature variations) on structural damage detection tasks were treated by including cross correlated signals and an augmented baseline model in an previously proposed methodology. Experimental results of the modified methodology shown to be robust in the damages detection under temperature/humidity variations. Thus, damage indices for undamaged cases keep low for different humidity/temperature conditions, which means that the pristine structure state is properly represented. In addition, it can be concluded that piezoelectric records are mostly affected by temperature changes than by humidity variations. Finally, one advantage of the modified methodology is that only one experiment for undamaged state should be conducted in order to build a robust baseline model and no optimal location of sensors was required.

#### **10.1.5. Implementation of PCA damage detection for continuous monitoring in an embedded platform**

This thesis demonstrated the feasibility of monitoring structural conditions on real time by embedding a PCA based piezo-diagnostics approach in a Odroid-U3 ARM platform. The robustness of the system and its capacity to detect different damage conditions such as leaks and mass adding in pipe structures was experimentally validated. Continuous monitoring is achieved by implementing squared prediction error (Q-statistic) as index to identify deviations from undamaged structural state. Thus, a qualitative measurement of damage through Q-index was

obtained, where the presence or absence of damage are related to changes in Q-values. Thereby, the applicability of embedded systems was demonstrated for being used in the development of continuous SHM applications.

#### **10.1.6. Analysis of PZT fault sensor scenarios in the PCA-based damage detection approach**

In this thesis experimental tests were conducted to validate the efficiency of a PCA based piezo-diagnostics approach for separating fault sensors from structural damages. It was demonstrated that statistical index for sensor fault cases are much greater or lesser than those associated to structural damage cases. Thus, sensor failure condition corresponds to atypical deviations of the mean diagnosis response and high indexes out or bellow from common values can be associated to failures in connection system. Therefore, the PCA based methodology is suitable for condition monitoring tasks with a reduced probability of false alarms. It is highlighted that normalization method used in the pre-processing stage influences and meanly modifies the inference of results, so it is suggested to carry out sensitivity analysis of this issue.

#### **10.1.7. Ensemble learning as approach for damage detection and location**

A damage detection and localization approach for structures was presented in this thesis with the novelty of combining PCA analysis and ensemble learning in order to improve the performance of models in the SHM problem. Advantage of PCA statistical indices was taken to combine different learning algorithms in an ensemble scheme in order to obtain a better diagnosis algorithm. The proposed combined methodology allows assessing the structural condition and locating the damage in the structure. Experimental results shown the feasibility of ensemble learning for detecting occurrence of structural damages with successful results.

## **10.2. Suggestions for future work**

This thesis has contributed to SHM problem, however many issues still remain as open research topics. The following open research problems, related to the contributions of this thesis, are outlined as future works:

- Future works are recommended regarding the implementation of methods for damage quantification and prognosis in order to improve the capability of the system, including detection of damages in areas of difficult access.
- Future evaluations could be performed with other typical damages as corrosion or bending, among others, even when they occur simultaneously.
- Future works about normalization strategy in the preprocessing stage are suggested, due to the high sensitivity to different methods of data preprocessing reported in the literature.
- In order to improve general results, it is recommended to study a methodology to determine the optimal location and the number of sensors according to each structure.
- Further studies are required to evaluate the methods described in this thesis on more complex structures, such as long pipes, buried pipes, among others.
- Additional studies are necessary to evaluate coupling effects as well as real operational conditions
- It is suggested to study in a depth way degradation of PZT devices as for example crystal deterioration, plate cuts and stressing uses among others.
- Additional tests should be conducted considering aging properties of the whole elements included in the system.

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## Appendix A. List of Publications

As results of the current thesis were obtained the next contributions to journals and relevant conferences on the area:

### A1. Journals

1. Camacho, J., Quintero, A., Ruiz, M., Villamizar, R., & Mujica, L. (2018). **Implementation of a piezo-diagnostics approach for damage detection based on PCA in a linux-based embedded platform.** Sensors, 18(11), 3730. <https://www.mdpi.com/1424-8220/18/11/3730>
2. Camacho Navarro, J., Ruiz, M., Villamizar, R., Mujica, L., & Quiroga, J. **Features of Cross-Correlation Analysis in a Data-Driven Approach for Structural Damage Assessment** (2018). Sensors, 18(5), 1571. <http://www.mdpi.com/1424-8220/18/5/1571/pdf>
3. Jhonatan Camacho-Navarro, Magda Ruiz, Rodolfo Villamizar, Luis Mujica, Gustavo Moreno-Beltrán and Jabid Quiroga. **Structural damage continuous monitoring by using a data driven approach based on principal component analysis and cross-correlation analysis.** Published under licence by IOP Publishing Ltd Journal of Physics: Conference Series, Volume 842, conference 1. IOP Conf. Series: Journal of Physics: Conf. Series 842 (2017) 012018 doi :10.1088/1742-6596/842/1/012018. <http://iopscience.iop.org/article/10.1088/1742-6596/842/1/012018/pdf> ISSN: 17426588
4. Jhonatan Camacho-Navarro, Magda Ruiz, Rodolfo Villamizar, Luis Mujica and Gustavo Moreno-Beltrán. **Ensemble learning as approach for pipeline condition assessment.** Published under licence by IOP Publishing Ltd. Journal of Physics: Conference Series, Volume 842, conference 1 Series 842 (2017) 012018. doi :10.1088/1742-6596/842/1/012019. ISSN: 17426588. <http://iopscience.iop.org/article/10.1088/1742-6596/842/1/012019/pdf>
5. Jhonatan Camacho-Navarro, Magda Ruiz, Rodolfo Villamizar, Luis Mujica, Oscar Pérez. **Evaluation of piezodiagnosics approach for leaks detection in a pipe loop.** International Conference on Fracture and Damage Mechanics. Key engineering materials DOI: 10.4028/www.scientific.net/KEM.713.107. Spain, Alicante. 14-16th September 2016. <https://www.scientific.net/KEM.713.107>
6. Jhonatan CAMACHO, Magda RUIZ, Rodolfo VILLAMIZAR, Luis MUJICA. **Sensor fault detection in a damage detection approach based on piezo-diagnostics.** 8th European Workshop On Structural Health Monitoring (EWSHM 2016). Nondestructive Testing (NDT) ISSN 1435-4934. Spain, Bilbao. 5-8 July 2016. [http://www.ndt.net/events/EWSHM2016/app/content/Paper/266\\_Mujica.pdf](http://www.ndt.net/events/EWSHM2016/app/content/Paper/266_Mujica.pdf)
7. Jhonatan CAMACHO, Magda RUIZ, Rodolfo VILLAMIZAR, Luis MUJICA, Fabián ARIZA. **Embedded Piezodiagnosics for Online Structural Damage Detection Based on PCA Algorithm.** 8th European Workshop On Structural Health Monitoring (EWSHM 2016). Nondestructive Testing (NDT) ISSN 1435-4934. Spain, Bilbao. 5-8 July 2016. [http://www.ndt.net/events/EWSHM2016/app/content/Paper/253\\_Camacho.pdf](http://www.ndt.net/events/EWSHM2016/app/content/Paper/253_Camacho.pdf)

### A.2. Conferences

1. Jhonatan Camacho-Navarro, Magda Ruiz, Rodolfo Villamizar, Luis Mujica, & Oscar Pérez. **Evaluation of piezodiagnosics approach for leaks detection in a pipe loop.** (2016). International Conference on Fracture and Damage Mechanics Alicante, Spain. 14-16 September, 2016.

2. Jhonatan CAMACHO, Magda RUIZ, Rodolfo VILLAMIZAR, & Luis MUJICA. (2016). **Sensor fault detection in a damage detection approach based on piezodiagnosics**. 8th European Workshop On Structural Health Monitoring (EWSHM 2016), 5-8 July 2016, Spain, Bilbao. [http://www.ndt.net/events/EWSHM2016/app/content/Paper/266\\_Mujica.pdf](http://www.ndt.net/events/EWSHM2016/app/content/Paper/266_Mujica.pdf)
3. Jhonatan CAMACHO, Magda RUIZ, Rodolfo VILLAMIZAR, Luis MUJICA & Fabián ARIZA. (2016). **Embedded Piezodiagnosics for Online Structural Damage Detection Based on PCA Algorithm**. 8th European Workshop On Structural Health Monitoring (EWSHM 2016), 5-8 July 2016, Spain, Bilbao. [http://www.ndt.net/events/EWSHM2016/app/content/Paper/253\\_Camacho.pdf](http://www.ndt.net/events/EWSHM2016/app/content/Paper/253_Camacho.pdf)
4. Camacho-Navarro, J., Ruiz Ordóñez, M., Mujica Delgado, L. E., Perez-Gamboa, O., & Villamizar Mejía, R. (2015). **Pipe leaks detection under varying environmental conditions by using a data driven approach**. In 6th Panamerican Conference of Nondestructive Testing and 8th International Congress Of Welding and NDT. Nondestructive Testing (NDT) ISSN 1435-4934. [http://www.ndt.net/events/PANNDT2015/app/content/Paper/30\\_Camacho.pdf](http://www.ndt.net/events/PANNDT2015/app/content/Paper/30_Camacho.pdf)
5. Camacho-Navarro, J., Ruiz Ordóñez, M., Perez-Gamboa, O., Villamizar Mejía, R., & Mujica Delgado, L. E. (2015). Comparative study of two hardware development boards for implementation of PCA-based algorithms in structural damage detection. In IWSHM 10th International Workshop on Structural Health Monitoring: Stanford University (USA), 1-3 September 2015.
6. Jhonatan Camacho-Navarro, Magda Ruiz, Rodolfo Villamizar, Luis Mujica, Fernando Martínez. **DAMAGE DETECTION IN STRUCTURES USING ROBUST BASELINE MODELS**. 7th ECCOMAS Thematic Conference on Smart Structures and Materials SMART 2015. A.L. Araújo, C.A. Mota Soares, et al. (Editors) © IDMEC 2015.
7. Jhonatan Camacho-Navarro, Magda Ruiz, Rodolfo Villamizar, Luis Mujica, Alfredo Güemes, Ignacio González-Requema. **STUDY OF CROSS-CORRELATION SIGNALS IN A DATA-DRIVEN APPROACH FOR DAMAGE CLASSIFICATION IN AIRCRAFT WINGS**. 11th International Conference on Damage Assessment of Structures DAMAS 2015. Ghent University, Belgium. 24-26 August 2015.
8. Jhonatan Camacho-Navarro, Magda Ruiz, Oscar Pérez, Rodolfo Villamizar, Luis Mujica. **PIPE LEAKS CLASSIFICATION BY USING A DATA-DRIVEN APPROACH BASED ON FEATURES FROM CROSS-CORRELATED PIEZO-VIBRATION SIGNALS**. 11th International Conference on Damage Assessment of Structures DAMAS 2015. Ghent University, Belgium. 24-26 August 2015.
9. Rodolfo Villamizar, Oscar Eduardo Pérez and Jhonatan Camacho Navarro. **PIPE LEAK DETECTION BASED ON STATISTICS FROM PRINCIPAL COMPONENT ANALYSIS**. Proceedings of the 6th edition of the World Conference of the International Association for Structural Control and Monitoring (IACSM), held in Barcelona, Spain. 15-17 July 2014. ISBN: 978-84-942844-6-5. pp 2051- 2060.
10. Rodolfo Villamizar, Jhonatan Camacho, Luis Eduardo Mujica, Magda L Ruiz. **TUNING OF EXPERT SYSTEMS FOR STRUCTURAL DAMAGE DETECTION THROUGH DIFERENTIAL EVOLUTIONARY ALGORITHMS**. Proceedings of the 6th edition of the World Conference of the International Association for Structural Control and Monitoring (IACSM), held in Barcelona, Spain. 15-17 July 2014. ISBN: 978-84-942844-6-5. pp 1412 - 1419
11. Rodolfo Villamizar, Oscar Eduardo Pérez, Jhonatan Camacho Navarro. **AUTOMATIC TUNING OF A PIPELINE FAULTS DETECTION ALGORITHM**. Nondestructive Testing (NDT) ISSN 1435-4934. pp 1085 – 1092. 7th European Workshop on Structural Health Monitoring July 8-11, 2014. La Cité, Nantes, France.

12. Rodolfo Villamizar, John L. Quiroga, Jhonatan Camacho, Luis E. Mujica, Magda L. Ruiz. **STRUCTURAL DAMAGE DETECTION ALGORITHM BASED ON PRINCIPAL COMPONENT INDEXES AND EMBEDDED ON A REAL TIME PLATFORM.** Nondestructive Testing (NDT) ISSN 1435-4934. pp. 1553 – 1560. 7th European Workshop on Structural Health Monitoring July 8-11, 2014. La Cité, Nantes, France.

### A.3. Collaborative work

1. Quiroga, J., Mujica, L., Villamizar, R., Ruiz, M., & Camacho, J. **PCA Based Stress Monitoring of Cylindrical Specimens Using PZTs and Guided Waves.** (2017). Sensors, 17(12), 2788. <http://www.mdpi.com/1424-8220/17/12/2788>
2. Gustavo Adolfo Moreno Beltrán, Jhonatan Camacho Navarro, Rodolfo Villamizar Mejia. **Detección y clasificación de defectos en estructuras tipo tubería mediante reconocimiento de patrones I+D** Revista de Investigaciones, Universidad de Investigación y Desarrollo - UDI, Bucaramanga - Colombia. ISSN 22561676 [http://www.udi.edu.co/congreso/historial/congreso\\_2016/ponencias/Ingenieria\\_Electronica/Deteccion\\_y\\_clasificacion\\_de\\_defectos\\_en\\_estructuras\\_tipo\\_tuberia\\_mediante\\_reconocimiento\\_de\\_patrones.pdf](http://www.udi.edu.co/congreso/historial/congreso_2016/ponencias/Ingenieria_Electronica/Deteccion_y_clasificacion_de_defectos_en_estructuras_tipo_tuberia_mediante_reconocimiento_de_patrones.pdf)
3. Villamizar-Mejia, R., Mujica-Delgado, L. E., Ruiz-Ordóñez, M. L., Camacho-Navarro, J., & Moreno-Beltrán, G. **Damages detection in cylindrical metallic specimens by means of statistical baseline models and updated daily temperature profiles.** (2017, May). In Journal of Physics: Conference Series (Vol. 842, No. 1, p. 012017). IOP Publishing. <http://iopscience.iop.org/article/10.1088/1742-6596/842/1/012017>
4. Quiroga, J. E., Mujica, L., Villamizar, R., Ruiz, M., & Camacho, J. **Estimation of dispersion curves by combining Effective Elastic Constants and SAFE Method: A case study in a plate under stress.** (2017, May). In Journal of Physics: Conference Series (Vol. 842, No. 1, p. 012069). IOP Publishing. <http://iopscience.iop.org/article/10.1088/1742-6596/842/1/012069>
5. Moreno Beltran, G. A., Villamizar Mejía, R., Camacho-Navarro, J., Ruiz Ordóñez, M., & Mujica Delgado, L. E. **Structural damage localization through an innovative hybrid ensemble approach.** SMART 2017: ECCOMAS Thematic Conference on Smart Structures and Materials. proceedings book (pp. 1878-1889). Centre Internacional de Mètodes Numèrics en Enginyeria (CIMNE). Madrid, Espanya. June 5-8, 2017
6. ÓSCAR PUENTES, ALEJANDRA IBARRA, RODOLFO VILLAMIZAR, GUSTAVO MORENO, JHONATAN CAMACHO. **DETECCIÓN DE DEFECTOS EN ESTRUCTURAS MEDIANTE PIEZODIAGNOSIS BASADO EN MULTIACTUACIÓN Y MODELOS DE LÍNEA BASE** Póster en FIMEC Research Day 2017, Bucaramanga, Colombia. 21 Noviembre.
7. Gustavo Moreno, Rodolfo Villamizar, Rolando Guzman, Jhonatan Camacho. **SOM network as approach for data fusion of piezo-diagnostics features and temperature measurements.** Workshop on civil structural health monitoring CSHM-7. Medellín – Colombia (Universidad Eafit). June 22nd-23rd, 2017
8. QUIROGA, J., MUJICA, L. E., VILLAMIZAR, R., RUIZ, M., & CAMACHO, J. **Torsional Waves for Load Monitoring of Cylindrical Waveguides.** Structural Health Monitoring 2017. Eleventh International Workshop on Structural Health Monitoring. September 12–14, 2017. <http://dpi-proceedings.com/index.php/shm2017/article/view/14062>
9. Quiroga, Jabid. Mujica Delgado, Luis Eduardo. Villamizar Mejía, Rodolfo. Ruiz Ordóñez, Magda. Camacho-Navarro, Jhonatan. **Signal-based bending stress monitoring using guided waves in hollow cylinders.** ECCOMAS Thematic Conference Smart Structures and Materials. "SMART 2017 proceedings



book". Madrid: Centre Internacional de Mètodes Numèrics en Enginyeria (CIMNE), 2017, p. 1390-1397. ISBN978-84-946909-3-8. Madrid, Espanya. June 5-8, 2017

10. Moreno G., Camacho J., Ruiz M., Villamizar R., & Martínez F. (2015). **Performance evaluation of a data-driven approach for continuous monitoring of structural damages** CIIMCA. Proceedings of the second international congress of mechanical engineering and agricultural science. Pp. 69-70. ISSN: 2382-3305.

## Appendix B. Research Project Funding

This research is part of a collaborative work between Universidad Industrial de Santander – UIS – (Colombia) and Universitat Politècnica de Catalunya – UPC – (Spain). Each one provides the following:

- UIS: The research project “Monitorización y Detección de Defectos en Estructuras usando Algoritmos Expertos Embebidos”, financed by the Departamento Administrativo de Ciencia y Tecnología Francisco José de Caldas – COLCIENCIAS and Banca Mundial from Agosto 2012 to Febrero 2015, which supports partially the scholarship to the Ph.D. student author of this proposal.
- UPC: The research group “Control, Dynamics and Applications Laboratory (CoDALab)” in the Department of Applied Mathematics III provides the knowledge and experience related to digital signals processing, artificial intelligence and multivariable statistical analysis applied to Structural Health Monitoring (SHM) for pattern recognition getting detection, classification and localization of damages in structures. It was partially funded by the Spanish Ministry of Economy and Competitiveness through the research projects DPI2014-58427-C2-1-R and DPI2017-82930-C2-1-R.