



Universitat de Lleida

## Technological requirements in latent heat thermal energy storage systems: Study of the partial load operating conditions and the dynamic melting enhancement technique

Jaume Gasia Mercè

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**Universitat de Lleida**

**TESI DOCTORAL**

**Technological requirements in latent heat thermal energy storage systems: Study of the partial load operating conditions and the dynamic melting enhancement technique**

Jaume Gasia Mercè

Memòria presentada per optar al grau de Doctor per la Universitat de Lleida  
Programa de Doctorat en Informàtica i Enginyeria Industrial

Directors

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Dr. Steven Tay (University of Newcastle, United Kingdom)

2018



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Departament d'Informàtica i Enginyeria Industrial  
Escola Politècnica Superior  
**Universitat de Lleida**

## **Technological requirements in latent heat thermal energy storage systems: Study of the partial load operating conditions and the dynamic melting enhancement technique**

Memòria presentada per optar al grau de Doctor per la Universitat de Lleida redactada segons els criteris establerts en l'Acord núm. 67/2014 de la Junta de Govern del 10 d'abril de 2014 per la presentació de la tesis doctoral en format d'articles.

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**Directors de la Tesis:** Dra. Luisa F. Cabeza i Dr. Steven Tay

La Dra. Luisa F. Cabeza, catedràtica de l'Escola Politècnica Superior de la Universitat de Lleida i el Dr. Steven Tay, professor a temps complet de la University of Newcastle.

CERTIFIQUEN:

Que la memòria “Technological requirements in latent heat thermal energy storage systems: Study of the partial load operating conditions and the dynamic melting enhancement technique” presentada per Jaume Gasia Mercè per optar al grau de Doctor s’ha realitzat sota la seva supervisió.

Lleida, Juny 2018

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## Summary

The use of energy from renewable sources is important in the fight against climate change. However, the intermittence of their sources and the constant mismatch between supply and demand hamper the global use of this energy. Therefore, thermal energy storage (TES) technologies become crucial in order to overcome the aforementioned disadvantages. Systems based on this technology must meet various requirements in order to be competitive at all levels. Among the different requirements, this PhD thesis is framed in the study of the technological ones, and more specifically, in two areas of research within these requirements. On the one hand, this PhD thesis focuses on the study of the partial load operating conditions in a mid-temperature range latent heat TES system ( $100\text{ °C} < T < 150\text{ °C}$ ) in order to optimize its operation strategy. These operating conditions are generated from discontinuous energy supplies or from controlled interruptions of continuous energy supplies, causing the TES systems not being fully charged/discharged and therefore, resulting in incomplete phase change processes. Results from experimentation allowed quantifying the effect of partially charging a latent heat TES system in its subsequent processes of storage and discharge, as well as quantifying the effect of the storage period duration in the same processes. On the other hand, this PhD thesis also centres its attention on the study of the dynamic melting concept in a low-temperature range latent heat TES system ( $-10 < T < 10\text{ °C}$ ) to enhance the heat transfer between the heat transfer fluid and the TES material. Dynamic melting consists of recirculating the liquid fraction of this material during its phase change process by means of an external mechanical device. The experimental and numerical results allowed quantifying the influence of the flow arrangement, of the fluid velocity, and the heat gains of the system on the behaviour of the TES system from the thermal and fluid dynamics points of view.

## Resum

L'ús d'energia provinent de fonts renovables és important en la lluita contra el canvi climàtic. Tanmateix, la intermitència de les seves fonts i el constant desajust entre l'oferta i la demanda dificulten l'ús global d'aquesta energia. Per tant, les tecnologies d'emmagatzematge d'energia tèrmica (EET) esdevenen cabdals per tal de superar aquests inconvenients. Els sistemes basats en aquesta tecnologia han de complir diversos requisits per tal de ser competitius en tots els nivells. D'entre tots els requisits, la present tesi doctoral està emmarcada en l'estudi dels tecnològics, i més concretament, en dos àmbits d'investigació. Per una banda, aquesta tesi doctoral se centra en l'estudi de les condicions d'operació a càrrega parcial d'un sistema d'EET per calor latent amb l'objectiu d'optimitzar la seva estratègia de funcionament en un rang de temperatura comprès entre els 100 °C i els 150 °C. Aquestes condicions d'operació es generen quan les fonts d'energia no subministren un flux energètic constant o quan, de forma controlada, s'interromp el subministrament d'energia que té un flux energètic constant, provocant que els sistemes d'EET no estiguin totalment carregats/descarregats i per tant, canvis de fase incomplets. Els resultats experimentals han permès quantificar l'efecte que té carregar parcialment un sistema d'EET per calor latent en els posteriors processos d'emmagatzematge i descàrrega, així com quantificar l'efecte de la durada del període d'emmagatzematge en els mateixos processos. D'altra banda, aquesta tesi doctoral també se centra en l'estudi del concepte de fusió dinàmica en un sistema d'EET per calor latent de baixa temperatura ( $-10 < T < 10^{\circ}\text{C}$ ) amb l'objectiu de millorar la transferència de calor entre el fluid caloportador i el material d'emmagatzematge tèrmic. La fusió dinàmica consisteix en la recirculació de la fracció líquida d'aquest material durant el seu procés de canvi de fase mitjançant un dispositiu mecànic extern. Els resultats experimentals i numèrics han permès quantificar la influència de la configuració del flux, de la velocitat de recirculació i dels guanys tèrmics del sistema, en el comportament del sistema d'EET des dels punts de vista tèrmics i de dinàmica de fluids.



## Resumen

El uso de energía proveniente de fuentes renovables es importante en la lucha contra el cambio climático. Sin embargo, la intermitencia de sus fuentes y el constante desajuste entre la oferta y la demanda dificultan el uso global de esta energía. Por lo tanto, las tecnologías de almacenamiento de energía térmica (AET) se convierten en primordiales para superar estos inconvenientes. Los sistemas basados en esta tecnología deben cumplir varios requisitos para ser competitivos a todos los niveles. De entre todos los requisitos, la presente tesis doctoral está enmarcada en el estudio de los tecnológicos, y más concretamente, en dos ámbitos de investigación. Por un lado, esta tesis doctoral se centra en el estudio de las condiciones de operación a carga parcial de un sistema de AET por calor latente con el objetivo de optimizar su estrategia de funcionamiento en un rango de temperatura comprendido entre los 100 °C y los 150 °C. Estas condiciones de operación se generan cuando las fuentes de energía no suministran un flujo energético constante o cuando, de forma controlada, se interrumpe el suministro de energía que tiene un flujo energético constante, provocando que los sistemas de AET no estén totalmente cargados/descargados y, por consiguiente, cambios de fase incompletos. Los resultados experimentales han permitido cuantificar el efecto que tiene cargar parcialmente un sistema de AET por calor latente en los posteriores procesos de almacenamiento y descarga, así como cuantificar el efecto de la duración del periodo de almacenamiento en los mismos procesos. Por otra parte, esta tesis doctoral también se centra en el estudio del concepto de fusión dinámica en un sistema de AET por calor latente de baja temperatura ( $-10 < T < 10^{\circ}\text{C}$ ) con el objetivo de mejorar la transferencia de calor entre el fluido caloportador y el material de almacenamiento térmico. La fusión dinámica consiste en la recirculación de la fracción líquida de este material durante su proceso de cambio de fase mediante un dispositivo mecánico externo. Los resultados experimentales y numéricos han permitido cuantificar la influencia de la configuración del flujo, de la velocidad de recirculación y de las ganancias térmicas del sistema, en el comportamiento del sistema de AET desde los puntos de vista térmicos y de dinámica de fluidos.

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# Chapter 1

## Introduction, objectives and PhD thesis structure

### 1.1 Introduction

#### 1.1.1 Background

Human beings are facing the effects of climate-related changes due to an increase in the global mean temperature. Longer periods of drought and the increase of heavier tropical storms are some of the most notorious effects already affecting several regions worldwide [1]. The scientific community [2] points out that the main cause of climate change is the constant and uninterrupted rise that the worldwide energy consumption has experienced in the last decades (Figure 1.1). From the beginning of the current century, worldwide energy consumption has been accounted to have increased 38.6%, from a value of 9748 Mtoe in 2000 to a value of 13509 Mtoe in 2016. This evolution has been mainly influenced by the dramatic growth of the energy consumption of the countries located in the Asian region, which have doubled the need of energy resources as a result of the economic development of the region and the installation of offshore industries from Europe and North America. These two regions, which have been the heaviest worldwide energy consumers during decades, experienced a decrease of 2% in the same time interval.

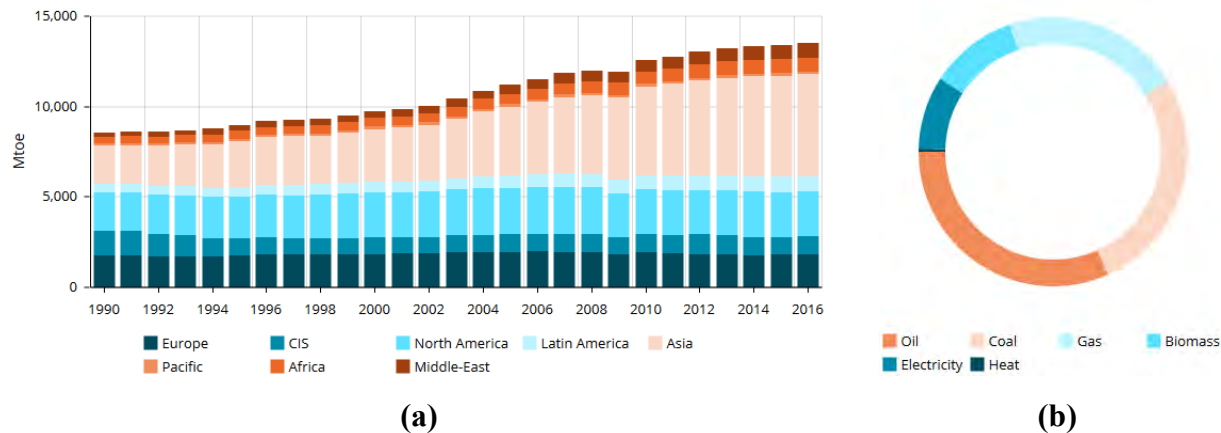


Figure 1.1. (a) Worldwide total energy consumption. (b) Breakdown by energy (2016): Oil 32%, coal 27%, gas 21%, biomass 11%, electricity 9% [2].

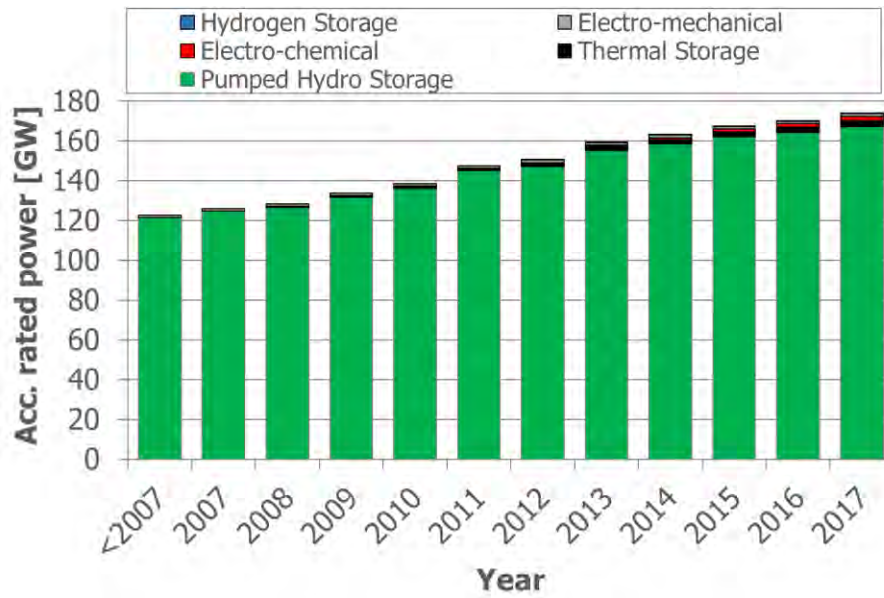
According to the Inter-governmental Panel on Climate Change (IPPC), only the ability of society to adapt to, or to mitigate, the climate change might help reducing its long-term effects, i.e. more extreme weather conditions, higher human mortality and poverty rates, and greater risk of collapsing of some ecosystems [3]. In order to minimize such effects, the Paris Climate Agreement was approved and adopted within the United Nations Framework Convention on climate Change (UNFCCC) in December 2015 [4]. This agreement was ratified by 168 UNFCCC members (as of October 2017) and its main objectives are included in the second article:

- *Holding the increase in the global average temperature to well below 2°C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5°C above pre-industrial levels, recognizing that this would significantly reduce the risks and impacts of climate change;*
- *Increasing the ability to adapt to the adverse impacts of climate change and foster climate resilience and low greenhouse gas emissions development, in a manner that does not threaten food production;*
- *Making finance flows consistent with a pathway towards low greenhouse gas emissions and climate-resilient development.*

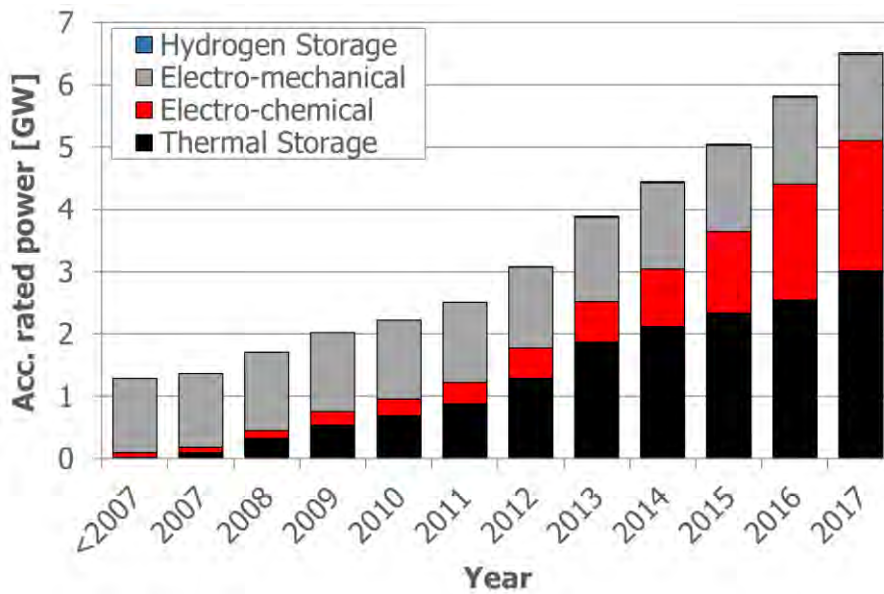
The use of renewable energies has been found as one of the key technologies which might help mitigating the climate change and shifting towards a new energy system and society model. Renewable energies present a footprint that is much lower than traditional energy sources. Moreover, they are employing around 9.8 million people worldwide, with the perspectives of increasing this number [5]. According to the Renewable Energy Policy Network for the 21<sup>st</sup> Century [5], the estimated renewable energy share of total energy consumption in 2015 was less than 20% (Figure 1.2), which indicates that a considerable effort is required to successfully change from conventional to renewable energy supplies. However, the dependence on the weather conditions and the mismatch between energy demand and supply are the two main drawbacks that slow down this change. Thus, storage technologies are indispensable at any installation coupled to a renewable energy technology since they help overcoming these main constraints [6,7]. During the last decade, the global capacity of facilities with storage technologies, installed or under construction, showed an increase of 51%, reaching the value of 172.5 GW as of 2017 (Figure 1.3). This evolution shows that storage technologies are starting to become important in the energy management of most of the countries worldwide. The dominant technology is the pumped hydro storage, with a share of 96.2%, followed by the thermal storage and electro-chemical, with a share of 1.7% and 1.2%, respectively. The reason lies on the fact that hydro infrastructures are not only useful for storage purposes, but also for electricity generation and water management.



Figure 1.2. Estimated renewable energy share of total final energy consumption, 2015 [5].



(a)



(b)

Figure 1.3. Evolution of the storage facilities accumulated rated power at worldwide scale: (a) Including pumped hydro storage; (b) Excluding pumped hydro storage. Adapted from [8].

## 1.1.2 Thermal energy storage

### 1.1.2.1 Overview

Thermal energy storage (TES) is a technology that allows storing thermal energy for use at a different time from generation. The evolution during the period 2007-2017 of the accumulated rated power of facilities coupled to TES technologies, during the last decade, showed an increase of 2.99 GW , for a rated power of 3.01 GW as of 2017 (167 times higher than 2007) (Figure 1.3b). Despite this dramatic increase, TES technologies are still not in their mature stage and their integration to the global energy system strongly depends on the support of policy makers and private investors in the research, development, and innovation (R&D&I) processes. Hence, favourable regulatory treatments would encourage higher investment at all stages of the R&D&I processes, from basic and applied research to the commercialization of the technology and, as a consequence, to accomplish the UNFCCC agreements. The International Energy Agency (IEA) established some recommendations for policy makers with the aim of guiding them on achieving these agreements [9]:

- *Governments should develop a vision for a sustainable energy future that addresses multiple energy policy challenges and tracks progress towards stated objectives.*
- *International collaboration needs to be enhanced to achieve global objectives.*
- *Policy support for technology should be accelerated at all stages of the innovation cycle.*
- *Policy, finance and market mechanisms must be adapted to support new business models enabled by the changing technology landscape.*
- *Policy makers should develop a better understanding of opportunities and challenges that arise from increasing digitalization in the energy sector.*

The basic principle of TES is the storage of energy from a heat supply to be further used when it is needed, usually through an intermediate heat transfer fluid (HTF). Hence, the energy flow diagram of any TES process contains three steps: charge, storage, and discharge (Figure 1.4). During the process of charging, the thermal energy is transferred from an outer source to the TES system. This heat transfer takes place when there is an excess of thermal energy and/or when the price of performing this process is low enough to be economically feasible. During the storage

process, the energy is stored in the TES system for a certain period of time (from minutes to months), which mainly depends on the TES system itself and on the energy demand. Finally, during the discharging process, the energy is transferred from the TES system to an external application.

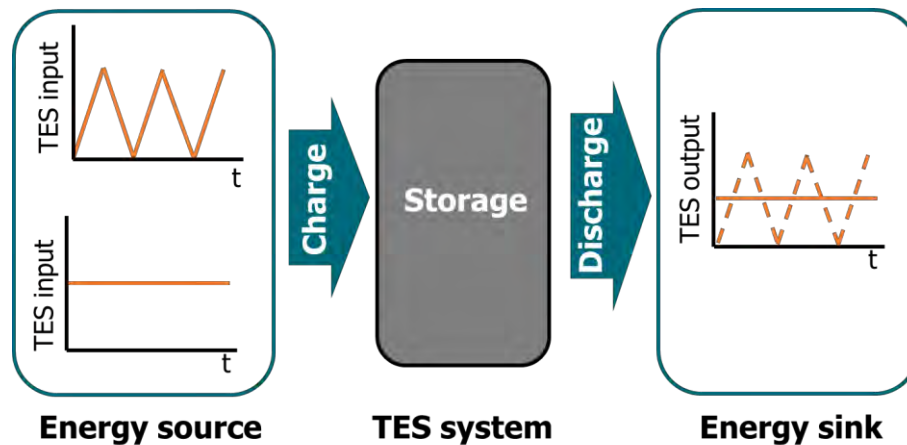


Figure 1.4. Energy flow diagram in a TES process.

### 1.1.2.2 Thermal energy storage technologies

Some research has been conducted regarding TES in the last decades, which is summarized in different books [6,10,11] and journal papers [12-16]. Three major technologies for TES are identified: sensible heat, latent heat, and chemical and sorption reactions [6] (Figure 1.5).

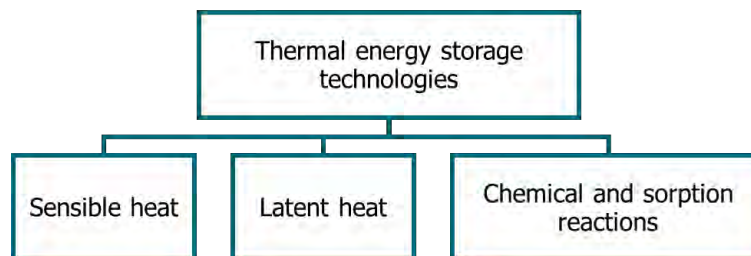


Figure 1.5. Thermal energy storage technologies.

The energy stored in sensible heat TES is related to the increase of the storage medium temperature. Hence, the amount of energy is proportional to the mass, heat capacity, and the

temperature variation of the storage medium. Sensible heat storage is by far the most common method for heat storage [6], and the most commonly used storage materials are water, concrete, rock beds, bricks and soils [17]. In latent heat TES, the energy is stored when the storage material undergoes phase change. Unlike sensible heat storage, the process is nearly isothermal, which means that the energy is stored due to the molecular restructuring that takes place within the transition from one phase to the other. Thus, the amount of energy is proportional to the mass and phase change enthalpy of the storage medium. The most widely used and studied phase change transition is the solid-liquid one. The materials which undergo solid-liquid phase change are known as phase change materials (PCMs), and they are usually classified into organics, inorganics, and eutectics [6] (Figure 1.6).

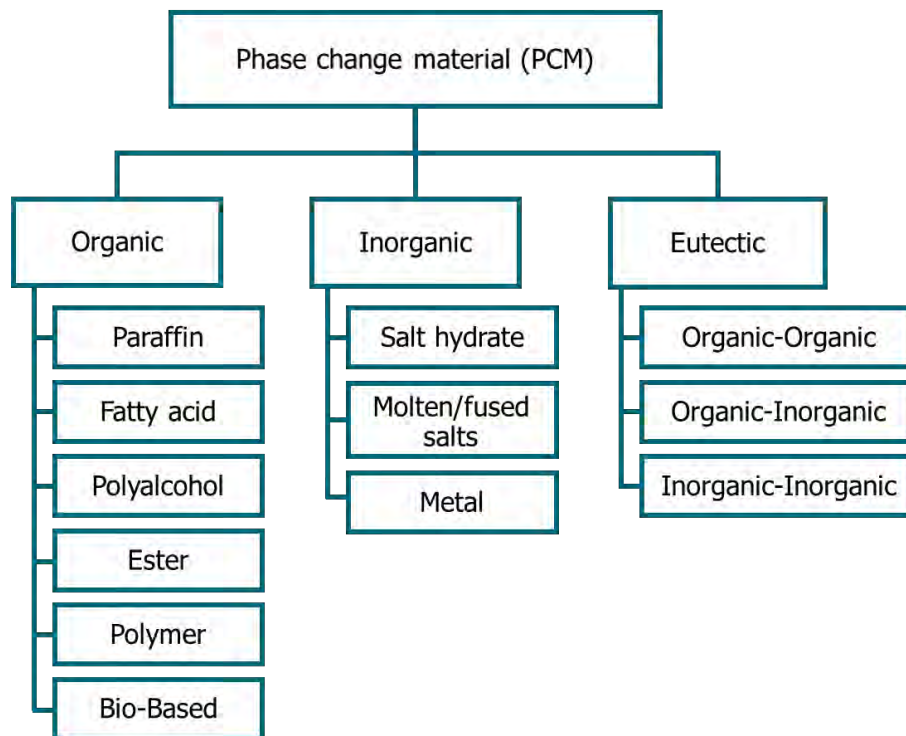


Figure 1.6. Classification of phase change materials. Based on [18].

Finally, the energy storage based on sorption and chemical reactions (usually known as thermochemical energy storage) consists of reversible physical and chemical processes or reactions involving two substances, usually known as thermochemical materials (TCMs). This



means that the heat released resulted from the dissociation process can be recovered if a synthesis reaction takes place.

### 1.1.2.3 Thermal energy storage requirements

TES systems are starting to penetrate into the market. However, it can be considered that TES technology is still in a non-mature stage, and therefore it requires a higher intensity in research. Table 1.1 summarizes the requirements that a TES system should fulfil for an optimum performance.

Table 1.1. Main requirements for selecting the suitable materials and systems focused on high temperature TES. The requirements which have an \* correspond only to latent heat energy storage [19].

Requirements		Reason	
<b>Material</b>	<b>Chemical</b>	Long-term chemical stability	Keeping the initial thermochemical properties along the cycling periods
		No chemical decomposition	
		Compatibility with container materials and low reactivity to heat transfer fluids (HTFs)	Ensuring long lifetime of the container and the surrounding materials in case of leakage
		No fire and explosion hazard	Ensuring workplace safety
		No toxicity	Ensuring handling safety
		*No phase separation / Incongruent melting	Avoiding changes on the melt stoichiometric composition
		<b>Kinetic</b>	*Small or no subcooling

*continued*

Table 1.1. Main requirements for selecting the suitable materials and systems focused on high temperature TES [19] (*Continued*).

Requirements		Reason	
<b>Material</b>		*Sufficient crystallization rate	Meeting the recovery system heat transfer demands
	<b>Physical</b>	High density	Minimizing the volume occupied by the TES material
		Low vapour pressure	Diminishing the mechanical and chemical stability requirements of the container or vessel
		*Small volume changes (low density variation)	
		*Favourable phase equilibrium	Possibility of using eutectic mixtures
	<b>Thermal</b>	High specific heat	Providing significant sensible heat storage
		High thermal conductivity in both solid and liquid states	Enhancing the heat transfer within the TES material by providing the minimum temperature gradients
		*Melting / solidification temperature in the desired operating temperature range	Ensuring the success of the charging/discharging processes within the operation conditions
		*High latent heat of transition per unit volume near temperature of use	Providing significant latent heat storage in small volumes
		*Congruent melting	Ensuring the complete melt of the TES material and their homogeneity

*continued*

Table 1.1. Main requirements for selecting the suitable materials and systems focused on high temperature TES [19] (*Continued*).

<b>Requirements</b>		<b>Reason</b>	
<b>Material / System</b>	<b>Economic</b>	Abundant and available	Being cheaper than other options
		Long lifetime	Avoiding replacements and maintenance during the lifetime of the TES system
		Cost-effective	Being competitive in front of other options
	<b>Environmental</b>	Low manufacturing energy	Reducing the environmental impact of the systems and accomplishing sustainable regulations and trends
		Easy recycling and treatment	
		Low CO <sub>2</sub> footprint and use of by-products	
		Non-polluting	
	<b>Technological</b>	Operation strategy	Optimizing the processes by adapting them to limiting factors such as maximum loads, nominal temperatures and specific enthalpy drops in load
		Integration into the facility	
		Suitable heat transfer between the HTF and the storage medium (efficiency)	Enhancing the heat transfer from the TES material to the HTF and vice versa

## 1.2 Objectives

Among the different requirements that a latent heat TES system should fulfil to be a competitive technology and therefore, to be able to penetrate the energy market, the present PhD thesis is focused on the study of the technological requirements. The reason lies on the fact that despite knowing that these requirements have an important impact in the design and the economics of the TES system, they are not usually analysed in literature. A good operational strategy and integration of TES systems into the energy processes by adapting them to limiting factors such as maximum loads, nominal temperatures and specific enthalpy drops are essential to help optimizing such processes and increasing both the environmental and economic benefits. Similarly, maximizing the heat transfer between the HTF and the TES material might contribute to enhance the overall TES system efficiency.

Therefore, the research presented in this PhD thesis is focused on two areas. First of all, the study of the partial load operating conditions in a mid-temperature range latent heat TES system in order to optimize its operational strategy. This research studies the effect of partially charging a latent heat TES system in its charging, storage, and discharging processes. On the other hand, the second area of research of this PhD thesis presents as a focal point the study of the dynamic melting in a low-temperature range latent heat TES system in order to enhance the heat transfer between the HTF and the TES material. This research studies the influence of the dynamic melting on the thermal and fluid dynamic behaviour of the TES material.

To get all the information required to fulfil the objectives of this PhD, experimental and numerical research were carried out in different facilities. The initial aim of this PhD was to carry out the research in the high temperature range ( $>150$  °C). However, due to different technical issues with the experimental facilities, it was redesigned to be carried out on the mid-low temperature range ( $<150$  °C).

### 1.3 PhD thesis structure

The present PhD thesis is based on seven papers, of which five papers have already been published in SCI journals while two have been submitted.

This PhD thesis is divided into five chapters as shown in the scheme of the structure of the PhD presented in Figure 1.7. Chapter 1 starts with an introduction to the current energy consumption trends and the need for TES technologies, followed by the presentation of the main objectives and the structure of this PhD thesis. Chapter 2 presents a review of the main requirements that TES materials and systems should fulfil for an optimum performance. This chapter includes Paper 1 and Paper 2, which review the different research works focused on studying these requirements and how to improve them for high temperature applications. Chapter 3 and Chapter 4 arise from needs detected during the elaboration of Chapter 2. Hence, Chapter 3 focuses on studying the partial load operating conditions as a thermal management tool, taking advantage of the pilot plant facility that the GREiA research group has at the University of Lleida. Papers 3 to 5 present the research carried out within the frame of this chapter. The centre of attention of Chapter 4 is the study of the dynamic melting concept as a heat transfer enhancement technique between the TES material and the HTF, which was carried out during the two research stays that the PhD candidate did during his candidature. Paper 6 and Paper 7 present the research performed within the framework of this chapter. Finally, Chapter 5 embraces the main conclusions of this PhD thesis and the recommendations for future work. All the papers included in the contents of this thesis are attached in the annexes in the journals format.

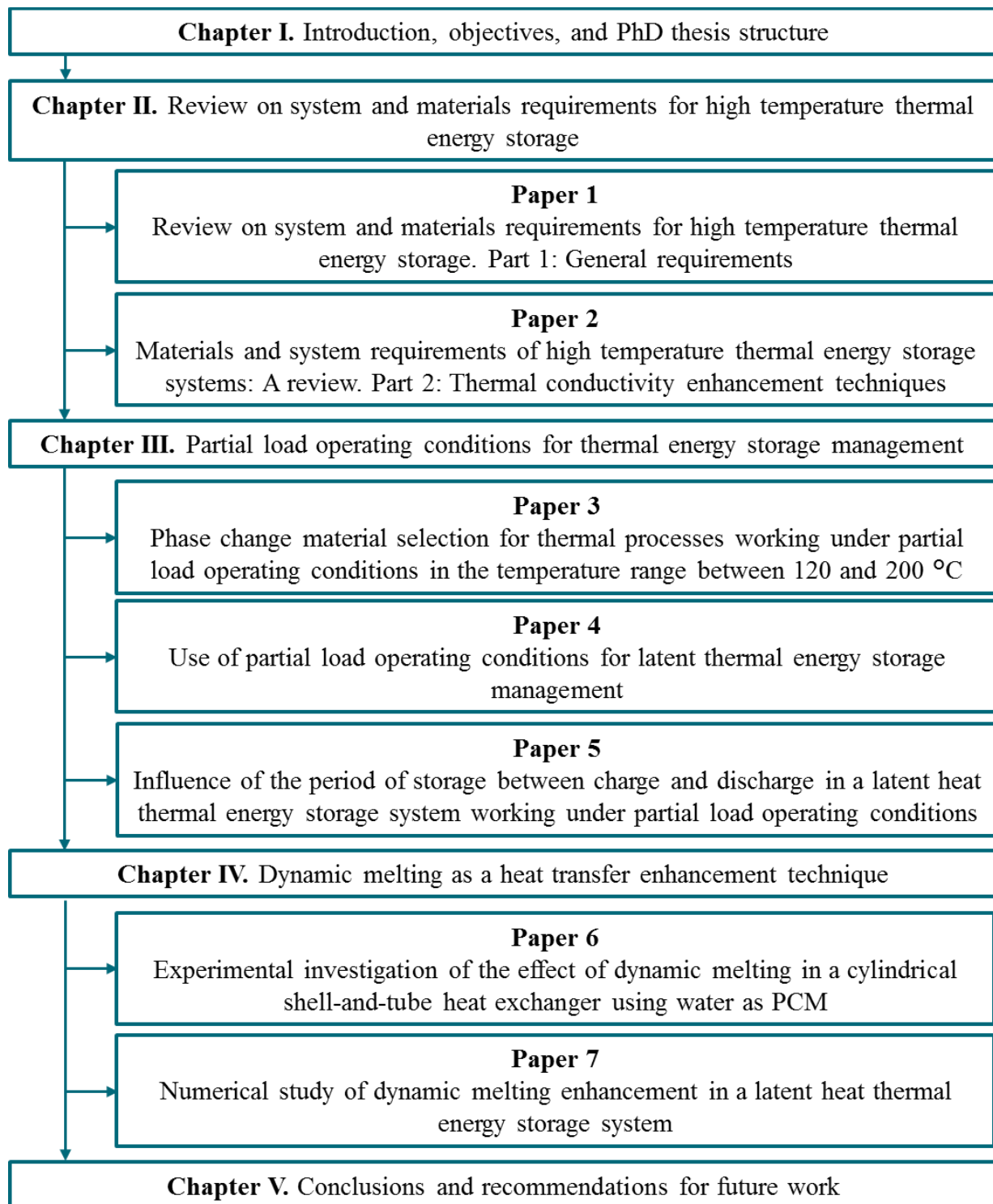


Figure 1.7. Scheme of the PhD thesis structure.

## Chapter 2

# Review on system and materials requirements for high temperature thermal energy storage

### 2.1. Introduction

TES has been widely studied at different temperature ranges for different applications, such as heating and cooling [20,21], transportation [22], thermal protection [23], industrial waste heat recovery [24], and solar energy power generation [25]. However, few studies have been done on gathering and identifying in a same paper the main requirements that a TES system should accomplish from the material and system point of view. In the early eighties, Abhat [26] did a first attempt to determine the most important characteristics of PCM. In this case, the authors only focused on the material point of view analysing the thermodynamic, chemical, and economic characteristics. Zalba et al. [12], updated the work carried out by Abhat [26] and provided details of the research carried out on latent heat TES until 2003. Despite slightly modifying the nomenclature, they summarized the same characteristics. Dinçen and Rosen [10] and Mehling and Cabeza [6] analysed the latent heat technology and suggested two more characteristics that should be taken into account, the technical and the environmental ones.

From reviewing different studies, it was observed that there was a lack of data analysing TES from both the systems and materials points of view, and summarizing all this research in a single

document. Therefore, in the present study, the requirements that sensible and latent TES materials and systems working at temperatures higher than 150 °C should fulfil for an optimum performance were reviewed and classified (Table 1.1). Moreover, the studies which were carried out with the aim of achieving such optimization were reviewed and analysed, and the main findings and enhancements were presented.

Due to the wide scope of the information reviewed, the work was divided into two parts. The first part (Paper 1) shows the general requirements, with the exception of the thermal conductivity, which is found in the second part (Paper 2).

## **2.2. Paper 1. Review on system and materials requirements for high temperature thermal energy storage. Part 1: General requirements**

### **2.2.1. Contribution to the state-of-the-art**

The main contribution to the state-of-the-art of the first part of this review is a complete review of the literature which studied the chemical, kinetic, physical, thermal, economic, environmental, and technological requirements of sensible and latent TES materials and systems working at temperatures higher than 150 °C.

Regarding the chemical requirements, it was found that the chemical stability is a requirement which is practically not studied. Only five PCMs and alloys were evaluated being galacticol the PCM with better results. The compatibility between the PCM and the TES container has been widely studied, but the lack of a standard procedure in literature (metal weight/gain losses, corrosion rates, etc.) complicates the comparison between studies. The material safety is commonly checked on the materials safety data sheets or other security standards such as the National Fire Protection Association (NFPA) 704. Finally, the phase segregation is mainly addressed by the use of encapsulation made of high temperature resistant materials, such as metals.



With reference to the kinetic requirements, small or no subcooling during the solidification and sufficient crystallization rate is desired. Despite the fact that the use of nucleating agents is found to reduce the subcooling, some studies showed that this effect is size-dependent, which is enhanced by the irregularities in the storage tank and the presence of not-fully melted PCM before starting the solidification process.

In relation to the physical requirements, no remarkable studies were found.

On the contrary, regarding the thermal requirements, lots of research was conducted to increase the thermal conductivity (fully detailed in the second part of this review) and specific heat in both solid and liquid phases. Despite the fact that no increase of the specific heat was found in water-based and organic-based nanomaterials if compared to pure materials, increases up to 30% were found in molten salt-based nanomaterials. Shin and Banerjee [27] stated that such increase was due to the fractal-like nanostructure of the molten salt-based nanomaterials.

The economic requirement studies available in the literature were mainly focused on parabolic trough concentrated solar power (CSP) plants. Results showed, on the one hand, that the TES system is responsible for up to 15% of the capital expenditures (CAPEX) breakdown, and on the other hand, that the annual number of storage cycles is the parameter with the highest impact on the cost effectiveness. Moreover, results showed that the implementation of TES allows increasing the annual capacity but turns out with an increase of the total plant capital costs.

Regarding environmental requirements, three tools were used to identify the environmental affection of TES systems: the carbon or CO<sub>2</sub> footprint, the life cycle assessment (LCA), and the cumulative energy demand. The main conclusion was that TES systems reduce greenhouse gases emissions but increase the environmental impact because of the usage of more materials and land. Furthermore, when TES is evaluated and compared to conventional systems from a material point of view, its benefits are not significant (with the exception of by-products). However, when the comparison is done at system level, the benefits of using TES in terms of reduction of CO<sub>2</sub> emissions, and energy and water consumption are more noticeable.

Finally, the use of multiple PCMs configuration and the dynamic melting concept were found to be good options to optimize the technological requirements, which also include the integration of the TES material into the facility, the operation strategy of the facilities, and the good transfer between the HTF and the TES material.

### **2.2.2. Contribution of the candidate**

Jaume Gasia proposed and conceived the structure of the review; Jaume Gasia also proposed a list of references to review, which was further extended by the co-authors; all co-authors collaborated on the interpretation of the results and on the preparation of the manuscript, as well as responding to reviewers queries.


### **2.2.3. Journal paper**

The scientific contribution from the present research work was published in the Renewable and Sustainable Energy Reviews journal in 2017.

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Review on system and materials requirements for high temperature thermal energy storage. Part 1: General requirements



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**ABSTRACT**

High temperature thermal energy storage offers a huge energy saving potential in industrial applications such as solar energy, automotive, heating and cooling, and industrial waste heat recovery. However, certain requirements need to be faced in order to ensure an optimal performance, and to further achieve widespread deployment. In the present review, these requirements are identified for high temperature (> 150 °C) thermal energy storage systems and materials (both sensible and latent), and the scientific studies carried out meeting them are reviewed. Currently, there is a lack of data in the literature analysing thermal energy storage from both the systems and materials point of view. In the part 1 of this review more than 25 requirements have been found and classified into chemical, kinetic, physical and thermal (from the material point of view), and environmental, economic and technologic (from both the material and system point of view). The enhancements focused on the thermal conductivity are addressed in the Part 2 of this review due to their research significance and extension.

## 2.3. Paper 2. Materials and system requirements of high temperature thermal energy storage systems: A review. Part 2: Thermal conductivity enhancement techniques

### 2.3.1. Contribution to the state-of-the-art

The main contribution of the second part of this review to the state-of-the-art is a complete review of the literature which studied the thermal conductivity enhancement techniques at temperatures higher than 150 °C.

Available TES materials have very low thermal conductivity (fatty acids:  $\sim 0.15$  W/m·K; paraffins:  $\sim 0.20$  W/m·K; salts:  $\sim 0.6$  W/m·K) [6]. As a consequence, the heat transfer rates between the TES material and the medium which transports the energy from/to the heat source/sink, usually a HTF, become low. In order to overcome this drawback, the approaches and techniques which have been studied, by increasing the thermal conductivity of the TES

material, but also by improving the effective thermal conductivity between the HTF and the TES medium, were also reviewed (Figure 2.1).

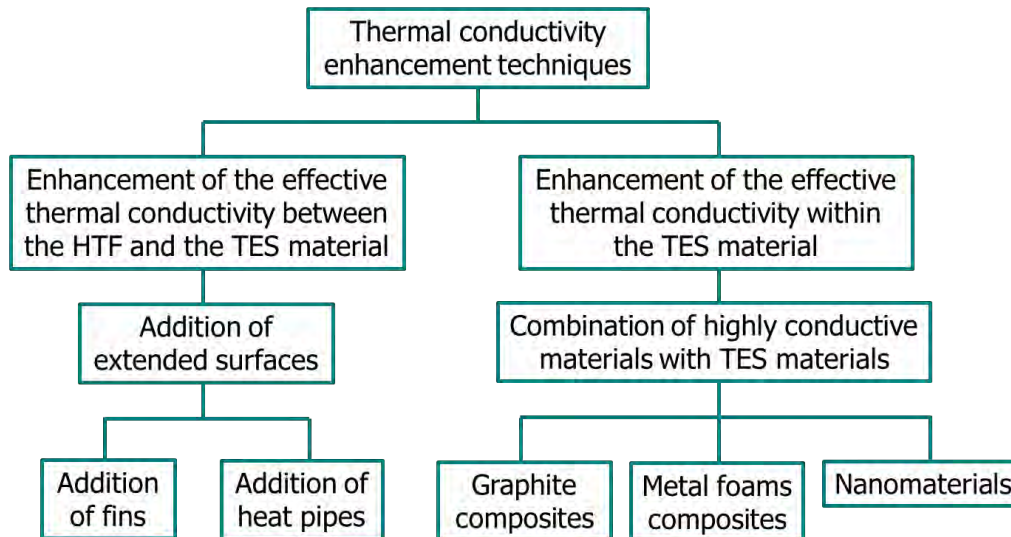


Figure 2.1. Thermal conductivity enhancement techniques for high temperature purposes.

The enhancement of the effective thermal conductivity between the HTF and the TES medium has mainly been addressed by adding extended surfaces such as fins or heat pipes. As a result, higher heat transfer rates are achieved since a higher quantity of TES medium is in contact with a higher/lower temperature surface. In the case of the addition of fins, the heat transfer enhancement depends on the number of fins, thickness, geometry, material, and distance between them. In the case of addition of heat pipes, the heat transfer enhancement depends on their orientation, HTF flow rate, module length, condenser and evaporator section tube lengths, and the vapour core radius. However, two main drawbacks need to be faced, the increase of costs and the decrease of the packing factor. On the contrary, the enhancement of the effective thermal conductivity within the TES materials has been addressed by combining them with highly conductive materials such as graphite, metal foams, and nanomaterials. Table 2.1 reviews the parameters which have an influence on the heat transfer enhancement for the three different techniques, as well as the main disadvantages reported.

Table 2.1. Main characteristics of the heat transfer enhancement techniques within the PCM.

	<b>Heat transfer enhancement technique</b>		
	<b>Combination of PCM with metal foams and porous materials</b>	<b>PCM and graphite composites</b>	<b>Combination of PCM with nanoparticles</b>
<b>Enhancement depends on:</b>	Material, porosity, and pore density	Combination technique, type of graphite, concentration, porosity and working temperature	Nanoparticle material, concentration, and size Settlement of the nanoparticles
<b>Disadvantages</b>	Increase of costs	Increase of costs	Increase of costs
	Decrease of the packing factor	Decrease of the packing factor	Decrease of the packing factor
	Suppression of the natural convection in liquid state	Reduction of latent heat	Difficulty in synthesising
		Possibility of PCM leakages	Difficulty in controlling the size and dimensions of the nanoparticles

Regarding the addition of fins, 77.8% of the studies found in the literature were numerical, and the percentage of improvement of the effective thermal conductivity depended on the parameters previously mentioned. The experimental studies focused on the reduction of the charging and discharging processes time, finding reductions up to 23% by increasing the heat transfer surfaces in a factor of 4 [28]. On the other hand, only numerical studies were found for the addition of heat pipes enhancement technique. The percentage of improvement of the effective thermal conductivity also depended on the parameters as previously mentioned.

In relation to the graphite composites, 70% of the work found in the literature corresponded to experimental studies, while the remaining 30% were numerical studies. These studies used different types of graphite (expanded graphite, natural graphite, compressed expanded graphite, commercial expanded graphite, ground expanded graphite, expanded natural graphite, and multi-

walled carbon nanotubes), different methods of synthesis (compression, infiltration, impregnation, and dispersion), and different concentrations. It was found that heat transfer enhancements were increased to a factor of 100 by using a  $\text{KNO}_3 + \text{NaNO}_3$  mixture combined with 15 wt% expanded natural graphite treated with sulphuric acid through the cold compression method [29]. However, a reduction in the natural convection was observed in the liquid state.

With reference to the metal foams composites, only six studies were found where copper was the most used porous material and  $\text{NaNO}_3$  the most improved TES material. Experimental results showed enhancements in a factor of up to 2.5 of the heat transfer rates in the solid region [30]. However, this technique does not increase the effective thermal conductivity on the liquid state as a result of the reduction of the natural convection.

Finally, the addition of nanoparticles was found to be a research field which is growing in interest among the scientific community. 82% of the research work studied was found to be experimental, and the remaining 18% to be numerical. In these studies, the material, size and concentration of the nanoparticles were the three main parameters which were evaluated, mainly focused on improving the most common TES materials used in solar plants. Experimental results found enhancements up to 61 % in a  $\text{SO}_2$ -Aluminate cement nanomaterial using 3 wt% of 50 nm particles [31].

### **2.3.2. Contribution of the candidate**

Jaume Gasia proposed and conceived the structure of the review; Jaume Gasia also proposed a list of references to review, which was further extended by the co-authors; all co-authors collaborated on the interpretation of the results and on the preparation of the manuscript, as well as responding to reviewers queries.


### 2.3.3. Journal paper

The scientific contribution from the present research work was published in the Renewable and Sustainable Energy Reviews journal in 2016.

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Materials and system requirements of high temperature thermal energy storage systems: A review. Part 2: Thermal conductivity enhancement techniques



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**ABSTRACT**

This review is focused on the study of the requirement of high thermal conductivity of thermal energy storage (TES) materials and the techniques used to enhance it as this is one of the main obstacles to achieve full deployment of TES systems. Numerical and experimental studies involving different thermal conductivity enhancement techniques at high temperature ( $> 150$  °C) are reviewed and classified. This article complements Part 1, which reviews the different requirements that TES materials and systems should consider for being used for high temperature purposes and the approaches to satisfy them. The enhancements identified for this temperature range are the addition of extended surfaces like fins or heat pipes and the combination of highly conductive materials with TES material like graphite or metal foam composites and nanomaterials. Moreover the techniques presented are classified and discussed taking into account their research evolution in terms of maturity and publications.

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## Chapter 3

# Partial load operating conditions for thermal energy storage management

### 3.1. Introduction

Renewable and industrial waste heat energy sources do not always provide a continuous energy supply, and when they do it, the charging process of the TES system can be adapted according to the final demand and the storage design. These conditions are known as partial load operating conditions, and they might have an effect on the final performance of the TES systems and on the thermal processes attached to them. For example, in latent heat TES systems, working under partial load operating conditions might lead to the PCM to be not fully melted, or solidified, at the end of their respective charging, or discharging processes. Thus, understanding the influence of partial load operating conditions on both processes can help designers to better support thermal storage sizing decisions, and manufacturers to take into account the greater level of complexity when dealing with latent heat TES systems. The reason lies on the fact that geometry plays a much more important role as compared with sensible TES systems in terms of avoiding possible dead zones, or the greater relevance of the distance between the heat exchanger surfaces and the PCM to permit higher heat transfer rates during the melting-solidifying processes, etc. [32].



The PCM selection is a crucial step that should be carried out to ensure that the latent heat TES systems working under partial load operating conditions are able to last for a long period at high performance. It is not always easy to identify all critical parameters and the necessary requirements are often in conflict with each other [33]. Previous research was conducted on performing a selection and characterization of liquid crystals [34], sugar alcohols and eutectic blends [35], and other different PCM family groups [36,37]. Researchers focused on the study of the thermal cycling and stability, thermal physical and rheological properties, and the health hazard of the different PCMs under evaluation. One of the conclusions pointed out by researchers was the need for further investigations under real operating conditions. This approach was dealt in the present PhD thesis during the selection procedure of the PCM that was used to carry out the experimental research.

The influence of the partial load operating conditions in latent heat TES systems was previously studied by some authors [38-45]. The vast majority of PCMs present hysteresis [6] and therefore, they present different enthalpy-temperature curves for the heating (melting) and cooling (solidification) processes (Figure 3.1a). Consequently, the transition between heating and cooling in latent TES systems working under partial load operating conditions requires special attention, and this will help to avoid accuracy problems in the system performance analysis [46]. Nowadays, the way of addressing this transition when a PCM has not fully undergone phase still remains uncertain despite the fact that four different methodologies were proposed. The first methodology proposes switching from one curve to the other with a slope that is identical to the slope of the sensible region at which the process should finish [38] (points a-c-f in Figure 3.1b). Thus, it reduces the possibility of having a discontinuity at the enthalpy curve when the transition takes place at the end of the phase change. The second methodology consists of instantaneous switches between both curves, which means that the transition from one curve to the other has no equivalent slope, but it takes place horizontally [39] (points a-c'-f in Figure 3.1b). The third methodology proposes staying at the same curve than in the precedent process, so there is no change between curves unless the phase change is completed [40] (points a-d-f in Figure 3.1b). Finally, the fourth methodology proposes switching to a curve placed between the cooling and

heating curves, whose position and slope depends on TES system operating conditions and if the interruption takes place during the heating or cooling [41] (points a-b-e-f in Figure 3.1b).

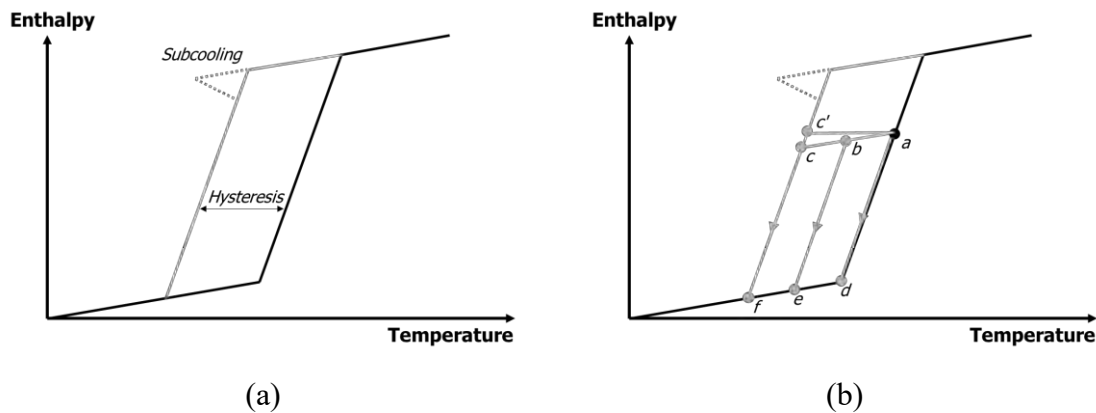


Figure 3.1. (a) Hysteresis and subcooling in a PCM melting and solidification process. (b) Scenarios for modelling the transition between heating and cooling in a partially melted PCM. Based on [42].

Different conclusions arise from the research studies that analysed the influence of the partial load operating conditions [41-46]. First, the processes that had an incomplete PCM melting or solidification presented worse results than the processes that had a complete PCM melting or solidification. The reason lies on the fact that the characteristic latent heat of these processes was not fully used. Second, the degree of subcooling of some PCMs decreased in the solidification process that had an incomplete melting process. When a melting process was interrupted before it was completed, a certain percentage of PCM was either in the solid state or in transition, and therefore, some particles of crystal remained in the system, which acted as nucleating agents. Third, the hysteresis between heating and cooling was reduced in comparison to complete phase change processes for analogous reasoning. Lastly, the PCM phase change temperatures presented slight variations, which is clearly related to the variation of the hysteresis curve. However, most of the authors commented that there is a lack of experimental studies evaluating this topic and therefore, further works are required to validate the previous statements.

Another important aspect in partially charged/discharged latent TES systems is the proper evaluation of the storage periods (also known as stand-by periods) between charge and discharge. The reason lies on the fact that the thermal distribution at the end of the preceding

process (either charge or discharge) may be different from the thermal distribution at the beginning of the subsequent process, and it is usually not considered [47-50].

### 3.2. Experimental set-up

A pilot plant facility at the University of Lleida was used to carry out the experimentation (Figure 3.2). This facility contains three characteristic systems that allow simulating a TES system coupled to a real thermal process. Firstly, a commercial 24 kW<sub>e</sub> electrical heater supplied by Pirobloc which heats up the HTF (silicon fluid Syltherm 800 [51]) acting as the heating energy source during a charging process. Secondly, a 20 kW<sub>th</sub> air-HTF heat exchanger, designed and built at the University of Lleida, which cools down the HTF acting as the heat sink during the discharging process. Finally, a latent heat TES system to store the PCM. These three systems are linked through a stainless steel 304 L piping which distributes the HTF within a flow rate range between 0.3 and 3 m<sup>3</sup>/h. Moreover, the piping is also insulated with rock wool in order to minimize the heat losses to the surroundings.

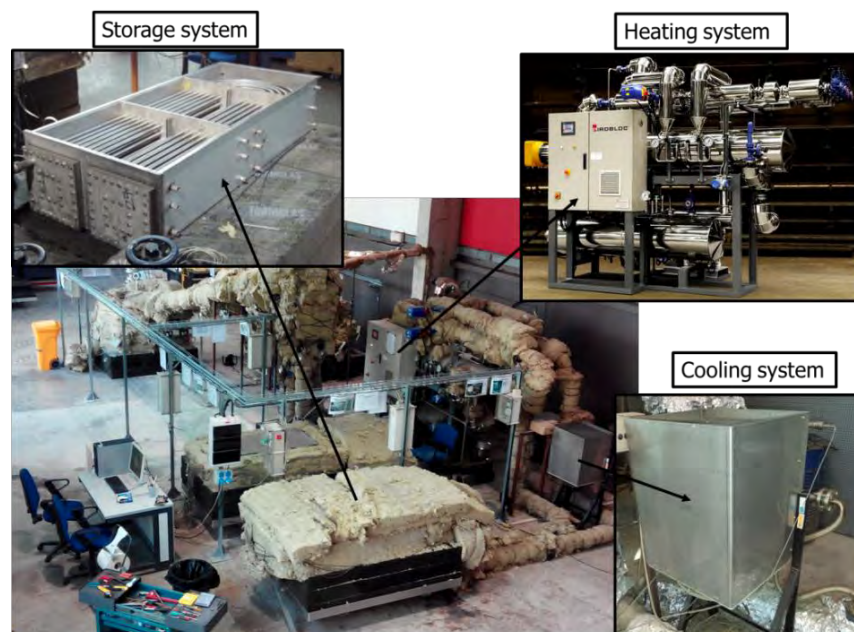


Figure 3.2. Pilot plant facility available at the University of Lleida which was used to experimentally test the partial load operating conditions for TES management.

The latent heat TES systems consists of a stainless steel 304L storage tank based on the shell-and-tube heat exchanger concept. Its design is based on a rectangular prism shaped vessel (0.53 m x 0.27 m x 1.27 m), where the PCM is located, with a tubes bundle inside containing seven rows of seven tubes distributed in square pitch (Figure 3.3a). The tubes are bended in U-shape, the distance between tubes centre is 30 mm and all the tubes are connected in the same side of the vessel to two different manifolds which distribute and collect the HTF. With the aim of reducing the heat losses, 450 mm of Foamglass® are installed under the storage and 240 mm of rock wool are installed on the lateral walls and on the cover of the storage tank.

The capacity of the TES system is 0.154 m<sup>3</sup> and 99.5 kg of PCM are distributed within the vessel as shown in Figure 3.3b. 79% of the PCM is located in the main part, where the tube bundle is, 14% of the PCM is located in the central part, and the remaining 7% is located in the corners. Different Pt-100 1/5 DIN class B temperature sensors with an accuracy of  $\pm 0.3$  °C are located at different regions of the latent TES for the monitoring and acquisition of the HTF and PCM temperatures. The details of the sensor locations are:

- Two sensors are located at the inlet and outlet of the HTF tubes bundle to measure the HTF inlet and outlet temperatures ( $T_{HTF.IN}$  and  $T_{HTF.OUT}$  in Figure 3.3d)
- Thirty-one sensors are located within the TES system to measure the PCM temperature. Nineteen of these sensors are located in the main part (from  $T_{PCM.1}$  to  $T_{PCM.15}$  in Figure 3.3c), six sensors in the corner part close to the U bend (from  $T_{c.1}$  to  $T_{c.6}$  in Figure 3.3d), and six in the central part (from  $T_{in.1}$  to  $T_{in.6}$  in Figure 3.3d).
- Six additional sensors are placed on the walls of the vessel and on the walls of the insulation to evaluate the heat losses to the surroundings.

Each PCM temperature sensor is associated to a control volume, which is defined as the theoretical volume of PCM in which the value of the temperature sensor is approximately representative. Table 3.1 presents the location and length of the different temperature sensors.

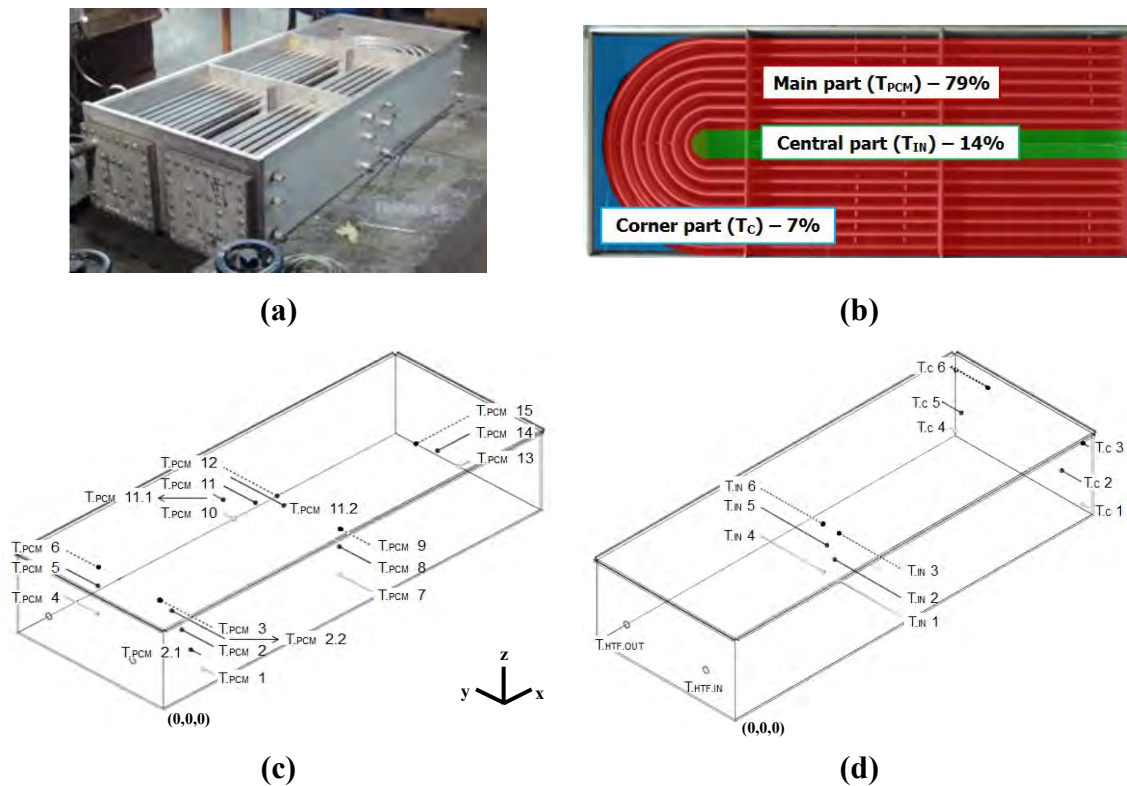


Figure 3.3. TES system used of the experimental setup: (a) Overview of the TES system; (b) PCM distribution within the TES system; (c) PCM temperature sensors of the main part; (d) Inlet and outlet HTF temperature sensors, and PCM temperature sensors of the corner and central parts.

Table 3.1. Location of the different temperature sensors within the storage tank.

	Probe	Units	x	y	z	Sensor length
Main part	$T_{PCM 1}$	[mm]	78	0	31	35
	$T_{PCM 2}$	[mm]	78	0	126	114
	$T_{PCM 2.1}$	[mm]	38	0	126	35
	$T_{PCM 2.2}$	[mm]	118	0	126	194
	$T_{PCM 3}$	[mm]	78	0	190	194
	$T_{PCM 4}$	[mm]	78	527.5	31	114
	$T_{PCM 5}$	[mm]	78	527.5	126	114
	$T_{PCM 6}$	[mm]	78	527.5	190	114

*continued*

Table 3.1. Location of the different temperature sensors within the storage tank (*Continued*).

	<b>Probe</b>	<b>Units</b>	<b>x</b>	<b>y</b>	<b>z</b>	<b>Sensor length</b>
Main part	T <sub>PCM 7</sub>	[mm]	652	0	31	114
	T <sub>PCM 8</sub>	[mm]	652	0	126	114
	T <sub>PCM 9</sub>	[mm]	652	0	190	114
	T <sub>PCM 10</sub>	[mm]	652	527.5	31	35
	T <sub>PCM 11</sub>	[mm]	652	527.5	126	114
	T <sub>PCM 11.1</sub>	[mm]	612	527.5	126	35
	T <sub>PCM 11.2</sub>	[mm]	692	527.5	126	194
	T <sub>PCM 12</sub>	[mm]	652	527.5	190	194
	T <sub>PCM 13</sub>	[mm]	1273	264	31	35
	T <sub>PCM 14</sub>	[mm]	1273	264	126	114
	T <sub>PCM 15</sub>	[mm]	1273	264	190	194
Central part	T <sub>in 1</sub>	[mm]	532.2	0	31.1	235
	T <sub>in 2</sub>	[mm]	532.2	0	94.6	252
	T <sub>in 3</sub>	[mm]	532.2	0	189.9	235
	T <sub>in 4</sub>	[mm]	532.2	527.5	31.1	235
	T <sub>in 5</sub>	[mm]	532.2	527.5	94.6	252
	T <sub>in 6</sub>	[mm]	532.2	527.5	189.9	235
Corners	T <sub>c 1</sub>	[mm]	1227.2	0	31.9	22
	T <sub>c 2</sub>	[mm]	1227.2	0	134.4	73
	T <sub>c 3</sub>	[mm]	1227.2	0	236.9	22
	T <sub>c 4</sub>	[mm]	1227.2	527.5	31.9	22
	T <sub>c 5</sub>	[mm]	1227.2	527.5	134.4	73
	T <sub>c 6</sub>	[mm]	1227.2	527.5	236.9	150

The HTF flow rate is measured using a FUJI FCX-A2 V5 series transmitter with an accuracy of  $\pm 23.7$  l/h. Temperature and flow rate sensors are connected to a DL01 from STEP data acquisition system, which controls, measures and records the information at a time interval of 60 s.

### 3.3. Paper 3. Phase change material selection for thermal processes working under partial load operating conditions in the temperature range between 120 and 200 °C

#### 3.3.1. Contribution to the state-of-the-art

In the present study, the authors performed a PCM selection under real operating conditions. Sixteen different PCMs with phase change temperatures range between 120 and 200 °C were evaluated from the thermal and cycling stability, as well as from the health hazard point of view, with the aim of selecting a suitable PCM that would be further used in a pilot plant experimental setup working under partial load operating conditions.

The selection of the temperature range between 120 and 200 °C lied on the fact that thermal energy processes with a higher possibility to make the TES system working under partial load operating conditions are within this range (Table 3.2).

Table 3.2. Potential thermal energy processes which can operate under partial load operation conditions within the temperature range between 120 and 200 °C. Based on Gasia et al. [19].

Thermal process	Range of temperatures
Absorption refrigeration	From 80 to 230 °C
Adsorption refrigeration	From -60 to 350 °C
Transportation exhaust heat recovery	From 55 to 800 °C
Solar cooling	From 60 to 250 °C
Industrial waste heat recovery	From 30 to 1600 °C

The main contribution to the state-of-the-art is the characterization of sixteen different PCMs with phase change temperatures within the temperature range of 120 and 200 °C, considering the thermal and cycling stability, and the health hazard. The novelty of this work is the increase of the number of cycles up to one hundred, the performance of the cycling tests under no controlled atmosphere and with higher samples mass, and the modification of the health hazard study.

This paper shows that from the health hazard point of view, high density polyethylene (HDPE) was the safest PCM among the sixteen evaluated (Table 3.3). Other six PCMs (Sebacic acid, dimethyl terephthalate, d-mannitol, adipic acid, benzanilide, dulcitol) were also found to be suitable to be selected for latent heat TES purposes but specific safety measures should be taken into account. Finally, the remaining eight PCMs were found to be dangerous and their use should be either avoided or used under high safety measures.

From the thermal stability point of view it was found that only five PCMs, potassium thiocyanate, HDPE, dulcitol, adipic acid, and d-mannitol, were suitable for TES processes with temperatures of 200 °C or higher (Table 3.3). The remaining eleven PCMs showed a maximum thermal-stable temperature between 118 °C and 196 °C, and therefore their suitability depends on the fact that the heating temperature does not exceed their maximum thermal-stable temperature. Finally, from the cycling stability point of view, it was found that the phase change temperatures and enthalpies of seven PCMs, benzamide, HDPE, dimethyl terephthalate, adipic acid, potassium thiocyanate, and benzanilide remained almost constant after 100 cycles. Moreover, the previous PCMs together with phthalic anhydride, hydroquinone, and sebacic acid presented no, or a certain level, of chemical degradation.

Table 3.3 summarizes the characterization of the sixteen PCMs under study. Hence, results from this characterization showed that HDPE and adipic acid were the two most suitable PCMs to be used in an experimental evaluation of the partial load operating conditions.

### 3.3.2. Contribution of the candidate

Jaume Gasia, Aran Solé and Luisa F. Cabeza conceived and designed the study; Marc Martin and Camila Barreneche performed the experiments at the laboratory; all co-authors collaborated on the interpretation of the results and on the preparation of the manuscript, as well as responding to reviewers queries.



Table 3.3. Summary of the characterization of the sixteen PCM under study.

Material	Health hazard	Cycling stability (after 100 cycles)		Thermal stability	Suitable material
		Phase change enthalpy loss (%)	Chemical degradation	Maximum thermal-stable temperature	
Benzoic acid	2	100	++	121	No
Benzamide	2	14	++	138	No
HDPE	0	12	+	309	Yes
Sebacic acid	1	63	++	118	No
Phtalic anhydride	3	6	+	129	No
Maleic acid	3	**	++	141	No
Urea	2	**	++	148	No
Dimethyl terephthalate	1	2	+	128	No
D-mannitol	1	61	++	259	No
Adipic acid	1	7	-	203	Yes
Salycilic acid	2	**	++	133	No
Potassium thiocynate	3	13	+	540	No
Hydroquinnone	2	62	++	157	No
Benzanilide	1	2***	-	196	No
Dulcitol	1	100	++	293	No
2,2-bis(hydroxymethyl) propionic acid (DMPA)	*	**	++	190	No

<sup>a</sup> Chemical degradation: - no degradation, + no remarkable degradation, ++ remarkable degradation / \* NFPA 704 health hazard division (blue) has not been found in the literature. / \*\* The material does not show phase change under analysis conditions. / \*\*\* The material presents remarkable differences between solidification and melting temperatures at lab scale

### 3.3.3. Journal paper





The scientific contribution from the present research work was published in the Applied Sciences journal in 2017.

Reference: Gasia J, Martin M, Solé A, Barreneche C, Cabeza .F. Phase Change Material Selection for Thermal Processes Working Under Partial Load Operating Conditions in the Temperature Range Between 120 and 200 °C. Applied Sciences 2017;7;722-744. DOI: 10.3390/app7070722.



Article

## Phase Change Material Selection for Thermal Processes Working under Partial Load Operating Conditions in the Temperature Range between 120 and 200 °C

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### 3.4. Selected phase change material: High density polyethylene (HDPE)

Before performing the experimental evaluation of the partial load operating conditions in the latent heat TES system located at the University of Lleida, the authors selected HDPE as PCM, after analysing the conclusions from the material selection analysis done in the previous study. The main reasons were its suitable thermophysical properties and the fact that this PCM presented a melting range large enough to evaluate the partial load operating conditions. The most important HDPE results from this analysis are summarized below:

- Health hazard

According to the NFPA 704 standard, the health hazard was zero. This value was further specified with the globally harmonized system (GHS) of classification and labelling of chemicals, which stated that HDPE was a non-hazardous material.

- Thermal stability

The maximum thermal-stable temperature of the HDPE, which is defined as the temperature needed by the material to lose 1.5 wt.% of its composition, is 309 °C and its final degradation temperature, which is defined as the temperature achieved when the thermal-degradation process is finished, is 540 °C.

- Cycling stability

- Thermophysical characterization

The results of the HDPE thermophysical characterization are shown in Table 3.4, where it can be observed that its phase change temperature experienced practically no variation, while its phase change enthalpy showed a variation lower than 13% after 100 cycles.

Table 3.4. Evolution of the melting and solidification enthalpies and temperatures of HDPE after 0, 10 and 100 cycles.

Cycles	$\Delta H_{\text{fusion}}$ [kJ/kg]	$\Delta H_{\text{solidification}}$ [kJ/kg]	$T_{\text{fusion}}$ [°C]	$T_{\text{solidification}}$ [°C]
0	137.78	130.65	126.98	119.93
10	130.42	124.27	127.45	119.35
100	120.59	115.46	126.45	119.38

- Chemical characterization

The results of the HDPE chemical characterization are shown in Figure 3.4. It can be seen that this PCM showed practically no variation after 100 cycles.

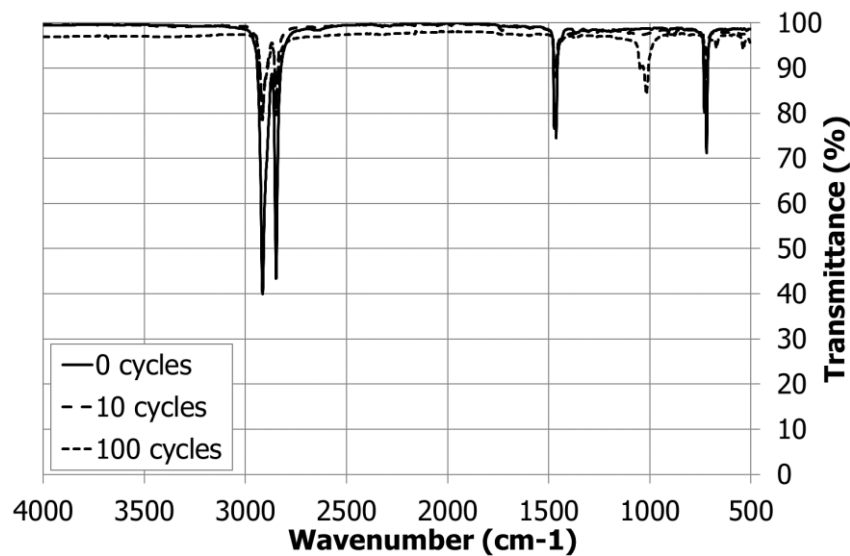


Figure 3.4. HDPE Fourier transform infrared (FT-IR) spectroscopy after 0, 10 and 100 cycles.

The material selection study, whose results are presented in the previous section, was performed with a high quality grade PCM. However, the PCM selected to perform the experimental study at the pilot plant facility was the commercial HDPE PEAD ALCUDIA 6020L, supplied by REPSOL [52] with a price, in February 2017, of 1.95 €/kg. It consists of a monomodal homopolymer with a medium molecular weight, and it is mainly used for the manufacturing, by extrusion-blowing, of bottles for sterilized and pasteurized milk.

A new study by means of differential scanning calorimetry (DSC) analysis was performed to thermally characterize the new commercial HDPE. Enthalpy-temperature and specific heat-temperature curves were obtained following the standard methodology presented in the IEA SHC Task 42 / ECES Annex 29 [53]. Results from the DSC analyses showed that the melting process of HDPE takes place between 124 °C and 134 °C, with a peak at 127 °C, and that the solidification phase change is ranged between 126 °C and 114 °C, with a peak at 119 °C (Figure 3.5). Furthermore, a summary of the main thermophysical properties of commercial HDPE is shown in Table 3.5.

Figure 3.6 shows the state of the selected PCM after a charging process (melting), and after the discharging process (solidification). The presence of air bubbles was found during the melting process due to the release of air that was embedded when the PCM was in solid state. The high viscosity of the HDPE makes the air to scape at a very low velocity, and therefore, the creation and visualization of these air bubbles. This behaviour is consistent with the one reported in Zauner et al. [54]. HDPE presents a density variation of around 18 % between the liquid and the solid phases. This high variation caused the HTF tubes to be bended (Figure 3.6a) and the creation of air gaps at the areas where the solid PCM was in contact with the metallic shell of the TES system (Figure 3.6b)

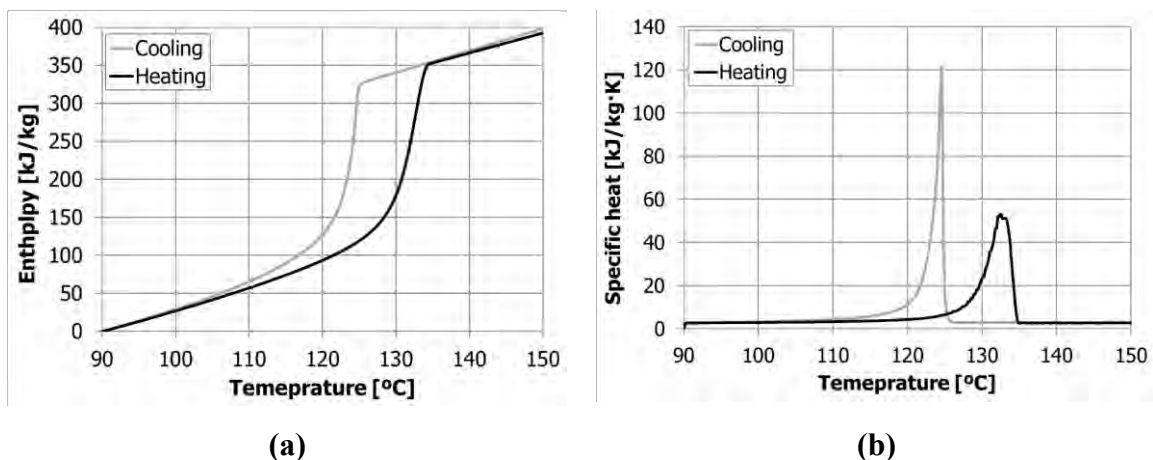


Figure 3.5. HDPE results from the DSC analyses [55] following the PCM standard methodology [53]. (a) HDPE enthalpy-temperature curve; (b) specific heat-temperature curve.

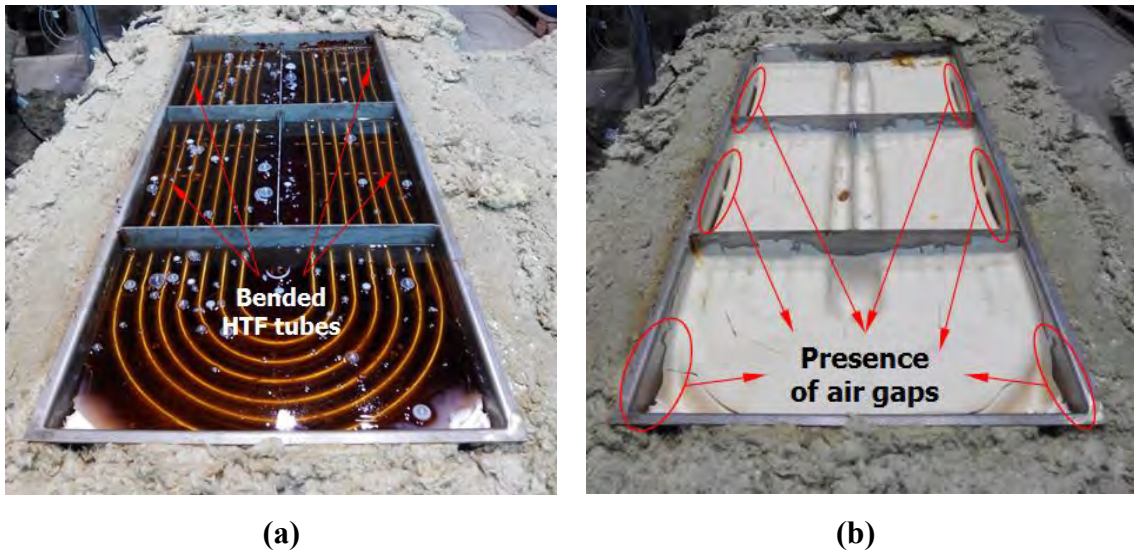


Figure 3.6. Overview of the HDPE inside the storage tank: (a) melted and (b) solidified.

Table 3.5. Summary of the main thermophysical properties of HDPE.

Parameter		Value		Ref.
Melting temperature	$T_m$	124 – 134 °C		[55]
Solidification temperature	$T_s$	126 – 114 °C		[55]
Melting enthalpy	$\Delta H_m$	137.8 kJ/kg		[55]
Solidification enthalpy	$\Delta H_s$	130.7 kJ/kg		[55]
Specific heat	$C_p$	@100 °C	2.4 – 2.8 kJ/kg·K	[55-56]
		@150 °C	2.3 – 2.7 kJ/kg·K	[55-56]
Density	$\rho$	@100 °C	990 kg/m <sup>3</sup>	[52]
		@150 °C	786 kg/m <sup>3</sup>	[55]
Thermal conductivity	$\lambda$	@100 °C	0.35 – 0.38 W/m·K	[54,56]
		@150 °C	0.19 W/m·K	[54]
Subcooling	$\Delta T_{sub}$	No subcooling was detected		[55]

## **3.5. Paper 4. Use of partial load operating conditions for latent thermal energy storage management**

### **3.5.1. Contribution to the state-of-the-art**

The main contribution to the state-of-the-art is the evaluation and quantification of the effects of working under partial load operating conditions during the charging process on its consecutive discharging process. A key performance indicator (KPI) known as ratio of accumulated energy (RAE) was defined to characterize the percentage of charge. It is calculated based on the amount of energy accumulated in the PCM at a certain time interval in front of the theoretical maximum energy that can be stored by the PCM. Five different RAE are studied, 58%, 73%, 83%, 92%, and 97% (baseline case), with the aim of determining how the reduction of both the charging process time (advantage) and the energy stored (disadvantage), influence on the discharging process.

This paper presents the procedure to determine the five values of RAE which were used and studied in the current study. The procedure consisted of performing a 24-hour charging process and evaluating the time needed to achieve different percentages of charge. From this process, it was observed that the time evolution for the first 55% of charge followed a linear profile with an approximate slope of 0.655 minutes per percentage of RAE, which indicates that a high amount of energy was stored in the TES system as a result of the melting process. During the following period, which continued up to a value of RAE of 70%, the evolution of time also showed a linear profile but with a slope of 2.7 times steeper than the previous one, indicating that the time needed to increase the same percentage of RAE was higher, since a big amount of PCM was melted or almost melted. Finally, the remaining charging period followed a non-linear profile because the energy absorbed by the TES system was focused on melting the PCM located in the corners and on increasing the temperature of the already liquid PCM. Therefore, the authors selected values of RAE located on the last two periods of charge, which are more likely to occur in real facilities.

Results showed that working under partial load operating conditions reduced the charging process time. When the TES system was charged at the RAE presented above, a reduction between 68.8% and 97.2% was observed if compared to the baseline case. In real facilities, this aspect is beneficial since a higher number of cycles can be performed. However, the energy accumulated in the TES system for the same RAE was reduced between 5.2% and 40.2%, which indicates that working under partial load operating conditions has an impact on the discharging process.

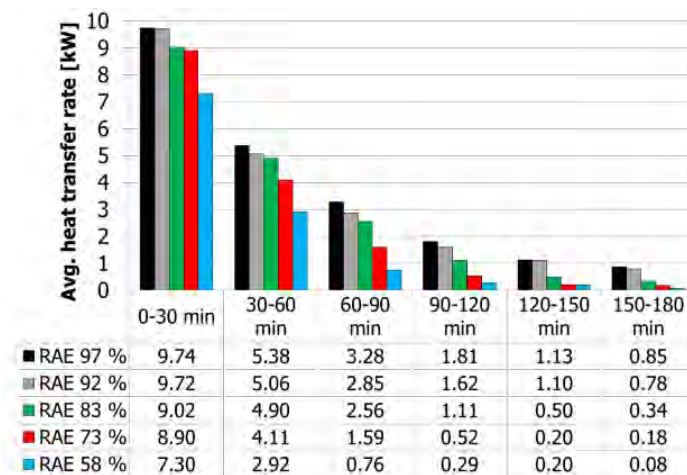
During the evaluation of the discharging process, it was observed that the behaviour of the TES system was indeed affected by the percentage of charge. From the temperatures point of view, higher PCM temperatures were observed for the study cases with a higher RAE during the whole process, no matter where the PCM was located. Moreover, more uniform PCM temperatures within the TES system were also observed at the beginning of the discharge. The influence of this uniformity specially affected the PCM located at the corners of the TES system. In the baseline case, no remarkable effects were observed since the temperature was practically homogeneous within the whole system. However, for the other study cases, a higher temperature difference between the main parts and the corners was detected. Moreover, the PCM located in the corner kept increasing its temperature during the first 90 minutes of discharge, since the energy transferred from the PCM located at the main part was higher than the energy lost through the walls.

From the heat transfer point of view, the experimentation presented in this study showed the same tendency than before and, when the different study cases were compared against the baseline case, results were observed to be worse. During the first 30 min of discharge, the case studies RAE 73%, 83%, and 92% presented a variation lower than 10% (Figure 3.7a). During the following 30 min, only the studies RAE 73% and 83% presented such variation. In addition, when the PCM energy level during the discharge reached the same value than the PCM energy available at the beginning of the discharge with RAE 58%, the heat transfer rate showed different values for the different study cases (Figure 3.7b). This fact also indicates the influence on the

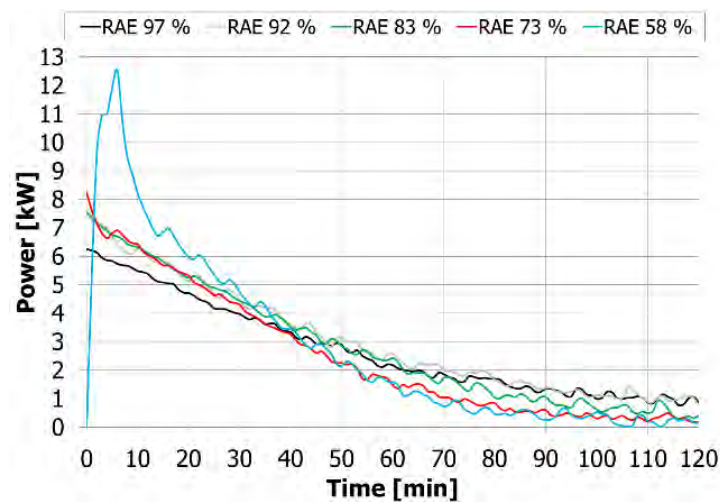


heat transfer rates of the PCM temperature distribution within the TES system during the discharging process

Therefore, it can be concluded that if the TES system is required to supply thermal energy for a short period of time, the penalization of working under partial load operating conditions during the charging process is widely overcome by the charge time reductions.



(a)



(b)

Figure 3.7. (a) 30-min averaged HTF heat transfer rate evolution during the discharging processes; (b) HTF heat transfer rate evolution during the discharging processes when the PCM accumulated energy reached RAE 58%. Notice that 0 in the “x” axis means the moment when the different study cases achieved this value, and not the beginning of the discharging process.

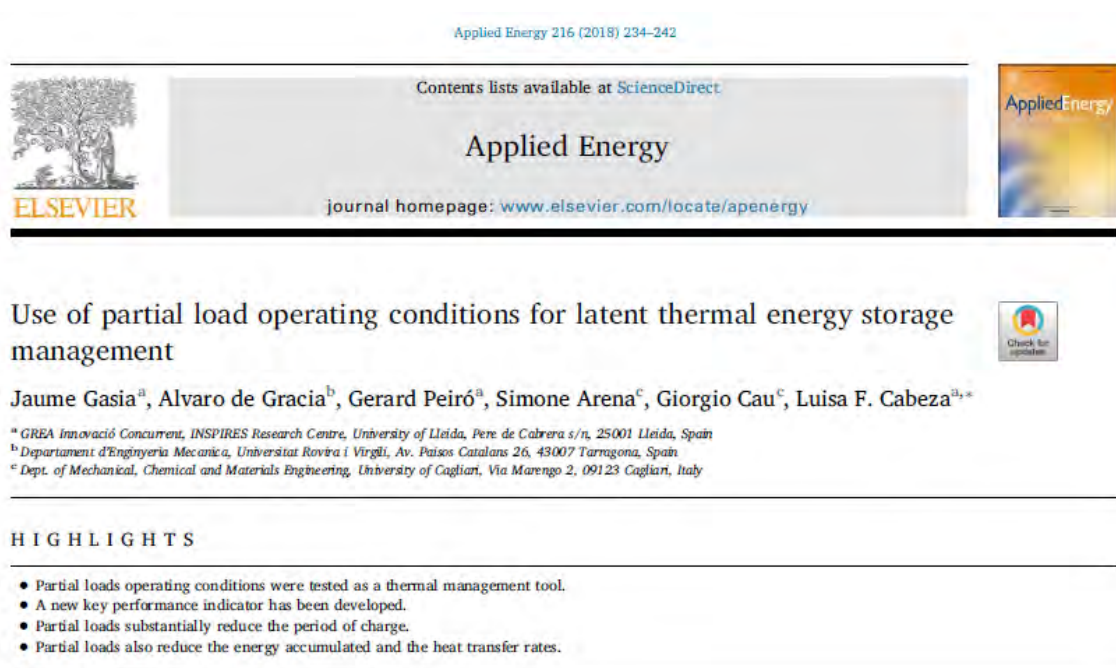
### 3.5.2. Contribution of the candidate

Jaume Gasia, Alvaro de Gracia and Luisa F. Cabeza conceived and designed the study; Jaume Gasia and Gerard Peiró performed the experimentation at the pilot plant facility; all co-authors collaborated on the interpretation of the results and on the preparation of the manuscript, as well as responding to reviewers queries.

### 3.5.3. Journal paper

The scientific contribution from the present research work was published in the Applied Energy journal in 2018.

Reference: Gasia J, de Gracia A, Peiró G, Arena S, Cau G, Cabeza L.F. Use of partial load operating conditions for latent thermal energy storage management. Applied energy 2018;2016;234-242. DOI: 10.1016/j.apenergy.2018.02.061.



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Use of partial load operating conditions for latent thermal energy storage management

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**HIGHLIGHTS**

- Partial loads operating conditions were tested as a thermal management tool.
- A new key performance indicator has been developed.
- Partial loads substantially reduce the period of charge.
- Partial loads also reduce the energy accumulated and the heat transfer rates.

## **3.6. Paper 5. Influence of the storage period between charge and discharge in a latent heat thermal energy storage system working under partial load operating conditions**

### **3.6.1. Contribution to the state-of-the-art**

The main contribution to the state-of-the-art is the evaluation of the influence of the storage period (also known as stand-by period) in a latent heat TES system working under partial load operating conditions during the discharging process. The present work investigated three different periods of storage (25 min, 60 min, and 120 min) and four partial and full charging processes (RAE 58%, RAE 73%, RAE 83%, and RAE 97%), which were obtained from the study presented in Section 3.5. This evaluation was focused not only on the storage period but also on its subsequent discharging process.

It was found that the behaviour of the latent heat TES system during the storage process depended largely on the RAE of the previous charging process. When the TES system was partially charged (RAE 58%, RAE 73%, RAE 83%) the energy level of the PCM located at the main region at the beginning of the storage period was higher than the PCM located at the central region and corners. This is due to the low PCM thermal conductivity and the TES system geometry. Hence, the length of the storage period influenced the level of homogenization and the variation of the thermal conditions between the end of the charging process and the beginning of the discharging process (Figure 3.8). On the contrary, when the TES system was fully charged (RAE 97%), the PCM temperature was almost homogeneous and the heat losses played a more important role. Therefore, the duration of the storage period mainly influenced the energy lost by the PCM and the homogenization within the storage tank.

The behaviour of the latent heat TES system during the discharging process was evaluated based on the temperature and the heat transfer. Results showed that the effect of the duration of the storage period is practically insignificant and it could only be observed during the first 30 min of

discharge (Figure 3.9). The higher influence could be observed when the RAE was intermediate (RAE 73%), and not that important for lower (RAE 58%) or higher values (RAE 97%).

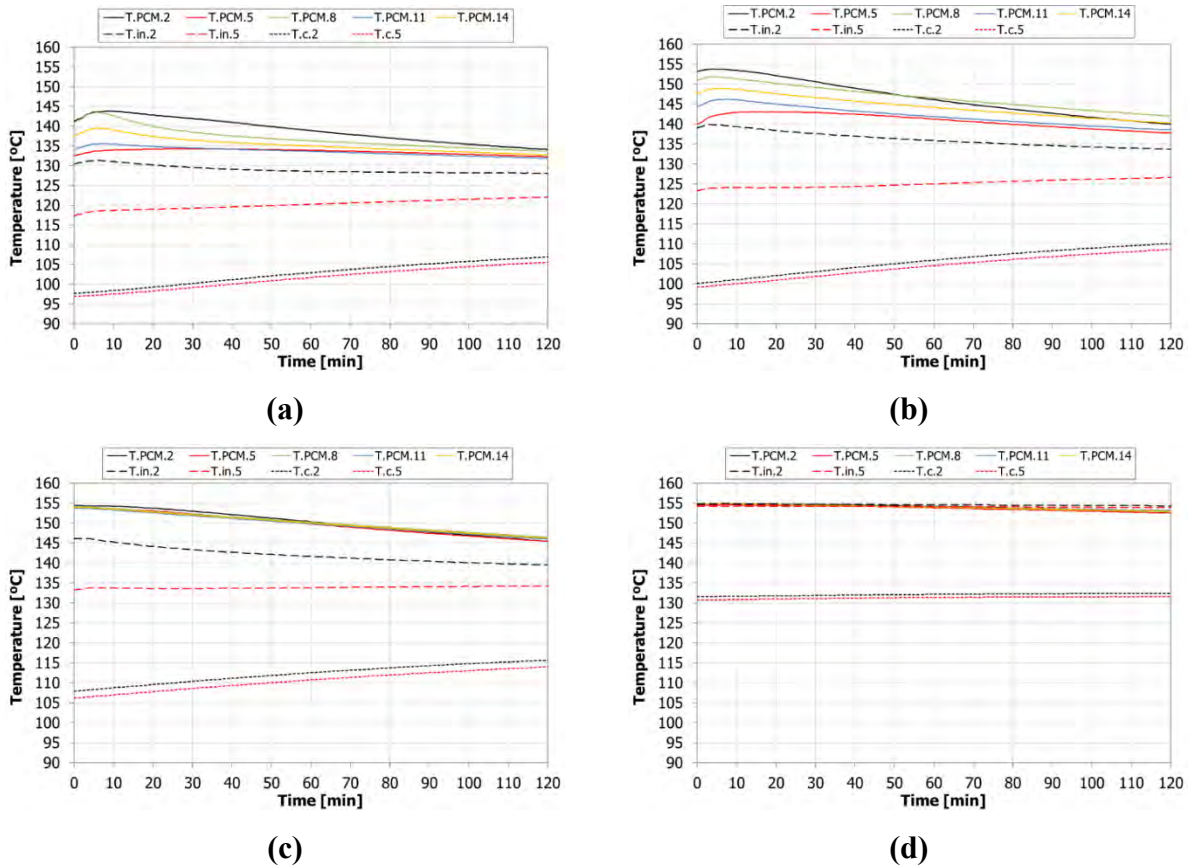


Figure 3.8. PCM temperature evolution during a storage period of 120 min: (a) RAE 58%; (b) RAE 73%; (c) RAE 83%; (d) RAE 97%.

### 3.6.2. Contribution of the candidate

All co-authors conceived and designed the study; Jaume Gasia performed the experimentation at the pilot plant facility; all co-authors collaborated on the interpretation of the results and on the preparation of the manuscript, as well as responding to reviewers queries.

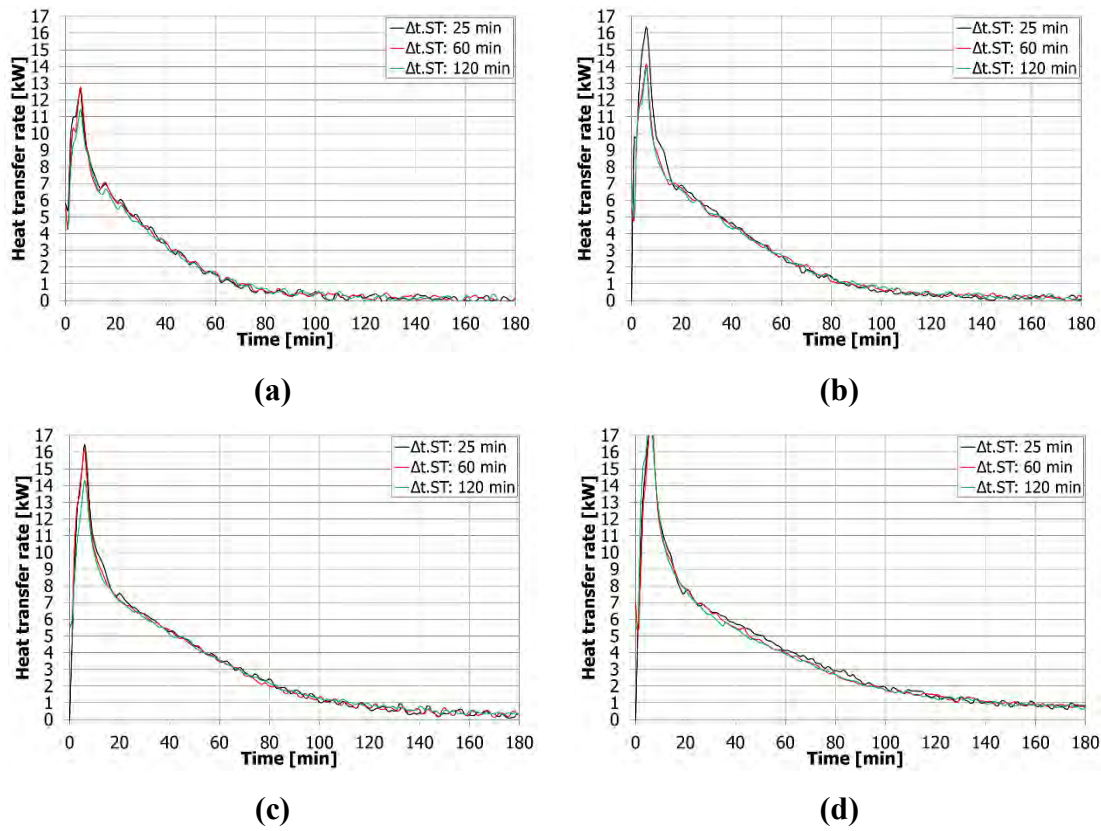


Figure 3.9. Evolution of the HTF heat transfer rate during the discharging processes for different storage periods ( $\Delta t_{ST}=25$  min, 60 min, and 120 min) and preceded by charging processes at different energy levels: (a) RAE 58%; (b) RAE 73%; (c) RAE 83%; (d) RAE 97%.

### 3.6.3. Journal paper

The scientific contribution from the present research work was published in the Applied Energy journal in 2018.

Reference: Gasia J, de Gracia A, Zsembinszki G, Cabeza LF. Influence of the storage period between charge and discharge in a latent heat thermal energy storage system working under partial load operating conditions. Applied Energy;2019;235;1389–1399. DOI: 10.1016/j.apenergy.2018.11.041

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## Influence of the storage period between charge and discharge in a latent heat thermal energy storage system working under partial load operating conditions



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### HIGHLIGHTS

- Influence of partial loads operating conditions were tested and analysed.
- Influence of the storage period length was evaluated.
- Duration of the storage period affected to the temperature homogenization.
- Duration of the storage period slightly affected HTF heat transfer rates.

## Chapter 4

# Dynamic melting as a heat transfer enhancement technique

### 4.1. Introduction

Current cost-effective PCMs present very low thermal conductivity coefficients, which significantly reduce the heat transfer to, from, or within these materials [6]. Consequently, this drawback hinders the technical viability of latent heat TES systems. The scientific community tried, during the last decades, to increase the heat transfer rates by adding extended surfaces [57,58] and combining the PCM with materials which have high thermal conductivity values [59-61]. Despite being effective enhancement techniques, they decrease the energy storage capacity and the packing factor of the system.

Recent research was conducted on the study of non-invasive heat transfer enhancement techniques, which can potentially overcome the above-commented disadvantages by moving or agitating the PCM during the phase change process [62,63]. These enhancement techniques are divided into four main categories: PCM slurries [64], direct-contact PCM systems [65,66], close-contact melting [67,68], and dynamic PCM systems [69-73]. PCM slurries are a mixture of an HTF and microcapsules of PCM. The advantage of this technique is that it allows the avoidance of intermediate materials, such as metal tubes, which reduces the thermal resistance, and allows

increasing the storage capacity as a result of the specific heat increase associated to the PCM microcapsules. However, PCM slurries have higher viscosity than the pure HTF, which leads to an increase of the pump consumption and a decrease of the heat transfer [64]. Direct-contact systems allow mixing the HTF and the PCM with no need of intermediate materials. This permits increasing the heat transfer rate of the system and reducing the heat losses. However, both HTF and PCM must be perfectly selected to ensure a proper phase separation [65,66], lower pressure losses, and a low volumetric expansion of the PCM during phase change. Close-contact melting bases its working principle on avoiding the attachment of solid PCM on the cold surfaces of the TES system and allowing motion of the solid PCM [67,68]. This is done by creating a thin liquid PCM layer on the wall as a result of setting on it a temperature with a value higher than the PCM melting temperature. Finally, the working principle of dynamic PCM systems is the movement or agitation of the PCM during the phase change process with an external mechanical force [69-73]. Hence, higher heat transfer rates during longer periods, as well as the avoidance of phase segregation, are the main advantages of this technique, while the main disadvantage is the increase of the electrical energy consumption required to move or agitate the PCM.

Dynamic PCM systems developed so far can be divided into four categories: TES systems associated to ultrasonic vibrations [69], double screw heat exchanger [70], PCM flux concept [71], and the dynamic melting concept [72,73]. The implementation of ultrasonic vibrations to the TES system allows enhancing the heat transfer due to the combination of cavitation, acoustic streaming, and thermally oscillating flow initiated by the ultrasonic vibration. The double screw heat exchanger consists of a heat exchanger which has an internally helicoidally heat transfer surface that is continuously rotating and moving the PCM during the phase change. The principle of the PCM flux concept is a transportation line that moves PCM encapsulated plates parallel to a heat transfer surface. Finally, the dynamic melting concept consists of recirculating liquid PCM during the melting process with an external device, which is used to control the PCM flow rate and the heat transfer rate.



This last technique, the dynamic melting concept, was the object of study of the this PhD thesis, continuing the work of Tay et al. [72,73]. The aim of the present work was to investigate and demonstrate, experimentally and numerically, the benefits of the dynamic melting concept in a latent heat TES system. Indeed, the TES system thermal behaviour was studied under the influence of different flow arrangements, different HTF and PCM velocities, and different heat gains in the PCM recirculation loop, as well as an explanation of the PCM fluid dynamics behaviour was proposed.

## 4.2. Working principle of dynamic melting

The working principle of the dynamic melting concept follows the schematic diagram shown in Figure 4.1. There is a HTF loop, which recirculates the HTF with an external pump, and whose objective is to absorb, or release, energy from, or to, the PCM. There is also a PCM loop, which recirculates the liquid PCM with a second external pump with the aim of increasing the dominance of the convection heat transfer mechanism. These external pumps are used to control the fluid flow rates and therefore the heat transfer rates between them.

The first stage of the dynamic melting process takes place when the latent heat TES system is homogeneous at the initial temperature of discharge for low temperature PCMs ( $T < 10$  °C) or at the initial temperature of charge for mid-high temperature PCMs ( $T > 10$  °C), where the PCM is fully solidified (Figure 4.1a). At that point, the HTF, at a higher temperature than the PCM melting temperature, is set to flow through the latent heat TES system releasing energy to the PCM, which starts to melt (Figure 4.1b). Conduction is the dominant heat transfer mechanism at the beginning of the process, but as soon as the melting front starts to move away from the heat transfer surface and the thickness of the PCM liquid layer starts to increase, the heat transfer switches from pure conduction dominance to a combination of conduction and natural convection. At some point of the process, the melting front reaches the inlet and outlet of the PCM loop, which makes the liquid fraction of PCM being able to be recirculated (Figure 4.1c). Consequently, the PCM recirculation pump is switched on and the liquid PCM is sucked from one end of the TES system and poured to the other end, situation that depends on the flow

configuration. The liquid PCM recirculation makes forced convection to become the dominant heat transfer mechanism, which increases the heat transfer rate and reduces the duration of the process when compared to the same process without the implementation of the dynamic melting concept (Figure 4.1d).

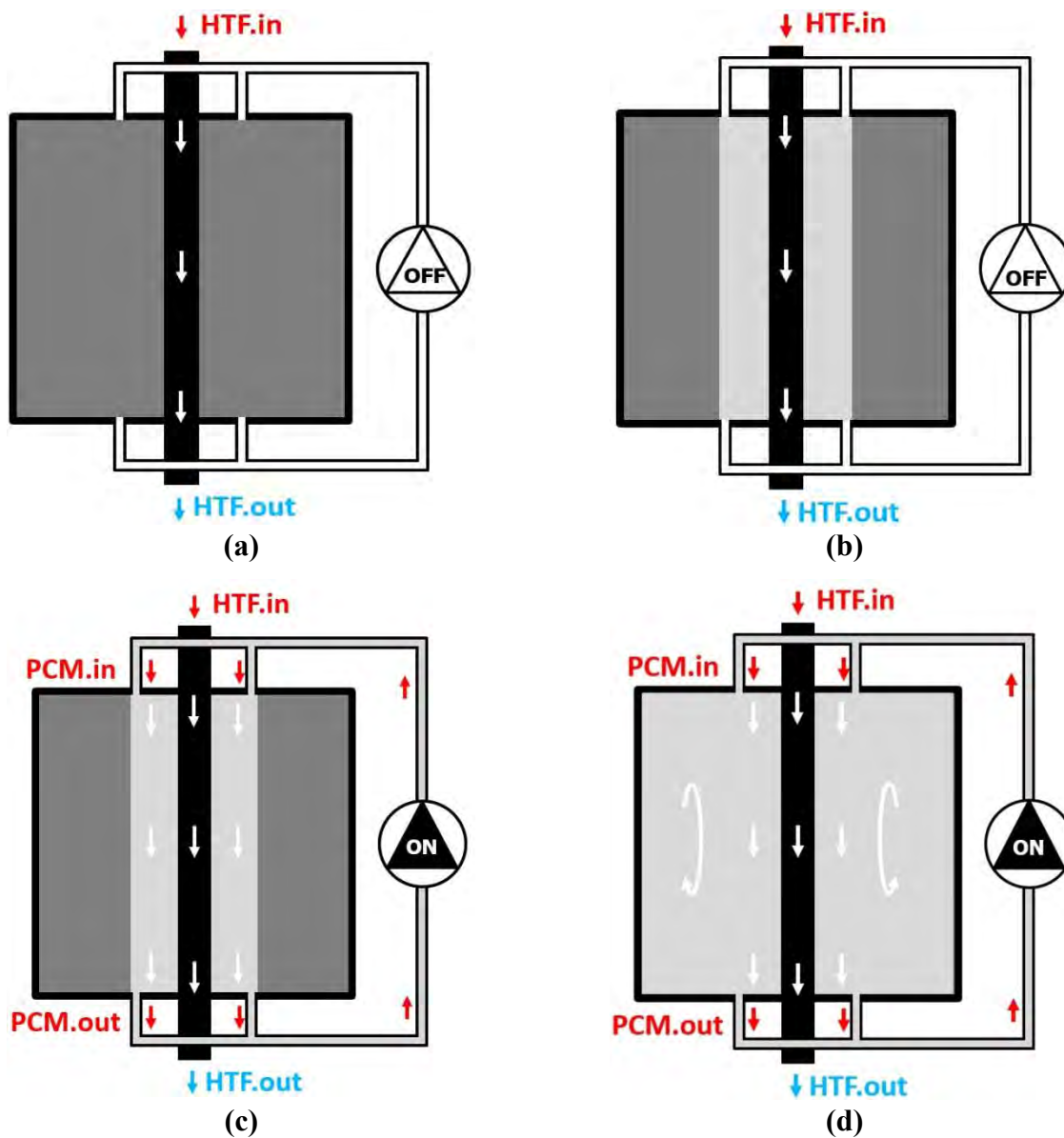


Figure 4.1. Schematic diagram of the working principle of the dynamic melting concept, where dark grey is the solid PCM and light grey is the liquid PCM. (a) to (d) follows the chronological order to completely melt a PCM. Note that the schematic diagram presented in this figure is set to one of the four possible HTF/PCM flow configurations.

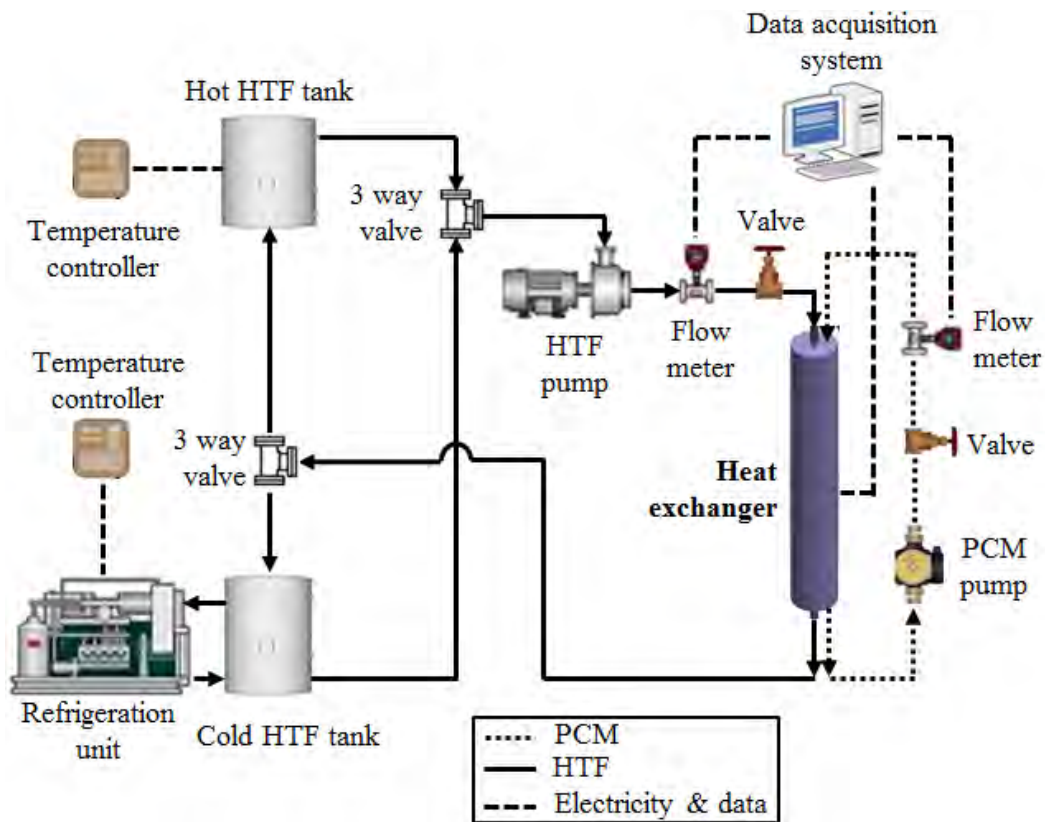
### **4.3. Paper 6: Experimental investigation of the effect of dynamic melting in a cylindrical shell-and-tube heat exchanger using water as PCM**

#### **4.3.1. Experimental set-up**

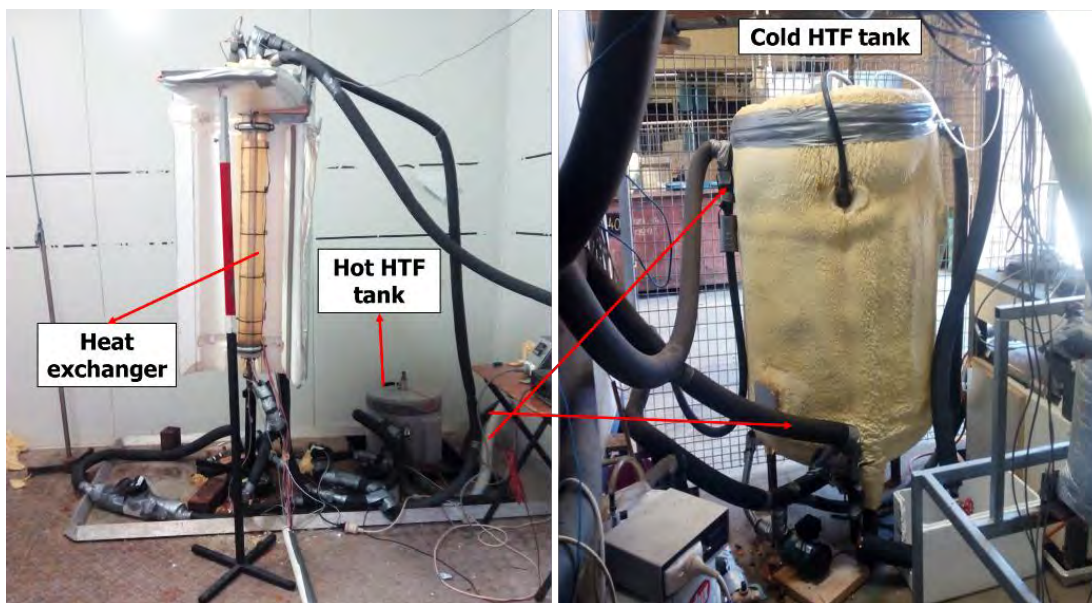
The experimental evaluation of the dynamic melting enhancement technique was carried out in an experimental set-up located at the University of South Australia using water as PCM and a potassium formate/water solution as HTF.

Figure 4.2 shows the schematic diagrams and actual setup of the experimental facility. It was mainly composed of (1) a 400 l cold HTF tank coupled to a refrigeration unit; (2) a 25 l hot HTF tank coupled to an electrical heater and a temperature controller; (3) two Keg King MK II magnetic drive pumps, responsible for the recirculation of the HTF and the liquid PCM; (4) two three-way valves, which were basically used to switch between the charging and discharging process; (5) two pin valves, which were used to adjust the flow rates of the HTF and the PCM; (6) a data acquisition unit connected to a personal computer which acquires and records the data at time intervals of 5 s for further processing; and finally, (7) an HTF-PCM heat exchanger. The heat exchanger was based on the shell-and-tube concept, with the HTF vertically flowing through the inner stainless steel tube, and with the PCM placed in the annulus between the inner stainless steel tube and the outer polycarbonate tube. A detailed view showing the different components of the heat exchanger which characterizes the dynamic melting concept can be seen in Figure 4.3.

Five four-wire resistance temperature detectors (RTDs) with an error of  $\pm 0.1$  °C were placed inside the PCM at axial distances of 115 mm, 246 mm, 486 mm, 726 mm, and 861 mm from the bottom of the heat exchanger and at radial distances of 17.7 mm and 40 mm (Figure 4.4). Four more RTDs were placed at the inlet and outlet of both the HTF and the PCM recirculation loop to obtain accurate temperature measurements of the HTF and the liquid PCM during the dynamic melting process.



(a)



(b)

Figure 4.2. (a) Schematic view and (b) real view of the experimental set-up used to perform the experimental study.

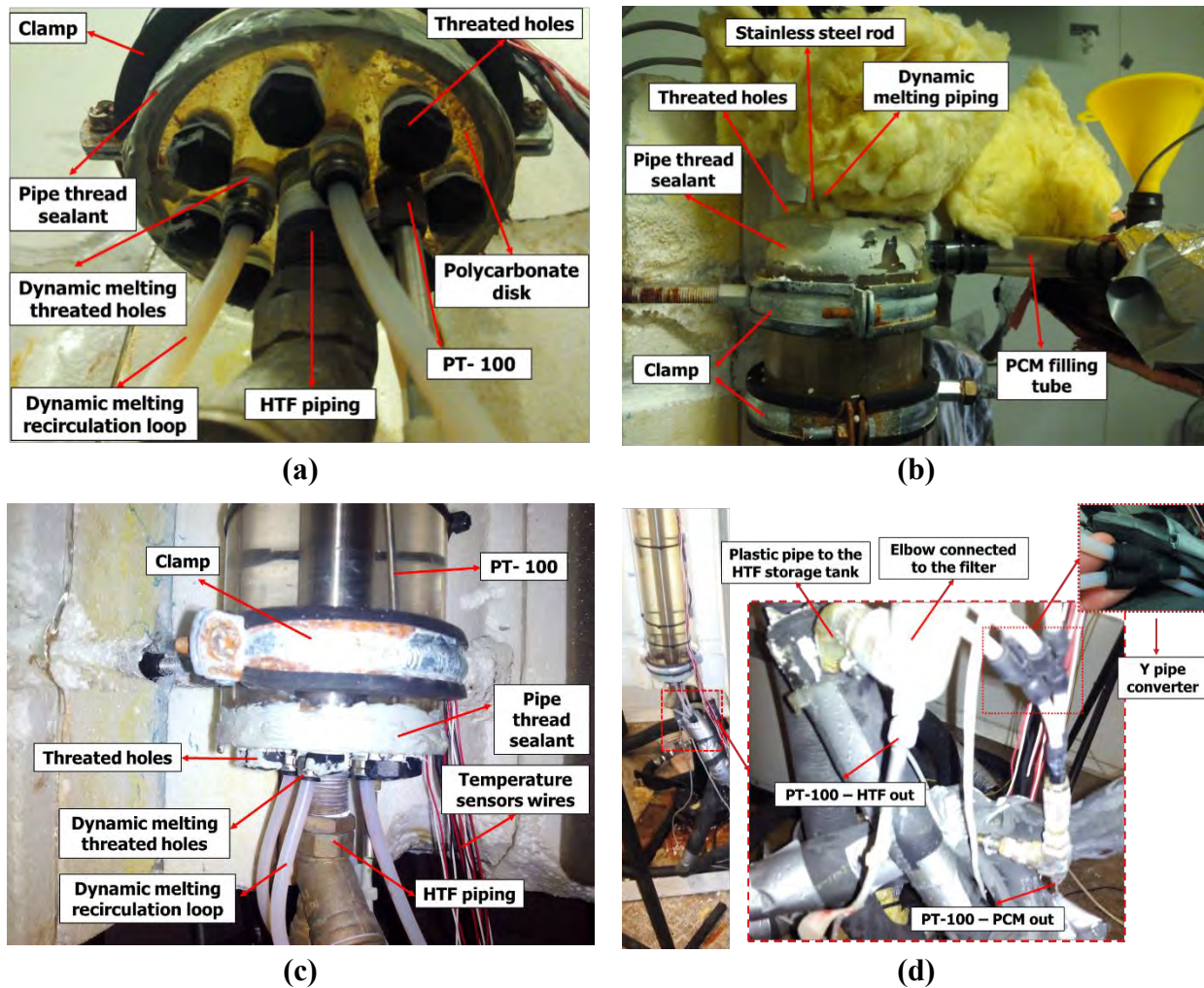


Figure 4.3. HTF-PCM heat exchanger used to perform the experimental study: (a) Bottom view; (b) Front view of the upper part; (c) Front view of the bottom part; (d) HTF and PCM recirculation loop description.

### 4.3.2. Contribution to the state-of-the-art

The main contribution to the state-of-the-art is providing a detailed study that demonstrates, through experimentation, the heat transfer enhancement of the dynamic melting technique and the conditions under which this can be maximised.

This paper shows the high potential of the dynamic melting concept. For the same latent heat TES system, the dynamic melting concept showed enhancements in the melting process time,

HTF heat transfer rate and effectiveness values in comparison to the static melting concept, which has no PCM recirculation during the phase change (Table 4.1). Results showed that when the PCM flow rate was twice the HTF flow rate the melting process time was reduced up to 65.3%, while the average heat transfer rate and effectiveness was increased up to 56.4% and 66%, respectively (Figure 4.5).

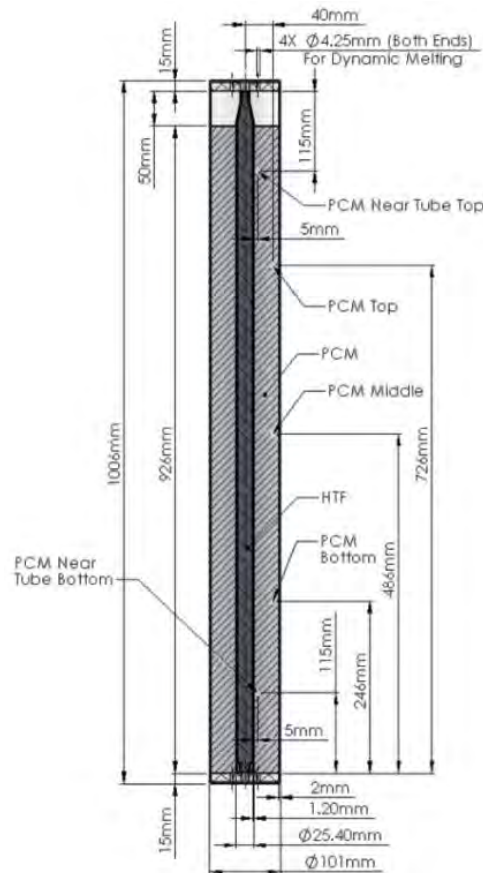


Figure 4.4. Schematic view of the HTF-PCM heat exchanger used to perform the experimental study:  
Dimensions and location of the temperature sensors.

This study showed that the magnitude of enhancement of the dynamic melting was relative to the PCM flow rate. When the PCM flow rate was equal or higher than the HTF flow rate, the effect of this enhancement technique was noticeable in all the parameters evaluated, since the improvement obtained due to the forced convection had a higher influence in heat transfer than the heat gains associated with the PCM recirculation outside the heat exchanger.

Moreover, it was found that during the phase change process, the profiles of the PCM temperatures (stratification) depended on the PCM flow rate, as a result of an equilibrium between the buoyancy forces and the induced forced convection.

Finally, the heat gains due to the recirculation of the liquid PCM outside the heat exchanger played an important role on the enhancements since they increased the average temperature of the PCM, reducing the energy that could be recovered from the system, the heat transfer rates and the effectiveness. Hence, if the heat gains were reduced, the enhancement would be higher, as the HTF would be the only heat source supplied to the PCM.

Table 4.1. Summary of the main results obtained in the experimental study.

PCM flow rate	Change in melting process time	Average $Q_{HTF}$	Change in average effectiveness
0	-	-	-
0.5 l/min	47.4 %	18.5 %	-4.5 %
1 l/min	47.8 %	26.3 %	24.7 %
2 l/min	65.3 %	66.0 %	56.4 %

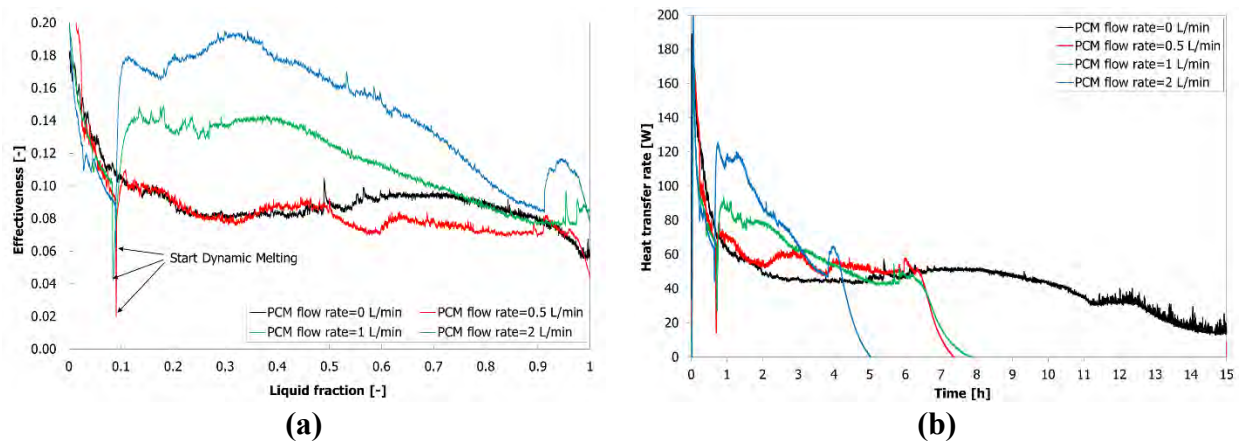


Figure 4.5. Evolution of the (a) local effectiveness and (b) HTF heat transfer rate during the discharging process for an HTF flow rate of 1 l/min with and without the influence of the dynamic melting.

### 4.3.3. Contribution of the candidate


Jaume Gasia, Steven Tay, Martin Belusko, and Frank Bruno conceived and designed the study. Jaume Gasia performed the experimentation, data treatment, and analysis of the tests; all co-authors collaborated in the interpretation of the results and in the preparation of the manuscript as well as during the reviewers queries.

### 4.3.4. Journal paper

The scientific contribution from the present research study was published in the journal Applied Energy in 2017.

Reference: Gasia J, Tay NHS, Belusko M, Cabeza LF, Bruno F. Experimental investigation of the effect of dynamic melting in a cylindrical shell-and-tube heat exchanger using water as PCM. Applied Energy 2017;185:136–145. DOI: 10.1016/j.apenergy.2016.10.042

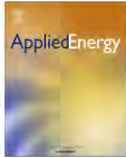
Applied Energy 185 (2017) 136–145




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Experimental investigation of the effect of dynamic melting in a cylindrical shell-and-tube heat exchanger using water as PCM



CrossMark

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**HIGHLIGHTS**

- Experimental results of the dynamic melting enhancement technique is presented.
- Dynamic melting enhanced the heat transfer during the melting process.
- Enhancement was obtained when the PCM flow rate is higher than the HTF flow rate.
- Pumping power was shown to be negligible relative to the heat transfer enhancement.
- Heat gains from the surroundings have influenced the system performance.



## 4.4. Paper 7: Numerical study of dynamic melting enhancement in a latent heat thermal energy storage system

### 4.4.1. Numerical model

The numerical evaluation of the dynamic melting enhancement technique was carried out at Dalhousie University. A finite element model using COMSOL Multiphysics was used. It consisted of a two-dimensional Cartesian geometry that represented an HTF duct, an intermediate wall, and the PCM enclosure (Figure 4.6). All three domains have a height of 100 mm. The HTF duct has a width of 9 mm, the intermediate wall has a width of 1 mm, and the PCM enclosure has a width of 30 mm. In this enclosure, two small ducts of 2 mm width are attached to the HTF intermediate wall to simulate the inlet and outlet of the PCM recirculation loop during the dynamic melting process.

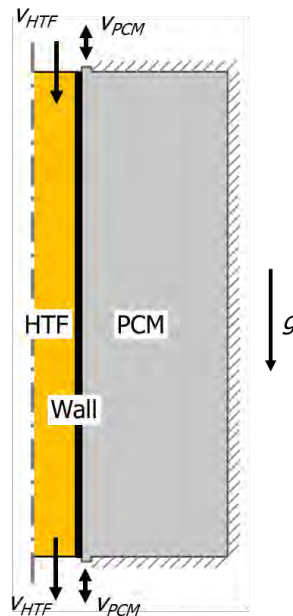


Figure 4.6. Two-dimensional Cartesian model used to carry out the numerical investigation of the dynamic melting enhancement technique.

The thermophysical properties of the HTF (potassium formate and water solution), intermediate wall (stainless steel), and PCM (water) implemented in the numerical model were obtained from

the experimental study detailed in Section 4.3. The thermal and fluid dynamics behaviour of the HTF and the PCM were simulated through the Navier-Stokes equations. The PCM was treated as a liquid regardless whether it was in a liquid or solid state, and some extra functions were added to avoid material movement when it was below its melting temperature using the heat capacity-porosity method [74]. Finally, the heat transfer through the intermediate wall was simulated considering only conduction in solid domains.

A mesh convergence study was carried out to determine the element size required for a mesh independent solution [75]. This step is important since the accuracy of the results obtained in any simulation is strongly related to the mesh size. The aim of this study was ensuring that the error associated to the selected mesh was within an accepted tolerance level. In the present study, the tolerance was set to be 10% in the melting fraction as compared to the extremely fine mesh study. The PhD candidate would like to clarify that the objective of this study was not to find the most accurate results, since there is no experimental result to be validated, but it is meant to evaluate the influence of different parameters of the dynamic melting concept following the work presented in Section 4.3.

Nine different configurations were considered based on the predefined physics-controlled mesh types provided by COMSOL as shown in Table 4.2. For this study, the HTF inlet velocity of 0.05 m/s was used with no PCM recirculation. Figure 4.7 shows the results of the melting fraction obtained during the first 2.5 hours of simulation. The reduction of the mesh size increases the number of elements in the PCM domain, thus reducing the melting speed (slower increase of the melting fraction). As observed by Kabbara et al. [74], the mesh size plays an insignificant role in the earlier stages of the simulation because of the dominance of the conduction heat transfer mechanism. However, as soon as convection starts, the mesh size significantly influences the behaviour of the system. In larger mesh size configurations, unphysical oscillations of temperatures are normally simulated inaccurately. As the mesh size decreases, the temperature behaviour is smoother, and the local phase change appears at a temperature much closer to the actual melting temperature of the PCM.

Table 4.2. Mesh convergence study parameters.

Element size	Computational time			Number of elements in PCM domain	
	Time	s	%	#	%
Extremely coarse	5 min 4 s	304	94.1%	951	98.1%
Extra coarse	5 min 43 s	343	93.3%	1187	97.7%
Coarser	6 min 35 s	395	92.3%	1509	97.0%
Coarse	9 min 20 s	560	89.1%	1869	96.3%
Normal	13 min 10 s	790	84.6%	3092	93.9%
Fine	13 min 41 s	821	84.0%	5120	89.9%
Finer	25 min 27 s	1527	70.2%	12741	74.8%
Extra fine	1 h 01 min 57 s	3717	27.5%	31908	36.8%
Extremely fine	1 h 25 min 25 s	5125	0.0%	50520	0.0%

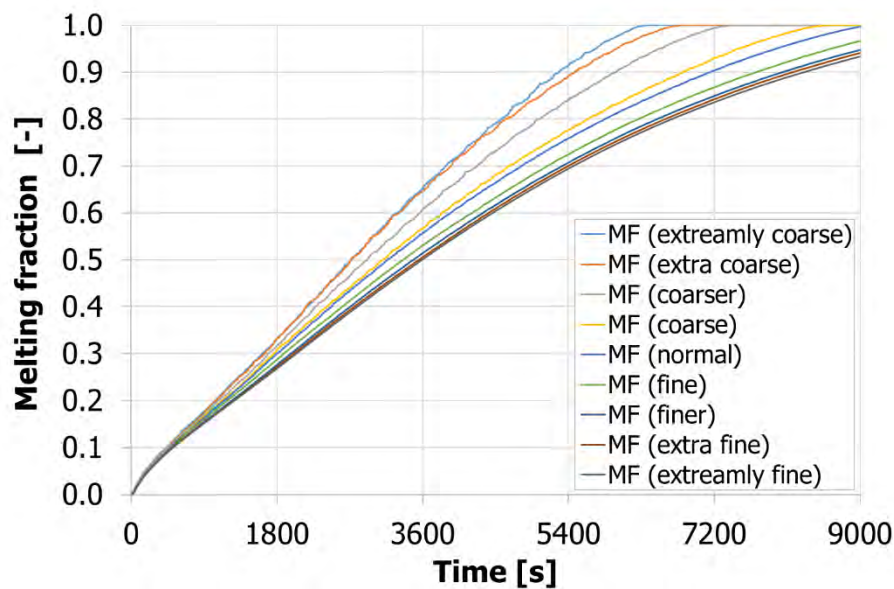


Figure 4.7. Results of the melting fraction for an average HTF velocity of 0.05 m/s for the mesh convergence study.

Hence, from the results obtained, a mesh with a fine size was selected (Figure 4.8). The model was discretized in 7066 elements, being 89.73% of them triangular and 10.27% quadrilateral

(Table 4.3). In comparison to the extremely fine mesh (89.9% less elements), the computational time was reduced by 84% with a maximum difference in the melting fraction of 7.5% at  $t = 1910$  s.

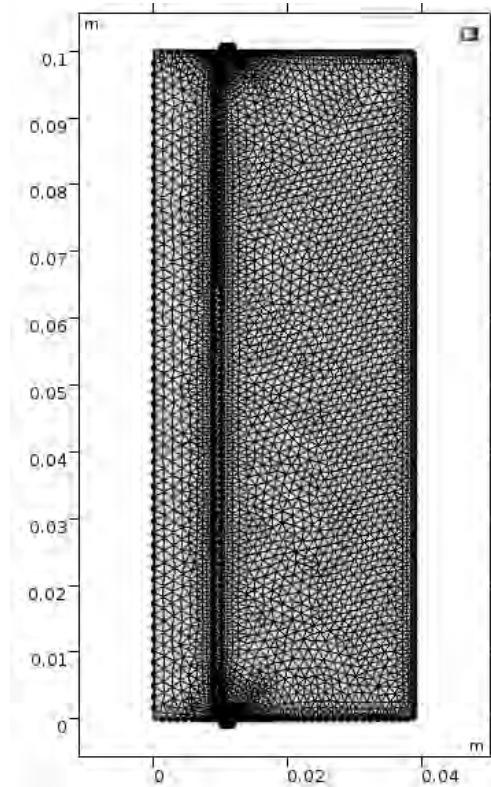


Figure 4.8. Mesh used in the numerical study.

Table 4.3. Parameters of the mesh selected in this study.

<b>Domain</b>	<b>Total elements</b>	<b>Triangular elements</b>	<b>Quadrilateral elements</b>
<b>Total</b>	7066	6340	726
<b>HTF</b>	1393	1207	186
<b>Stainless steel wall</b>	553	553	0
<b>PCM</b>	5120	4580	540

#### 4.4.2. Contribution to the state-of-the-art

The main contribution to the state-of-the-art is providing a detailed study that demonstrates, through numerical simulations, the heat transfer enhancement of the dynamic melting concept in a latent heat TES system, and the conditions under which this technique can be maximised. Fourteen case studies were studied, with the HTF flowing from top to bottom, to evaluate the effect of the PCM flow direction, the heat gains in the PCM recirculation loop, and the PCM and HTF inlet velocities.

The main results of the research carried out in the present work are summarized in Table 4.4. Identically to the work carried out in the experimental study, the dynamic melting enhancement technique in the numerical model showed dramatic enhancements in comparison to the case with static melting.

The study of the PCM flow direction showed that higher heat transfer rates and shorter PCM melting processes were obtained when the PCM was recirculated from top to bottom (parallel to the HTF flow) instead of being recirculated from bottom to top. One reason is the irregular shape of the melting front when the PCM recirculation is activated (wider on the top and thicker on the bottom). The second reason is the temperature difference between the PCM and the HTF. Hence, the PCM flow direction influenced on the PCM pressure gradients, fluid streamlines, and temperature gradients generated in the PCM enclosure, and therefore on the heat transfer rates.

The study of the influence of the PCM velocities showed that higher heat transfer rates and shorter PCM melting processes were obtained when the PCM was recirculated at higher velocities. Since the PCM fluid flow was laminar, and thus the heat transfer coefficient was considered to be constant, the enhancements were mainly due to the temperature gradients between the HTF and the PCM. At higher PCM velocities, lower PCM temperature variations between the inlet and the outlet of the PCM recirculation loop were observed. Hence, the temperature difference between HTF and PCM remained higher, which enhanced the heat transfer rates and the melting process period. Furthermore, the present work helped to detect two

aspects that were not observed in the previous experimental work. First, it was found that the enhancement was uniquely due to PCM velocity and not due to the ratio between the PCM and HTF velocities. And second, that the PCM velocity strongly affected the melting front shape and evolution, and the temperature profile within the latent heat TES system.

Finally, the study of the heat gains in the PCM recirculation loop showed that the worst results were obtained when the heat gains were high. The reason lies on the fact that such heat gains caused the increase in the PCM temperature at the inlet of the latent heat TES system and, therefore, the recovery of less energy from the PCM.

Table 4.4. Summary of the results obtained for the different simulations carried out in the present study.

Study	Dynamic melting	PCM flow direction	Heat gains [W]	HTF Velocity [m/s]	PCM Velocity [m/s]	Melting process period [s]	Average heat transfer [W]
1	No	-	-	0.05	0.00	10000 (-)	104.7 (-)
2	Yes	Top to bottom	No	0.05	0.05	6000 (-40%)	173.8 (+66.1%)
3	Yes	Bottom to top	No	0.05	0.05	6730 (-32.7%)	152.5 (+45.7%)
4	Yes	Top to bottom	10 W	0.05	0.05	5820 (-41.8%)	171.1 (+63.4%)
5	Yes	Top to bottom	25 W	0.05	0.05	5600 (-44%)	167.6 (+60.1%)
6	Yes	Top to bottom	100 W	0.05	0.05	4700 (-53%)	151.6 (+44.8%)

*continued*

Table 4.4. Summary of the results obtained for the different simulations carried out in the present study.

(Continued)

Study	Dynamic melting	PCM flow direction	Heat gains [W]	HTF Velocity [m/s]	PCM Velocity [m/s]	Melting process period [s]	Average heat transfer [W]
7	Yes	Top to bottom	No	0.05	0.025	6910 (-30.9%)	150 (+43.3%)
8	Yes	Top to bottom	No	0.05	0.10	5120 (-48.8%)	200.3 (+91.3%)
9	Yes	Top to bottom	No	0.05	0.20	4560 (-54.4%)	220.7 (+110.8%)
10	No	-	-	0.025	0.00	10800 (-)	96.4 (-)
11	Yes	Top to bottom	No	0.025	0.0125	7940 (-26.6%)	129.5 (+34.3%)
12	Yes	Top to bottom	No	0.025	0.025	7300 (-32.4%)	140.5 (+45.7%)
13	Yes	Top to bottom	No	0.025	0.05	6310 (-41.6%)	163.3 (+69.4%)
14	Yes	Top to bottom	No	0.025	0.10	5530 (-48.8%)	184.1 (+91.0%)

#### 4.4.3. Contribution of the candidate

Jaume Gasia and Dominic Groulx conceived and designed the study. Jaume Gasia performed the simulations, data treatment, and analysis of the results; all co-authors collaborated in the interpretation of the results and in the preparation of the manuscript.

#### 4.4.4. Journal paper

The scientific contribution from the present research work was submitted to International Journal of Heat and Mass Transfer in 2018.

Reference: Gasia J, Groulx D, Tay NHS, Cabeza LF. Numerical study of dynamic melting enhancement in a latent heat thermal energy storage system. Submitted to International Journal of Heat and Mass Transfer.

##### Manuscript Details

<b>Manuscript number</b>	HMT_2018_4932
<b>Title</b>	Numerical study of dynamic melting enhancement in a latent heat thermal energy storage system
<b>Article type</b>	Full Length Article

##### Abstract

In the present work, a 2D Cartesian numerical model is implemented to simulate the transient behaviour of a latent heat thermal energy storage system under the effect of the dynamic melting enhancement technique. This enhancement technique consists of recirculating the liquid phase change material (PCM) during the melting process with an external pump and therefore increasing the overall heat transfer coefficient. Several simulations were carried out to study the influence of the PCM flow direction, the PCM velocity, and the heat gains in the PCM recirculation loop, showing in all cases the benefits of implementing this enhancement technique. Results from the simulations show that when the PCM flows from top to bottom, higher enhancements are obtained when compared to the PCM flowing from bottom to top. Moreover, it is observed that the higher the PCM velocity, the better the enhancement in terms of process duration and heat transfer rates. Additionally, the PCM velocity also has an influence over the evolution of the PCM melting front and thus over the evolution of the PCM temperature profiles. It is shown that the intensity of the enhancements, as well as the evolution of the melting front and temperature profiles, are more influenced by the PCM velocity than by the ratio between the heat transfer fluid (HTF) and PCM velocities. Finally, heat gains should be avoided in the PCM recirculation loop since they decrease the heat transfer rate between the PCM and the HTF.

<b>Keywords</b>	Thermal energy storage; Phase change material; Heat transfer enhancement; Dynamic melting; Numerical study; Forced Convection
<b>Corresponding Author</b>	Luisa F. Cabeza
<b>Corresponding Author's Institution</b>	University of Lleida
<b>Order of Authors</b>	Jaume Gasia, Dominic Groulx, N.H.S. Tay, Luisa F. Cabeza



## Chapter 5

# Conclusions and future work

### 5.1. Conclusions

This PhD thesis contributed to broaden the knowledge of two of the technological requirements that any latent heat TES systems should fulfil to be a competitive technology. A good operational strategy can be achieved by integrating the TES system into the energy processes through adapting it to limiting operational factors. This first area of research was investigated by means of analysing the effects of the partial load operating conditions on the charging and discharging processes. The other technological requirement studied in this PhD thesis was the maximization of the heat transfer between the HTF and the TES. This second area of research was focused on the analysis of the dynamic melting.

The main accomplishments of this PhD are shown below:

- A complete review of the literature which studied the seven main requirements of sensible and latent TES materials and systems (chemical, kinetic, physical, thermal, economic, environmental, and technological) was performed.
- An experimental analysis on the effects of the partial load operating conditions combined with different storage periods on the behaviour of a latent heat TES system was carried out.

- A numerical and experimental analysis on the dynamic melting heat transfer enhancement technique in a latent heat TES system. Identification of the parameters which have a higher impact on its behaviour was also conducted.

The state-of-the-art of the main requirements that any sensible and latent heat TES material and system should fulfil for an optimum performance was presented in two publications (Papers 1 and 2). The main conclusions drawn from this literature review are:

- There is a lack of data in analysing TES from both the system and material points of view, since the previous studies only focus on single requirements.
- More than 200 studies were reviewed, however comparison between studies was difficult due to the lack of standards and performance indicators which homogenise the main parameters in TES applications.
- Most of the studies focused on the thermal requirements, which mainly dealt with the enhancement of the thermal conductivity and specific heat of the TES material.
- Technological requirements, including the integration of the TES material into the facility, the operation strategy of the facilities, and the good heat transfer between the HTF and the TES material, are not usually considered in the literature. However, these requirements are known to have an important impact in the design and the economics of the TES systems.
- No study regarding the TES material integration into the facility and the operation strategy of the facilities was found.

The analysis of the effects of the partial load operating conditions combined with different storage periods on the behaviour of a latent heat TES produced three publications (Papers 3, 4, and 5). Paper 3 presents the results of the material selection performed to determine the suitable PCM to be used in the experimental evaluation of the partial load operating conditions, while Paper 4 and Paper 5 present the experimental evaluation of the partial load operating conditions in a latent heat TES system. The main findings arisen from this study can be summarized as follows:

- Sixteen different PCMs with phase change temperatures range between 120 and 200 °C were evaluated from the thermal and cycling stability, as well as from the health hazard point of view. Among the different PCM, high density polyethylene (HDPE) and adipic acid showed the most suitable behaviour.
- HDPE was finally selected because of its suitable thermophysical properties and because it presented a melting range large enough to evaluate the partial load operating conditions.
- A key performance indicator (KPI) known as ratio of accumulated energy (RAE) was defined to characterize the percentage of charge. Five different RAE were studied: RAE 58%, RAE 73%, RAE 83%, RAE 92%, and RAE 97% (baseline case).
- The present work also investigated the influence of three different periods of storage: 25 min, 60 min, and 120 min.
- Working under partial load operating conditions allowed reducing the charging process time if compared to the baseline case, which is beneficial for the thermal process associated to the TES system. However, it also reduced the energy accumulated during the charging process if compared to the baseline case, which is a drawback for the thermal process coupled to the TES system.
- The behaviour of the latent heat TES system during the storage and discharging processes depended largely on the RAE of the previous charging process.
- The length of the storage period influenced the level of homogenization and the variation of the thermal conditions between the end of the charging process and the beginning of the discharging process. However, the effect of the duration of the storage period (up to 120 min) practically does not modify the heat transfer rates if compared with the minimum storage period.
- For a specific storage period, more uniform PCM temperatures within the TES system were observed at the beginning of the discharge in the study cases with a higher RAE. Moreover, higher PCM temperatures during the whole discharge process were also observed in the study cases with a higher RAE. The influence of this uniformity specially affected the PCM located at the corners of the TES system.

- If the TES system is required to supply thermal energy for a short period of time (up to 30 min), the penalization of working under partial load operating conditions during the charging process is widely overcome by the charge time reduction.

Finally, regarding the analysis of the dynamic melting concept in a latent heat TES system showed that the work presented in this PhD thesis increased the knowledge in the field of PCM dynamic systems. Experimental (Paper 6) and numerical (Paper 7) studies were performed. The main conclusions of this research are listed below:

- Dynamic melting was proven to be a successful heat transfer enhancement technique between the HTF and the PCM in comparison with the static melting concept. For the same TES system, dynamic melting has showed enhancements in the melting process period, HTF heat transfer rate, and effectiveness.
- The degree of improvement of dynamic melting is influenced by the flow direction and velocity of the liquid PCM, and the heat gains in the PCM recirculation loop.
- The temperature distribution of the PCM inside the TES system was found to depend on the PCM flow rates and PCM flow directions.
- For low-temperature TES systems, heat gains due to the recirculation of the liquid PCM outside the TES system played an important role in the enhancement, since they increase the average temperature of the PCM. Hence, if heat gains are reduced, the enhancement is higher, as the HTF is the only heat source supplied to the PCM.

## 5.2. Future work

The research presented in this PhD thesis provides important information to increase the knowledge in the field of technological requirements for TES systems. Although much work has been conducted in the two main areas of research proposed which have produced many outcomes as stated in this PhD thesis, further work is needed to be able to fully explore this research. Hence, this section aims at presenting different recommendations for future works on the topics on partial load operating conditions for thermal energy storage management and dynamic melting as a heat transfer enhancement technique.

### **5.2.1. Partial load operating conditions for thermal energy storage management**

Most of the PCMs available to be tested present hysteresis and therefore, different enthalpy-temperature curves for the heating (melting) and cooling (solidification) processes are observed. However, there is a lack of agreement on how to treat the transition between heating and cooling when these processes are interrupted as a result of the partial load operating conditions where the PCM has not fully undergone phase change. Thus, a numerical study validated with experimental results can be conducted to define the methodology for such transition.

In the experimentation presented in this PhD thesis, storage periods of up to 120 min were studied. Hence, a study evaluating longer storage periods will help to determine their influence on the discharging process.

### **5.2.2. Dynamic melting as a heat transfer enhancement technique**

The experimental and numerical studies in the present thesis showcase the potential of this heat transfer enhancement technique by increasing the heat transfer rate and reducing the duration of the melting process. However, only water was tested as PCM and only under a vertical configuration. Therefore, the study of this technique in TES systems under a horizontal configuration would increase the knowledge of this field. Moreover, the use of other PCMs with a higher melting temperature will help in the analysis on the influence of heat losses on mid high-temperature TES systems. The different thermophysical properties of the PCMs should also be evaluated to determine whether it affects the degree of enhancement.

The overall performance of the TES system can be better evaluated and optimized if a thermal control system, which monitors the flow rate according to the energy supply, the storage state, and the energy demand is utilised.

## Other research activities

### Other journal publications

The PhD candidate carried out other scientific research besides the one presented in this thesis during the execution of his PhD. The resulting publications are listed below:

1. Romaní J, **Gasia J**, Solé A, Taksu H, Cabeza LF, Kato Y. Evaluation of energy density as performance indicator for thermal energy storage at material and system levels. *Applied Energy* 2019;235:954-962.
2. Gibb D, Johnson M, Romaní J, **Gasia J**, Cabeza LF, Seitz A. Process integration of thermal energy storage systems – Evaluation methodology and case studies. *Applied Energy* 2018;230:750-760.
3. Arena S, Casti E, **Gasia J**, Cabeza LF, Cau G. Numerical analysis of a latent heat thermal energy storage system under partial load operating conditions. *Renewable Energy*. In Press.
4. Peiró G, Prieto C, **Gasia J**, Jové A, Miró L, Cabeza LF. Two-tank molten salts thermal energy storage system for solar power plants at pilot plant scale: Lessons learnt and recommendations for its design, start-up and operation. *Renewable Energy* 2018;121:236-248.
5. **Gasia J**, Diriken J, Bourke M, Van Bael J, Cabeza LF. Comparative study of the thermal performance of four different shell-and-tube heat exchangers used as latent heat thermal energy storage systems. *Renewable Energy* 2017;114:934-944.
6. Peiró G, **Gasia J**, Miró L, Prieto C, Cabeza LF. Influence of the heat transfer fluid in a CSP plant molten salts charging process. *Renewable Energy* 2017;113:148-158.

7. Miró L, **Gasia J**, Cabeza LF. Thermal energy storage (TES) for industrial waste heat (IWH) recovery: A review. *Applied Energy* 2016;179;284-301.
8. **Gasia J**, Miró L, de Gracia A, Barreneche C, Cabeza LF. Experimental evaluation of a paraffin as phase change material for thermal energy storage in laboratory equipment and in a shell-and-tube heat exchanger. *Applied Sciences* 2016;6(4);112. DOI: 10.3390/app6040112
9. Peiró G, **Gasia J**, Miró L, Prieto C, Cabeza LF. Experimental analysis of charging and discharging processes, with parallel and counter flow arrangements, in a molten salts high temperature pilot plant scale setup. *Applied Energy* 2016;178;394-403.
10. Peiró G, **Gasia J**, Miró L, Cabeza LF. Experimental evaluation at pilot plant scale of multiple PCMs (cascaded) vs. single PCM configuration for thermal energy storage. *Renewable Energy* 2015;83;729-736.
11. **Gasia J**, Gutierrez A, Peiró G, Miró L, Grageda M, Ushak S, Cabeza LF. Thermal performance evaluation of bischofite at pilot plant scale. *Applied Energy* 2015;155;826-833.

## Contributions to international conferences

The PhD candidate contributed to some international conferences:

1. Romani J, **Gasia J**, Kato Y, Cabeza LF. Energy density as a key performance indicator for thermal energy storage systems: From material to system level. ENERSTOCK 2018 - The 14th International Conference on Energy Storage, Adana (Turkey).
2. **Gasia J**, Peiró G, de Gracia A, Cabeza LF. Influence of intermittency of the energy supply on the discharging process of TES systems. An experimental study. ENERSTOCK 2018 - The 14th International Conference on Energy Storage, Adana (Turkey).
3. Arena S, Casti E, **Gasia J**, Cabeza LF, Cau G. Numerical simulation of a finned-tube LHTES system: Influence of the mushy zone constant on the phase change behaviour. ATI 2017 - 72nd Conference of the Italian Thermal Machines Engineering Association, Lecce (Italy)
4. **Gasia J**, Martin M, Solé A, de Gracia A, Arena S, Cabeza LF. Partial loads as thermal energy storage enhancement strategy. CNIT 2017 - 10 Congreso Internacional de Ingeniería Termodinámica, Lleida (Spain).

5. Peiró G, **Gasia J**, Miró L, Prieto C, Cabeza LF. Experimental comparison between two different HTF operating in a Two-tank molten salts plate heat exchanger charging process. CNIT 2017 - 10 Congreso Internacional de Ingeniería Termodinámica, Lleida (Spain).
6. **Gasia J**, Miró L, Peiró G, Prieto C, Cabeza LF. Use of pilot plant scale facilities as key factor for an optimal design and operation of commercial CSP plants with TES systems. IRES 2017 - 11th International Renewable Energy Storage Conference, Düsseldorf (Germany).
7. Peiró G, **Gasia J**, Miró L, Prieto C, Cabeza LF. Importance of thermal energy storage pilot plant facilities for solar energy applications. EuroSun 2016 - International Conference of Solar Energy and Buildings, Palma de Mallorca (Spain).
8. Cabeza LF, Prieto C, Miró L, **Gasia J**, Peiró G. Design and start-up of two pilot plants for molten salts storage testing. ASME 2016 - 10th International Conference on Energy Sustainability, Charlotte (United States of America).
9. **Gasia J**, Diriken J, Bourke M, Van Bael J, Cabeza LF. MERITS project: a comparative study of shell-and-tube heat exchangers for domestic hot water (DHW) and industrial waste heat (IWH). INNOSTORAGE - Advances in Thermal Energy Storage 2016, Beer Sheva (Israel).
10. **Gasia J**, Tay NHS, Belusko M, Cabeza LF, Bruno F. Dynamic melting of PCM: An experimental evaluation. INNOSTORAGE - Advances in Thermal Energy Storage 2016, Beer Sheva (Israel).
11. Cabeza LF, Prieto C, Solé A, Miró L, **Gasia J**, Fernández A.I. Pilot plant for molten salts testing: an example on how research helps commercialization. SolarPACES 2015. Concentrating Solar Power and Chemical Energy Systems, Cape Town (South Africa).
12. **Gasia J**, Peiró G, Miró L, Cabeza LF. Multiple PCMs (cascaded) configuration: An experimental study at pilot plant scale. GREENSTOCK 2015 - The 13th International Conference on Energy Storage, Beijing (China).
13. **Gasia J**, Gutierrez A, Miró L, Peiró G, Ushak S, Cabeza LF. Analysis of bischofite as phase change material (PCM) at pilot plant scale. GREENSTOCK 2015 - The 13th International Conference on Energy Storage, Beijing (China).



14. **Gasia J**, Miró L, Cabeza LF. Análisis experimental de la parafina RT-58 como material de cambio de fase (PCM) para agua caliente sanitaria (ACS) y recuperación de calor residual. 9º Congreso Nacional de Ingeniería Termodinámica - 9CNIT 2015, Cartagena (Spain).
15. **Gasia J**, Miró L, Peiró G, Barreneche C, Cabeza LF Thermal behavior analysis of paraffin RT-58 at both laboratory and pilot plant scale. ICAE 2015 - The 7th International Conference on Applied Energy, Abu Dhabi (United Arab Emirates)
16. **Gasia J**, Gutierrez A, Miró L, Peiró G, Ushak S, Cabeza LF. Bischofite as phase change material (PCM) for thermal energy storage (TES) applications. EuroSun 2014 - International Conference of Solar Energy and Buildings, Aix-les-Bains (France).
17. **Gasia J**, Miró L, Tarragona J, Martorell I, Solé A, Cabeza LF. Experimental analysis of RT-58 as phase change material (PCM) for domestic hot water (DHW) applications. EuroSun 2014 - International Conference of Solar Energy and Buildings, Aix-les-Bains (France).
18. **Gasia J**, Miró L, Solé A, Martorell I, Kelly M, Bauer B, Van Bael J, Diriken J, Griffiths P, Redpath D, Cabeza LF. MERITS Project: A comparative study of four different PCM energy storage systems for domestic hot water (DHW) applications. Eurotherm Seminar #99 - Advances in Thermal Energy Storage 2014, Lleida (Spain).

## International meetings

The PhD candidate also contributed to IEA-Annex 30 international meetings:

1. **Gasia J**, Romani J, Cabeza LF. Definition of analysis level for thermal energy storage (TES). ECES-IEA Annex 30 - Seventh meeting 2018, Cologne (Germany).
2. **Gasia J**, Romani J, Cabeza LF. Definitions of technical parameters for thermal energy storage (TES). ECES-IEA Annex 30 - Seventh meeting 2018, Cologne (Germany).
3. Romani J, **Gasia J**, Cabeza LF. Subtask 2- KPI definitions. ECES-IEA Annex 30 - Sixth meeting 2018, Frankfurt (Germany).
4. Romani J, **Gasia J**, Cabeza LF. Subtask 2- Material Energy Density ( $ED_{mat}$ ) benchmarking. ECES-IEA Annex 30 - Fourth meeting 2017, Lleida (Spain).
5. **Gasia J**, Romani J, Cabeza LF. Subtask 2 - Technical parameters. ECES-IEA Annex 30 - Fourth meeting 2017, Lleida (Spain).

6. Romani J, **Gasia J**, Cabeza LF. Subtask 5: Module storage capacity and energy density. ECES-IEA Annex 30 - Fourth meeting 2017, Lleida (Spain).
7. **Gasia J**, Romani J, Cabeza LF. Overview of TES Activities in Spain. ECES-IEA Annex 30 - Fourth meeting 2017, Lleida (Spain).
8. **Gasia J**, Romani J, Peiro G, Miró L, Prieto C, Cabeza, LF. Operation characteristics of molten salts for CSP plants. ECES IA-IEA Annex 30 Workshop, Tokyo (Japan), ECES-IEA Annex 30 – Third meeting 2016, Tokyo (Japan).
9. **Gasia J**, Romani J, Cabeza LF. Subtask 5- Key performance indicators (KPI) definitions. ECES-IEA Annex 30 - Third meeting 2016, Tokyo (Japan).
10. Romani J, **Gasia J**, Cabeza LF. Subtask 3- Key performance indicators (KPI) for thermal energy storage (TES). ECES-IEA Annex 30 - Second meeting 2016, Frankfurt (Germany).
11. **Gasia J**, Romani J, Peiro G, Miró L, Prieto C, Cabeza, LF. High temperature TES pilot plant: Experimental research and lessons learnt. ECES-IEA Annex 30 – Third meeting 2016, Tokyo (Japan).

## Scientific foreign-exchange

The PhD candidate did two stays abroad during the development of this PhD thesis.



**University of  
South Australia**

**University of South Australia (Adelaide, Australia)**

The first research stay done by the PhD candidate was carried out at the Barbara Hardy institute, a research group from the University of South Australia. The exchange was possible thanks to the mobility project INNOSTORAGE. In this research stay, the PhD candidate worked on the dynamic melting concept, performing the experimentation which is presented within the framework of this PhD thesis.



## **Dalhousie University (Halifax, Canada)**

The second research stay done by the PhD candidate was carried out at the Lab of Applied Multiphase Thermal Engineering (LAMTE), a research group from the Dalhousie University. The exchange was possible thanks to the award to promote research in an abroad institution from SEBAP. In this research stay, the PhD candidate worked on the dynamic melting concept, performing the numerical study which is presented within the framework of this PhD thesis

## **Others activities**

### **Book chapters participation**

- **Gasia J**, Miró L, de Gracia A, Cabeza LF. High-temperature thermal storage systems using phase change materials. Static Concept at University of Lleida. Academic Press Elsevier Ltd. United States of America, 2018.
- Palomba V, **Gasia J**, Romaní J, Frazzica A, Cabeza LF. Experimental methods for the characterization of systems for thermal energy storage: Definition of performance indicators, Springer books, Springer-Verlag. Germany, In press.
- **Gasia J**, Miró L, de Gracia A, Cabeza LF. Static Concept at University of Lleida. In High-temperature thermal storage systems using phase change materials. United States of America: Academic Press Elsevier Ltd, 2017.

### **Projects participation**

- Innovative compact hybrid storage systems for low energy buildings (HYBUILD). European Union's Horizon 2020 research and innovation programme under grant agreement n° 768824, 2017-2021.
- Quantificació del calor residual i la demanda de calor al polígon industrial de Sant Vicenç. Codi CTT S16073, 2017.

- Identificación de barreras y oportunidades sostenibles en los materiales y aplicaciones del almacenamiento de energía térmica (SOPPORTES). Ministerio de Ciencia e Innovación, ENE2015-64117-C5-1-R, 2016-2018.
- Use of innovative thermal energy storage for marked energy savings and significant lowering of CO<sub>2</sub> emissions (INNOSTORAGE). European Commission Seventh Framework Programme (FP/2007-2013), N° PIRSES-GA-2013-610692, 2013-2017.
- More effective use of renewables including compact seasonal thermal energy storage (MERITS). European Commission Seventh Framework Programme (FP/2007-2013), N° ENER/FP7/295983, 2012-2016
- PhD on Innovation Pathways for TES (INPATH-TES). European Union's Horizon 2020 research and innovation programme under grant agreement No 657466, 2015-2018.

### **Organizing committee participation**

- Eurotherm Seminar n° 112 – Advances in thermal energy storage 2019. Lleida, Spain.
- Eurotherm Seminar n° 99 – Thermal energy storage and transportation: materials, systems and applications 2014. Lleida, Spain.
- 10 Congreso Nacional Ingeniería Termodinámica (CNIT) 2017. Lleida, Spain.
- INNOSTORAGE Third Training School - Experimental Apparatus for Measurement 2018. Lleida, Spain.

### **Experts network participation**

- Annex 30: Thermal energy storage for cost-effective energy management and CO<sub>2</sub> mitigation. International Energy Agency implementing agreement Energy Conservation through Energy Storage (ECES).

## Patents

- Angel G. Fernandez, Mauro Henriquez, Abdiel Mallco, Carlos Soto, **Jaume Gasia**, Luisa F. Cabeza. Sistema semi-industrial y método para la evaluación de la corrosión dinámica y la evaluación de mezclas de sales fundidas, INAPI – Chile Patent, No. 1674-2017, 09-02-2017.

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## **Annexes: Journal papers**

# **Review on system and materials requirements for high temperature thermal energy storage. Part 1: General requirements**

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## **Abstract**

High temperature thermal energy storage offers a huge energy saving potential in industrial applications such as solar energy, automotive, heating and cooling, and industrial waste heat recovery. However, certain requirements need to be faced in order to ensure an optimal performance, and to further achieve widespread deployment. In the present review, these requirements are identified for high temperature ( $> 150\text{ }^{\circ}\text{C}$ ) thermal energy storage systems and materials (both sensible and latent), and the scientific studies carried out meeting them are reviewed. Currently, there is a lack of data in the literature analysing thermal energy storage from both the systems and materials point of view. In the part 1 of this review more than 25 requirements have been found and classified into chemical, kinetic, physical and thermal (from the material point of view), and environmental, economic and technologic (from both the material and system point of view). The enhancements focused on the thermal conductivity are addressed in the Part 2 of this review due to their research significance and extension.

**Key-words:** Thermal energy storage, High temperature, Enhancement, Phase change material, system, requirements.

## Table of abbreviations

<b>BM</b>	Base material
<b>ACW</b>	Asbestos containing wastes
<b>CAPEX</b>	Capital investment costs
<b>CED</b>	Cumulative energy demand
<b>CO<sub>2</sub>-eq</b>	Equivalent CO <sub>2</sub> emissions
<b>CSP</b>	Concentrated solar power
<b>DSC</b>	Differential scanning calorimeter
<b>ECES</b>	Energy conservation through energy storage
<b>FA</b>	Fly ashes
<b>FT-IR</b>	Infrared spectroscopy
<b>GHG</b>	Greenhouse gases
<b>GWP</b>	Global warming potential
<b>h</b>	Heat storage capacity
<b>HTF</b>	Heat transfer fluid
<b>IACW</b>	Intertized asbestos containing wastes
<b>IEA</b>	International Energy Agency
<b>IP</b>	Impact points
<b>LCA</b>	Life cycle assessment
<b>MDSC</b>	Modulated differential scanning calorimeter
<b>MWCNT</b>	Multi-walled carbon nanotubes
<b>NFPA</b>	National fire protection association
<b>OPEX</b>	Operation and maintenance costs
<b>PCM</b>	Phase change material
<b>PT</b>	Parabolic trough
<b>SM</b>	Stirring method
<b>ST</b>	Solar tower
<b>SWCNT</b>	Single walled carbon nanotubes
<b>TES</b>	Thermal energy storage
<b>T<sub>m</sub></b>	Temperature of fusion
<b>TSSM</b>	Two-step solution method
<b>XR</b>	X-ray powder diffraction

## 1. Introduction

Current trends in energy supply and demand are economically, environmentally and socially unsustainable since energy-related emissions of carbon dioxide are expected to be doubled by 2050 and fossil energy demand is expected to be increased over the security of supplies [1]. The International Energy Agency (IEA) recognizes energy storage technologies as a tool to support energy security and climate change goals by helping to integrate electricity and heat systems.

Among the different energy storage technologies, thermal energy storage (TES) is an effective technique that has become a key factor on improving the efficiency of different energy systems due to the versatility in correcting the mismatch between the energy demand and supply, and by allowing the development and implementation of renewable energies. A clear example is TES in solar power plants, where the excess of solar energy during the Sun-light period, is stored to be further released during the periods when the solar energy is needed but not available, such as cloudy or night-time periods.

There are basically three main techniques for TES: sensible, latent and thermochemical, being the first two the most studied and developed by the researchers. In sensible heat storage, the storing (or releasing) of thermal energy is linked to the temperature rising (or dropping) without undergoing phase change, as a consequence of a change in the internal energy of the TES material. In latent heat storage, the media stores (or releases) the thermal energy when it undergoes phase change. Unlike sensible heat storage, latent heat storage has higher storage density because the phase-transition enthalpy is much higher (usually more than 50-100 times) [2]. Since the process is nearly isothermal, which means that the temperature barely increases (or decreases), all the energy stored comes from the molecular restructuration that takes place within the transition from one phase to the other. Finally, in thermochemical heat storage the energy is stored (or released) after a reversible chemical reaction between two substances. It means that once the heat is released due to a dissociation of a chemical product, it can be recovered in almost its totality when the synthesis reaction takes place.

TES can be implemented in many different sectors and applications. Table 1 summarizes the most known and studied TES storage applications for a range that comprises low and high temperature (from -269 °C to around 1600 °C).



Table 1. Review of the potential TES storage applications and sectors, as well as their range of working temperatures.

<b>Sector and application</b>	<b>Range of temperatures</b>	<b>Reference</b>
1. Heating and cooling	From -40 °C to 350 °C	
<i>1.1. Cold production</i>	<i>From -40 °C to -10 °C</i>	[2,3]
<i>1.2. Space heating and cooling of buildings</i>	<i>From 18 °C to 28 °C</i>	[4,5]
<i>1.3. Heating and cooling of water</i>	<i>From 29 °C to 80 °C</i>	[4,6]
<i>1.4. Absorption refrigeration</i>	<i>From 80 °C to 230 °C</i>	[7]
<i>1.5. Adsorption refrigeration</i>	<i>From -60 °C to 350 °C</i>	[8]
2. Transportation	From -50 °C to 800 °C	
<i>2.1. Cabin heating and refrigeration</i>	<i>From -50 °C to 70 °C</i>	[9,10]
<i>2.2. Battery and electronic protection</i>	<i>From 30 °C to 80 °C</i>	[9,10]
<i>2.3. Exhaust heat recovery</i>	<i>From 55 °C to 800 °C</i>	[9,10]
3. Thermal protection	From -269 °C to 130 °C	
<i>3.1. Electronic devices thermal protection</i>	<i>From 25 °C to 45 °C</i>	[11]
<i>3.2. Chips thermal protection</i>	<i>From 85 °C to 120 °C</i>	[12]
<i>3.3. Data centers thermal protection</i>	<i>From 5 °C to 45 °C</i>	[13]
<i>3.4. Spacecraft electronics thermal protection</i>	<i>From -269 °C to 130 °C</i>	[14]
<i>3.5. Food thermal protection</i>	<i>From -30 °C to 121 °C</i>	[15]
<i>3.6. Biomedical applications</i>	<i>From -30 °C to 22 °C</i>	[16]
4. Industry	From 60 °C to 260 °C	[17,18]
5. Solar energy	From 20 °C to 565 °C	
<i>5.1. Solar cooling</i>	<i>From 60 °C to 250 °C</i>	[19,20]
<i>5.2. Solar energy storage</i>	<i>From 20 °C to 150 °C</i>	[20]
<i>5.3. Solar power plants</i>	<i>From 250 °C to 565 °C</i>	[21,22]
6. Desalination	From 40 °C to 120 °C	[23,24]
7. Industrial waste heat recovery	From 30 °C to 1600 °C	[25,26]

TES has been of high interest for the researchers in the last decade and therefore many papers can be found in the literature dealing this topic, especially at mid-low temperatures (below 150 °C). For example, Zalba et al. [27] reviewed and classified phase change materials (PCM) from -33 °C on and described some of their main applications. Pielichowska and Pielichowski [22] updated this review including sensible materials. At higher temperatures the number of publications is considerably fewer. Liu et al. [28] focused their review on PCM and possible thermal performance enhancement techniques at temperatures higher than 300 °C. Over the same temperature range worked Cárdenas and León [29] and Fernandes et al. [30], who defined

a suitable material selection and procedure, defined different applications for power generation, and reviewed some enhancement techniques focused on different thermophysical properties. Although most of these reviews mentioned some of the requirements presented in Table 2, none of them organized the information in a way that addresses each of the requirements in order to help the final user to identify the right TES material, technology, system and/or enhancement technique.

Table 2 classifies the different requirements that, according to the literature, TES materials and systems should accomplish for an optimum thermal, physical, kinetic, chemical, economical, technological, and environmental performance.

Table 2. Main requirements for selecting the suitable materials and systems focused on high temperature TES. The requirements which have an \* correspond only to latent storage. Based on [27,31-33].

		Requirements	Reason
<b>Material</b>	<b>Chemical</b>	Long-term chemical stability	Keeping the initial thermochemical properties along the cycling periods
		No chemical decomposition	
		Compatibility with container materials and low reactivity to heat transfer fluids (HTFs)	Ensuring long lifetime of the container and the surrounding materials in case of leakage
		No fire and explosion hazard	Ensuring workplace safety
		No toxicity	Ensuring handling safety
		*No phase separation / Incongruent melting	Avoiding changes on the stoichiometric composition of melt
	<b>Kinetic</b>	*Small or no subcooling	Having the same melting/solidification temperature and avoiding heat release problems
		*Sufficient crystallization rate	Meeting the recovery system heat transfer demands
	<b>Physical</b>	High density	Minimizing the volume occupied by the TES material
		Low vapour pressure	Diminishing the mechanical and chemical stability requirements of the container or vessel
		*Small volume changes (low density variation)	
		*Favourable phase equilibrium	Possibility of using eutectic mixtures
	<b>Thermal</b>	High specific heat	Providing significant sensible heat storage
		High thermal conductivity in both solid and liquid states	Enhancing the heat transfer within the TES material by providing the minimum temperature gradients
		*Melting / solidification temperature in the desired operating temperature range	Ensuring the success of the charging and discharging processes within the operation conditions

<b>Material/System</b>		*High latent heat of transition per unit volume near temperature of use	Providing significant latent heat storage in small volumes
		*Congruent melting	Ensuring the complete melt of the TES material and their homogeneity
	<b>Economic</b>	Abundant and available	Being cheaper than other options
		Large lifetime	Avoiding replacements and maintenance during the lifetime of the TES system
		Cost effective	Being competitive in front other options
	<b>Environmental</b>	Low manufacturing energy	Reducing the environmental impact of the systems and accomplishing sustainable regulations and trends
		Easy recycling and treatment	
		Low CO <sub>2</sub> footprint and use of by-products	
		Non-polluting	
	<b>Technological</b>	Operation strategy	Optimizing the processes by adapting them to limiting factors such as maximum loads, nominal temperatures and specific enthalpy drops in load
		Integration into the facility	
		Suitable heat transfer between the HTF and the storage medium (efficiency)	Enhancing the heat transfer from the TES material to the HTF and vice versa

The main objective of the present paper is to identify the main requirements that a TES system (sensible or latent) should accomplish at high temperature ( $> 150\text{ }^{\circ}\text{C}$ ) from the material and system point of view, and reviewing the literature available on this topic in order to find the research niches which can allow the researchers conducting their investigation.

This is the first review considering such a wide scope and therefore the authors have divided it into two parts. The first part consists of a revision of all the general requirements that a TES system should fulfil in order to be considered optimal (chemical, economic, environmental, kinetic, physical, technological, and thermal). The second part [34] is mainly focused on the study of the thermal conductivity enhancement techniques between the HTF and the TES material, by adding extended surfaces, and enhancing the thermal conductivity of the material itself, by combining it with highly conductive materials.

## 2. Material requirements

### 2.1 Addressing chemical requirements

Chemical requirements are very similar for sensible and latent heat storage materials (Table 2). Candidate materials should have long-term chemical stability, no chemical decomposition, should be compatible with the container materials and the HTF, non-toxic and non-flammable, and they should present no phase segregation.

Any material is suitable for TES applications if it is chemically stable and does not degrade after a number of repeated heating and cooling cycles, which means that it keeps almost the same thermochemical properties than the beginning. Many authors reported the results from their cycling tests in which the chemical stability was checked. Ferrer et al. [35] reviewed the methodologies used to assess the cyclability of TES materials. They identified that the infrared spectroscopy (FT-IR) analysis is the most widely technique used to study the chemical stability. In addition, they also identified the parameters that need to be considered when performing those tests: cycling equipment, characterization technique after the test, number of cycles, and heating rate. Only four studies regarding high temperature TES materials have been found. Solé et al. [36] studied the chemical stability of three high temperature sugar alcohols (d-mannitol [ $T_m = 166 - 176.9$  °C], myo-inositol [ $T_m = 224 - 227$  °C] and galacticol [ $T_m = 187 - 188.5$  °C]) using differential scanning calorimeter (DSC) and FT-IR analyses. Results showed a poor stability of galacticol and d-mannitol and a good stability of myo-inositol. Moreover, it was observed that the contact of the TES materials with oxygen was a variable that affected the results of the study. Therefore, a TES system including these materials should be in an inert atmosphere or in vacuum. Similarly, John et al. [37] studied the effect of the upper cycle temperature on the thermal behaviour of galactitol in bulk thermal cycling for solar cookers. They observed the same poor stability results than Solé et al. [36] and concluded that this TES material is not suitable for the application proposed. Paul et al. [38] studied the chemical stability of a eutectic mixture at a 30:70 molar ratio of galactitol and mannitol ( $T_m = 153$  °C) via DSC, X-ray powder diffraction (XR) and FT-IR spectroscopy analyses. Those techniques confirmed that the combination of mannitol and galacticol showed good cyclic, thermal and chemical stability compared to its individual components under nitrogen or air atmospheres. Finally, Sun et al. [39] cycled 1000 times an aluminium-magnesium-zinc alloy ( $T_m = 450$  °C). Results showed a good stability of the alloy as it showed an 11% of loss on the heat storage capacity and a variation of 0.7 % on the melting temperature.

The compatibility of the TES material and the container material is crucial in order to ensure a long lifetime as a result of a minimum variation of its mechanical and structural properties. This property is often assessed by measuring the corrosion between the TES and the container materials. Corrosion is normally measured by immersing a sample made of the container material in the liquid TES material. Temperature, length and repeatability of the analysis depend on the specific requirements of the applications.

Table 3 shows a review of the corrosion studies performed at high temperature and defines the TES and containers materials, working temperatures, results and year in which they were performed. Eutectic mixtures containing sodium nitrate and potassium nitrate are the most studied high temperature TES material because of their potential use in solar power plants. The combination of molten salts and the metallic parts of the solar power plants constitutes a corrosion system, where the salts act as an electrolyte, comparable to an aqueous electrolyte. However, whereas the corrosion mechanisms of metals in numerous aqueous electrolytes are well established and understood, it still exists a lack in knowledge concerning the corrosion mechanisms of metals in molten salts [40]. The corrosion of different commercial steels and other alloys are evaluated when in contact with nine different high temperature eutectic mixtures used for TES. The corrosion tests have been performed at different working temperature, ranging from 250 to 800 °C. However, different procedures (metal weight/gain losses, corrosion rates, etc.) were used by those authors to evaluate the corrosion and, therefore, it is not possible to perform an accurate comparison of the results reviewed. Moreover, the compatibility between a TES material and its container cannot be transferred from the literature straightforward. At the working conditions (temperature, length, intermittence, etc.) of the real application in which those materials are expected to be used should be assessed. Guillot et al. [41] assessed the suitability of combining intertised asbestos containing wastes (IACW), which are industrial wastes based on calcium magnesium iron alumino-silicate with different impurities, with molten salts to be combined and used as TES material in concentrated solar power (CSP) plants. Sulphates, phosphates, carbonates and nitrates salts have been mixed with IACW and the corrosion indicated that only the nitrates have shown good compatibility with IACW materials.

Table 3. Review of the studies concerning the chemical requirements at high temperature in terms of compatibility between the container and the TES material.

Study case	TES material (wt %)	Container material	Working temperature	Results	Year	Reference				
1	(HITEC) NaNO <sub>2</sub> + NaNO <sub>3</sub> + KNO <sub>3</sub> (40 % + 7 % + 53 %)	Steel	A516	390 °C	Mass gain = 0.35 mg/cm <sup>2</sup>	2015	Fernandez et al. [42]			
			T11	390 °C	Mass gain = 0.35 mg/cm <sup>2</sup>					
			T22	390 °C	Mass gain = 0.35 mg/cm <sup>2</sup>					
		Other alloys	321	530 °C	≈ 0.02 mm/a	2015	Federsel et al. [43]			
			Inconel 600	530 °C	≈ 0.02 mm/a	2015	Federsel et al. [43]			
					AISI 430	390 °C	< 0.1 mg/cm <sup>2</sup>	2015	Fernandez et al. [44]	
					T22	390 °C	0.00044 μm/h			
					A1	390 °C	0.00075 μm/h			
					SS316	550 °C	> 1 mg/cm <sup>2</sup>	2004	Goods and Bradshaw [45]	
					A36	550 °C	<0.5 mg/cm <sup>2</sup>	2015	Fernandez et al. [44]	
SS304	390 °C	<0.1 mg/cm <sup>2</sup>								
3	KNO <sub>3</sub> + NaNO <sub>3</sub> (50 % + 50 %)	Other alloys	Inconel	677 °C	3.05-4.83 mm/a	1985	Slusser et al. [46]			
			Hastelloy		3.30-10.41 mm/a					
			Alloy		4.32 mm/a					
			RA		6.86 mm/a					
			Incoloy		10.67 mm/a					
			Haynes		17.53-21.08 mm/a					
			Nitronic		21.33-29.72 mm/a					
			Nicofer		43.18 mm/a					
			Ni		81.79 mm/a					
4	(Molten salts) NaNO <sub>3</sub> + KNO <sub>3</sub> (60 % + 40 %)	Steel	SS304	390 °C	< 0.05 mg/cm <sup>2</sup>	2014	Fernandez et al. [47,48]			
				550 °C	0.0062 μm/h					
				570 °C	4-10 mg/cm <sup>2</sup>	2004	Goods and Bradshaw [45]			
						T11	390 °C	2.75 mg/cm <sup>2</sup>	2014	Fernandez et al. [49]
						T22	390 °C	0.0081 μm/h	2014	Fernandez et al. [48]
							550 °C	2.25 mg/cm <sup>2</sup>		
						OC-4	390 °C	Excellent behaviour	2014	Fernandez et al. [47]
A1	390 °C	0.1108 μm/h	2014	Fernandez et al. [48]						

			A36	316 °C	1-2.5 mg/cm <sup>2</sup>	2004	Goods and Bradshaw [45]
			AISI SS430	390 °C	0 mg/cm <sup>2</sup>	2014	Fernandez et al. [50]
				550 °C	0.1321 μm/h		Fernandez et al. [48]
			P91	600 °C	Scale thickness = 1330 μm	2016	Dorcheh et al. [51]
			X20	600 °C	Scale thickness = 450 μm	2016	Dorcheh et al. [51]
			SS316	570 °C	5-8 mg/cm <sup>2</sup>	2004	Goods and Bradshaw [45]
				600 °C	Scale thickness = 18 μm	2016	Dorcheh et al. [51]
			316Ti	565 °C	≈ 0.5 % weight loss	2015	Federsel et al. [43]
			SS347H	600 °C	Scale thickness = 18 μm	2016	Dorcheh et al. [51]
			SS321	400 °C	Descaled loss = 0.27 mg/cm <sup>2</sup>	2014	Kruizenga and Gill [52]
				500 °C	Descaled loss = 1.98 mg/cm <sup>2</sup>	2014	Kruizenga and Gill [52]
				565 °C	≈ 0.2 % weight loss	2015	Federsel et al. [43]
				680 °C	Descaled loss = 42.77 mg/cm <sup>2</sup>	2014	Kruizenga and Gill [52]
			SS347	400 °C	Descaled loss = 0.2 mg/cm <sup>2</sup>	2014	Kruizenga and Gill [52]
		500 °C		Descaled loss = 1.28 mg/cm <sup>2</sup>	2014	Kruizenga and Gill [52]	
		680 °C		Descaled loss = 42.05 mg/cm <sup>2</sup>	2014	Kruizenga and Gill [52]	
		Other alloys	HA230	600 °C	Metal losses of 23.6 μm/a	2014	McConohy and Kruizenga [53]
				680 °C	Metal losses of 688 μm/a	2014	McConohy and Kruizenga [53]
			In625	600 °C	Scale thickness = 9 μm	2016	Dorcheh et al. [51]
				600 °C	Metal losses of 16.8 μm/a	2014	McConohy and Kruizenga [53]
				680 °C	Metal losses of 594 μm/a.	2014	McConohy and Kruizenga [53]
Incoloy Alloy 800	550-670 °C		Oxide scales higher than 15 μm	1981	Goods [54]		
5	LiNO <sub>3</sub> + NaNO <sub>3</sub> + KNO <sub>3</sub> (30 % + 18 % + 52 %)	Steel	SB450	550 °C	n.a.	2015	Cheng et al. [55]
			T22	550 °C	n.a.		
			T5	550 °C			
			T9	550 °C			
			X20	550 °C			

6	Molten salts with NaCl additives	Stainless steel	304	570 °C	7-10 mg/cm <sup>2</sup>	2004	Goods and Bradshaw [45]
			316	570 °C	5-6 mg/cm <sup>2</sup>		
		Carbon steel	A36	316 °C	2-3 mg/cm <sup>2</sup>		
7	(FLiNaK) LiF + NaF + KF (46.5 % + 11.5 % + 42 %)	Steel	SS316L	650 °C	19.65 mm/a	2014	Sona et al. [56]
			SS317L		17.82 mm/a		
			Inconel-625		23.70 mm/a		
			Incoloy-800H		39.30 mm/a		
			Hasteloy-B		3.02 mm/a		
			Ni-201		0.94 mm/a		
8	NaCl + KCl (50 % + 50 %)	Fe-Cr alloy	n.a.	670 °C	n.a.	2005	Li et al. [57]
		Fe-Al alloy					
		Ni-Al alloy					
		Steel	316L	670 °C	n.a.	2010	Abramov et al. [58]
			316Ti				
			321				
9	NaCl + KCl + ZnCl <sub>2</sub> (13.4 % + 33.7 % + 52.9 %)	Hastelloy	C-276	500 °C	Corrosion rate < 20 μm/a	2015	Vignarooban et al. [59]
				800 °C	Corrosion rate 40 μm/a		
			C-22	250 °C	Corrosion rate < 20 μm/a		
				500 °C	Corrosion rate 40 μm/a		
		Stainless steel	304	250 °C	Corrosion rate 20 μm/a		
				500 °C	Corrosion rate 380 μm/a		

n.a: not available



In order to facilitate the handling and the safe use of the TES material, no hazardous materials are preferable. Therefore toxic or flammable TES materials should be avoided. However, most of the authors have focused only on the thermal behaviour properties when selecting TES materials without taking into account those parameters. Miró et al. [60] proposed health hazard as a part of a new methodology to select the suitable TES materials. Moreover, a case study considering five high temperature PCMs (salicylic acid, benzanilide, d-mannitol, hydroquinone, and potassium thiocyanate) was presented. The degree of health hazard of a material is based on the form or condition of the material and on its inherent properties. It is provided by the manufacturer in the material safety data sheets or by different standard associations which have developed tools to indicate the health, flammability, reactivity and special hazards for many common chemicals [60]. Results showed that potassium thiocyanate was the most dangerous TES materials within the selected ones and its short exposure could cause serious temporary or moderate residual injuries. TES material with health hazard values above 3 (according to the National fire protection association (NFPA) 704 standard in a scale from 0 to 4) should be discarded. However, if a specific application requires it, its use must be always under the established safety measures. In Table 4, the health hazard rating of the different materials studied are presented. Regarding to the flammability, Gallegos and Yu [61] proposed TES as and insulation medium in high temperatures systems when the heat flux presented values up to 80-84 kW·m<sup>-2</sup> from flashover conditions in a firefighting environment. Among the proposed candidates, they analysed dulcitol and d-mannitol. Results showed that the molecular structure of sugar alcohols has tremendous impacts in terms of melting and boiling point and therefore in flammability.

Table 4. Health hazard rating of some TES materials based on the NFPA 704 standard [60].

	<b>Health hazard rating</b>
<b>Salicylic acid</b>	½
<b>Benzanilide</b>	1
<b>d-mannitol</b>	1
<b>Hydroquinone</b>	2
<b>Potassium thiocyanate</b>	3

Phase segregation (or phase separation) is the macroscopic separation of the phases in a PCM. When that occurs, PCM shows a significantly lower capacity to store heat [33]. According to Zhao [62], encapsulation can help to mitigate not only phase segregation during thermal cycling but also problems like low thermal conductivity and subcooling. Moreover, it protects the TES material from exposure and potential corrosion with HTF. Therefore, the encapsulation material should also be compatible with the TES material. Metals are the best candidates for

encapsulation at high temperature due to their properties such as strength, thermal conductivity, wear resistance, excellent workability and ductility [62,63]. However, the use of shells and their characteristics are strongly determined by the volumetric expansion of the material and the pressure increase during the melting process [64]. In order to avoid problems such as chemical corrosion and thermal stress, the use of ceramic shells is starting to gain relevance [65]. A more detailed review on shell materials used in the encapsulation of TES materials for high temperature is presented in Jacob and Bruno [66].

## 2.2 Addressing kinetic requirements

The kinetic requirements identified in this review include small or no subcooling during the solidification in order to have the similar temperatures in both the melting and the solidification processes and therefore avoiding heat release problems, and sufficient crystallization rate in order to meet the recovery system heat transfer demands. These two requirements are referred only to latent heat storage systems [67].

In the literature, only research work discussing the subcooling effect is found. This effect, also known as supercooling or undercooling [33], refers to a solidification process which does not start immediately upon cooling below the melting temperature, but starts the crystallization only after a temperature well below the melting temperature (Fig. 1). It appears mostly in inorganic PCM.

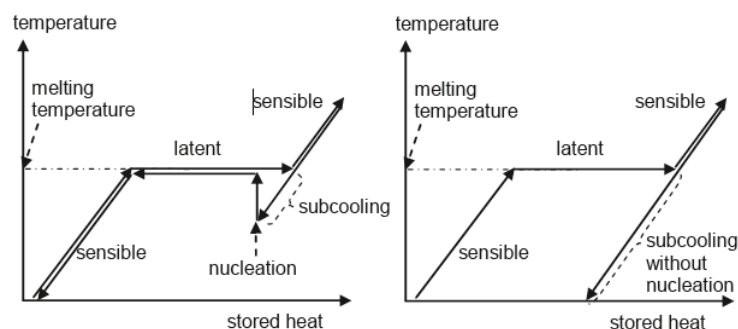


Fig. 1. Effect of subcooling on heat storage. Left: little subcooling and nucleation, right: severe subcooling without nucleation [33].

The most common approach to eliminate the subcooling effect is the addition of additives, also known as nucleating agents, into the TES material in order to cause heterogeneous nucleation. Most of the nucleating agents are materials with a similar crystal structure than the solid TES material, which allows the solid phase of the TES material to grow on their surface but with

higher melting temperature to avoid deactivation when the TES material is melted. The main problem of this solution is that similar crystal structures usually means similar melting temperatures.

Very little research has been carried out regarding kinetics at high temperature. For example, Sari et al. [68] reduced the subcooling of galacticol ( $T_m = 187.4\text{ }^\circ\text{C}$ ) by preparing galactitol hexastearate and galactitol hexapalmitate as a novel solid-liquid TES material by means of esterification reaction of the TES material with palmitic acid and stearic acid. However, this mixture led to a TES material with a melting temperature of around  $40\text{ }^\circ\text{C}$ . Paul et al. [38] studied the effect of adding up to 0.5 wt.% of different nucleating agents (graphite and silver iodide) into an eutectic mixture at a 30:70 molar ratio of galactitol and mannitol ( $T_m = 153\text{ }^\circ\text{C}$ ). They observed that the nucleation agents reduced subcooling around  $10\text{ }^\circ\text{C}$ . Other studies observed that the size of the sample is an important parameter when determining the subcooling effect [69-71]. Rathgeber et al. [69] selected nine TES materials for combined DSC and T-history measurements. From these materials, hydroquinone was the only one at high temperature. Using both DSC and T-history for the determination of enthalpy curves, the dependence of this curve on the sample size and on the temperature profile applied could be analysed and a reduction of around  $13\text{ }^\circ\text{C}$  in the subcooling effect was observed. Gil et al. [70] tested at both laboratory and pilot plant scales two TES materials candidates for solar refrigeration applications (hydroquinone and d-mannitol). They realized that d-mannitol showed subcooling in both scales while hydroquinone showed subcooling only at laboratory scale. Later on, both materials were also analysed with the T-History method [71], verifying that the effect of subcooling was volume-dependent.

### **2.3 Addressing physical requirements**

Regarding the physical requirements, TES materials should have high density in order to decrease the space needed to store the same amount of heat. In addition, low vapour pressure and low density variation between phases are needed to diminish the mechanical and chemical stability requirements of the container. Finally, and specifically for TES materials working in their latent phase, favourable phase equilibrium is required.

Despite the fact that these parameters are very important when designing the TES container or the encapsulation for the TES material, there is a paucity of literature on this requirement at high temperature. Regarding the low density variation, Archiblod et al. [71] and Solomon et al. [73] considered the thermal expansion coefficient of sodium nitrate ( $T_m = 306.8\text{ }^\circ\text{C}$ ) and the effect of an internal air void when evaluating its thermal performance within a metallic spherical

shell. They highlighted that the salt quantity inside the sell should be carefully calculated to guarantee the internal pressure. Moreover, they found that the shape of the melting front and the rate at which it moves is affected by the location of the internal air void. Hennemann et al. [74] studied the physical laws limiting the heat of fusion and the usability of TES material in applications, and an exhaustive study of entropy was done regarding expansional, positional, orientational, conformational and electronic contribution of entropy. They concluded that disregarding molecules with molecular weights less than 70 g/mol, the limiting theoretical entropy value set by physical and chemical constrains was 1.0 J/(g·K).

## 2.4 Addressing thermal requirements

It is a fact that most of the materials used for TES purposes have poor thermal characteristics and therefore enhancement techniques addressing these requirements need to be performed. As Table 2 shows, there are five main thermal requirements that TES materials should meet in order to optimize the processes in which they are planned to be implemented. If the thermal storage is requested to occur in the latent phase, TES materials must have their phase change temperature in the desired operating temperature range in order to increase the potential of the system. Moreover, high latent heat of transition per unit volume near the temperature of use is also desired in order to provide significant latent heat storage with small volumes and therefore, obtaining lower operation costs due to the optimization of the storage container. The last thermal requirement regarding the use of the latent phase is the utilization of a TES material with congruent melting in order to ensure that it completely changes of phase and therefore, both solid and liquid phases remain homogeneous. These three parameters can be evaluated with commercial devices.

On the contrary, most of TES materials employed for high temperature purposes are used in their sensible phases. Hence, laboratory analyses should be performed in order to determine the proper thermal properties and optimize them within the desired operation temperature range. The first requirement is that the TES material should have high thermal conductivity at both solid and liquid states. This requirement, which has received a high interest from the researchers, is fully reviewed and developed in the second part of this review [34]. The second requirement is that the TES material should have high specific heat to provide significant sensible heat storage. Normally, TES materials used for high temperature purposes have low specific heat. The most widely used technique to enhance this property is the dispersion of nanoparticles within the TES material. As mentioned in the second part of this review [34], the material composed by nanoparticles dispersed within a base material (BM) is usually presented as nanofluid, when the BM is in the liquid state, or nanocomposite, when the BM is in the solid

state. Both nanofluids and nanocomposites can be obtained from two different synthesis methods [75] (Fig. 2): the two-step solution method (TSSM) or liquid solution method [76] and the stirring method (SM) [77].

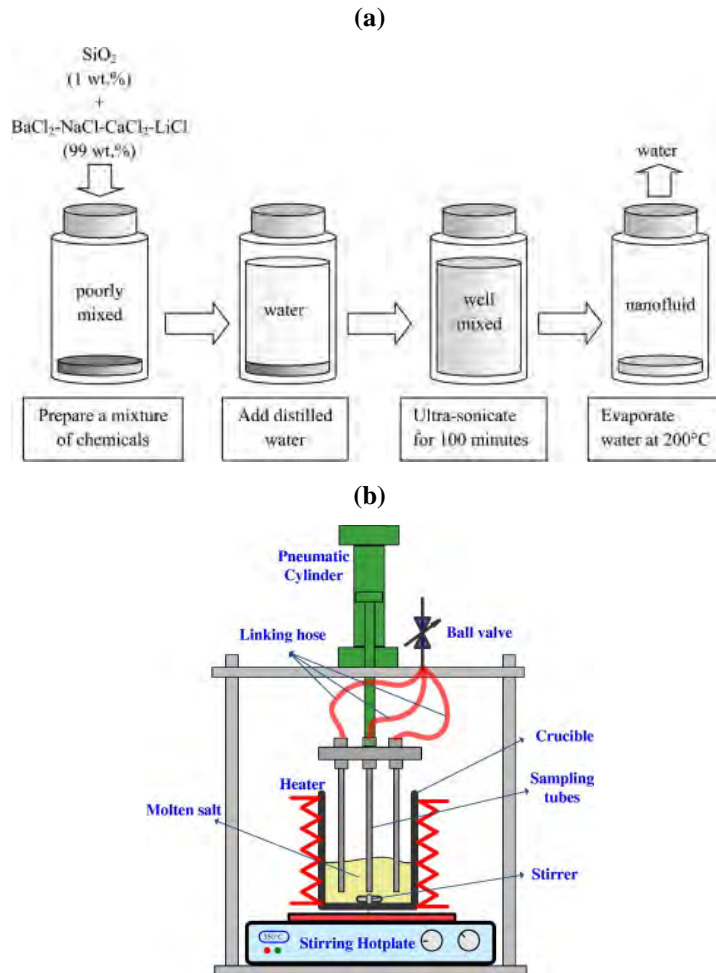


Fig. 2. Obtainment of nanomaterials at high temperature: (a) Schematic diagram of preparation of the two step solution method [76]. (b) Schematic diagram of preparation of the stirring dispersion method [77].

Despite the fact that this enhancement technique for high temperature purposes is still in the early stages of research, several studies have been carried out since 2011. Controversial results were found on these studies. It has been observed that while in the water-based and organic-based nanomaterials an increase on the specific heat was not achieved if compared to the pure material (following the traditional thermal equilibrium model) [78], in the molten salt-based nanomaterials an increase of the specific heat was observed. Shin and Banerjee [76] proposed three mechanisms to help to understand these anomalous enhancements: low vibration frequency, interfacial interaction and higher mean free path (Fig. 3). Shin and Banerjee [79] went a step further and detailed why the molten salts nanofluids do not follow the conventional effective specific heat model based on thermal equilibrium, but followed a more complex one.

The reason lied on the fact that the molten salts mixtures are formed by different ionic compounds, which have different electrostatic interaction with the nanoparticles. Therefore, when the nanoparticles are dispersed into the molten salts mixture, the different compounds are separated near nanoparticles, due to the above-mentioned difference in the electrostatic interaction and crystallize forming a fractal-like nanostructure (Fig. 4).

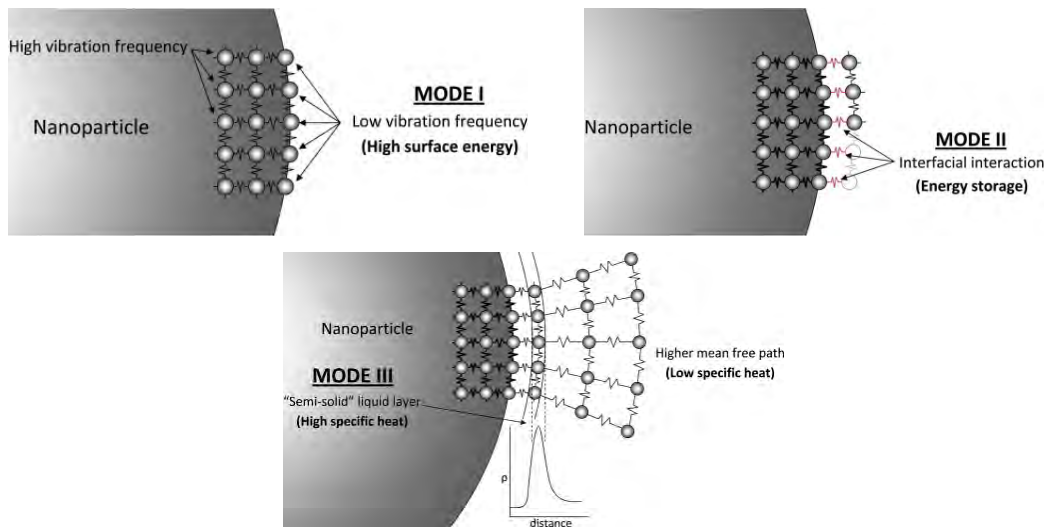


Fig. 3. Three transport mechanisms proposed to understand the anomalous enhancement with nanomaterials [76].

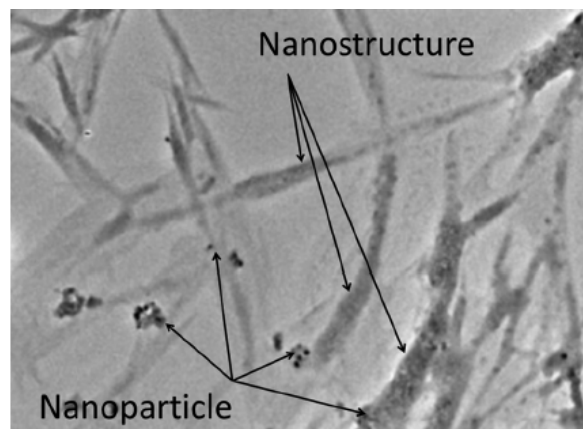


Fig. 4. Microscope view of nanoparticles and fractal-like nanostructures [79].

Practically all the different studies carried out DSC analysis to evaluate the specific heat of the nanomaterial and it is being proved that the results obtained from such analysis need to be carefully treated [80]. Table 5 reviews, in a chronological order, the different studies carried out concerning the specific heat enhancement technique of dispersing nanoparticles with TES materials at high temperature, by showing the TES material acting as BM, the nanoparticles materials, the dimension and concentration of nanoparticles, the method of synthesis, the improvement obtained and the measurement instrument.

Regarding these experimental studies, the two TES material which have been selected the most as base materials to test the specific heat enhancement because of the dispersion of nanoparticles heat have been the eutectic mixtures of sodium nitrates and potassium nitrates, and the eutectic mixtures of lithium carbonates and potassium carbonates. The different authors have studied the influence of the concentration of nanoparticles (from 0.5 to 4.6 wt %) [75,77,81,82,84-87,89], of the influence of the nanoparticles material (mica, SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, SiO<sub>2</sub>-Al<sub>2</sub>O, carbon nanotubes, graphene and fullerene C<sub>60</sub> (Fig. 5)) [82,86,87], and of the influence of the particle size (5, 10, 30 and 60 nm) [83,84,88]. The lack of standards for the preparation, the measurement and the evaluation of the results, as well as the lack of knowledge of the behaviour of the composite at nano-scale, generates an elevated uncertainty which currently makes very difficult the exercise of obtaining proper conclusions. However, Table 5 shows that the highest specific heat enhancement was achieved with a nanoparticle concentration of 1 wt.%. Regarding the particle size, there is no significant variation in the specific heat enhancement. Therefore, the fact of having the nanoparticles well-dispersed within the BM rather than agglomerated might be one of the parameters responsible of such enhancement. Ho and Pan [77] obtained the minimum concentration value at which nanoparticles starts to aggregate and it turned to be 0.016 wt.%. Therefore, a standardization of the preparation and evaluation of both the nanomaterial and the results, as well as, studies with samples containing masses higher than the studied at laboratory scale, should be considered.

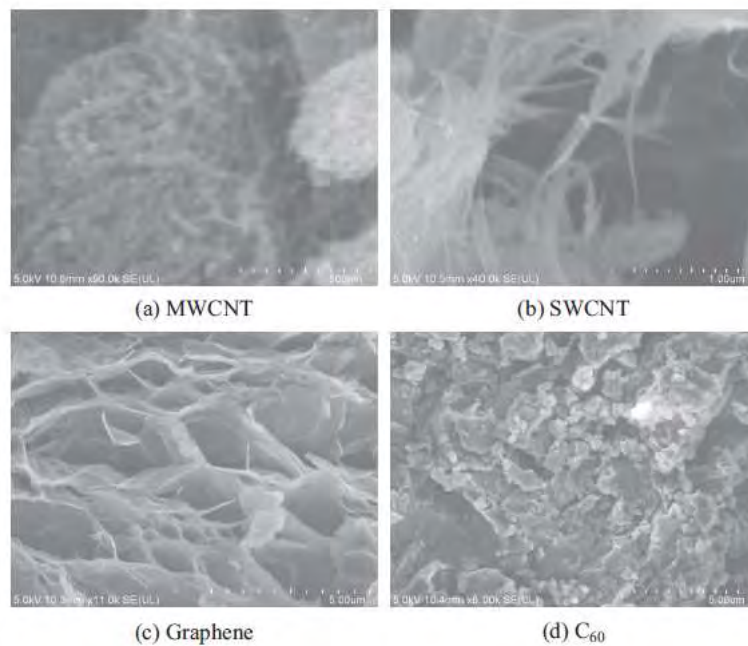


Fig. 5. Carbon nanostructures obtained by SEM analysis [87].

Table 5. Review of the studies concerning the combination of nanoparticles with TES material at high temperature in terms of specific heat enhancement.

Study case		Nanoparticle (nominal size)	Nanoparticles concentration (wt. %)	TES material (wt %)	Synthesis method	Comparison	Improvement and measurement instrument	Year	Reference
1	Experimental	Al <sub>2</sub> O <sub>3</sub> (50 nm)	0.5/1.0/2.5	[C <sub>4</sub> mim][NTf <sub>2</sub> ]	n.a.	Concentration of nanoparticles	Up to 30.4 % (2.5 wt. %)	2011	Bridges et al. [90]
2	Experimental	Mica (45 μm)	0.5/1.0/2.0	KNO <sub>3</sub> + NaNO <sub>3</sub> (60 + 40 %)	TSSM	Concentration of nanoparticles	Up to 15 % (1.0 wt. %, solid state). Up to 19 % (1.0 wt. %, liquid state)	2011	Jung and Banerjee [81]
							By: MDSC (Q20, TA Instruments)		
3	Experimental	SiO <sub>2</sub> (20-30 nm)	1.0	BaCl <sub>2</sub> + CaCl <sub>2</sub> + LiCl + NaCl (15.9 + 34.5 + 29.1 + 20.5 %)	TSSM	Nanomaterial vs pure material	Up to 14.5 %	2011	Shin and Banerjee [76]
							By: MDSC (Q20, TA Instruments)		
4	Experimental	TiO <sub>2</sub> (20-30 nm)	1.0	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (62 + 38 %)	TSSM	Nanomaterial vs pure material	Up to 23 %	2011	Shin and Banerjee [91]
							By: MDSC (Q20, TA Instruments)		
5	Experimental	SiO <sub>2</sub> (7 nm) Al <sub>2</sub> O <sub>3</sub> (13 nm) TiO <sub>2</sub> (20 nm) SiO <sub>2</sub> -Al <sub>2</sub> O <sub>3</sub> (2-	0.5/1.0/1.5	NaNO <sub>3</sub> + KNO <sub>3</sub> (60 + 40 %)	TSSM	Nanoparticle and concentration of nanoparticles	SiO <sub>2</sub> : Up to 14.9 % (1.0 wt. %, solid state). Up to 0.8 % (1.0 wt. %, liquid state)	2013	Chieruzzi et al. [82]



		200 nm)					<p><math>Al_2O_3</math>: Up to 19.9 % (1.0 wt %, solid state). Up to 5.9 % (1.0 wt %, liquid state)</p> <p><math>TiO_2</math>: No enhancement observed</p> <p><math>SiO_2-Al_2O_3</math>: Up to 57.7 % (1.0 wt %, solid state). Up to 22.5 % (1.0 wt %, liquid state)</p> <p>By: Mettler-Toledo DSC 822E/400</p>		
6	Experimental	$SiO_2$ (5/10/30/60 nm)	1.0	$NaNO_3 + KNO_3$ (60 + 40 %)	TSSM	Size of nanoparticles	<p>Up to 10 % (1.0 wt %, 60nm, solid state). Up to 28 % (1.0 wt %, 60nm, liquid state)</p> <p>By: MDSC (Q20, TA Instruments)</p>	2013	Dudda and Shin [83]
7	Experimental	$Al_2O_3$ (13/90 nm)	0.9/2.7/4.6	$NaNO_3 + KNO_3$ (60 + 40 %)	TSSM	Concentration of nanoparticles and particle size	<p>No enhancement observed</p> <p>By: MDSC (Q20, TA Instruments)</p>	2013	Lu and Huang [84]
8	Experimental	$SiO_2$ (2-20 nm)	1.5	$Li_2CO_3 + K_2CO_3$ (62 + 38 %)	TSSM	Nanomaterial vs pure material	Up to 54 % (solid state). Up to 124 % (liquid state)	2013	Shin and Banerjee

							By: MDSC (Q20, TA Instruments)		[92]
9	Experimental	SiO <sub>2</sub> (5/10/30/60 nm)	1.0	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (62 + 38 %)	TSSM	Size of nanoparticles	Up to 28 % (60 nm, solid state). Up to 26 % (60nm, liquid state)	2013	Tiznobaik and Shin [93]
							By: MDSC (Q20, TA Instruments)		
10	Experimental	SiO <sub>2</sub> (12 nm)	0.5/1.0/1.5/2.0	NaNO <sub>3</sub> + KNO <sub>3</sub> (60 + 40 %)	TSSM	Concentration of nanoparticles	Up to 25 % (1.0 wt. %).	2014	Andreu-Cabedo [75]
							By: Mettler-Toledo DSC 822E/400		
11	Experimental	Al <sub>2</sub> O <sub>3</sub> (<50 nm)	0.016/0.063/0.125/0.25/0.5/1.0/2.0	KNO <sub>3</sub> + NaNO <sub>2</sub> + NaNO <sub>3</sub> (53+ 40 + 7 %)	SM	Concentration of nanoparticles	Up to 19.9 % (0.063 wt. %)	2014	Ho and Pan [77]
							By: Perkin Elmer/DSC 7		
12	Experimental	Sn/SiO <sub>2</sub> (100 nm)	1.0/3.0/5.0	KNO <sub>3</sub> + NaNO <sub>3</sub> (40 + 60 %) KNO <sub>3</sub> + NaNO <sub>2</sub> + NaNO <sub>3</sub> (53 + 40 + 7 %)	n.a.	Concentration of nanoparticles	<i>KNO<sub>3</sub>+NaNO<sub>3</sub></i> : Up to 36.3 % (5.0 wt. %).	2014	Lai et al. [89]
							<i>KNO<sub>3</sub>+NaNO<sub>2</sub>+NaNO<sub>3</sub></i> : Up to 30.1 % (5.0 wt. %).		
							By: Mettler-Toledo DSC 822E/400		
13	Experimental	SiO <sub>2</sub> (60 nm)	1.0	LiNO <sub>3</sub> + NaNO <sub>3</sub> + KNO <sub>3</sub> (38 + 15 + 47 %)	TSSM	Nanomaterial vs pure material	Up to 33 %	2014	Seo and Shin [94]
							By: MDSC (Q20, TA Instruments)		
14	Experimental	Al <sub>2</sub> O <sub>3</sub>	1.0	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub>	TSSM	Nanomaterial vs	Up to 33 %	2014	Shin and

		(60 nm)		(62 + 38 %)		pure material	By: MDSC (Q20, TA Instruments)		Banerjee [95]
15	Experimental	MWCNT (10-30 nm x 1.5µm)	1.0	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (62 + 38 %)	TSSM	Nanomaterial vs pure material	Up to 16 % (solid state). Up to 21 % (liquid state) By: MDSC (Q20, TA Instruments)	2014	Jo and Banerjee [96]
16	Experimental	SiO <sub>2</sub>	1.0	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (62 + 38 %)	TSSM	Nanomaterial vs pure material	Up to 15 % (solid state) By: MDSC (Q20, TA Instruments)	2015	Shin and Banerjee [97]
17	Experimental	Al <sub>2</sub> O <sub>3</sub> (40 nm)	0.125/0.25/0.5/0.75/1.0/1.5/2.0	NaNO <sub>3</sub> + KNO <sub>3</sub> (60 + 40 %)	TSSM	Concentration of nanoparticles	Up to 30.6 % (0.78 wt. %) By: MDSC (Q20, TA Instruments)	2015	Schuller et al. [85]
18	Experimental	SWCNT (5-20 nm x 1-5 µm) MWCNT (10-50 nm x 0.5-1 µm) Graphene (10-20 nm x 1-5 µm) Fullerene C <sub>60</sub> (0.5-2 µm)	0.1/0.5/1.0/1.5/2.5	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (62 + 38 mol %)	TSSM	Nanoparticle and concentration of nanoparticles	SWCNT: Up to 18.7 % (1.5 wt. %, solid state). Up to 14.4 % (1.5 wt%, liquid state) MWCNT: Up to 12.4 % (1.5 wt. %, solid state). Up to 14.52 % (1.5 wt. %, liquid state) Graphene: Up to 16.8 % (1.5 wt. %, solid state). Up to 18.57 % (1.5 wt. %, liquid state)	2015	Tao et al. [87]

							$C_{60}$ : Up to 13.47 % (2.5 wt. %, solid state). Up to 12.05 % (2.5 wt. %, liquid state)		
							By: n.a.		
19	Experimental	SiO <sub>2</sub> (7 nm) Al <sub>2</sub> O <sub>3</sub> (13 nm) SiO <sub>2</sub> -Al <sub>2</sub> O <sub>3</sub> (2-200 nm)	1.0	KNO <sub>3</sub>	TSSM	Nanoparticle	SiO <sub>2</sub> : Up to 9.5 % (solid state). Up to 6.1 % (liquid state) Al <sub>2</sub> O <sub>3</sub> : No enhancement observed SiO <sub>2</sub> -Al <sub>2</sub> O <sub>3</sub> : Up to 4.7 % (solid state). No enhancement observed in the liquid state By: Mettler-Toledo DSC 822E/400	2015	Chieruzzi et al. [86]
20	Experimental	SiO <sub>2</sub> (5/10/30/60 nm)	1.0	LiNO <sub>3</sub> + NaNO <sub>3</sub> + KNO <sub>3</sub> (38 + 15 + 47 %)	TSSM	Size of nanoparticles	Up to 16 % (10 and 30 nm) By: MDSC (Q20, TA Instruments)	2016	Seo and Shin [88]
21	Experimental	SiO <sub>2</sub> (30 nm)	1.0	NaNO <sub>3</sub> + KNO <sub>3</sub> + Ca(NO <sub>3</sub> ) <sub>2</sub> (49 + 30 + 21 %)	TSSM	Nanomaterial vs pure material	Up to 19 % By: MDSC (Q20, TA Instruments)	2016	Devaradjan e and Shin [98]

MDSC: Modulated differential scanning calorimeter / SWCNT: Single walled carbon nanotubes / MWCNT: Multi-walled carbon nanotubes / TSSM: Two-step solution method / SM: Stirring method

### 3. System requirements

#### 3.1 Addressing economic requirements

A common problem in the current research field is that TES is mainly focused on the analysis of the intrinsic properties of the materials and its enhancement techniques and the system requirements (Economy, technology and environment) are sometimes ignored. The economic feasibility of a TES system is assessed taking into account parameters like abundance and availability of the TES material, large lifetime of the components and cost effectiveness.

Most of the economic analyses are focused on CSP facilities as they are one of the most developed technologies at the high temperature range. More than 80% of CSP plants which are already built or under construction are based on the parabolic trough technology [106]. Hence, practically all the information regarding economic aspects refers to this technology. CSP facilities costs are mainly divided in three categories: capital investment costs (CAPEX), operation and maintenance costs (OPEX) and financing costs [107]. It is very difficult to obtain feasible and real values, since very few information is currently available because of confidentiality. Table 6 shows the breakdown of the CAPEX costs of two proposed 100 MW CSP plants in South Africa. The first one corresponds to a parabolic through CSP plant with 13.4 h of storage and estimated total CAPEX costs of 914 million USD, while the second one corresponds to a solar tower CSP plant with 15 h of TES and estimated total CAPEX costs of 978 million USD. In both cases the most economic-intensive part is the solar and heliostat field, representing one third of the total investment. From this cost, the elements made of steel and the mirrors are the largest contributors. On the other hand, the TES system represents 10-15 % of the total cost, being the TES material and the storage tanks the largest contributors. OPEX costs include the replacement costs of the damaged and broken elements of the plant, the water costs for mirror washing, and insurance among others, and have values between 0.020 and 0.036 USD/kWh for parabolic trough CSP plants, and around 65 USD/kW/year for solar tower CSP plants [106]. Finally, the authors of the study reviewed potential CSP cost reduction potentials and identified the following potential innovations: new HTFs, new storage materials, new mirror material and new collector concepts.

Table 6. Breakdown of the CAPEX of two proposed 100 MW CSP plants in South Africa [107].

Section	CAPEX breakdown	
	Parabolic trough CSP	Solar tower CSP
Solar field / Heliostat field	35 %	33 %

Site preparation	n.a.	4 %
Tower	n.a.	2 %
Receiver system	n.a.	15 %
Owner's costs	5 %	5 %
Contingencies	8 %	8 %
Engineering	7 %	6 %
Balance of plant	6 %	6 %
Power block	17 %	11 %
TES system	15 %	10 %
HTF system	7 %	-

Wagner and Rubin [109] combined the cost with the performance and the profit of a 110-MW parabolic trough CSP plant operating with a TES system, with and without natural gas-fired backup system. The use of TES was found to increase the annual capacity factor and the total plant capital costs but decreased the annual operation and maintenance costs. Nithyanandam and Pitchumani [110] studied, in terms of cost and performance, two different TES systems types (encapsulated PCM and embedded heat pipes) integrated in tower CSP plants, and compared them with the results of a two-tank molten salt storage system. Results showed that the costs of integrating the two-tank molten salt storage system is at least 1.12 €/kWh and 0.71 €/kWh higher compared to encapsulated PCM and embedded heat pipes systems, respectively.

Regarding to other types of TES systems, Rathgeber et al. [111] evaluated the storage capacity costs ( $\text{€}\cdot\text{kWh}^{-1}$ ) of different TES systems from the participants institutions at Energy conservation through energy storage (ECES) Annex 29 via top-down and bottom-up approaches. Some of the systems presented work at high temperature. Results showed that the annual number of storage cycles has the largest influence on the cost effectiveness.

### 3.2 Addressing environmental requirements

As environmental awareness increases, industries and businesses are assessing how their activities affect to the environment. Table 2 lists the environmental requirements for a whole TES system (TES material, container, piping system, insulation, etc.). These requirements aim to reduce the environmental impact of the TES systems and to accomplish sustainable regulations and trends. Therefore it is desirable to have a low manufacturing energy demand, to demand an easy recycling and treatment, and to use non-polluting and low CO<sub>2</sub> footprint materials. These requirements can be assessed by performing environmental analyses and/or using by-products or industrial wastes as alternative TES materials.

Regarding the environmental analysis, three main tools have been found focused on identifying the environmental affection of those systems: the carbon or CO<sub>2</sub> footprint, the life cycle assessment (LCA), and the cumulative energy demand (CED). The CO<sub>2</sub> footprint measures the greenhouse gases (GHG) emissions over the whole life of a product from the extraction of raw materials and manufacturing right through to its use and final re-use, recycling or disposal [112]. It considers direct emissions, which are emissions from sources that are owned or controlled by the reporting entity, and indirect emissions, which are emissions that are a consequence of the activities of the reporting entity, but occur at sources owned or controlled by another entity. In fact, the CO<sub>2</sub> footprint is a simplification of the LCA analysis, and instead of considering all the impact categories a LCA (Table 7), it only considers the global warming impact category. LCA analyses the impact of a product from the extraction, through manufacturing, transportation and use, to its disposal. LCA divides the environmental burdens analysis into three different damage categories: human health, ecosystem quality and resources. Finally, the CED considers direct and indirect energy use throughout the life cycle, including the energy consumed during the extraction, manufacturing and disposal of the raw and auxiliary materials [113].

Table 7. Main impact categories of LCA classified by scale impact and their quantification, based on [114].

	<b>Impact category</b>	<b>Quantification</b>
Global impact	Global warming	CO <sub>2</sub> -Eq
	Resource depletion	$\frac{\text{Quantity resource used}}{\text{Quantity left in reserve}}$
	Ozone depletion	CFC-11 equivalents
Regional impact	Smog	Ethane equivalents
	Acidification	Hydrogen ion equivalents
Local impact	Eutrophication	PO <sub>4</sub> equivalents
	Human toxicity	LC50 equivalents
	Ecotoxicity	LC50 equivalents
	Land use	Mass of solid waste
	Water use	$\frac{\text{Quantity water used}}{\text{Quantity left in reserve}}$

The environmental analyses found in the literature are classified in this review depending on the boundaries of the study. First, only the TES material. Afterwards, the TES system (it includes

the HTF, the container material and the insulation). Finally, the whole facility (it includes the TES system and the heating and cooling systems).

Considering only the TES material, Khare et al. [115] identified some sensible heat storage materials to work from 500 °C on and calculated their environmental affection during the different life cycle stages. Among all the materials studied, they observed that high alumina concrete was the less energy requiring material and with the lower values of CO<sub>2</sub> production as a consequence of little material processing and local transport. López-Sabirón et al. [116] performed an LCA to determine whether the energy savings of conventional fuels during the operation stage were large enough to balance the environmental impact of four different latent TES system (used to recover thermal energy in a temperature range from 300 °C to 400 °C) from its manufacture to its disposal. Results showed a reduction in the overall impacts by the use of TES. Besides, they also calculated the carbon footprint of the main integrating components of these TES system. They observed that the HTF is the lowest contributor while the steel and the PCM are the highest contributors. In another study, López-Sabirón et al. [117] evaluated a TES system with PCM including the manufacture, use and end-of-life stages. They observed that changing the materials in the manufacture of the TES, raising the material recovery ratios, and selecting the proper HTF, can increase the positive impact of the end-of-life stage.

Regarding both the material and system, Oró et al. [118] compared the LCA of three different TES systems for solar power plants: sensible heat storage (concrete and molten salts) both in solid and liquid thermal storage media and latent heat storage (molten salts). They concluded that the system containing sensible liquid thermal storage media was the one with higher environmental impact. The same systems were analysed by Miró et al. [119], who besides accounted their CED. In this case, results showed that the latent system was the one accounting for more embodied energy. Recently, Lalau et al. [120] compared the environmental impacts of a thermocline TES system using Cofalit as the filler material to a conventional two-tank molten salt TES system. The authors used the global warming potential (GWP), CED and water indicators to calculate the Cofalit manufacturing impact. They identified a reduction in comparison with the two-tank molten salt storage of 40 % for the GWP, 30 % for the CED and 60 % for water. Moreover, the advantages of using Cofalit are its availability and its high working temperature (up to 1000 °C).

Finally, from the point of view of the whole facility, Klein [121] analysed the water consumption, land use, and life cycle GHG emissions of different backup cooling options (wet and dry cooling combined with fossil fuels or molten salts TES) by applying a multi-criteria



decision analysis to a 1 MWh parabolic trough CSP plant. In this study, the authors suggested a preference for the TES backup. In another study, Klein and Rubin [122], applied an LCA analysis to the same CSP plant than Klein [121] and considered also different backup systems. They concluded that facilities with TES have less GHG emissions than plants using natural gas as backup system but higher land use. Lechón et al. [123] performed an LCA analysis of two solar thermal plants located in Spain using molten salts as TES material: one with the solar tower technology (17 MW) and the other with the parabolic trough technology (50 MW). They accounted for 634 kt of CO<sub>2</sub> savings if thermal power objectives for Spain were accomplished. Giuliano et al. [124] accounted and compared the CO<sub>2</sub> emissions of five 30 MW solar-hybrid plants (with and without molten salts as storage system) to a conventional fossil-fired driven plant. Results showed that larger solar fields and the integration of TES reduced the CO<sub>2</sub> emissions up to 68% if compared to the fossil-fired combined cycle. Whitaker et al. [125] analysed the GHG emissions, water consumption and CED of a 106 MW solar tower CSP plant. They compared the origin of the TES material (mined or synthetic) and the configuration of the storage system (two-tank or thermocline). They concluded that the use of synthetic salts increased the cumulative energy demand and the switching from two-tank to thermocline configuration was insignificant regarding all the environmental parameters. The same environmental analysis was used by Burkhardt et al. [126] in a parabolic trough CSP plant (103 MW). In this case, the same conclusion than Burkhardt et al. [126] was achieved regarding the origin of the TES material. In addition, the authors found a 7 % of GHG emissions reduction when using thermocline instead of two-tank configuration.

Table 8 shows the different studies found in the literature concerning the environmental analysis at high temperature. It is classified according to the TES material and ordered chronologically. Besides, the type of environmental analysis performed and the software and database used are also listed. It can be seen that there is a lack of environmental analysis in systems containing TES materials, since only twelve cases have been found in the literature. Among them, molten salts are the most studied TES material in the high temperature range considered in this article (> 150 °C). Other materials studied are sodium nitrate, high temperature concrete, ferrous metals, alumina, high alumina concrete, graphite, magnesia and silicon carbide. In order to perform the different environmental analyses, EcoInvent and SimaPro are the most used software. Regarding to the parameters analysed, LCA and CO<sub>2</sub> footprint are the ones which have been calculated more often. Generally, when TES is evaluated and compared to conventional systems from a material point of view, its benefits are not significant. However, when the comparison is done at system level and at higher scale, the benefits of introducing TES, such as a reduction of CO<sub>2</sub> emissions, and energy and water consumption, are more noticeable. On the contrary, the main disadvantage is the land use.

Table 8. Review of the studies concerning the environmental analysis at high temperature.

Study case	Boundary	TES material	Parameter analysed	Software / Database used	Results	Year	Reference
1	Facility	Molten salts	LCA	SIMAPRO	ST: 9.49 g CO <sub>2</sub> -eq/kWh PT: 14.60 g CO <sub>2</sub> -eq/kWh	2008	Lechón et al. [123]
2	Facility	Molten salts	CO <sub>2</sub> emissions reduction	n.a.	ST: 0.062-0.414 kg CO <sub>2</sub> -eq/kWh PT: 0.098-0.482 kg CO <sub>2</sub> -eq/kWh	2011	Giuliano et al. [124]
3	Facility	Molten salts	CO <sub>2</sub> footprint	SIMAPRO	5.01-5.21 g CO <sub>2</sub> eq/kWh	2011	Burkhardt et al. [126]
			Water consumption	EcoInvent database	0.19 L/kWh		
			CED		0.07 MJ/kWh		
4	Material and system	Molten salts (sensible)	LCA	Eco-Indicator 99	3376 IP	2012	Oró et al. [118]
		Molten salts (latent)			1270 IP		
		High temperature concrete			279 IP		
5	Material	Magnesium Aluminium Zinc Al+Si (88+12 wt %) Al+Mg+Zn (60+34+6 wt %)	Energy consumption CO <sub>2</sub> footprint	CES EcoAudit™	Energy and CO <sub>2</sub> production for the alloy 88Al–12Si being the lowest, followed by aluminium	2013	Khare et al. [115]
6	Facility	Molten salts	Water consumption	SIMAPRO	0.73-5 L/kWh	2013	Klein [121]
			Land use		240-286 m <sup>2</sup> /GWh		
			CO <sub>2</sub> footprint		49-73 g CO <sub>2</sub> -eq/kWh		

7	Facility	Molten salts	Water consumption	n.a.	2.3-2.4 L/kWh	2013	Klein and Rubin [122]
			Land use		n.a.		
			CO <sub>2</sub> footprint		0.33-0.34 kg CO <sub>2</sub> -eq/kWh		
8	Facility	Mined and synthetically derived molten salts	CO <sub>2</sub> footprint	SIMAPRO EcoInvent database	The use of synthetic salts increase CO <sub>2</sub> footprint 12%, CED by 7%, and water consumption by 4% compared to mined salts	2013	Whitaker et al. [125]
			Water consumption				
			CED				
9	Material	KNO <sub>3</sub> NaOH K <sub>2</sub> CO <sub>3</sub> +Na <sub>2</sub> CO <sub>3</sub> +Li <sub>2</sub> CO <sub>3</sub> (35+33+32 wt %) LiOH+KOH (40+60 wt %)	CO <sub>2</sub> footprint	EcoInvent database Simapro	LiOH/KOH benefits -8 to -18 ton CO <sub>2</sub> eq in comparison with other cases	2014	López-Sabirón et al. [116]
10	Material	Sodium nitrate	LCA	SIMAPRO CML 2001 Eco-Indicator 99	n.a.	2014	López-Sabirón et al. [117]
11	Material and system	Molten salts (sensible)	CED	EcoInvent database	125 TJ	2015	Miró et al. [119]
		Molten salts (latent)			257 TJ		
		High temperature concrete			17 TJ		
12	Material and system	Cofalit	GWP	CML 2001	2.4 kg CO <sub>2</sub> -eq/kWh	2016	Lalau et al. [120]
			CED		0.046 MJ/kWh		
			Water consumption		0.058 L/kWh		

*LCA*: Life cycle assessment / *CED*: Cumulative energy demand / *GWP*: Global warming potential / *ST*: Solar tower / *PT*: Parabolic trough / *CO<sub>2</sub>-eq*: Equivalent CO<sub>2</sub> emissions / *IP*: Impact points

Regarding the use of by-products and industrial wastes to reduce environmental impact of TES systems, researchers have proposed the use of waste materials and by-products from different industries as TES materials in order to reduce the environmental impact of the facilities which contains a TES system [127]. The use of these materials reduces significantly the environmental impact if compared to other manufactured products as they avoid both extraction of raw materials and manufacturing life cycle stages. Moreover, if these materials do not need any further treatment, they are considerably cheaper. Some examples of by-products and waste materials candidates for high temperature TES purposes are: Intertized asbestos containing wastes (ACW), fly ashes (FA), by-products from the salt and metal industry and municipal wastes.

Several authors [128-131] studied the recycled industrial ceramics made of ACW. Results showed that this material presented no hazard, no environmental impact, good thermophysical properties and very low investment cost. Meffre et al. [132] studied and characterized FA as TES material. FA are micron-size particles present in gaseous effluents produced by industrial combustions in facilities such as coal fired power plants or municipal solid wastes incinerators. They are mainly composed of  $\text{SiO}_2$ ,  $\text{Al}_2\text{O}_3$  and  $\text{CaO}$  and its waste treatment is also generally performed by plasma torch processing [133]. Results from the characterization showed good thermophysical properties for sensible TES storage and an energy payback of the plasma torch waste treatment of 7.4 months for CSP applications. By-products from the salt industry were studied by Miró et al. [134] and Ushak et al. [135]. The authors performed thermophysical and morphological characterisation at laboratory scale, and evaluated their performance at pilot plant scale. It was found that this by-product had high potential for commercial TES up to a temperature of 200 °C but corrosion should be previously solved. The steel industry produces different types of by-products (referred as slags) depending on the furnace technology used. Thermo-physical properties of ferrous slag [139-142] indicate very appropriate values for the use of this material in sensible thermal energy storage up to temperatures of 1200 °C. Finally, some authors proposed mixtures of wastes and TES materials. For example, Ozger et al. [137] proposed nylon fibres from post-consumer textile carpet waste for fibre-reinforced concrete as candidate for high temperature sensible heat storage up to 450 °C, and Navarro et al. [138] proposed by-products from the copper industry to be mixed with cement.

Table 9 lists the by-products which have been currently studied by different researchers and the temperature at which they have been tested. However, researchers do not only focus on by-products because of their environmental advantages but also focus on these products from the economical point of view, since they are usually cheaper than current TES materials.

Table 9. Summary of the studies concerning the environmental requirements at high temperature in terms of potential by-products and waste materials candidates for TES purposes.

Study Case	By-product	Temperature range analysed	Year	Reference
1	Asbestos containing wastes (ACW)	Up to 1000 °C	2000-2014	Py et al. [128] Kere et al. [129] Gualtieri and Tartaglia [130] Calvet et al. [131]
2	Fly ashes (FA)	Up to 1100 °C	2011-2012	Meffre et al. [132]
3	NaCl from salt industry	100-200 °C	2014	Miró et al. [134]
4	Astrakanite from salt industry	570-680 °C	2014	Ushak et al. [135]
5	Ferrous slag from metal industry	Up to 1200 °C	2011-2014	Gil et al. [139] Ortega et al. [140,141] Mills [142]

### 3.3 Addressing technological requirements

Technological requirements, which include the integration of the TES material into the facility, the operation strategy of the facilities, and the good transfer between the HTF and the TES material, are not usually considered in the literature, even though these requirements are known to have an incidence in the design and the economics of the system. The integration of the TES material into the facility can help TES systems to be considered as substitutes of conventional fossil-fuel technologies. A good operation strategy can considerably enhance the overall TES system heat transfer efficiency, which is closely linked to the requirement of good heat transfer between the HTF and the TES material. Finally, the most important operation strategies containing TES at high temperature are the multiple PCMs configuration and the forced movement of the TES material while undergoing phase change.

Multiple PCMs configuration, also referred as cascaded or multistage configuration, is a TES system configuration where different PCMs (with different melting temperatures and enthalpies) are arranged in series. This configuration aims to increase low storage capacities in the single PCM due to the poor PCM thermal conductivities. As it can be observed in Fig. 6, the optimum arrangement for a multiple PCM system is obtained by placing the PCMs in a decreasing order of their melting temperatures ( $T_m$ ) and in an increasing order of their heat storage capacities ( $h$ ) in the HTF flow direction during the charging process, and the other way around during the discharging process [143]. The advantages of this arrangement are the

increase of the heat transfer rate during charging and discharging, especially in the latent part, the uniform and higher outlet HTF temperature for a longer period during charging and discharging process, the faster charging and discharging process, the increase of exergy efficiency and the increase of average effectiveness.

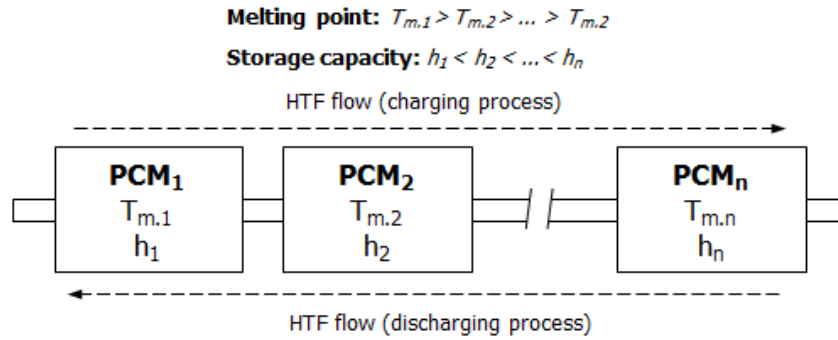


Fig. 6. Optimum arrangement of a multiple PCM system [143].

Hence, in order to achieve the greatest performance improvement, researchers need to study the thermophysical properties of the candidate PCMs with the aim of not selecting them arbitrarily but select the suitable combination and with the proper dimensions for the desired application. Tao et al. [144] performed an optimization of the PCM temperatures for a two-stage PCM storage unit using the entransy theory and Li et al. [145] optimized the geometrical parameters of their proposed storage unit.

In the literature, few multiple PCMs configuration within the high temperature range are found. Gong and Mujumdar [146] used five PCM in the range 667 – 867 °C, Cui et al. [147] three PCM in the range 717 – 779 °C, Michels and Pitz-Paal [148] used three PCM in the range 306 – 335 °C, Seeniraj and Lakshmi Narasimhan [149] used five PCM in the range 873 – 767 °C, Shagbard et al. [150] used three PCM in the range 318 – 370 °C, Li et al. [145] used three PCM in the range 397 – 710 °C, Tao et al. [144] used two PCM in the range 746 – 767 °C, Wang et al. [151] used three PCM in the range 390 – 485 °C and finally Peiró et al. [143] used two PCM in the range 158.5 – 168.5 °C. Most of them are numerical studies [144-147,149-151] and only two experimental cases have been found [143,148].

Table 10 summarizes the characteristics of the multiple PCM configuration analysis found in the literature and their improvement versus single PCM configuration.

Table 10. Review of the studies concerning the technological requirements at high temperature in terms of numerical and experimental analysis of cascaded PCM configurations.

Study case		Heat exchanger design	Number of PCM	TES materials (mol%) and order in which they are placed	Melting temperature	Improvement vs single configuration	Year	Reference
1	Numerical	Single pass shell and tube	5	1. n.a.	1. 867 °C	More uniform HTF outlet temperature	1995	Gong and Mujumdar [146]
				2. n.a.	2. 817 °C			
				3. LiF + CaF <sub>2</sub> (80.5 + 19.5 %)	3. 767 °C			
				4. n.a.	4. 717 °C			
				5. n.a.	5. 667 °C			
2	Numerical	Single pass shell and tube	3	1. n.a.	1. 779 °C	Reduction of the HTF outlet temperature variations Energy rate enhancement	2003	Cui et al. [147]
				2. LiF + CaF <sub>2</sub> (80.5 + 19.5 %)	2. 767 °C			
				3. n.a.	3. 717 °C			
3	Experimental	Single pass shell and tube	3	1. KNO <sub>3</sub>	1. 335 °C	Reduction of the HTF outlet temperature variations Energy rate enhancement	2007	Michels and Pitz-Paal [148]
				2. KNO <sub>3</sub> + KCl (95.5 + 4.5 %)	2. 320 °C			
				3. NaNO <sub>3</sub>	3. 306 °C			
4	Numerical	Single pass shell and tube	5	1. LiF + CaF <sub>2</sub> (80.5 + 19.5 %)	1. 767 °C	Reduction of the HTF outlet temperature variations Energy rate enhancement	2008	Seeniraj et al. [149]
				2. Eutectic mixture LiF-MgF <sub>2</sub>	2. 736 °C			
				3. n.a.	3. 700 °C			
				4. n.a.	4. 650 °C			
				5. n.a.	5. 600 °C			
5	Numerical	Rectangular container with HTF flow channels	3	1. NaOH + NaCl (73.3 + 26.7 %)	1. 370 °C	Increase 10 % exergy recovery	2012	Shagbard et al. [150]
				2. KCl + MnCl <sub>2</sub> + NaCl (22.9 + 60.6 + 16.5 %)	2. 350 °C			

		located at the top and bottom		3. NaOH + NaCl + Na <sub>2</sub> CO <sub>3</sub> (65.2 + 20 + 14.8 %)	3. 318 °C			
6	Numerical	Single pass shell and tube	3	1. K <sub>2</sub> CO <sub>3</sub> + Na <sub>2</sub> CO <sub>3</sub> (51 + 49 %)	1. 710 °C	No comparison with single configuration has been done in this study	2013	Li et al. [145]
				2. Li <sub>2</sub> CO <sub>3</sub> + NaCO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (20 + 60 + 20 %)	2. 550 °C			
				3. Li <sub>2</sub> CO <sub>3</sub> + NaCO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (32 + 33 + 35 %)	3. 397 °C			
7	Numerical	Single pass shell and tube	2	1. LiF + CaF <sub>2</sub> (80.5 + 19.5 %)	1. 767 °C	Energy rate enhancement Reduction of the entransy dissipation rate	2014	Tao et al. [144]
				2. LiF + MgF <sub>2</sub> (67 + 33 %)	2. 746 °C			
8	Experimental	Multiple pass shell and tube	2	1. Hydroquinone	1. 168.5 °C	Higher uniformity on the outlet HTF temperature Effectiveness enhancement of 19.36 %	2015	Peiró et al. [143]
				2. D-mannitol	2. 158.5 °C			
9	Numerical	Zig zag configuration	3	1. n.a.	1. 450, 460, 470, 480, 485 °C	Intensification of the charging process	2015	Wang et al. [151]
				2. n.a.	2. 440 °C			
				3. n.a.	3. 395, 400, 410, 420, 430 °C			



Despite the fact that very little investigation has been carried out at high temperature, a novel heat transfer enhancement technique between the TES material and the HTF is included in this review, which is known as forced movement of the TES material while undergoing phase change. Three different concepts are currently dealing with this technique: dynamic melting [152,153], screw heat exchanger [154] and PCM flux [155] (Fig. 7). They mainly consist of moving the TES material during the phase change processes with an external force (transport mechanism or pump). As a consequence, there is a dominance of the forced convection heat transfer mechanism during the melting process, which increases the overall heat transfer coefficient and therefore, the heat transfer between the HTF and the TES material. During the solidification process, this technique continuously avoids the presence of a solid layer around the heat transfer surface by removing it, which decreases the thermal resistance of the material. Three main advantages can be identified in this enhancement technique: first, the heat transfer can be controlled by adjusting the HTF and PCM velocities; second, the phase segregation can be prevented because of the forced movement; third, the packing factor, which is the ratio between the volume of PCM and the volume of the TES container, is maintained constant.

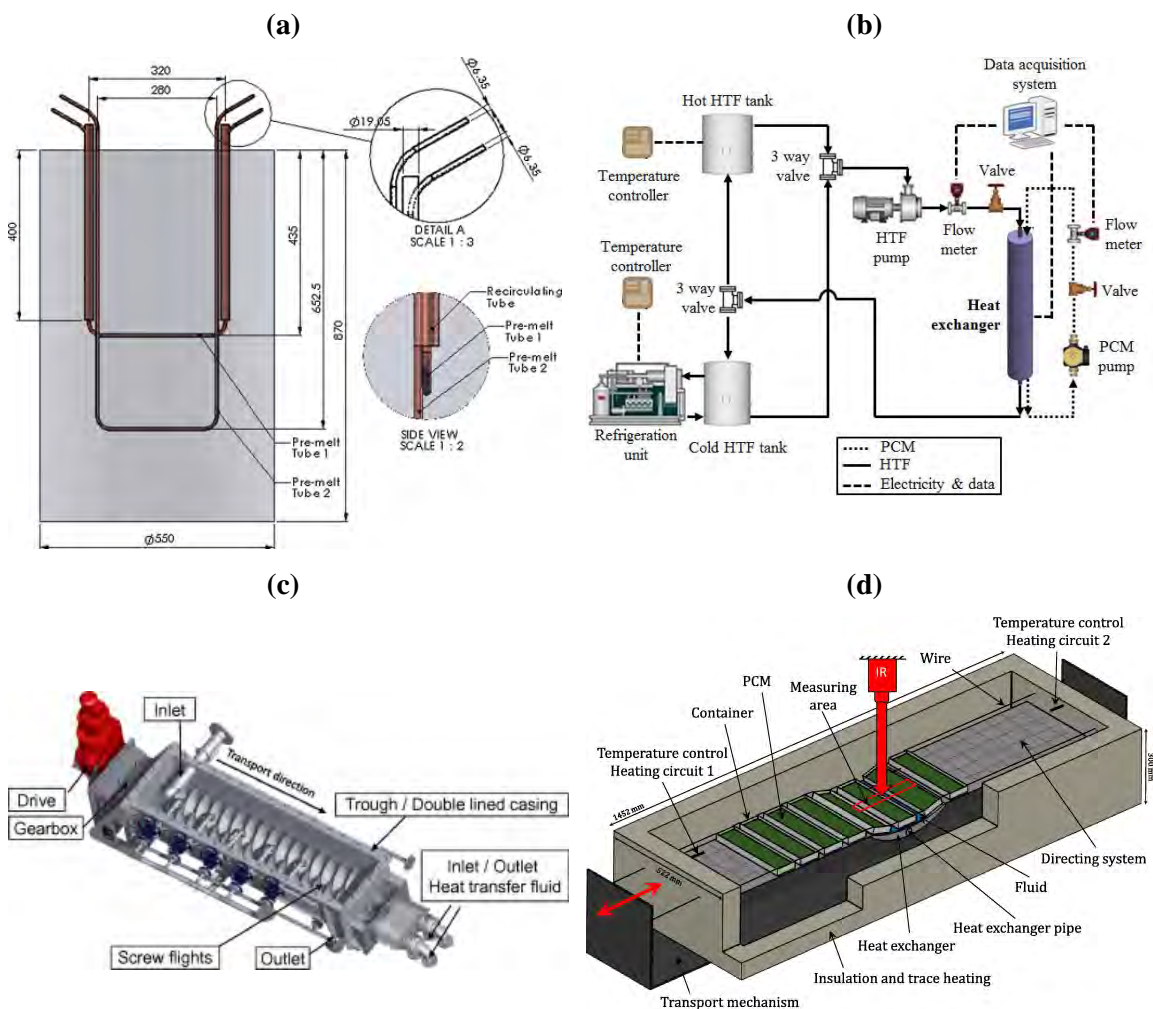


Fig. 7. Prototypes of the concepts dealing with the forced movement of the TES material while undergoing phase change: (a) and (b) Dynamic melting [152,153]; (c) screw heat exchanger [154]; (d) PCM flux [155]

Finally, a good heat transfer between the HTF and the TES material is desired in order to enhance both the charging and discharging processes. The most common and widely used HTF for high temperature purposes are listed with their maximum operation temperature and, when possible, with their reported price in Table 11.

Table 11. Cost and maximum operation temperature of the most commonly used HTFs.

Heat transfer fluid	Max. operation temperature	Cost*	Reference
<b>1. Liquids</b>			
<b>1.1. Molten salts</b>			
Hitec XL	500 °C	1.19-1.66 USD·kg <sup>-1</sup>	[99]
Hitec	535-538 °C	0.93-1.93 USD·kg <sup>-1</sup>	[100,101]
Solar salt	585-593 °C	0.49-1.30 USD·kg <sup>-1</sup>	[100,101]
Other nitrate mixtures	565 °C	≈ 1.1 USD·kg <sup>-1</sup>	[99]
Other carbonate mixtures	850 °C	≈ 1.3 USD·kg <sup>-1</sup>	[99]
Other chloride mixtures	850 °C	0.35-1.13 USD·kg <sup>-1</sup>	[99]
Other fluoride mixtures	900 °C	7-14 USD·kg <sup>-1</sup>	[99]
Other oxide mixtures	1200 °C	n.a	[100]
<b>1.2. Thermal oils</b>			
Commercial brands	280-400 °C	2.83-105.4 USD·L <sup>-1</sup>	
<b>1.3. Liquid glasses</b>			
Liquid glasses	450 °C	n.a.	[101]
<b>1.4. Liquid metals</b>			
<b>1.4.1. Alkali metals</b>			

NaK (22.2 wt. % Na + 77.8 wt. % K)	785 °C	2 USD·kg <sup>-1</sup>	[102]
K	766 °C	2 USD·kg <sup>-1</sup>	[102]
Na	873-883 °C	2 USD·kg <sup>-1</sup>	[99-101]
Li	1342 °C	60 USD·kg <sup>-1</sup>	[102]
<b>1.4.2. Heavy metals</b>			
Lead-bismuth eutectic allow (LBE) (44.5 wt. % Pb + 55.5 wt. % Bi)	1533-1670 °C	13 USD·kg <sup>-1</sup>	[99,101,102]
Pb	1743 °C	2 USD·kg <sup>-1</sup>	[102]
Bi	1670 °C	22 USD·kg <sup>-1</sup>	[102]
<b>1.4.3. Fusible metals</b>			
Ga	2237 °C	600 USD·kg <sup>-1</sup>	[102]
In	2072 °C	500 USD·kg <sup>-1</sup>	[102]
Sn	2687 °C	25 USD·kg <sup>-1</sup>	[102]
<b>2. Supercritical fluids</b>			
Supercritical H <sub>2</sub> O	620 °C	n.a.	[101]
Supercritical C <sub>2</sub> O	850 °C	n.a.	[101]
<b>3. Gases</b>			
Superheated steam	600 °C	0	[101]
Compressed air	800 °C	0	[100]

\*Commercial cost at May 2015 without shipping fees

Two research groups studied how to improve the thermal conductivity of commercial HTF in order to increase the heat transfer rates between HTFs and TES materials. Cingarapu et al. [103] dispersed 5 vol.% of core/shell silica encapsulated tin (Sn/SiO<sub>2</sub>) nanoparticles in Therminol66, obtaining an enhancement of 11 %. Torres-Mendieta et al. [104] dispersed spherical gold nanoparticles in Therminol VP-1 and obtained an enhancement of 4 %. Other authors have also proposed the lead-bismuth eutectic alloy PbBi and molten tin [102], and dense particle suspension (DPS) fluidized with air [105] for candidates as HTF.

## 4. Conclusions

In the part 1 of this article, the requirements that TES materials and TES system should accomplish for an optimal performance and a widespread deployment are reviewed. High temperature is considered above 150 °C and only latent and sensible TES are studied. These

requirements are divided depending if they are focused on the TES material or on both the TES material and system. Requirements focused on the TES material are grouped into chemical, physical and thermal while the requirements focused on the TES material and systems are grouped into environmental, economic and technologic. Part 2 of this article presents a review of the numerical and experimental studies focused on the different thermal conductivity enhancement techniques in the high temperature range.

The most studied topics regarding the different requirements reviewed are:

- **Materials requirements**

The full characterization of the TES material is very important to assess its suitability for a TES application.

- *Chemical*

This is the most studied requirement regarding the TES material. Long-term stability, compatibility between TES materials and their surrounding components (especially for solar salts and metals used in solar power plants), phase segregation and handling and safe use of the materials are assessed in the literature.

- *Kinetic*

Few studies have been found regarding the identification of the subcooling effect dealing with galacticol, hydroquinone and d-mannitol.

- *Physical*

Thermal expansion coefficient is found to be basic for design purposes. Therefore, the TES material quantity inside the TES container and/or shell capsule should be carefully calculated to guarantee the internal pressure and avoid leakages.

- *Thermal*

The main thermal requirement is the enhancement of the thermal conductivity, which is widely reviewed in Part 2 of this review. Regarding the rest of requirements, increasing the specific heat by including nanoparticles is the most attractive research topic.

- **Material and system requirements**

These requirements are not directly related to the TES material but are basic for the design of the facility.

- *Economic*

Most of economic analyses are focused on CSP facilities and the capital investment costs (CAPEX), operation and maintenance costs (OPEX) and

financing costs are studied. Moreover, the annual number of storage cycles has the largest influence on the cost effectiveness.

- *Environmental*

Carbon footprint, Life Cycle Assessment and Cumulative Energy Demand analyses have been developed at three different scales (TES material, TES system and the whole facility). Most of them consider solar power plants. Moreover, the use of by-products or industrial wastes has been assessed.

- *Technological*

Multiple PCMs configuration and the forced movement of the TES material while undergoing phase change ~~dynamic melting systems~~ have been proposed by the researchers as important strategies for maximizing the heat transfer between the HTF and the TES material.

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# **Materials and system requirements of high temperature thermal energy storage systems: A review. Part 2: Thermal conductivity enhancement techniques.**

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## **Abstract**

This review is focused on the study of the requirement of high thermal conductivity of thermal energy storage (TES) materials and the techniques used to enhance it as this is one of the main obstacles to achieve full deployment of TES systems. Numerical and experimental studies involving different thermal conductivity enhancement techniques at high temperature ( $> 150\text{ }^{\circ}\text{C}$ ) are reviewed and classified. This article complements Part 1, which reviews the different requirements that TES materials and systems should consider for being used for high temperature purposes and the approaches to satisfy them. The enhancements identified for this temperature range are the addition of extended surfaces like fins or heat pipes and the combination of highly conductive materials with TES material like graphite or metal foam composites and nanomaterials. Moreover the techniques presented are classified and discussed taking into account their research evolution in terms of maturity and publications.

**Key-words:** Thermal energy storage, High temperature, Thermal enhancement techniques, Thermal conductivity, Nanoparticles, Technology Readiness Level.

# 1. Introduction

Part 1 of this review [1] lists more than 25 different requirements that thermal energy storage (TES) materials (both sensible and latent) and TES systems should consider for being used for high temperature purposes ( $> 150\text{ }^{\circ}\text{C}$ ) and it analyses the different literature approaches presented in previous studies to achieve such requirements. These requirements have been classified into chemical, kinetic, physical and thermal for the material point of view; and environmental, economic and technologic for the system point of view. Within all the requirements listed, this second part of the review is focused on the study of the requirement for high thermal conductivity of TES materials, being the one that has drawn more attention in the scientific community, and the techniques used to enhance their usually low values.

In a TES system, thermal energy needs to be transferred in the first place from an outer energy source to the TES material to be later on transferred from the TES material to an outer application. This transition needs to be done within a specific period of time and under different heat transfer mechanisms: conduction, convection or both. For instance, in a latent charging process, the TES material undergoes phase change as the heat transfer fluid (HTF) transfers heat to it, causing the melting front to move away from the heat transfer surface and, as a consequence, the solid layer of the material decreases while the thickness of the liquid layer increases. During all the process conduction is present. However, the higher presence of liquid state leads a higher presence of density gradients, which added to the fact that the thermal conductivity is lower in the liquid state than in the solid state, causes the convection to become dominant and the conduction negligible [2]. During the latent discharging process, or solidification, conduction becomes the dominant heat transfer mechanism since a layer of solid material is created at the very beginning around the heat transfer surface, reducing the effect of convection to become almost negligible. Therefore, materials with low thermal conductivity coefficients, which mean high thermal resistance and slow charging and discharging rates, drastically decrease the thermal performance of TES and limit possible large-scale applications.

It is a fact that most of the commercial TES materials that are currently available at the market have intolerably low thermal conductivity values ( $0.2\text{--}1\text{ W}/(\text{m}\cdot\text{K})$ ), allowing the researchers to try to make up for such poor values by proposing heat transfer enhancement techniques, not only for improving the TES material itself but also for improving the effective thermal conductivity between the HTF and the TES material, which in some cases turn to be the same material.



Before introducing any external enhancer to the TES system, the researchers have to bear in mind in which physical state the TES material is going to be used, whether the liquid phase, the solid phase or both, since their introduction can enhance the thermal performance of one state but can decrease the thermal performance of the other state. Hence, a previous study or discussion should be done in order to know the advantages and disadvantages of the thermal conductivity enhancement technique which is desired to be used.

The aim of the present study is to review the thermal conductivity enhancement techniques used in high temperature TES systems and materials for both enhancing the effective thermal conductivity between the HTF and the TES material by adding extended surfaces, and enhancing the thermal conductivity of the material itself by combining it with highly conductive materials (Fig. 1).

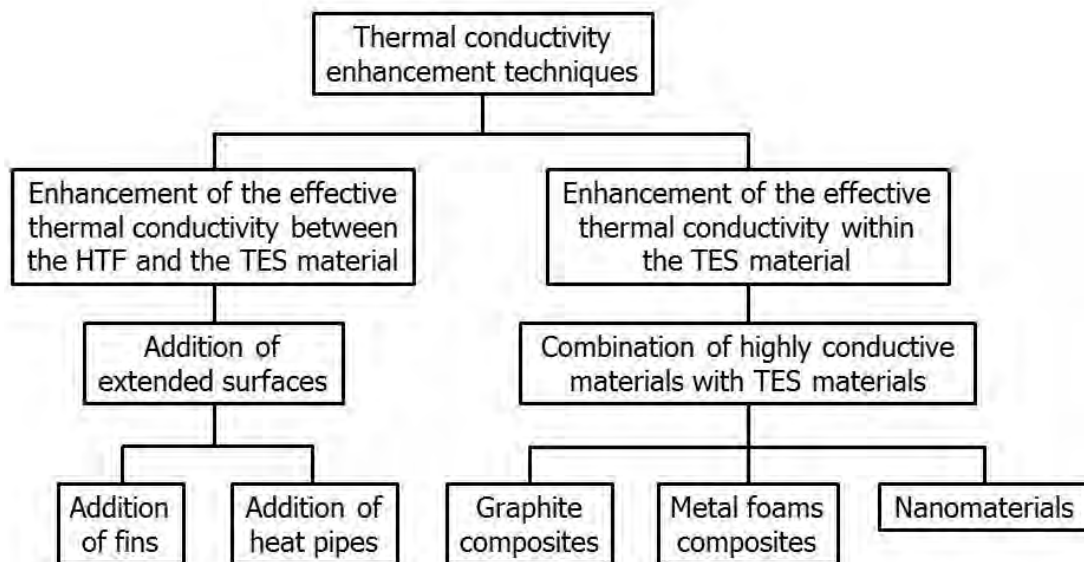


Fig. 1. Thermal conductivity enhancement techniques for high temperature purposes.

## 2. Addition of extended surfaces

The most and widely studied thermal conductivity enhancement technique in the different temperature ranges is the extended surfaces. This technique consists of increasing the heat transfer surface between the HTF and the TES material by arranging fins or heated pipes on the HTF tubes embedded in the TES system. This technique leads to an increase of the effective thermal conductivity as it diminishes the distance between the storage material and the HTF, and reduces the thermal resistance between the HTF and the TES material. However, two main drawbacks are associated to this technique: on the one hand the increase of costs due to the increase of the extended surfaces material and on the other hand the decreasing of the packing

factor of the TES system, which is the ratio that defines the percentage of volume of TES material in front of the total volume of the storage container, due to the increase of the volume occupied by the extended surfaces.

Despite the fact that not many experimentation at high temperature has been carried out, the criteria that should be borne in mind when designing a TES system with the extended surfaces enhancement technique is the same than the used at lower temperatures: HTF pipe dimensions, material of the extended surfaces, geometry of the extended surfaces, arrangement of the extended surfaces, good interaction between the TES material, the HTF and the material of the extended surfaces and finally the boundary conditions of the TES system.

The two main enhancement techniques regarding the extended surfaces which have been studied by different researchers are the addition of fins and the addition of heated pipes.

## **2.1 Addition of fins**

Concerning to the addition of fins, first references lead us to Zhang et al. [3] who numerically studied the heat transfer enhancement in a latent heat TES (LHTES) system by using an internally finned tube. A comparison with a plain tube, in terms of the melting volume factor, was performed, concluding that this factor could be significantly increased by increasing the thickness, height and number of fins for a HTF with low thermal conductivity and low Reynolds numbers.

Seeniraj et al. [4] numerically studied the improvement in terms of temperature, melting front location and thermal energy stored of an externally finned tube in a space based LHTES unit for solar dynamic power generation. An enhancement of the performance by increasing the number of fins for a given set of geometrical parameters and depending on the thermophysical properties of the tube material and the HTF-TES material combination was observed.

Khaled [5] numerically proposed a variation of the fin enhancement technique called *hairy fin system*, which consists of a primary rectangular fin with a large number of slender secondary rods attached on its surface (Fig. 2). This technique increased the heat transfer, if compared to a rectangular fin, with low secondary fins diameter and high secondary thermal conductivity.

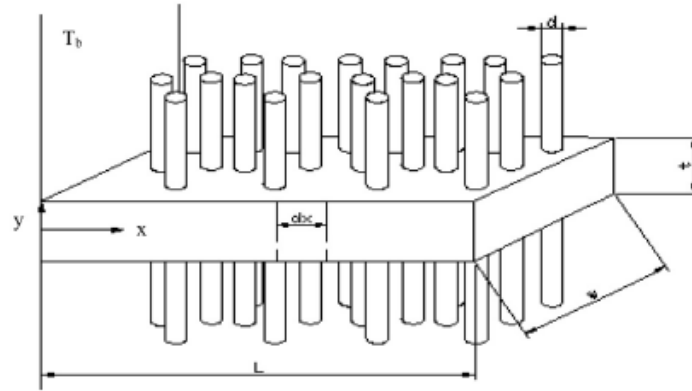


Fig. 2. Schematic diagram of the hairy fin system [5].

Guo and Zhang [6], Jung and Boo [7] and Tiari et al. [8] numerically studied how the addition of aluminum fins and the variation of different geometric and thermal parameters affected the heat transfer enhancement during the discharging process in a LHTES. Results showed that the magnitude of the enhancement was dependent on the geometry of the fins, fins spacing, HTF tube radius, boundary conditions and thermal conductivity values of the TES material.

The German Aerospace Center (DLR) theoretically proposed and experimentally developed different designs concerning both embedded and finned tubes enhancement techniques, for solar thermal power generation and high temperature process heat [9-11]. Eutectic mixtures of  $\text{KNO}_3$ ,  $\text{NaNO}_2$  and  $\text{NaNO}_3$  were selected as base materials and materials such as graphite, aluminum, stainless steel and carbon steel were considered for fins. Results showed that a decreasing on the number of tubes could be achieved if the thermal conductivity of the TES material was increased and a reduction of the charging process time if graphite was used as fin material for applications up to  $250^\circ\text{C}$  and aluminum for higher temperatures applications (Fig. 3).

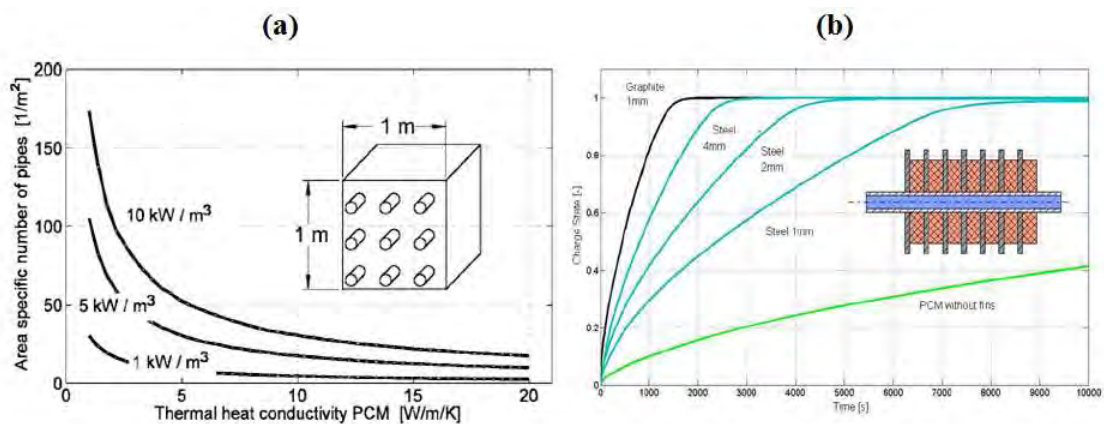


Fig. 3. (a) Effect of thermal conductivity on the number of required pipes [10]. (b) Effect of material fins on the process of charging [11].

Tao et al. [12] numerically studied the behavior of three geometrically different fins enhancement techniques (dimpled, cone-fined and helically-fined) in terms of TES material melting rate, solid–liquid interface, TES capacity, TES efficiency and HTF outlet temperature for a dish solar thermal power generation system. Results showed that all three enhanced tubes could effectively improve the thermal performance of the system, being the helically-finned tube the one with better results (Fig. 4).

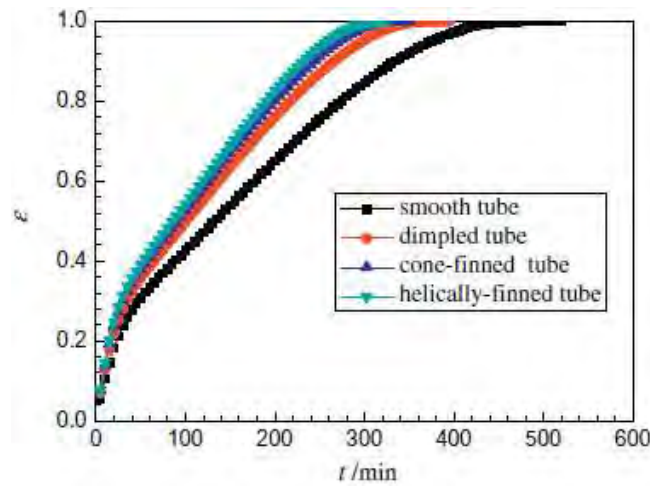


Fig. 4. Effects of the fins enhancement techniques on the heat storage efficiency [12].

Gil et al. [13] developed an experimental study at pilot plant scale with a finned shell-and-tubes heat exchanger. It consisted of a high temperature TES system for solar cooling applications and analyzed two similar storage tanks based on shell-and-tubes heat exchanger configuration with the only difference that one of them incorporated 196 squared fins on the tubes bundle. Results showed a decrease in the melting/solidification periods and higher heat transfer rates for partial charging and discharging processes in the tank with fins.



Fig. 5. (a) Storage TES system without fins. (b) Storage TES system with fins used in the experimentation [13].

## 2.2 Addition of heat pipes

Embedded heat pipes, also referred in different studies as thermosyphons, are gaining relevance as a heat transfer enhancement technique due to their high effective thermal conductivity that facilitates the heat transfer from the TES material to the HTF and vice versa. This system consists of several small closed tubes which are attached to the main pipe and whose inside is filled with a working fluid which undergo liquid-vapor phase change at the ends of such heat pipes, called evaporator and condenser sections. That allows the thermal performance enhancement in the heat transfer (Fig. 6).

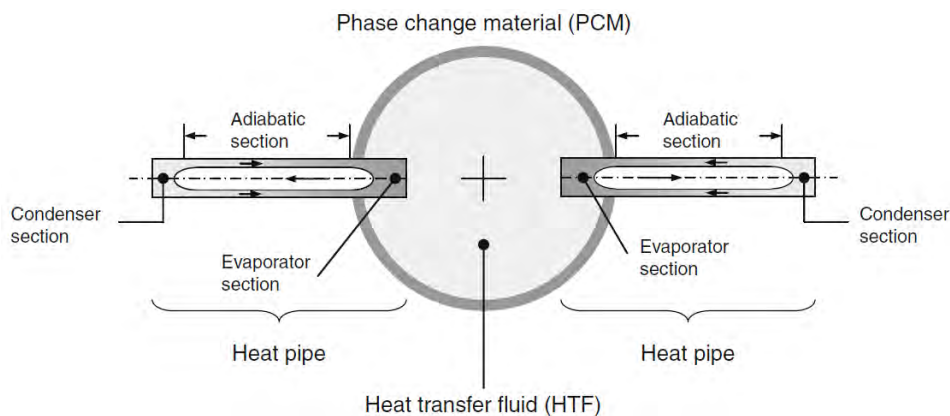


Fig. 6. Embedded heat pipe scheme [14].

Regarding this technique, only numerical studies have been performed [14-19]. Shabgard et al. [14] and Nithyanandam and Pitchumani [16,17] developed a thermal network model to analyze a heat transfer in a high temperature LHTES unit with different arrangements of embedded heat pipes for solar thermal electricity generation evaluating the effect of HTF flow direction and the number of heated pipes among others (Fig. 7). Results showed a reduction of the thermal resistance of the unit as well as a relation between the effectiveness of the heat pipes and the variation on several HTF parameters: higher HTF mass flow rate and tube diameter implied lower effectiveness of the heat pipes and vice versa. A similar study was carried out by Khalifa et al. [18], whose simulated results showed that the energy extracted after 4 h by a finned heat pipe was 86% higher than that of a bare one.

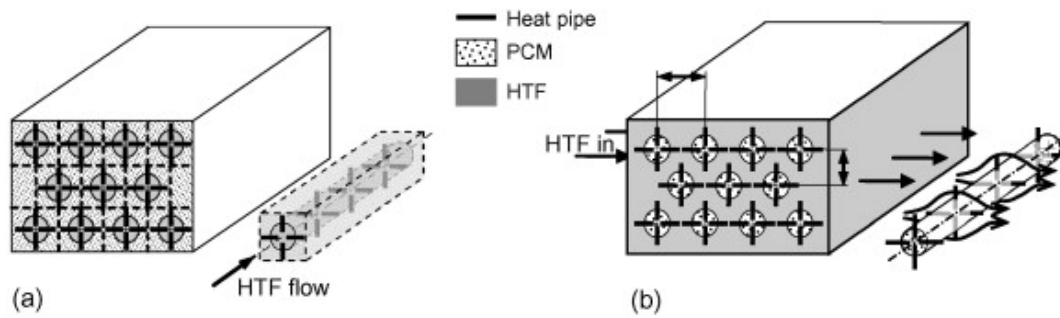


Fig. 7. Two LHTES units: (a) the TES material surrounds the HTF tubes, (b) the HTF passes over tubes containing TES material [14].

Finally, Robak et al. [19] theoretically and numerically studied, in economic terms, the incorporation of thermosyphons in a large scale LHTES system for commercial collector solar plants (CSP) and compared the results with a two-tank sensible heat TES (SHTES). Results showed the economic competitiveness of such system, with a reduction of 15% in the capital costs.

Table 1 and Table 2 review, in a chronological order, the different studies carried out at high temperature concerning the extended surfaces enhancement techniques, showing the enhancement technique used, the study case, the main characteristics of the TES system, the comparison performed and the improvement observed.

Table 1. Review of the studies concerning the extended surfaces techniques at high temperature in terms of thermal conductivity enhancement. Case 1: Addition of fins.

Technique		System geometry	Study case	TES material	Pipes/Fins material	HTF material	Comparison	Improvement	Year	Reference
1	Internally finned tube – Single longitudinal fin	Annular shell	Numerical	n.a.	n.a.	n.a.	Finned – plain tubed	Percentage of improvement depending on height and width of the fins	1996	Zhang and Faghri [3]
2	Externally finned tube – Multiple fins	Shell-and-tubes	Numerical	LiF/MgF <sub>2</sub>	n.a.	n.a.	Finned – plain tubed	Percentage of improvement depending on number of fins for a given set of geometrical parameters	2002	Seeniraj et al. [4]
3	Hairy fin system	Heated surface	Numerical	n.a	n.a.	n.a.	Hairy fins – Rectangular fins	Higher improvement if secondary fins diameter decreases	2007	Khaled [5]
4	Externally finned tube – Multiple fins	Shell-and-tubes	Numerical	KNO <sub>3</sub> /NaNO <sub>3</sub>	Aluminium	n.a	Finned – plain tubed	Percentage of improvement depending on geometry of fins	2008	Guo and Zhang [6]
5	Finned tube	Shell-and-tubes	Theoretical	n.a	n.a	n.a	n.a	Reduction of number of pipes	2008	Tamme et al. [10]
6	Externally	Storage tank	Theoretical &	KNO <sub>3</sub> /NaNO <sub>2</sub> /KNO <sub>3</sub>	Graphite	Thermal oil	Finned – plain	Depending on the	2009	Laing et al.

	finned tube		experimental	$\text{KNO}_3/\text{NaNO}_3$ $\text{NaNO}_3$	Aluminum Stainless steel Carbon steel	Steam	tube	shape and materials of the fins		[11]
7	Externally finned tube	Shell-and-tubes	Numerical	$\text{LiF}/\text{CaF}_2$	n.a	He/Xe	Smooth (S) Dimpled (D) Cone-finned (CF) Helicallly-finned (HF)	Reduction on the melting time of 19.9% (D), 26.9 % (CF) and 30.7 % (HF)	2012	Tao et al. [12]
8	Externally finned tube – multiple fins	Shell-and-tubes	Experimental	Hydroquinone	Stainless steel	Therminol	Finned – plain tube	Charging/discharging time enhancement	2014	Gil et al. [13]
9	Externally finned tube	n.a.	Numerical	$\text{KNO}_3$	Nickel	n.a.	Geometrical parameters	Percentage of improvement depending on number of fins and geometrical parameters	2015	Tiari et al. [8]

n.a: not available





Table 2. Review of the studies concerning the extended surfaces techniques at high temperature in terms of thermal conductivity enhancement. Case 2: Addition of heat pipes.

	Technique	System geometry	Study case	TES material	Pipes/Fins material	HTF material	Comparison	Improvement	Year	Reference
1	Embedded heat pipes	Shell-and-tubes	Numerical	KNO <sub>3</sub>	Stainless steel	Therminol	Distribution TES material/HTF and orientation of heat pipes	Depending on the influence of the number of heat pipes and HTF flow direction	2010	Shabgard and Bergman [14]
2	Embedded heat pipes	Shell-and-tubes	Numerical	KNO <sub>3</sub>	Stainless steel	Therminol	Orientation of heat pipes	Depending on HTF mass flow rate, module length, tube length of the condenser section, length of the evaporator section and the vapor core radius	2011	Nithyanandam and Pitchumani [16]
3	Embedded heat pipes	n.a.	Numerical	NaNO <sub>3</sub> NaOH/NaCl/Na <sub>2</sub> CO <sub>3</sub> KNO <sub>3</sub> LiCl/KCl KOH MgCl <sub>2</sub> /KCl/NaCl	Carbon steel	Therminol Diphenyl Naphthalene potassium	Sensible/Latent	15% reduction in capital in latent over sensible	2011	Robak et al. [19]
4	Embedded heat pipes	Shell-and-tubes	Numerical	KNO <sub>3</sub>	Stainless steel	Therminol	Different arrangements	Higher effectiveness for 4 thermosyphons	2013	Nithyanandam and

							of thermosyphons			Pitchumani [17]
5	Finned heat pipes	Shell-and- tubes	Numerical	n.a	n.a	n.a	Finned – plain tube	With 4 fins: energy extracted:86% at the same time heat pipes effectiveness: 24%	2014	Khalifa et al. [18]
6	Finned heat pipes	Shell-and- tubes	Numerical	KNO <sub>3</sub>	Stainless steel	Superheated vapour	Finned – plain tube	Percentage of improvement depending on number of fins and geometrical parameters	2014	Jung and Boo [7]

*n.a:* not available

### 3. Combination of highly conductive materials with TES material

A very promising thermal conductivity enhancement technique, which has gained more relevance during the last years in the scientific community, is the combination of highly thermal conductive materials with the already known TES materials in order to obtain new composites with improved thermal properties. Within this group, three enhancement techniques have been studied: graphite composites, metal foams composites and the nanomaterials.

#### 3.1 Graphite composites

Among the highly conductive materials used in combination with the TES material as heat transfer enhancers, graphite has been used not only because of its high thermal conductivity but also for its low density, its chemical resistance to corrosive environments and its suitability for high temperature processes [20]. Several natures of graphite can be combined with the TES material (Fig. 8) and four different routes can be performed to obtain a TES material-graphite composite [21]: by (1) impregnating or infiltrating (with/without vacuum) the TES material within the graphite matrix, by (2) mechanically dispersing or kneading graphite powders within the molten TES material, by (3) compressing at room temperature a previous mixture of the TES material and graphite powders and by (4) performing the electrospinning method in nano and micro scale.

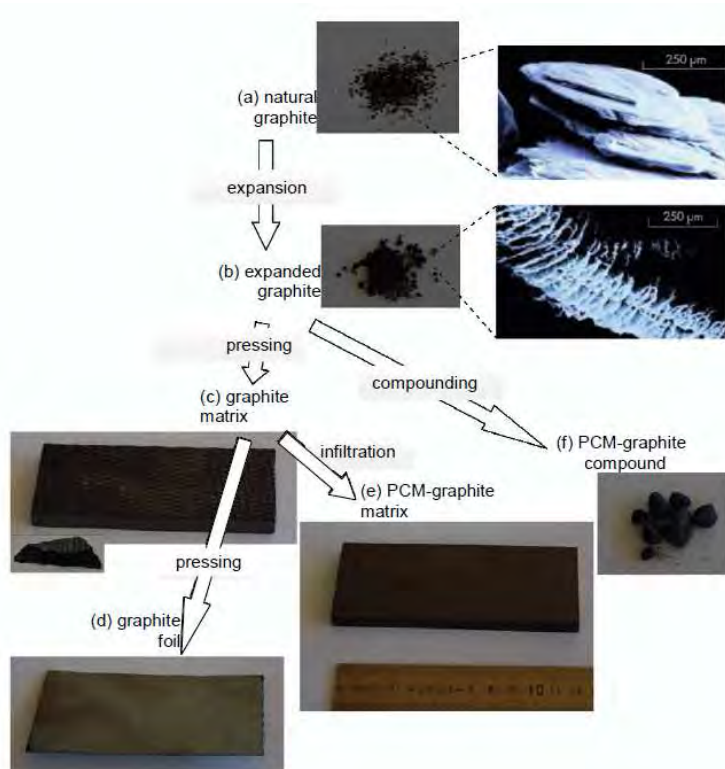


Fig. 8. Processing of graphite from the natural graphite to different products [20].

Impregnation, infiltration and compression are found to have higher enhancement performance than dispersion due to the fact that in these three processes graphite particles are always connected between them forming a highly thermal conductive and interconnected network while in the dispersion process graphite microstructures are formed but are not fully connected. Moreover, graphite particles parameters such as shape, concentration, distribution and orientation within the compound are extremely important to accurately calculate the average thermal conductivity of the graphite composite. However, average thermal conductivity is not the only criteria to determine the optimum composite preparation route. It has also to bear in mind economics and porosity, which are closely linked to the storage density. This last property is often not kept primordial during the obtaining process of the composite and can lead to a significant reduction in the latent heat value if it is too low and to a reduction on the capillarity force and therefore leakage of liquid TES material if it is too high [20,22]. Several experimental and numerical investigations have been carried out studying the graphite as thermal conductivity enhancer and the ones available up to mid-2015 are explained below.

From the experimental point of view, the first attempt to study graphite composites at high temperature was carried out by Do Couto et al. [23], who tested the behavior of  $\text{KNO}_3/\text{NaNO}_3$ -expanded graphite (EG) and  $\text{KNO}_3/\text{LiNO}_3$ -EG composites obtained by two different elaboration routes: compression and infiltration. Results showed that compressed composites had an enhancement in a factor up to 10 and infiltrated composites presented a thermal conductivity enhancement lower than the previous route but accentuated in the direction parallel to its layered structure.

Bauer et al. [24] focused on the enhancement of  $\text{KNO}_3/\text{NaNO}_3$  by studying the effect of adding natural graphite (NG) and compressed expanded graphite (CEG) with the infiltration route and adding commercial EG (CFG 500) with the compression route varying the graphite concentration and orientation. Results showed an enhancement of the thermal conductivity in the range from 3 to 30 times if compared to the single TES material. Moreover, it was observed that the thermal conductivity of the composites prepared by compression strongly depended on their graphite concentration (the higher the graphite concentration, the higher the conductivity), on the temperature (the higher the temperature, the lower the conductivity) and on the orientation and the thermal conductivity of the composites prepared by infiltration reached high conductivities with small graphite concentration.

Several studies, under the framework of the European project DISTOR, numerically and experimentally tested at both laboratory and industrial scales the behavior of graphite-

NaNO<sub>3</sub>/KNO<sub>3</sub> composites for solar thermal power plants using direct solar steam generation [9,10,[25,26]. Different types of graphite (NG, EG and ground EG) and three different elaboration routes (dispersion, infiltration and cold compression) were studied. Results showed an enhancement on the thermal conductivity in a factor between 10 and 31. The percentage of enhancement was found to be dependent on the graphite particle size, the working temperature and elaboration route, (Fig. 9). Regarding to this last parameter, important constrains were observed: infiltration was found to be inefficient, dispersion composites presented isotropic properties and thermal conductivity intensification and cold compressed composites presented highly anisotropic properties and strong intensification in thermal conductivity as well as important salt leakages.

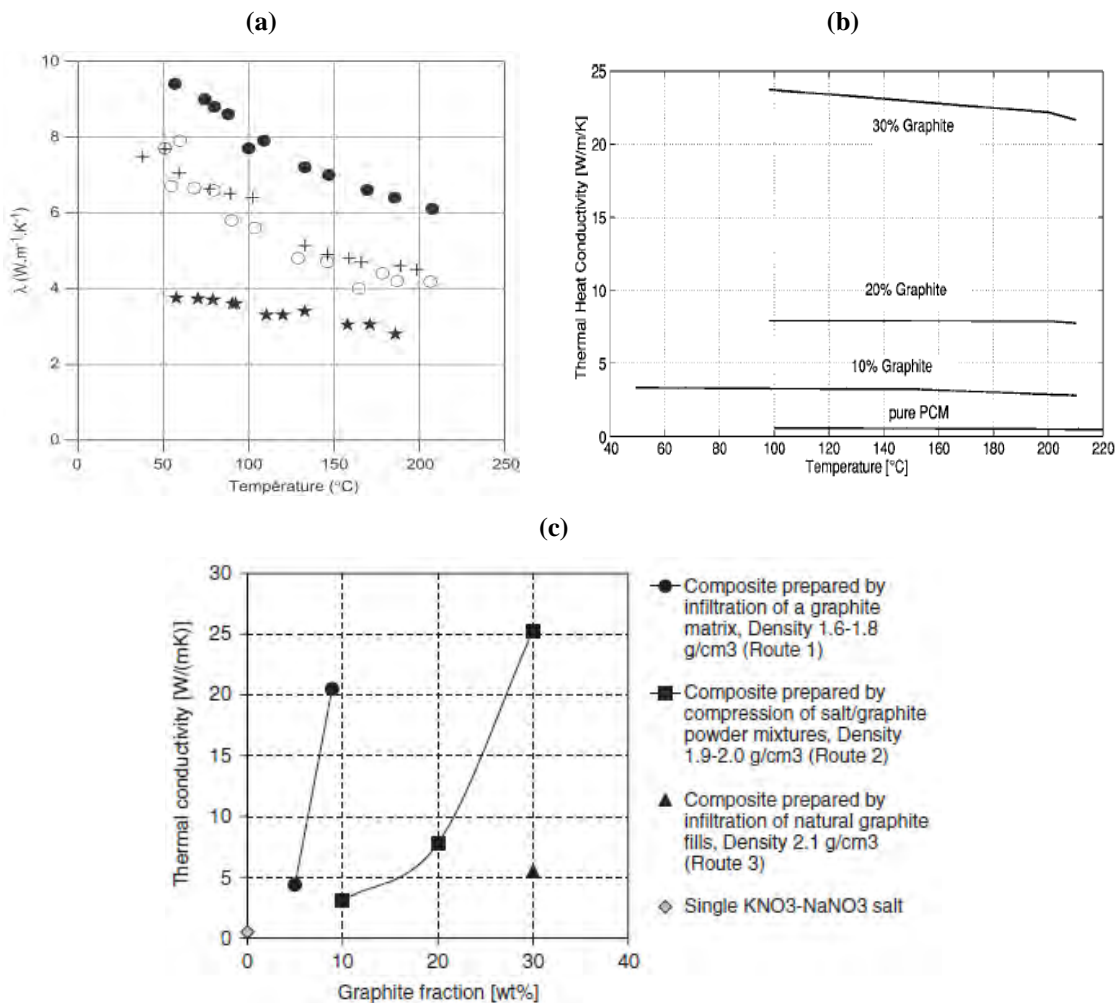


Fig. 9. Variation of the NaNO<sub>3</sub>/KNO<sub>3</sub>-graphite composites thermal conductivity: (a) Depending on the temperature and the graphite type: (★) Graphite flakes I, (⊕) Graphite flakes II, (○) Natural graphite, (●) Expanded graphite powder [26]; (b) Depending on the graphite percentage [9]; (c) Depending on the graphite percentage and elaboration route at 200 °C [10].

Acem et al. [27] and Lopez et al. [28] experimentally tested the behavior of expanded natural graphite (ENG)-KNO<sub>3</sub>/NaNO<sub>3</sub> composite elaborated through the cold-compression process, with two different compression routes: uni-axial and isostatic (Fig. 10). Results showed on the one hand an enhancement of the thermal conductivity in a factor of 20 times for 15-20%wt of graphite and on the other hand an orthotropic thermal behavior of the composites obtained by uni-axial compression and an isotropic thermal behavior as well as over pressurization and salt leakages of the composites obtained by isostatic compression.

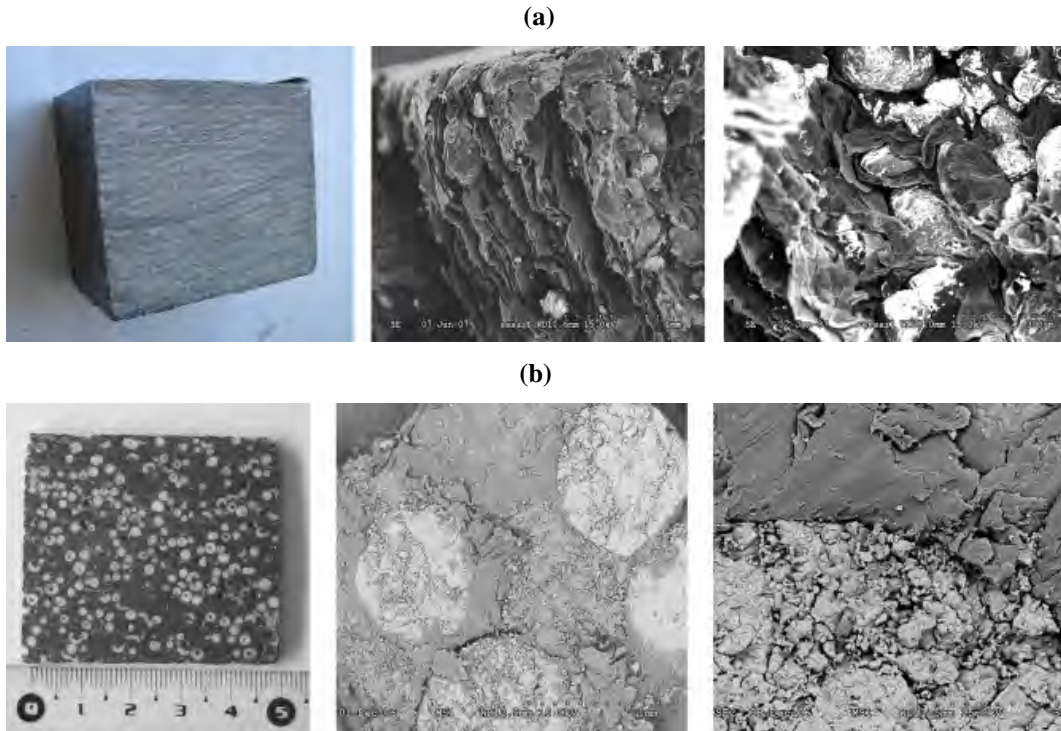


Fig. 10. (a) Graphite/salt composite obtained by uni-axial cold-compression route. Left: macroscopic scale. Center and right: microscopic scale. (b) Graphite/salt composite obtained by isostatic cold-compression route. Left: macroscopic scale. Center and right: microscopic scale [27].

Wu and Zhao [29] and Zhao and Wu [30] studied the feasibility of using EG-NaNO<sub>3</sub> composites elaborated through the dispersion route for high temperature applications. Results showed an enhancement of the heat transfer rate in both charging and discharging processes in a factor of 2.5, if compared to pure TES material because of the increasing in the thermal conductivity. However, this enhancement is reduced by half in the liquid region since the natural convection is hindered by the porous structures.

Yuan et al. [31] evaluated the behavior of cement-based TES materials-ground EG composites for sensible TES. Enhancements in a factor up to 2.96, depending on the cement heating treatment, were obtained.

Li and Zhang [32] studied the behavior of the  $\text{NaNO}_3/\text{LiNO}_3$ -EG composite elaborated through the dispersion route. Results showed a dependence of the thermal conductivity to the graphite concentration. Thermal conductivity enhancement values up to a factor of 10 could be achieved despite the fact that the effective latent heat was found to be reduced and the thermal stability was also found to have worse behavior.

Xiao et al. [33] studied the thermal performance of pure  $\text{NaNO}_3$ ,  $\text{KNO}_3$  and  $\text{KNO}_3/\text{NaNO}_3$  as well as the composites made of such materials with various dispersed EG mass concentrations. Results showed an enhancement on the thermal conductivity in a 40 % depending on the EG mass concentration. Moreover, a variance on the melting/freezing temperatures of the composites was observed if compared with those of pure nitrates.

Huang et al. [34] studied the thermal behavior of EG-  $\text{LiNO}_3/\text{KCl}$  composites elaborated through the dispersion route. Results showed a thermal conductivity enhancement of the composites if compared with the eutectic  $\text{LiNO}_3/\text{KCl}$  in a factor range of 1.85–7.56, depending on the EG mass concentration and the apparent density of the composite, as well as a reduction on the heat storage period caused by the reduction of the phase change latent heat of the composite material.

Xiao et al. [35] reported a method to prepare  $\text{NaNO}_3/\text{KNO}_3$ -EG composites through the dispersion route with the addition of ultrasonic waves. Ultrasounds played the role of breaking EG into nanoscale slices and dispersing them into the nitrates. Results showed on one hand a good dispersion and a homogeneous distribution of the stripped graphite flakes within the composite and on the other hand no chemical reaction after the ultrasounds. Moreover, thermal conductivity enhancements in a factor of up to 2 and a latent heat reduction of 11% were observed.

Zhao et al. [36] studied the behavior of expanded natural graphite treated with sulfuric acid (ENG-TSA)- $\text{KNO}_3/\text{NaNO}_3$  composites in terms of anisotropic thermal conductivity, phase transition properties, thermal stability and micro structures. Results showed an enormous thermal conductivity enhancement, with values up to a factor of 110, a slight decrease in latent heat and no remarkable variation in the melting point. On the other hand, when the density of composite TES material and the salt mass fraction were high, problems with leakage of salt were found.



Zhong et al. [37] evaluated three different types of binary molten salts ( $\text{LiNO}_3/\text{KCl}$ ,  $\text{LiNO}_3/\text{NaNO}_3$  and  $\text{LiNO}_3/\text{NaCl}$ )-EG composites synthesized through the impregnation route. On one hand, results showed a thermal conductivity enhancement if compared to the pure eutectic mixture and on the other hand it was observed that these composites showed higher homogeneity and greater thermal stability (without loss of their morphologies and crystalline structures) in comparison to other salt-EG composites synthesized through infiltration or compression routes.

From the modelling and simulation point of view, Lafdi et al. [22] numerically studied and predicted the thermal performance of graphite foams infiltrated with TES materials for space TES applications. Results showed an improvement in a factor of 8 in the average value of the output power delivered to the working fluid as a result of the enhancement of the composite thermal conductivity.

Lopez et al. [28] studied the melting and solidification process in a  $\text{KNO}_3/\text{NaNO}_3$ -EG composite elaborated through the compression process. Results showed that during the melting process, the TES material volume expansion is constrained by the graphite creating an over pressurization that increases the melting point of TES material and decreases its latent heat. To avoid this problem, the authors identified the need to perform the following actions without reducing the effective thermal conductivity: reduce the pore-wall rigidity, increase the pore walls and/or increase the pores connectivity.

Kim et al. [38] and Zhao et al. [39] numerically studied the use of graphite foam- $\text{MgCl}_2$  composite in a LHTES system, consisting of a tank filled with a TES material and pipes carrying a heat transfer fluid simulating a CSP plant. Results showed that the thermal conductivity enhancement of the composite not only could improve the exergy efficiency in the system but also it could reduce the number of pipes of the system in a factor of 13.5 and therefore a huge reduction on the investment costs.

### **3.2 Metal foams composites**

The concept of metal foam, also referred as cellular metals, porous metals, foamed metal and metallic foam [40], consists of a highly metallic porous material (solid metal containing a large volume fraction of gas pores) which is combined with TES materials. Two different types of foams can be differentiated depending on the pores characteristics: close-cell metallic foam if the pores are sealed or open-cell metallic foams if the pores form an interconnected network [41].

The concept of metal foams has been widely studied during the last decades in the automotive, aerospace, medical, environment, chemical, metallurgy, structural and mechanical engineering industries but it is currently starting to emerge as a promising thermal conductivity enhancement technique, not only because of its good intrinsic thermomechanical properties (mainly high thermal conductivity and good behavior at high temperature), but also because of the high versatility in the control of variables such as lightness, pore distribution, permeability, specific surface area to volume ratio and capillarity [42].

Focusing on metal foams as thermal conductivity enhancers, it has been found that very few studies have been carried out at high temperature. Chen et al. [41] reviewed the research progress of TES materials embedded with metal foams and studied the effects of introducing this enhancer on the conduction, convection and phase change heat transfer process of the composite. They observed on the one hand that metal foams composites have higher effective thermal conductivity and thus they could improve the uniformity of the temperature distribution but on the other hand, the presence of the metal foam reduce drastically the natural convection, for analogous reasoning than in the graphite composites, warning the researchers to consider the effects of porosity and pore size on both the conduction and convection heat transfer.

Wu and Zhao [29] and Zhao and Wu [30] experimentally tested the feasibility of using  $\text{NaNO}_3$  embedded with copper and steel alloy metal foams (Fig. 11) (varying the porosity and pore density in cylindrical containers in order to enhance the heat transfer performance of the pure TES material. Results showed that the heat transfer rate could be enhanced by 2.5 times if compared to the pure  $\text{NaNO}_3$  in the heating process from 250–300 °C. However, when this process took place in the liquid region, the heat transfer rate was reduced by half because of the suppression of the natural convection by the porous structures.

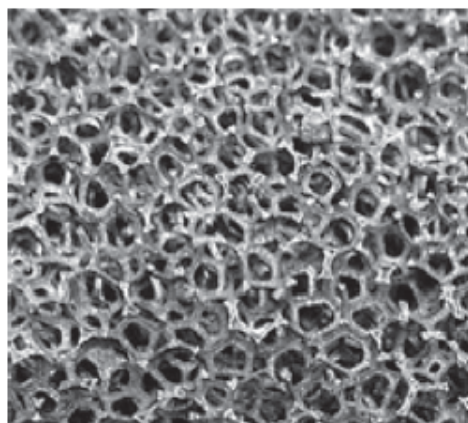


Fig. 11. Microscopical structure of a metal foam [29].

Zhang et al. [43] experimentally and numerically investigated the thermal enhancement of the eutectic mixture  $\text{KNO}_3/\text{NaNO}_3$  by combining it with two different metal foams (copper and nickel foams). It was observed that during the charging process the presence of the metal foams weakened the influence of the natural convection and therefore limited the process. However, in the discharging process, where the conduction is the dominant mechanism, the presence of copper and nickel foams enhanced the process in 28.8% and 19.3% respectively.

Li and Wu [44] numerically investigated the thermal behavior of  $\text{NaNO}_3$  inside porous copper matrix depending on porosity and pore density of the metal foam. Results showed a heat transfer coefficient enhancement in the solid state with values up to 28.1 times by heat conduction and an enhancement in the liquid state with values up to 3.1 times by the combination of natural convection and heat conduction. As a result, not only both the melting and the solidification processes are shortened but also the time differences between them were greatly shortened to harmonize the heating and cooling rates.

Yang et al. [45,46] numerically studied the influence of varying the porosity and pore density in the enhancement of a copper metal foam composite. In the first study [45], a sandwich structure with fins and copper metal foam imbedded in  $\text{NaNO}_3$  was used to evaluate the flow and heat transfer behavior. Results showed a significant improvement in the heat transfer performance. Moreover it was observed that when the porosity of the metal foam is decreased, the phase change period can be substantially shortened, while the effect of the pore density is not notable. In the second study [46], a  $\text{KNO}_3$ - copper metal foam composite was used. Results showed an improvement in the charging process performance up to 24.2 % by increasing linearly the porosity from the bottom to the top.

### **3.3 Nanomaterials**

In the literature, the material composed by nanometer-sized particles (nanoparticles) and a base material (BM) is usually presented as nanofluid [47], when the BM is in the liquid state, or nanocomposite, when the BM is in the solid state [48]. However, in this review both concepts will be presented as nanomaterial to facilitate the understanding of the article.

The advance of technology has allowed the researchers to obtain smaller structures and take advantage of them in order to enhance the thermal properties of the actual TES materials. Previous studies have presented the advantages and disadvantages of nanomaterials if compared to pure materials [49-55]. Among the advantages, it can be found (1) higher thermal capacity,

which allows higher thermal storage in reduced spaces and therefore a reduction of cost, (2) higher effective thermal conductivity and higher specific surface, which enhance the heat transfer and decreases the charging and discharging processes, (3) a good stability on the dispersion of the nanoparticles within the TES material and reduced particle clogging, which allows the material not to have a variation on its properties, (4) liquid-like properties of the nanoparticles when the nanomaterial is melted, (5) reduction of the subcooling effect, since the nanoparticles can act as nucleating agents, and the most important, (6) the researcher can adjust the properties depending on the material of the nanoparticles, their concentration and distribution within the BM, their size and geometry and the interfacial effects between both the BM and the nanoparticles. On the other hand, some disadvantages have been observed in previous experiments, which are (1) the difficulty on their synthesis, (2) the difficulty on controlling the size and dimensions of the nanoparticles and (3) the toxicity of some of the nanomaterials for the humanity and/or environment.

Studies focused on the thermal conductivity enhancement with the dispersion of highly conductive particles are mainly based on water based fluids [50], which cover mid-low temperatures ranges. Very few studies covering thermal conductivity enhancements in the high temperature range have been performed since the research in this temperature range is mainly focused on the enhancement of specific heat.

Nanomaterials at high temperature are mainly obtained from two different synthesis methods [56]. The first method, known as two-step solution method or liquid solution method, was proposed by Shin and Banerjee [57] and is described as follows: first, both the nanoparticles and the BM are mixed, with their respectively fraction mass depending on the study case, in the dry state. Then, the dry mixture is dissolved in distilled water and the resulting mixture is homogeneously dispersed with ultrasounds. Finally, the homogenized mixture is dried on a hot plate. The second method, known as the stirring dispersion method, was proposed by Ho and Pan [58] and is described as follows: first, a crucible made of stainless steel is filled with the BM and the nanoparticles with their respectively fraction mass depending on the study case, in the dry state. Then, a stirrer made of stainless steel is placed inside the crucible and it is placed on a stirring hotplate where the dry mixture is melted and mixed. Finally, the mixture is cooled through forced convection by a cooling fan.

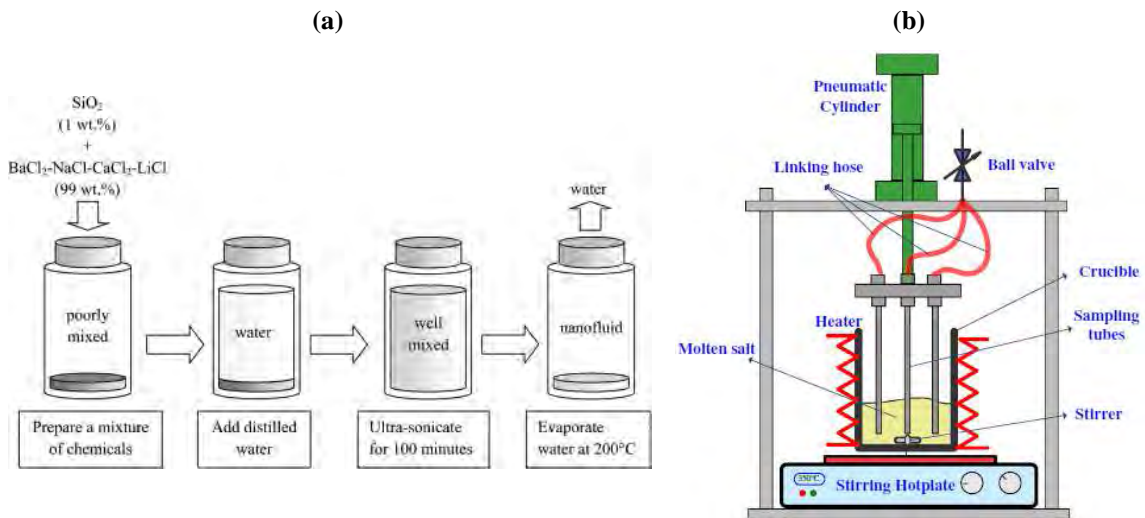


Fig. 12. (a) Schematic diagram of preparation of the two step solution method [57]. (b) Schematic diagram of preparation of the stirring dispersion method [58].

One of the first attempts to explain the capabilities of the addition of highly conductivity particles in order to enhance the effective thermal conductivity was Siegel [59], who numerically demonstrated an enhancement of 10-20 % on the heat transfer with 20 % volume of particles. More recently, some researchers [60-63] tried to explain the behavior of the nanoparticles within the TES material and the responsible phenomenon for thermal conductivity enhancement since the results obtained did not followed the classical theories. They stated that the possible phenomena responsible for the thermal conductivity enhancement of the nanomaterials were the clustering or aggregation of the nanoparticles, the Brownian motion of the nanoparticles, the nature of the heat transport in nanoparticles and/or the molecular layering of the BM at the interface with the nanoparticles [64].

Shi et al. [65] and Yuan et al. [66-68] studied the thermal properties of high temperature cementitious TES materials with the addition of  $ZrO_2$ ,  $SiO_2$ ,  $MgO$  and  $Cu$  nanoparticles. On the one hand,  $ZrO_2$  nanoparticles [66] showed practically no enhancement if compared to the pure aluminate cement but on the other hand,  $SiO_2$  [66],  $MgO$  [67] and  $Cu$  [68] nanoparticles showed noticeable enhancements with values up to 60 %.

Liu et al. [69] experimentally studied the characterization and the thermal performance of nanomaterials consisting on phase-change Bi nanoparticles embedded in an Ag matrix, by modifying the nanoparticle size, shape, and volume fraction in the composite. Results showed higher thermal conductivity enhancements if compared to the BM, when using smaller nanoparticle volume fractions and/or larger nanoparticles diameters.

Shin and Banerje [70] obtained a thermal conductivity enhancement of up to 45 % by dispersing 1% of SiO<sub>2</sub> nanoparticles in the eutectic mixture of Li<sub>2</sub>CO<sub>3</sub> and K<sub>2</sub>CO<sub>3</sub>.

On the other hand, Ye et al. [71] and Tao et al. [72] studied the dispersion of different kinds of carbon nanostructures (single walled carbon nanotubes, SWCNT; multi-walled carbon nanotubes, MWCNT; graphene and fullerene C60) at different mass concentrations to different eutectic mixtures (Na<sub>2</sub>CO<sub>3</sub>/MgO and Li<sub>2</sub>CO<sub>3</sub> /K<sub>2</sub>CO<sub>3</sub>). It was observed that the thermal conductivity could be increased up to 69 %.

Finally, Cingarapu et al. [73] and Timofeeva et al. [74] modified, instead of TES material as the previous studies did, the HTF Therminol 66 by adding core/shell Sn/SiO<sub>2</sub> (Fig. 13) and SiO<sub>2</sub> nanoparticles in it, respectively, observing enhancements of 17 % in the thermal conductivity of the modified HTF.

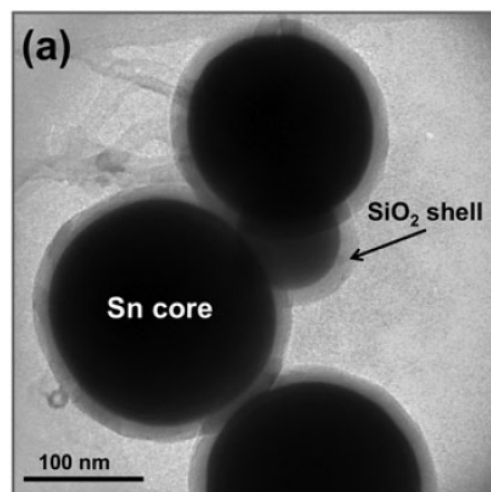


Fig. 13. Transmission electron microscopy images of Sn/SiO<sub>2</sub> nanoparticles [73].

Table 3, Table 4 and Table 5 review, in a chronological order, the different studies carried out concerning the enhancement technique of combining highly conductive materials with TES materials at high temperature, by showing the study case, the TES material improved, the enhancement material used (weight concentration and size) the synthesis method, the comparison performed, the improvement observed and the measurement instrument.

Table 3. Review of the studies concerning the combination of highly conductive material with TES material at high temperature. Case 3: Graphite composites.

Study case		Graphite type <sup>1</sup> (nominal size)	Concentration (wt%)	TES material	Method of synthesis	Comparison	Improvement and measurement instrument <sup>2</sup>	Year	Reference
1	Experimental	EG (n.a)	15	KNO <sub>3</sub> + NaNO <sub>3</sub> (54 + 46 wt%) KNO <sub>3</sub> + LiNO <sub>3</sub> (68 + 32 wt%)	Compression Infiltration	Different graphite composites (different routes) vs. pure TES materials	Up to a factor of 10 (15 wt%, compression, KNO <sub>3</sub> -NaNO <sub>3</sub> ) Up to a factor higher than 10 (15 wt%, compression, LiNO <sub>3</sub> - NaNO <sub>3</sub> ) By: TCCT	2005	Do Couto et al. [23]
2	Experimental	NG (n.a) CEG (n.a) CFG 500 (n.a)	5/10/20/30	KNO <sub>3</sub> + NaNO <sub>3</sub> (n.a. wt%)	Compression Infiltration	Different graphite composites (different routes, graphite types and concentrations) vs. pure TES materials	Up to a factor of 30 (30 wt%, compression) By: LFA457 (Netzsch Gertebau)	2006	Bauer et al. [24]
3	Numerical	Graphite foam (n.a.)	n.a.	LiF-CaF <sub>2</sub> (n.a. %)	n.a.	Graphite composites vs pure TES material	<i>Output power delivered:</i> Up to 800 %	2008	Lafdi et al. [22]
4	Experimental	NG (400 μm) EG (n.a.) GEG (50-500 μm)	7/10/20/30	KNO <sub>3</sub> -NaNO <sub>3</sub> (n.a. %)	Dispersion Infiltration Cold compression	Different graphite composites (different routes, graphite types and	Up to a factor of 31 (20 wt%, compression) Up to a factor of 10 (7 wt%, dispersion)	2008	Pincemin et al. [25]

						concentrations) vs. pure TES materials	By: n.a.		
5	Experimental Numerical	NG (6/15/44/75/150/ 400 μm) EG (n.a.) GEG (50-500 μm)	10/20/30	KNO <sub>3</sub> + NaNO <sub>3</sub> (50 + 50 mol%) ZnCl <sub>2</sub> + KCl (31.9 + 68.1 mol%) NaNO <sub>3</sub> KNO <sub>3</sub> NaOH KOH ZnCl <sub>2</sub>	Impregnation Dispersion	Different graphite composites (different routes, graphite types and concentrations) vs. pure TES materials	Up to a factor of 10 (20 wt%, CEG, 6 μm, dispersion) By: n.a.	2008	Pincemin et al. [26]
6 - 7	Theoretical	NG (n.a.) EG (0.5-1.0 mm)	10/20/30	KNO <sub>3</sub> + NaNO <sub>3</sub> (n.a. %)	Compression Infiltration	Different graphite composites (different routes, graphite types and concentrations) vs. pure TES materials	Up to a factor of 20 (30 wt%, compression) By: n.a.	2008	Steinmann and Tamme [9] Tamme et al. [10]
8	Experimental	ENG (500 μm)	4.75/5/5.93/7.11 /8.29/9.46/10/15 /20/25/30	KNO <sub>3</sub> + NaNO <sub>3</sub> (50 + 50 mol%)	Compression uni-axial Compression isostatic	Different graphite composites (different routes and graphite concentrations) vs. pure TES materials	Up to a factor of 20 (20 wt%, compression) By: THP method	2010	Acem et al. [27]



9	Numerical	ENG (500 $\mu\text{m}$ )	5/10/15	$\text{KNO}_3 + \text{NaNO}_3$ (50 + 50 mol%)	Compression uni-axial Compression isostatic	Different graphite composites (different routes and graphite concentrations) vs. pure TES materials	<i>Investment costs:</i> Up to 45 % (10 wt%, compression)	2010	Lopez et al. [28]
10 - 11	Experimental	EG	3/6	$\text{NaNO}_3$	Dispersion	Graphite composite vs pure TES material	<i>Heat transfer rate:</i> 190 %	2011	Wu and Zhao [29] Zhao and Wu [30]
12	Experimental	GEG (n.a.)	1/5/10/15	Aluminate cement	Dispersion	Graphite composites vs pure TES material	Up to a factor of 1.89 (15wt%, dispersion) By: TCCT (TPS2500, Hot Disk Ltd.) with Probe 5465	2012	Yuan et al. [31]
13	Experimental	EG (n.a.)	10/20/30	$\text{NaNO}_3 + \text{LiNO}_3$ (45 + 55 mol%)	Dispersion	Graphite composites vs pure TES material	Up to a factor of 10 (30wt%, dispersion) By: TCCT (TPS2500, Hot Disk Ltd.) with Probe 5465	2013	Li and Zhang [32]
14	Experimental	EG (n.a.)	5/10	$\text{KNO}_3 + \text{NaNO}_3$ (different wt%)	Dispersion	Graphite composites vs pure TES material	Up to 40 % (10 wt%, dispersion) By: THM	2013	Xiao et al. [33]
15	Numerical	Graphite foam	n.a.	$\text{MgCl}_2$	n.a.	Graphite composite	<i>Number of pipes:</i>	2014	Kim et al.

						vs pure TES material	Reduction in a factor of 13.6		[38]
16	Experimental	EG (n.a)	10/15/20/25/30	LiNO <sub>3</sub> + KCl (n.a. %)	Dispersion	Graphite composites vs pure TES material	Up to a factor of 7.56 (30wt%, dispersion) By: TCCT (TPS2500, Hot Disk Ltd.) with Probe 5465	2014	Huang et al. [34]
17	Experimental	EG (150 μm)	0.5/1/1.5/2	KNO <sub>3</sub> + NaNO <sub>3</sub> (60 + 40 mol%)	Dispersion	Graphite composites vs pure TES material	Up to a factor of 2.15 (2wt%, dispersion) By: LFA457 (Netzsch Gertebau)	2014	Xiao et al. [35]
18	Experimental	ENG treated with sulfuric acid (n.a)	10/15	KNO <sub>3</sub> + NaNO <sub>3</sub> (n.a. %)	Cold compression	Graphite composites vs pure TES material	Up to a factor of 100 (15wt%, compression) By: LFA457 (Netzsch Gertebau)	2014	Zhao et al. [36]
19	Numerical	Graphite foam (n.a.)	n.a.	MgCl <sub>2</sub>	n.a.	Graphite composite vs pure TES material	<i>Number of pipes:</i> Reduction in a factor of 13.6 <i>Exergy efficiency:</i> Up to 71 %	2014	Zhao et al. [39]
20	Experimental	EG (200-500 μm)	10/12.5	LiNO <sub>3</sub> + KCl (58.1 + 41.9 mol%) LiNO <sub>3</sub> + NaNO <sub>3</sub>	Impregnation	Different graphite composites (different graphite concentrations) vs.	Up to a factor of 7.87 (12.5wt%, impregnation) By: TCCT (TPS2500, Hot Disk Ltd.) with	2014	Zhong et al. [37]

				(49 + 51 mol%) LiNO <sub>3</sub> + NaCl (87 + 13 mol%)		pure TES materials	Probe 5465		
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<sup>1</sup> *EG*: Expanded graphite / *NG*: Natural graphite / *CEG*: Compressed expanded graphite / *CFG*: Commercial expanded graphite / *GEG*: Ground expanded graphite / *ENG*: Expanded natural graphite / *MWCNT*:

Multi-walled carbon nanotubes

<sup>2</sup> *SSCM*: Steady state comparative method / *THP*: Transient hot plate / *LFA*: Laser Flash Analysis / *TCCT*: thermal conductivity constant tester / *THW*: Transient hot wire

*n.a.*: not available

Table 4. Review of the studies concerning the combination of highly conductive material with TES material at high temperature. Case 4: Metal foams composites.

Study case		Porous material	Pore density (PPI <sup>1</sup> )	Porosity ( $\epsilon$ )	TES material (mol%)	Comparison	Improvement	Year	Reference
1 – 2	Experimental	Copper Copper - Steel alloy	10/20/30	90/95 %	NaNO <sub>3</sub>	Different metal foam composites (PPI and porosity) vs pure TES material	<i>Heat transfer rate:</i> Heating process up to 210 %	2011	Wu and Zhao [29] Zhao and Wu [30]
3	Numerical	Copper	5/10/20/30	90/95 %	NaNO <sub>3</sub>	Metal foam composite (porosity and pore density) vs pure TES material	<i>Melting process time:</i> Up to a factor of 4.86 ( $\epsilon=0.90$ , 10 PPI) <i>Solidification process time:</i> Up to a factor of 28.38 ( $\epsilon=0.90$ , 30 PPI)	2013	Li and Zhang [32]
4	Numerical	Copper	20/30/40	90 %	NaNO <sub>3</sub>	Effect of pore density and porosity	No numerical comparison was made with pure TES material	2013	Yang et al. [45]
5	Numerical	Copper	20/30/40	88/90/92 %	NaNO <sub>3</sub>	Metal foam composite (porosity and pore density) vs pure TES material	<i>Melting process time:</i> Up to 17.94 % ( $\epsilon=0.92$ , 40 PPI) <i>Solidification process time:</i> Up to 4.28 % ( $\epsilon=0.90$ , 30 PPI)	2015	Yang et al. [46]

6	Experimental Numerical	Copper Nickel	10	96.5/97.5 %	KNO <sub>3</sub> + NaNO <sub>3</sub> (50 + 50 %)	Different metal foam composites (material) vs pure TES material	<i>Solidification process time (Copper):</i> Up to 28.8 % ( $\epsilon=0.96$ , 10 PPI) <i>Solidification process time (Nickel):</i> Up to 19.3 % ( $\epsilon=0.97$ , 10 PPI)	2015	Zhang et al. [43]
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<sup>†</sup> PPI: Pore number per inch

Table 5. Review of the studies concerning the combination of highly conductive material with TES material at high temperature. Case 5: Nanomaterials.

Study case		Nanoparticles (nominal size)	Concentration (wt%)	TES material (mol%)	Method of synthesis	Comparison	Improvement and measurement instrument <sup>1</sup>	Year	Reference
1	Numerical	n.a.	20/60	Molten salts	n.a.	Concentration of nanoparticles	<i>Heat transfer rate:</i> Solidification process up to 20 %	1977	Siegel [59]
2	Experimental	SiO <sub>2</sub> (15 nm)	1.2/3.6/5.0/7.0	Therminol 66	Two-step solution method	Concentration of nanoparticles	Up to 17.1 % (7.0 wt%, at 25 °C) By: THW (Model KD2pro, Decagon Devices, Inc.)	2011	Timofeev et al. [74]
3	Experimental	ZrO <sub>2</sub> (20 nm)	1.0/2.0/3.0/4.0/5.0	Aluminate cement	Normal mixture	Concentration of nanoparticles	Practically no enhancement By: TCCT (TPS2500, Hot Disk Ltd.) with Probe 5465	2013	Yuan et al. [66]
4	Experimental	Sn/SiO <sub>2</sub> (50-100 nm)	1.0/2.0/3.0/4.0/5.0	Therminol 66	Two-step solution method	Concentration of nanoparticles	Up to 17.1 % (5.0 wt%) By: THW (Model KD2pro, Decagon Devices, Inc.)	2014	Cingarapu et al. [73]
5	Experimental	SiO <sub>2</sub> (50 nm)	1.0/2.0/3.0/4.0/5.0	Aluminate cement	Similar to stirring method	Concentration of nanoparticles	Up to 61 % (3.0 wt%) By: TCCT (TPS2500, Hot Disk Ltd.) with Probe 5465	2014	Shi et al. [65]
6	Experimental	MWCNT (11 nm x 10 μm)	0.1/0.2/0.3/0.5	Na <sub>2</sub> CO <sub>3</sub> + MgO (40 + 60 %, 50 + 50 %, 60 + 40 %, 80 + 20 %)	Normal mixture	Concentration of nanoparticles	Up to 69 % (0.5 wt%) By: UNITHERM™ model 2022 (Anter Corporation)	2014	Ye et al. [71]
7	Experimental	MgO (50 nm)	2.0/3.0/4.0/10.0	Aluminate cement	Normal mixture	Concentration of nanoparticles and	Up to 35.4 % (1 wt%, 350 °C)	2014	Yuan et al. [67]

						temperature effect	Up to 23.6 % (1 wt%, 350 °C) By: TCCT (TPS2500, Hot Disk Ltd.) with Probe 5465		
8	Experimental	Bi (8.1/9.8/13.2/14.9 nm)	2.0/5.0/10.0	Ag	Normal mixture	Concentration of nanoparticles and nanoparticle size	Decrease if concentration increases By: n.a.	2015	Liu et al. [69]
9	Experimental	SiO <sub>2</sub> (10-30 nm)	1.0	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (62 + 38 %)	Two-step solution method	Nanomaterial vs pure material	Up to 47 % (1 wt%, 150 °C) Up to 36 % (1 wt%, 250 °C) Up to 37 % (1 wt%, 350 °C) By: LFA 447 Nanoflash (Netzsch Instruments N.A. LLC.).	2015	Shin and Banerjee [70]
10	Experimental	SWCNT (5-20 nm x 1-5 μm) MWCNT (10-50 nm x 0.5-1 μm) Graphene (10-20 nm x 1-5 μm) Fullerene C <sub>60</sub> (0.5-2 μm)	0.1/0.5/1.0/1.5/2 .5	Li <sub>2</sub> CO <sub>3</sub> + K <sub>2</sub> CO <sub>3</sub> (62 + 38 mol%)	Two-step solution method	Type of nanoparticles and concentration of nanoparticles	SWCNT: Up to 56.98 % (1.5 wt%) MWCNT: Up to 50.05 % (1.5 wt%). Graphene: Up to 27.77 % (2.5 wt%) C <sub>60</sub> : Up to -31.85 % (2.5 wt%) By: LFA	2015	Tao et al. [72]
11	Experimental	Cu powder	1.0/5.0/10.0/15.0	Aluminate cement	Normal mixture	Concentration of nanoparticles and temperature	Up to 24 % (15 wt%, 105 °C) Up to 50 % (15 wt%, 350 °C)	2015	Yuan et al. [68]

						effect	°C) Up to 51 % (15 wt%, 900 °C) By: TCCT (TPS2500, Hot Disk Ltd.) with Probe 5465		
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<sup>1</sup> *EG*: Expanded graphite / *NG*: Natural graphite / *CEG*: Compressed expanded graphite / *CFG*: Commercial expanded graphite / *GEG*: Ground expanded graphite / *ENG*: Expanded natural graphite / *MWCNT*:

Multi-walled carbon nanotubes / *SWCNT*: Single -walled carbon nanotubes

<sup>2</sup> *THW*: Transient hot wire / *TCCT*: thermal conductivity constant tester / *LFA*: Laser Flash Analysis

*n.a.*: not available



## 4. Overview of the enhancement techniques research evolution

The Technology Readiness Level (TRL) of the five enhancement techniques reviewed in the present study are presented as well as the temporal evolution of the publications related to them. The main objective of this classification is to give an overview about how the maturity of the enhancement techniques is in order to describe recent trends in this field and to identify a niche of research.

TRL is a measurement system used on the one hand to assess the maturity of evolving technologies during their development and early operations and on the other hand to compare technologies [75]. This scale classifies the maturity of a technology in nine levels, from the basic research until its commercial application (Table 6).

Table 6. Technology readiness levels summary. Based on: [76,77].

<b>Level</b>	<b>Definition</b>
TRL 1	Basic principles observed
TRL 2	Technology concept formulated
TRL 3	Experimental proof of concept at lab scale
TRL 4	Technology validated in small-scale
TRL 5	Development at real-scale
TRL 6	System prototype demonstration in simulated operational environment
TRL 7	System prototype demonstration in real operational environment
TRL 8	System complete and qualified, first commercial prototype
TRL 9	Commercial application

As it can be observed in Table 7, the 40.4 % and the 53.8 % of the studies reviewed are in a TRL 2 and TRL 3, respectively, which means that more than the 94 % of the work carried out so far is still in the very early stages. The promising aspects of each thermal enhancement techniques have been detected and observed. Moreover, active research and development has gained relevance and the governments have been started to fund projects related with numerical and laboratory scale experimentation in order to validate the analytical predictions. Only the 6 % of the experimentation has been carried out at a scale higher than the laboratory. Therefore, since the benefits of implementing the thermal conductivity enhancement techniques have been already proved, the next step is to test them at higher scale in order to validate the results obtained numerically and at laboratory scale.

Moreover, the tendency of the research groups in terms of implementing and evaluating the different thermal enhancement techniques reviewed in the present study have been also studied in order to show the evolution of their investigation in the last 10 years (Fig. 14). It can be seen that during the first years of this period, only the implementation of extended surfaces was studied, since it is a technique that was previously demonstrated at lower temperatures. However, as both the composites technology and nanotechnology have gained relevance and observing the promising results at lower temperatures, researchers are focusing their thermal enhancement work at studying them.

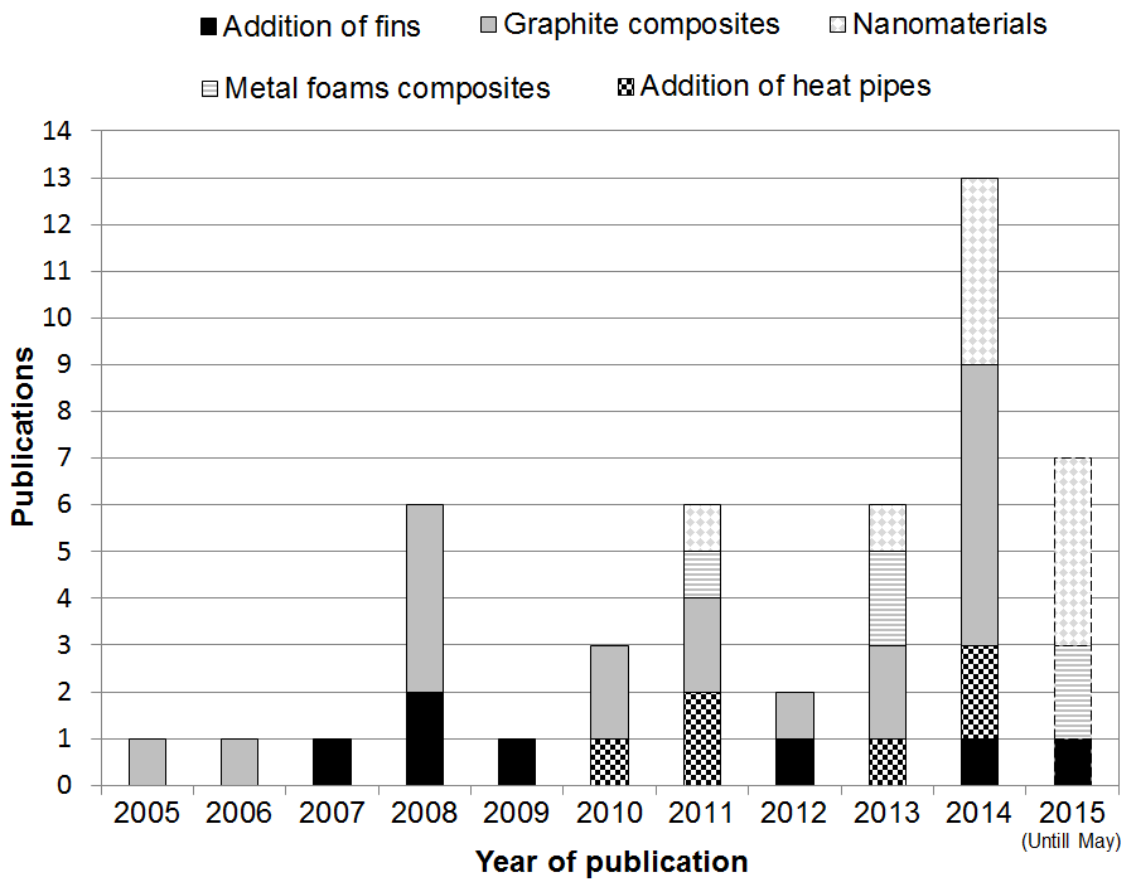


Fig. 14. Evolution of publication related with the thermal conductivity enhancement techniques at the high temperature field for the period 2005-mid 2015.

Table 7. Technology readiness levels (TRLs) of the different research work carried out in terms of thermal conductivity and specific heat enhancement techniques at high temperature at 2015.

			TRL 1	TRL 2	TRL 3	TRL 4	TRL 5	TRL 6-9
<b>Enhancement technique</b>	<b>Addition of extended surfaces</b>	<b>Addition of fins</b>	-	[3-6,8,12]	-	[10,13]	[11]	-
		<b>Addition of heat pipes</b>	-	[7,14,16-19]	-	-	-	-
	<b>Combination of highly conductive materials with TES material</b>	<b>Graphite composites</b>	-	[9,10,22,36,38]	[23-37,39]	-	-	-
		<b>Metal foams composites</b>	-	[32,45,46]	[29,30,43]	-	-	-
		<b>Nanomaterials</b>	-	[59]	[65-74]	-	-	-

## 5. Conclusions

One of the main obstacles that hinder the fully development and implementation of TES systems is the low thermal conductivity values that most of the currently commercial TES materials have. The present paper presents an exhaustive review of the numerical and experimental studies, involving the different thermal conductivity enhancement techniques, developed at the high temperature field ( $> 150\text{ }^{\circ}\text{C}$ ). Notice that Part 1 of this article identifies and reviews more than 25 different requirements that TES materials and systems should consider for being used at those temperatures and the approaches to achieve them. The research is focused on the literature available on scientific journals until mid-2015 and the authors would like to apology if any paper has been neglected since the present paper does not claim about its exhaustiveness in the high temperature field.

The main conclusion regarding the different enhancement techniques are:

- **Extended surfaces**

The addition of extended surfaces is the most and widely studied thermal conductivity enhancement techniques in the low temperature range ( $<150\text{ }^{\circ}\text{C}$ ). Therefore, since its enhancement has been demonstrated, only little research has been carried out at high temperature.

- *Addition of fins*

It has been numerically and experimentally demonstrated the thermal performance improvement of this technique. Among all materials tested at high temperature, aluminum is preferred as fin material and the geometry of the fin is a key factor on the percentage of improvement. However, two main drawbacks have been observed, which are the increase of costs and the decrease of the packing factor.

- *Addition of heat pipes*

This technique has been only numerically studied at high temperature. Different parameters have been simulated and evaluated and in all the research work carried out, promising results were obtained.

- **Combination of highly conductive materials with TES material**

The combination of highly thermal conductive materials with the already known TES materials in order to obtain new materials with improved thermal properties is gaining relevance every year.

- *Graphite composites*

Graphite is considered a good thermal conductivity enhancer not only because of its high thermal conductivity but also for its low density, its chemical resistance to

corrosive environments and its suitability for high temperature processes. Among the different graphite composites elaboration routes, impregnation and compression are found to have a high enhancement performance. Experimental results showed that the effective thermal conductivity of the composite strongly depends on the graphite fraction of the composite, the temperature and on the graphite particles size and orientation. However, a reduction on the natural convection has been observed in the liquid state.

- *Metal foams composites*

Metal foams are promising thermal conductivity enhancers not only because of its good intrinsic thermomechanical properties, but also because of variables such as lightness, pore distribution, permeability, specific surface area to volume ratio and capillarity that can be controlled by the researchers. Very little experimentation has been carried at high temperature but results showed an increase of the thermal conductivity on the solid state and solidification process but no increase of the thermal conductivity on the liquid state and melting process due to the fact that the presence of metal foam reduce drastically the natural convection

- *Nanomaterials*

The dispersion of nanoparticles within a TES material is the most promising enhancement technique for its implementation at industrial scale due to their advantages such as high thermal capacity, high effective thermal conductivity and specific surface, good stability on the dispersion of the nanoparticles within the TES material and reduced particle clogging, reduction of the subcooling effect and the most important, the researcher can adjust the properties depending on the material of the nanoparticles. Therefore, all the work done is focused on improving the most common TES materials used in solar plants. Results showed good and promising enhancement in thermal conductivity values.

Finally, the authors would like the readers to be critical with the results collected in the present review, since the lack of international standards or agreements in the expert community for evaluating the thermal properties of TES materials makes difficult to properly discuss and compare the results.

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Article

# Phase Change Material Selection for Thermal Processes Working Under Partial Loads Operating Conditions in the Temperature Range Between 120 and 200 °C

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**Abstract:** In some processes, latent heat thermal energy storage (TES) systems might work under partial loads operating conditions (the available thermal energy source is discontinuous or not enough to completely charge the phase change material (PCM)). Therefore, there is a need to study how these conditions affect the discharge process and to design a control strategy that can benefit the user of these systems. The aim of this paper is to show and perform at laboratory scale the selection of a PCM, with a phase change temperature between 120 and 200 °C, which will be further used in an experimental facility. Beyond the typical PCM properties, the PCMs are here studied from the cycling and thermal stability point of view, as well as from the health hazard point of view. After 100 melting and freezing cycles, seven candidates out of the sixteen present a suitable cycling stability behaviour and five of them show a maximum thermal-stable temperature higher than 200 °C. Two final candidates for partial loads approach are found in this temperature range, named high density polyethylene (HDPE) and adipic acid.

**Keywords:** thermal energy storage (TES); phase change material (PCM); partial load; thermal stability; cycling stability; health hazard; application

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## 1. Introduction

Latent heat thermal energy storage (TES) using phase change materials (PCM) is an effective way of storing thermal energy because of its high energy storage density and the nearly isothermal melting and solidification processes at the phase change transition temperature of the PCM [1]. Many PCM have been proposed in the literature for different temperature ranges, being the phase change temperature and phase change enthalpy the main parameters provided by the authors [1–3]. However, the PCM should fulfil several other requirements besides the two above-mentioned ones. Gasia et al. [4] summarized the main requirements for selecting proper TES materials and systems by classifying them into chemical, kinetic, physical, thermal, economic, environmental, and technological. Therefore, the selection procedure of a PCM becomes a crucial step for an optimum operation of the thermal process and the latent heat TES system. The critical parameters and necessary requirements for a specific process are not always easy to identify and are often in conflict with each other.

Latent heat TES systems working under partial loads operating conditions as a consequence of the thermal processes whom they are coupled with (such as the ones shown in Table 1), are one of the specific cases which requires a proper PCM selection. Partial loads operating conditions refer to the charging and discharging processes where the PCM is not fully loaded. These conditions can be either an intrinsic characteristic of thermal processes, which may not have a continuous energy supply, such as solar thermal or industrial waste heat recovery processes, or can be used as a TES storage management tool to adapt current TES systems to the demand of the final user. Therefore, the selected PCM needs to undergo several charging and discharging processes, meaning that the selected PCM should have an acceptable cycling and thermal stability.

**Table 1.** Potential thermal energy processes which can operate under partial load operation conditions within the temperature range between 120 and 200 °C. Based on Gasia et al. [4].

Thermal process	Range of temperatures
Absorption refrigeration	From 80 to 230 °C
Adsorption refrigeration	From -60 to 350 °C
Transportation exhaust heat recovery	From 55 to 800 °C
Solar cooling	From 60 to 250 °C
Industrial waste heat recovery	From 30 to 1600 °C

Some authors did an attempt to study different critical parameters besides the phase change temperatures and enthalpies, and performed a more detailed characterization of different PCM in this temperature range [5–8]. Bayón et al. [5] studied the feasibility of storing latent heat with liquid crystals by performing different techniques such as polarized-light microscopy, differential scanning calorimetry (DSC), thermogravimetry analysis (TGA), and rheological measurements. They found that these materials showed promising results despite the fact that further investigations were required. Palomo del Barrio et al. [6] carried out a characterization of five pure sugar alcohols and three eutectic blends, evaluating their key thermal and physical properties, providing empirical equations, and comparing the values to those of most currently used PCM within the temperature range between 70 to 180 °C. Results showed the potential of sugar alcohols if issues such as thermal stability, thermal endurance and crystallization kinetics are solved. Haillot et al. [7] performed a PCM selection within the temperature range of 120–150 °C. They identified eleven candidates and studied their thermal behaviour by performing TGA and DSC analysis, before and after five cycles, coupled with a quadrupole mass spectrometer. They concluded that only few organic materials presented suitable properties to be applied in this temperature range but emphasising the importance of the measurement conditions on the results. Moreover, they suggested that further investigations concerning long-term stability should be done. Miró et al. [8] proposed a new methodology to do a PCM selection based on the health hazard and both the cycling and thermal stability properties. They added the health hazard analysis to evaluate the impact of PCM not only to the humans but also for the facilities design and maintenance, and the thermal and cycling stability to evaluate the durability of the PCM for a certain industrial application. They applied this methodology to five different PCM within the temperature range of 150–200 °C, and classified them according to their suitability.

The aim of the present study is the obtainment of a suitable PCM to further be used in a pilot plant experimental setup. This setup will be used to study the behaviour of a TES system coupled to thermal processes within a temperature range between 120 and 200 °C (Table 1) which work under partial load operating conditions. These conditions occur when the energy source is either intermittent or when the periodicity of the charge is adjusted according to the final user demand or the storage vessel design.

Therefore, sixteen PCM are selected to study their suitability. The authors followed the criteria presented by Miró et al. [8] and studied the thermal and cycling stability, which is the main requirement for partial loads testing, and the health hazard. The novelty of this work is that the cycling stability has been improved by performing one hundred cycles. Moreover, the PCM are cycled under an atmosphere which simulates the boundary conditions of the further pilot plant

experimental setup testing and using a higher sample mass. Finally, the study of the health hazard has been extended by using an additional standard classification.

## 2. Materials Description

Based in the actual literature [2,7,9-13], the authors made a review of potential PCM in the temperature range between 120 and 200 °C. Taking into account that the present study is aimed at selecting a candidate for further tests at pilot plant scale, some materials have been disregarded from the very beginning. For instance, salt hydrates usually present phase separation and are corrosive [9] and mixtures and metals might not be compatible with the heat exchanger material [10]. Sixteen different PCM were selected and characterized in this paper, based on their cited thermophysical properties. These materials are listed in Table 2 along with their main thermophysical properties from the literature and their manufacturer information.

**Table 2.** PCM candidates for thermal energy storage processes working under partial loads operating conditions within a temperature range between 120 and 200 °C.

N°	Material	Material type	Thermophysical properties				Manufacturer information	
			Phase change temperature (°C)		Phase change enthalpy (kJ/kg)		Manufacturer	Purity (%)
			Value	Ref.	Value	Ref.		
1	Benzoic acid	Aromatic hydrocarbon	121–123	[13]	114–147	[13]	PanReac AppliChem	> 99.5
2	Benzamide	Aromatic hydrocarbon	124–127	[13]	169	[13]	Alfa Aesar	> 98
3	High density polyethylene (HDPE)	Polymeric hydrocarbon	130	[13]	211–233	[13]	Alfa Aesar	n.a.
4	Sebacic acid	Dicarboxylic acid	130–134	[13]	228	[13]	Alfa Aesar	> 98
5	Phtalic anhydride	Dicarboxylic acid anhydride	131	[13]	159	[13]	Alfa Aesar	99
6	Maleic acid	Dicarboxylic acid	131–140	[13]	235	[13]	PanReac AppliChem	> 99
7	Urea	Organic compound	133–135	[13]	170–258	[13]	PanReac AppliChem	> 99
8	Dimethyl terephthalate	Aromatic hydrocarbon	142	[13]	170	[13]	Alfa Aesar	99
9	D-mannitol	Sugar alcohol	150	[11]	224–234	[11]	Sigma-Aldrich	98
10	Adipic acid	Dicarboxylic acid	150–152	[9]	213–260	[9]	Sigma-Aldrich	> 99.5
11	Salicylic acid	phenolic acid	157–159	[2]	199	[2]	Sigma-Aldrich	> 99
12	Potassium thiocyanate	Inorganic salt	157–177	[12]	112–114	[12]	Sigma-Aldrich	> 99
13	Hydroquinone	Aromatic hydrocarbon	160–173	[12]	179–235	[12]	Sigma-Aldrich	> 99
14	Benzanilide	Amide	161	[12]	129–139	[12]	Sigma-Aldrich	98
15	Dulcitol	Sugar alcohol	167–185	[9,13]	246–257	[9,13]	Sigma-Aldrich	99
16	2,2-Bis(hydroxymethyl) propionic acid (DMPA)	Carboxylic acid	185	[7]	289	[7]	Sigma-Aldrich	98

## 3. Methodology

The PCM characterization presented in this study followed the methodology proposed by Miró et al. [8], which studied and analysed the PCM from three different approaches: health hazard, thermal stability, and cycling stability. In the present case, these analyses were done in parallel to fully characterize the materials and to give to the scientific community the data from all PCM evaluated [14].

### 3.1. Health Hazard

The health hazard is studied to detect potential environmental and personal risks of the selected PCM for the specific application in which it is wanted to be implemented. Therefore, results from the

health hazard indicate the standards and procedures which need to be followed during the handling and operation of the selected PCM.

One of the most used systems to study the health hazard of a specific material is the material safety datasheet which is usually provided by the manufacturer. However, in this study, health hazard is evaluated first by means of the NFPA 704 standard and complemented after with the globally harmonized system (GHS) classification [15,16]. The “NFPA 704: Standard system for the identification of the hazards of materials for emergency response” is a standard which was developed by the National Fire Protection Association (NFPA) of the United States. This standard aims at visually providing the riskiness of many common chemicals by means of a coloured diamond. This diamond is divided in four indicators: red for the flammability, blue for the health hazard, yellow for chemical reactivity, and white for special hazards. However, only health hazard (blue) indicator was considered. Each indicator is graded from 0 to 4, being 0 non-hazardous substances and 4 the ones that could cause death or major residual injuries by very short exposure.

After grading the materials from 0 to 4 according to the NFPA 704 standard, the health hazard is further specified with the GHS of classification and labelling of chemicals, which is an internationally agreed-upon system more complex than the NFPA 704 standard. This standard classifies hazard into physical, environmental and country-specific for many common chemical compounds. It also includes directions for application of the hazard communication elements: pictograms, signal words, and hazard statements. In this study health hazard statements are considered for each material

### 3.2. Thermal stability

Thermal degradation analyses are performed to study the PCM thermal decomposition within the operating temperature range of the process in which the TES system will be implemented. The maximum thermal-stable temperature and the final degradation temperature of the materials under selection have been measured and analysed by thermal decomposition experiments. The maximum thermal-stable temperature is defined as the temperature needed by the material to lose 1.5 wt.% of its composition. Moreover, the final degradation temperature is defined as temperature achieved when the thermal-degradation process is finished.

In order to perform thermal stability analyses, TGA were carried out in a TA Instrument Simultaneous SDTQ600 which allows DSC-TGA measurements up to 1500 °C and has a balance sensitivity of 0.1 µg. The analyses were performed under 50 mL/min air atmosphere to simulate real boundary conditions. The heating rate used to perform the PCM decomposition tests was 10 °C/min from 40 to 600 °C and the opened 100 µL alumina crucibles were filled with around 1/3 volume of material leading to average sample masses of around 22 mg, depending on the density of each material.

### 3.3. Cycling Stability

The cycling stability is studied to detect changes in the thermophysical and chemical properties of the PCM after a certain number of melting and freezing cycles. This cycling stability test was divided in two steps. In the first place, the sixteen PCM were subjected to complete cycling tests during 100 cycles. Once the PCM underwent the required number of cycles, the thermo-physical and chemical properties were evaluated. Notice that based on previous experience, the cycling stability changes start during the first thermal cycles due to thermal degradation; or after many of them due to thermal stress.

The cycling tests were performed using an oven Venticell (Comfort line) from MMM Group with the air flap lever closed. Due to the fact that in the present study the authors wanted to simulate real operating conditions, samples of  $150 \pm 20$  g of the sixteen PCM were closed with aluminium foil in a glass recipient. This means that the materials were in contact with air during all the tests. Two separated cycling tests were designed, according to the melting temperature reviewed in the literature, to avoid degradation at higher temperatures and ensure the entire phase change transition during cycling procedure. The first cycling test gathered the PCM with phase change temperatures

between 90 and 150 °C: sebacic acid, HDPE, benzoic acid, phthalic anhydride, urea, benzamide, maleic acid, and dimethyl terephthalate. The second cycling test gathered the PCM with phase change temperatures between 150 and 200 °C: adipic acid, DMPA, d-mannitol, benzanilide, potassium thiocyanate, dulcitol, hydroquinone, and salicylic acid. A dynamic cycling method was established for both tests at a constant heating and cooling rate of 3.2 °C/min

In order to evaluate the cycling stability and quantify the variation of the thermophysical and chemical properties of all the PCM, three different measurement points were established:

- Measurement 1: 0 cycle
- Measurement 2: 10th cycle
- Measurement 3: 100th cycle

The thermophysical properties studied in this paper were the phase change temperature and phase change enthalpy. These properties were evaluated using a DSC 822e from Mettler Toledo under nitrogen atmosphere, which has an uncertainty of  $\pm 0.1$  °C for temperatures and  $\pm 3$  kJ·kg<sup>-1</sup> for enthalpies. The methodology followed a two-cycle program. A first melting and solidification cycle at 10 °C/min rate was performed to ensure good contact with crucible base. The second cycle was performed at 0.5 °C/min rate and the mean values of temperature and enthalpy was calculated with it. Two temperature ranges were evaluated following the same criteria than in the cycling tests. On one hand, a temperature program which ranged from 90 to 150 °C for the PCM with a melting temperature lower than 150 °C. On the other hand, a temperature program which ranged from 150 to 200 °C for the remaining PCM. Three samples of each material which were located in 40  $\mu$ l aluminium crucibles (maximum filled with two thirds of their volume, with an average sample mass of 10 mg, to avoid oxidation and taking into account volume expansion), were analysed to ensure repeatability of the tests.

The chemical characterization was carried out using a Fourier transform infrared (FT-IR) spectroscopy with attenuated total reflectance (ATR), which analyses the PCM chemical degradation caused by thermal cycling. The advantage of ATR is the possibility of obtaining the spectra directly from the sample, without any specific sample preparation. The partial or total disappearance of the characteristic peaks and/or the appearance of new peaks can indicate that the material is being oxidized or degraded. This analysis was carried out with a Spectrum Two™ from perking Elmer, which allows analysing substances at solid and liquid state. It was optimized by a wavelength range between 4000 and 350 cm<sup>-1</sup> and its standard spectral resolution is 0.5 cm<sup>-1</sup> accounting for four infrared scans for each analysis and the data recorded is the mean of them. Its functionality is based on the characteristic wave numbers at which the molecules vibrate in infrared frequencies.

## 4. Results

### 4.1. Health Hazard

Table 3 shows the health hazard rating from both the NFPA 704 standard and GHS statements of the materials under study. As explained above, the NFPA 704 standard rates the relationship between hazard and exposure, while the GHS specifies the damages that the material can cause.

A wide variety of health hazard ranging from 0 to 3 is observed. Among the sixteen materials which have been evaluated, HDPE showed the lowest health hazard value in the NFPA 704 standard, meaning that it is non-hazardous under any concept. Six other materials showed a health hazard rate of 1. According to the GHS statement, these materials may cause irritation or can be hazardous at long exposure. Therefore, they are also suitable to be selected but safety measures should be taken into account when using them [8]. The other eight materials present higher values in the NFPA 704 standard and are suggested to be discarded. Nonetheless, if a specific application requires the use of any of these materials, the use of the established safety measures are compulsory to avoid serious damages.

**Table 3.** Safety and health hazard information of the PCM under study [15,16].

Material	NFPA 704	GHS statement
HDPE	0	This material is not hazardous
Sebacic acid	1	Causes skin irritation
		Causes serious eye irritation
		May cause respiratory irritation
Dimethyl terephthalate	1	May cause an allergic skin reaction
D-mannitol	1	Hazardous in case of ingestion. Slightly hazardous in case of skin contact (irritant), of eye contact (irritant) or inhalation
Adipic acid	1	Causes serious eye irritation
Benzanilide	1	Harmful if swallowed
		May cause respiratory irritation
		Causes skin irritation
		Causes serious eye irritation
Dulcitol	1	Hazardous in case of ingestion. Slightly hazardous in case of skin contact (irritant), of eye contact (irritant), of inhalation*
Benzoic acid	2	Harmful if swallowed
		May cause respiratory irritation
		Causes skin irritation
		Causes serious eye irritation
		Causes damage to organs through prolonged or repeated exposure
Benzamide	2	Causes serious eye damage
		Harmful if swallowed
Urea	2	Suspected of causing genetic defects
		May cause respiratory irritation
		Causes skin irritation
		Suspected of causing cancer
		May cause damage to organs
Salicylic acid	2	Causes serious eye irritation
		Toxic if swallowed
Hydroquinnone	2	Causes serious eye irritation
		Harmful if swallowed
		Harmful in contact with skin
		Suspected of causing genetic defects
		Suspected of causing cancer
Phtalic anhydride	3	Causes serious eye damage
		May cause an allergic skin reaction
		Harmful if swallowed
		May cause respiratory irritation
		Causes skin irritation
		May cause an allergic skin reaction
Maleic acid	3	Causes serious eye damage
		May cause allergy or asthma symptoms or breathing difficulties if inhaled
		Harmful if swallowed
		Harmful in contact with skin
		May cause respiratory irritation
		Causes skin irritation
Potassium thiocyanate	3	Causes serious eye irritation
		May cause an allergic skin reaction
		Harmful if swallowed
		Harmful in contact with skin
DMPA	**	Harmful if inhaled
		Causes serious eye irritation
		Causes serious eye irritation



		May cause respiratory irritation
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\* The toxicological properties of this substance have not been fully investigated.

\*\* NFPA 704 health hazard division (blue) has not been found in the literature.

#### 4.2. Thermal Stability

Table 4 shows the maximum thermal-stable temperature and final degradation temperature of the PCM under study, which are ordered by their maximum thermal-stable temperature.

Notice that there are only five PCM, potassium thiocyanate, HDPE, dulcitol, adipic acid, and d-mannitol, with a maximum thermal-stable temperature of 200 °C or higher. The most stable material over this temperature is potassium thiocyanate, which presents 540 °C as maximum thermal-stable temperature, followed by HDPE and dulcitol with 309 and 293 °C, respectively. Moreover, there are two additional PCM, benzanilide and DMPA which have a maximum thermal-stable temperature within the upper limit of the requested operating temperatures, and therefore they could be considered to be suitable for thermal processes within the temperature range of 120–200 °C without degradation. On the other hand, there are two materials, benzoic acid and sebacic acid, whose maximum thermal-stable temperature is 121 °C or lower and therefore should be discarded for the operation in this temperature range. Finally, there are seven other PCM, hydroquinone, urea, maleic acid, benzamide, salycilic acid, phtalic anhydride, and dimethyl terephthalate whose suitability within this temperature range will strongly depend on the operating conditions (heat source temperature) of the thermal process in which are planned to be implemented. However, it should be made clear that the TGA were performed assuming the worst case scenario, which is air continuously flowing around the material, but in real thermal applications it is difficult to reach such disadvantageous conditions.

Notice that there are six PCM, benzoic acid, sebacic acid, phtalic anhydride, dimethyl terephthalate, hydroquinone, and salycilic acid which start the degradation before or during the phase change. The reason lies on the fact that TGA were performed by applying a constant 50 mL/min air flow to an open crucible. Therefore, the thermal degradation conditions were not exactly the same than the ones used to thermal cycling the sample under study.

**Table 4.** Maximum thermal-stable temperature, final degradation temperature of the PCM under study.

Material	Maximum thermal-stable temperature (°C)	Final degradation temperature (°C)
Potassium thiocyanate	540	> 600
HDPE	309	540
Dulcitol	293	481
D-mannitol	259	424
Adipic acid	203	379
Benzanilide	196	315
DMPA	190	431
Hydroquinone	157	240
Urea	148	500
Maleic acid	141	212
Benzamide	138	225
Salycilic acid	133	203
Phtalic anhydride	129	210
Dimethyl terephthalate	128	265
Benzoic acid	121	195
Sebacic acid	118	201

### 4.3. Cycling stability

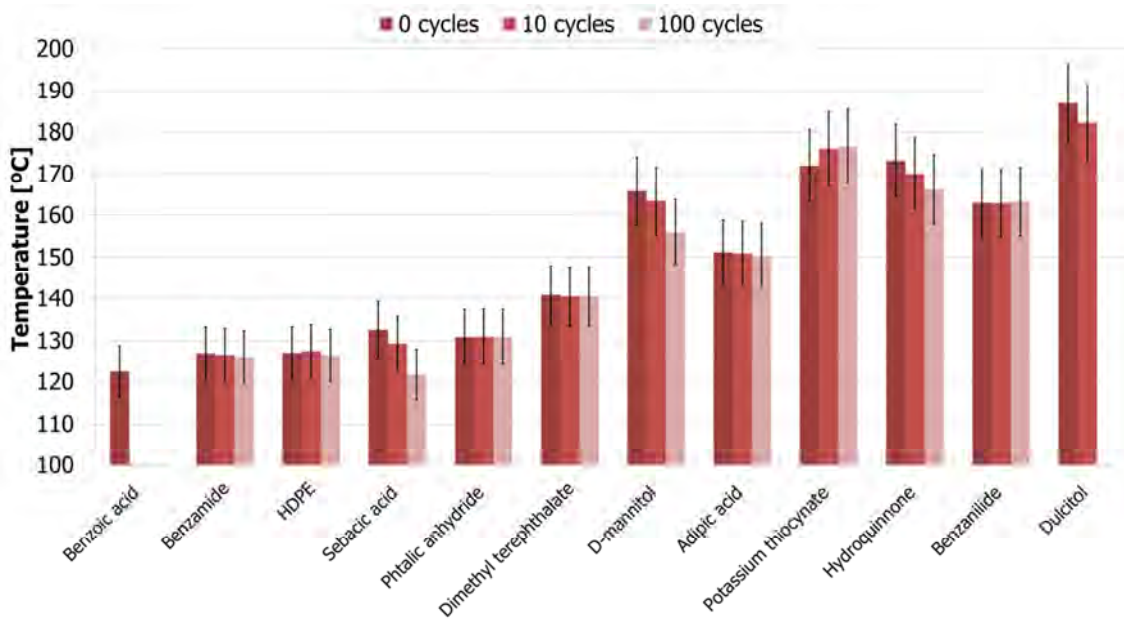
In this section, the variation of the thermophysical properties (phase change temperatures and enthalpies) and the variation of the chemical properties of the studied PCM are presented. In this section only the most representative results are shown for a better comprehension of the reader.

#### 4.3.1. Thermophysical Characterization (Phase Change Temperature and Enthalpy)

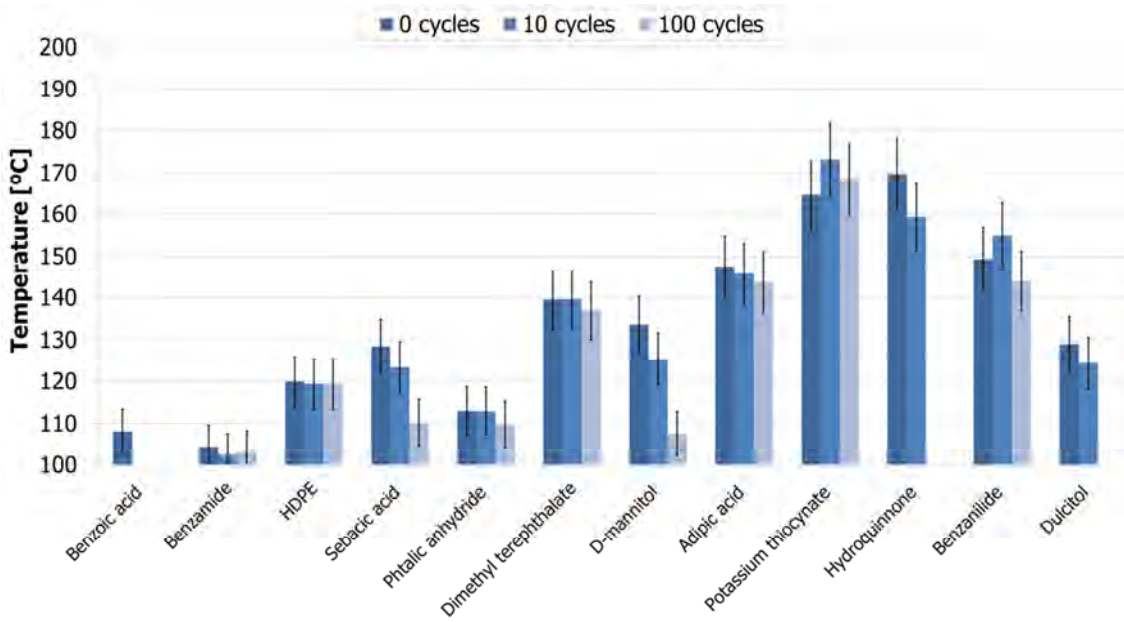
DSC results show that four out of the sixteen evaluated PCM did not show solidification under described conditions during the first cycle and, as a consequence, they cannot be considered for latent heat TES purposes. These PCM are maleic acid, DMPA, salicylic acid, and urea. Hence, from the thermophysical characterization point of view, only twelve out of the sixteen materials can be considered for latent heat storage units purposes. Errors of 10% for melting enthalpy and a 5% for melting temperature are stated as acceptable for the DSC measurements and are represented in the graphics with error bars [17].

Figure 1 shows the evolution of the phase change temperature (melting (a) and solidification (b)) of the twelve PCM which presented suitable thermal results at the initial stages of the thermophysical characterization after 0, 10 and 100 cycles. It is important to highlight that at the zero cycle some PCM showed remarkable differences between melting and solidification temperatures, like benzamide, benzanilide, d-mannitol, and dulcitol. After 10 cycles, benzoic acid did not show phase change under analysis conditions, and the remaining materials presented variations lower than 6.5%, being d-mannitol and hydroquinone the PCM which had a higher variation on the solidification temperature, with values of 6.2% and 6.1%, respectively. After 100 cycles, dulcitol and hydroquinone did not show phase change under analysis conditions. Moreover, sebacic acid and d-mannitol showed a decrease in their phase change temperatures in comparison to their initial values, especially in the solidification temperature, with values of 14.3% and 19.5%, respectively. Hence, from d-mannitol and sebacic acid results it can be seen that the modification in phase change temperature and latent heat with increasing number of cycles is noteworthy (more than 20% in the case of latent heat). On the other hand, the thermophysical properties of benzamide, HDPE, dimethyl terephthalate, adipic acid, potassium thiocyanate, and benzanilide remained almost constant during whole cycling tests. However, after 100 cycles d-mannitol, benzamide and benzanilide showed important temperature differences between solidification and melting processes. The evolution of the melting and solidification enthalpies after 0, 10 and 100 cycles of the twelve PCM which presented suitable results at the initial stages of the thermophysical characterization is presented in Figure 2 (a) and (b), respectively. At the initial stage, a wide range of enthalpies values were observed, from a minimum value of 100–120 kJ/kg for the benzoic acid, to values up to 400 kJ/kg for dulcitol. The remaining PCM showed phase change enthalpies ranged between 120 and 280 kJ/kg. Results after 100 cycles showed that melting enthalpies of benzamide, HDPE, dimethyl terphthalate, adipic acid, potassium thiocyanate, phtalic anhydride and benzanilide presented variations lower than 15%.

From the phase change temperature and enthalpy point of view, six PCM, benzamide, HDPE, dimethyl terphthalate, adipic acid, potassium thiocyanate, phtalic anhydride and benzanilide, present values which are suitable for partial loads operation conditions in latent heat TES systems. The variations on these parameters observed along thermal cycles might be due to the degradation of the chemical structure of the PCM with increasing number of cycles [18]. Hence, the material could not form the first crystal during the solidification process of the thermal cycling and hysteresis phenomena took place. Throughout a considerable number of phase change transition processes new compounds were formed, which may have different latent heat than that of the fresh PCM. In order to deeply analyse the described phenomena, infrared (FT-IR) spectroscopy was carried out.

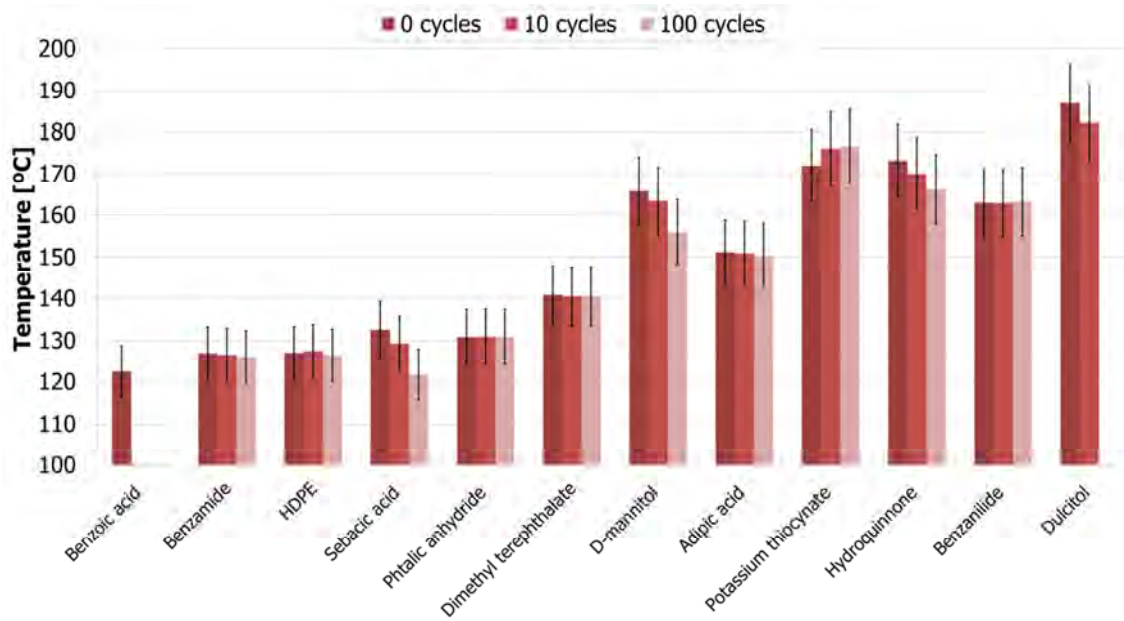


(a)

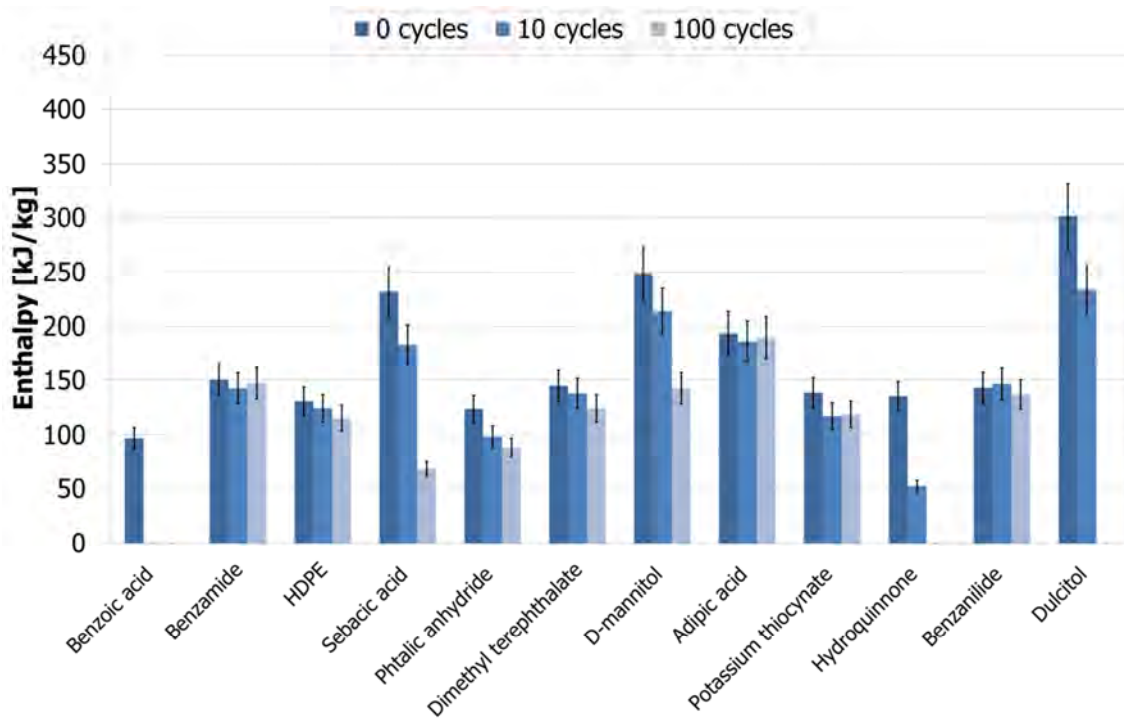


(b)

Figure 1. Phase change temperature: (a) melting; (b) solidification



(a)



(b)

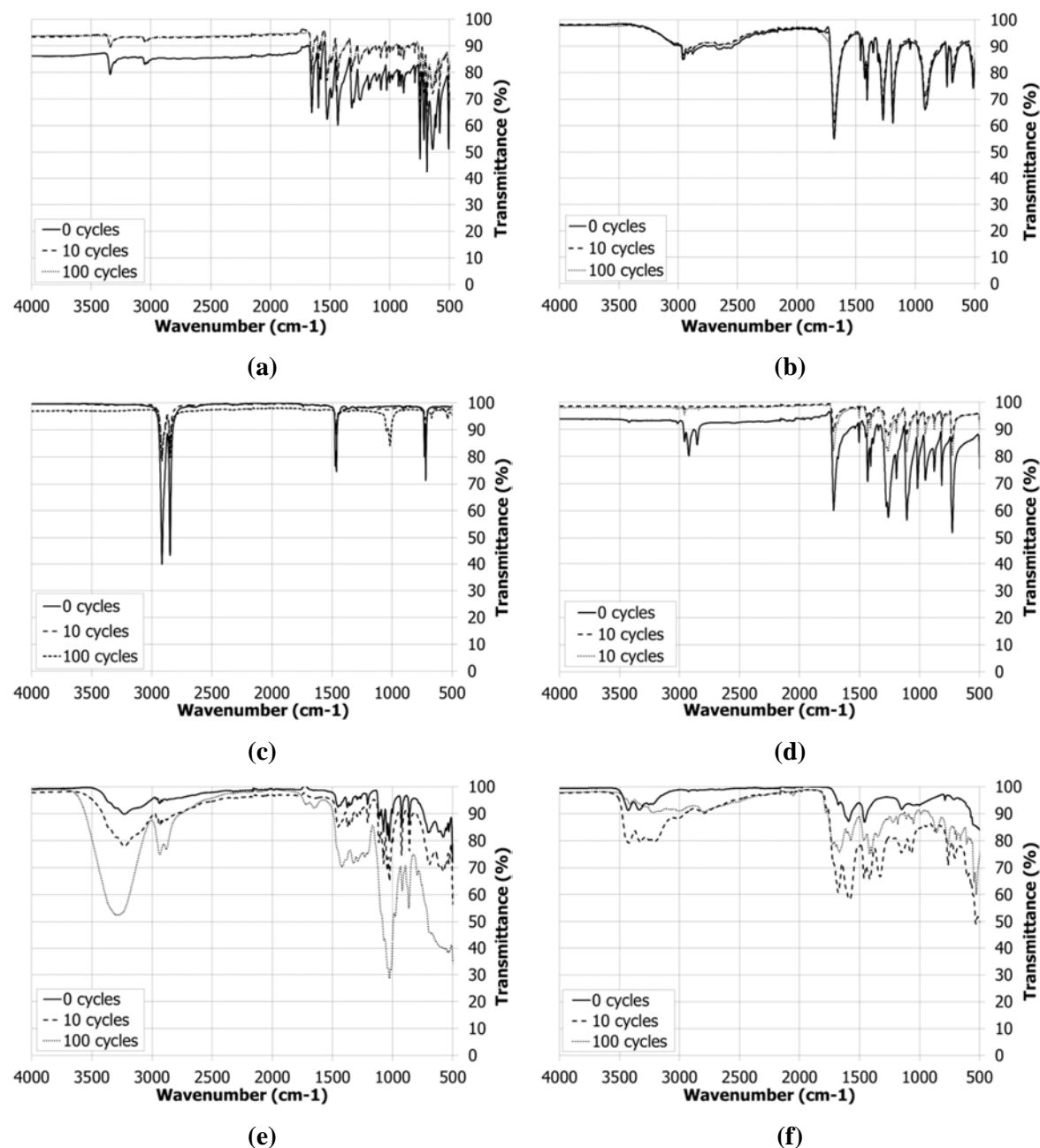
**Figure 2.** Phase change enthalpy: (a) melting; (b) solidification

#### 4.3.2. Chemical Characterization

In order to simplify the analysis for chemical degradation, two peaks of each material are considered as characteristic peaks. The first peak considered is contained in the region known as fingerprint region ( $1500$  to  $500$   $\text{cm}^{-1}$ ) and the second peak is contained in the high-wavenumber region ( $4000$  to  $1500$   $\text{cm}^{-1}$ ).

The chemical characterization shows that the results obtained can be classified in three different groups and, for a better comprehension of the reader, two examples of each one are shown in Figure 3. The first group contains the PCM which present no chemical degradation over cycles since the FT-IR spectrums are practically equal and the two considered peaks remain identical. Among the sixteen PCM evaluated, it was found that adipic acid (see Figure 3a) and benzanilide (see Figure 3b) show this behaviour. The second group contains the PCM which experience a certain level of degradation process since differences between initial and cycled FT-IR spectrum exist. It was observed that HDPE (see Figure 3c), dimethyl terephthalate (see Figure 3d), hydroquinone, potassium thiocyanate, benzamide, phthalic anhydride, and sebacic acid, present slightly variations on their spectrum. High-wavenumber region peak of dimethyl terephthalate ( $2853\text{ cm}^{-1}$ ), hydroquinone ( $3015\text{ cm}^{-1}$ ), and potassium thiocyanate ( $3432\text{ cm}^{-1}$ ) does not appear after thermal cycling. Although benzamide almost does not present differences in its spectrum after 10 thermal cycles, several chemical degradation processes takes places after 100 cycles. In this case, peaks from both regions are moved to higher transmittance values ( $768$  and  $3363\text{ cm}^{-1}$ ). Phthalic anhydride peaks remain at the same wavenumber after cycling ( $903$  and  $1758\text{ cm}^{-1}$ ) although transmittance values shift during thermal cycling. Sebacic acid showed a similar behaviour and both considered peaks ( $923$  and  $1687\text{ cm}^{-1}$ ) did not change but the spectrum shifted to lower transmittance values. HDPE spectrum presents two sharp peaks in the high wavenumber region, corresponding to  $\text{CH}_2$  asymmetric stretching (approx.  $2919$  and  $2851\text{ cm}^{-1}$ ). Characteristic HDPE doublet observed in the fingerprint region ( $1473$  and  $1463\text{ cm}^{-1}$ , bending deformation) appears slightly displaced after thermal cycling. In HDPE spectrum is also important to notice that after 100 cycles a new peak appears at  $1019\text{ cm}^{-1}$  indicating the formation of new bonds between polymer chains (attributed to C–O bond stretching). Finally, the third group contains the PCM which undergo serious chemical degradation since huge differences on the FT-IR spectrums of cycled materials could be noticed. It was found that dulcitol (see Figure 3e), urea (see Figure 3f), salicylic acid, d-mannitol, maleic acid, and benzoic acid showed this behaviour. New peaks appeared in the spectrums of urea ( $1334$ ,  $1411$  and  $1069\text{ cm}^{-1}$ ) and salicylic acid ( $1739\text{ cm}^{-1}$ ). D-mannitol presented displaced peaks at high wavenumber region and loses several peaks at low wavenumbers (i.e.  $575\text{ cm}^{-1}$ ). After 100 cycles, the fingerprint region peaks of maleic acid and benzoic acid present profound differences ( $861$  and  $931\text{ cm}^{-1}$  respectively). Dulcitol shows that in the fingerprint region few peaks disappear ( $500$  to  $800\text{ cm}^{-1}$  region) while in the high-wavenumber region large differences are observed. In addition, new peaks appear in the cycled samples in the  $1640$  and  $1740\text{ cm}^{-1}$  region (CO-double bonds), which is an indication of oxidation during cycling.

It was not possible to analyse all DMPA samples by infrared spectroscopy due to the sticky behaviour acquired after thermal cycling. As it can be seen, the results obtained in the chemical stability analysis strongly agree with thermophysical analysis results.



**Figure 3.** FT-IR spectroscopy results: (a) Adipic acid; (b) Benzanilide; (c) HDPE; (d) Dimethyl terephthalate; (e) Dulcitol; (f) Urea.

## 5. General Discussion

Taking into account the thermophysical characterization from the cycling stability tests results, only dimethyl terephthalate, benzanilide, potassium thiocyanate, HDPE, benzamide, phthalic anhydride, and adipic acid showed a suitable stability after 100 cycles. However, benzamide and benzanilide presented remarkable differences between solidification and melting temperatures at lab scale. Therefore, these two candidates might be interesting to be tested at higher scale to study this phenomena since the difference between solidification and melting temperatures and subcooling of PCM are mass dependent [17]. From this first filter, and taking into account the chemical characterization, it was seen that adipic acid showed the same chemical spectra after cycling tests, while HDPE, potassium thiocyanate, and dimethyl terephthalate do not experiment important chemical degradation but the appearance or disappearance of some peaks. Moreover, from the health hazard point of view, potassium thiocyanate should be disregarded due to its high

hazard value according to the methodology explained. Adipic acid and dimethyl terephthalate present a low health hazard value whereas HDPE appears to be hazardless, being easy to handle and not presenting any hazardous GHS statement. Finally, bearing in mind the thermal stability tests, only HDPE and adipic acid would be suitable PCM for thermal processes working under partial loads operating conditions in the temperature range between 120 and 200 °C.

A summary of the results of the deep experimental characterization of the sixteen PCM in the temperature range between 120 and 200 °C is provided in Table 5.

**Table 5.** Summary of the characterization of the sixteen PCM under study

Material	Health hazard	Cycling stability (after 100 cycles)		Thermal stability	Suitable material for partial loads applications
		Phase change enthalpy loss (%)	Chemical degradation <sup>a</sup>	Maximum thermal-stable temperature	
Benzoic acid	2	100	++	121	No
Benzamide	2	14	++	138	No
High density polyethylene (HDPE)	0	12	+	309	Yes
Sebacic acid	1	63	++	118	No
Phthalic anhydride	3	6	+	129	No
Maleic acid	3	**	++	141	No
Urea	2	**	++	148	No
Dimethyl terephthalate	1	2	+	128	No
D-mannitol	1	61	++	259	No
Adipic acid	1	7	-	203	Yes
Salicylic acid	2	**	++	133	No
Potassium thiocyanate	3	13	+	540	No
Hydroquinone	2	62	++	157	No
Benzanilide	1	2***	-	196	No
Dulcitol	1	100	++	293	No
2,2-Bis(hydroxymethyl) propionic acid (DMPA)	*	**	++	190	No

<sup>a</sup> Chemical degradation: - no degradation, + no remarkable degradation, ++ remarkable degradation

\* NFPA 704 health hazard division (blue) has not been found in the literature.

\*\* The material does not show phase change under analysis conditions.

\*\*\* The material presents remarkable differences between solidification and melting temperatures at lab scale

## 6. Conclusions

The selection of a PCM to be implemented in thermal processes working under partial loads operating conditions in a temperature range between 120–200 °C was successfully performed. Sixteen PCM were preselected for this study. The selection procedure followed a methodology which studied the health hazard, cycling stability and thermal stability of the PCM in the mentioned range. In this study, the cycling stability tests were performed at higher sample mass ( $150 \pm 20$  g) than in previous studies and under real atmospheric conditions which can hinder the PCM performance in some cases due to oxygen presence [11].

Considering the results obtained, adipic acid and high density polyethylene (HDPE) are suitable options. Both of them do not lose more than 12% of its thermal storage capacity after 100 cycles, do not show significant changes in their chemical structure and present low hazardous values, and their degradation starts at temperatures higher than 200 °C.

Commodity polymers such as HDPE are cheap plastics to produce. In an industrial application price is proved to be one of the most important aspects in the material selection process [10]. Based on price and health hazard, HDPE is the material selected for application between 120 and 200 °C. Further research on studying different partial loads profiles at pilot plant scale implementing HDPE will be performed.

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# Use of partial load operating conditions for latent thermal energy storage management

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## Abstract

A proper management of thermal energy storage (TES) charging and discharging processes allows the final users to optimize the performance of TES systems. In this paper, an experimental research is carried out to study how the percentage of charge in a latent heat TES system (partial load operating conditions) influences the discharge process. Several charging and discharging processes were performed at a constant heat transfer fluid (HTF) mass flow rate of 0.5 kg/s and temperature of 155 °C and 105 °C, respectively. High density polyethylene (HDPE) with a total mass of 99.5 kg was used as phase change material (PCM) in a 0.154 m<sup>3</sup> storage tank based on the shell-and-tube heat exchanger concept. Five different percentages of charge have been studied: 58 %, 73 %, 83 %, 92 %, and 97 % (baseline test). Results showed that by modifying the percentage of charge, the time required for the charging process was reduced between 97.2 % and 68.8 % in comparison to the baseline case. However, the energy accumulated was only reduced a maximum of 35.1 % and a minimum of 5.2 %, while the heat transfer rates during the first 60 minutes of discharge were reduced a maximum of 45.8 % and a minimum of 6 %. Therefore, partially charging the TES system not lower than 85% of its maximum energy capacity becomes a good option if the final application accepts a maximum decrease of discharging heat transfer rates of 10% if compared to the fully charged system.

*Keywords:* Thermal energy storage; Latent heat; Phase change material; Partial load; Thermal management.

## Nomenclature

$C_p$	Specific heat, J/kg·°C
$E$	Energy, J
$m$	Mass, kg
$\dot{m}$	Mass flow rate, kg/s
$R$	Function which depends on the measured parameters
$t$	Time, s
$T$	Temperature, °C
$w$	Uncertainties which are associated to the independent parameters
$W$	Estimated uncertainty in the final result, value-dependent
$x$	Independent measured parameters

### *Greek symbols*

$\Delta h(T)$	Enthalpy variation (sensible and latent), kJ/kg
$\Delta T$	Temperature variation, °C

### *Subscripts*

$i$	Instant
$in$	Inlet
$max$	Maximum
$n$	Control volume
$out$	Outlet
$pr$	Process

### *Abbreviations*

DSC	Differential scanning calorimeter
HDPE	High density polyethylene
HTF	Heat transfer fluid
HTR	Heat transfer rate
PCM	Phase change material
RAE	Ratio of accumulated energy
TES	Thermal energy storage
TGA	Thermogravimetry analysis

## 1 Introduction

Storage technologies, such as thermal energy storage (TES) technologies, have become an indispensable component at any installation coupled to a renewable energy system since they help overcoming the dependence on the weather conditions and the mismatch between energy demands and supplies [1]. A TES cycling process consists of storing the energy when it is available or cheap, but not needed, to further release it when it is demanded and not available or more expensive, with the aim of increasing the efficiency of the thermal process. There are some energy supply sources which are known to be intermittent (i.e. solar energy and industrial waste heat recovery systems) which they might not give a continuous energy supply. Furthermore, if the energy source is able to provide a continuous heat supply, the periodicity of the charge can be adjusted depending on the final demand and the tank design, which is normally not optimized. All these conditions are known as partial load operating conditions and might lead to a TES material which is partially charged and, as a consequence, affect the TES discharging process, especially if the TES material is a phase change material (PCM).

Some of the research work done to study the effect of partial load operating conditions was focused on numerically studying and optimizing the size of sensible cold storage systems for cooling purposes by comparing full storage and partial storage strategies to conventional systems [2-7]. According to Dincer [8], the full storage strategy shifts the entire peak cooling load to off-peak hours, while the partial load strategy is used to either level the load or limit the demand, since the cooling load is partially met by the cooling source and partially met by the storage system. Sebzali et al. [2] studied the effects of using partial and full loads strategies on the TES and chiller size, the reduction of electrical peak demand and the reduction of the energy consumption of a chiller for a clinic building in Kuwait. They found that full storage operation allows larger electrical peak reduction and chiller and storage capacities, while it presents the higher energy consumption. Rahman et al. [3] analysed partial and full TES storage scenarios in a subtropical climate building. Results showed that in both cases more than 50 % of the cooling electricity cost was saved when compared with the conventional system. Macphee and Dincer [4] studied the effect of partial and full storage strategies in the energy and exergy efficiencies of four different types of ice storage techniques for space cooling. They found that both efficiencies were always lower in partial storage systems. Hasnain et al. [5] showed that incorporating partial ice storage systems in Saudi Arabian office buildings reduced the peak electrical power demand and peak cooling load in the up to 20% and up to 40%, respectively. Similarly, Habeebullah [6] performed this analysis for a Saudi Arabian mosque. The author concluded that partial load operating strategies were not economically attractive if compared to full load or conventional systems. Boonnasa and Namprakai [7] performed an optimization of

the payback period for a full load and three different partial load scenarios. They found that partial load scenarios show good economic results as well as manageability and flexibility.

On the other side, literature review showed that some research was also performed to study the influence of partial load operating conditions in latent heat TES systems [9-20]. It is known that when a PCM goes through consecutive melting and solidification processes, it might show specific effects such as hysteresis and/or subcooling. Hence, it might follow different enthalpy-temperature curves for each process (Figure 1a). These effects bring new challenges when numerical models need to evaluate the transition between heating and cooling in TES systems working under partial load operating conditions, since the PCM might have not completely undergone phase change when the following process starts. Four methodologies were found in the literature defining how to address this transition. The first methodology, which was proposed by Bony and Citherlet [9], suggests switching from the heating to the cooling curves, or the other way around, with the same slope than the specific heat curve in the sensible region (points a-c-f in Figure 1b). The second methodology, which was proposed by Rose et al. [10], has the same principle than the first methodology but the transition takes place with no equivalent slope (points a-c'-f in Figure 1b). The third methodology, which was proposed by Chandrasekharan et al. [11], suggests staying at the same curve without considering the other curve (points a-d-f in Figure 1b). Finally, the fourth methodology was obtained in an experimental PCM-equipped wall by Delcroix [12]. He observed that the curve was placed between the cooling and heating curves at a distance and with a slope which depended on the TES system operating conditions (points a-b-e-f in Figure 1b). Palomba et al. [13] experimentally evaluated three short consecutive charging and discharging processes in a latent heat TES system for solar cooling purposes to study the effect of starting a new process without fully melting/solidifying the PCM. They observed that better results could be obtained with longer processes, mainly in the first discharge/charge. Chiu et al. [14] performed a techno-economic optimization of a mobile-TES system in which one of the parameters was the storage level with varying charge/discharge time. Results showed in a monthly base the optimal charge and discharge storage level which provided the maximum economic benefits. Nithyanandam et al. [15] and Zhao et al. [16] numerically analysed the behaviour of packed bed TES systems (with and without encapsulated PCM) under partial load operating conditions in a concentrating solar power plant. Results showed that when the TES system repeatedly worked under partial load conditions, the PCM phase change rate might be limited and the effective storage capacity might be decreased with time. Bedecarrats et al. [17,18] experimentally and numerically investigated the effect of partially discharge a TES system on the following charging process. They observed that the charge mode was relatively shorter and that the subcooling effect practically disappeared. Avignon and Kummert [19] experimentally analysed the effect of

partially charging and discharging a latent heat TES system. They observed that interrupting these processes before the PCM was completely melted or solidified had an effect on the phase change temperature and the degree of subcooling. This effect was also observed in differential scanning calorimeter (DSC) analyses performed by Li et al. [20]. Results showed that the enthalpy-temperature curves of partially melted/solidified PCM were no longer in agreement with the curves obtained in the complete phase change cycles.

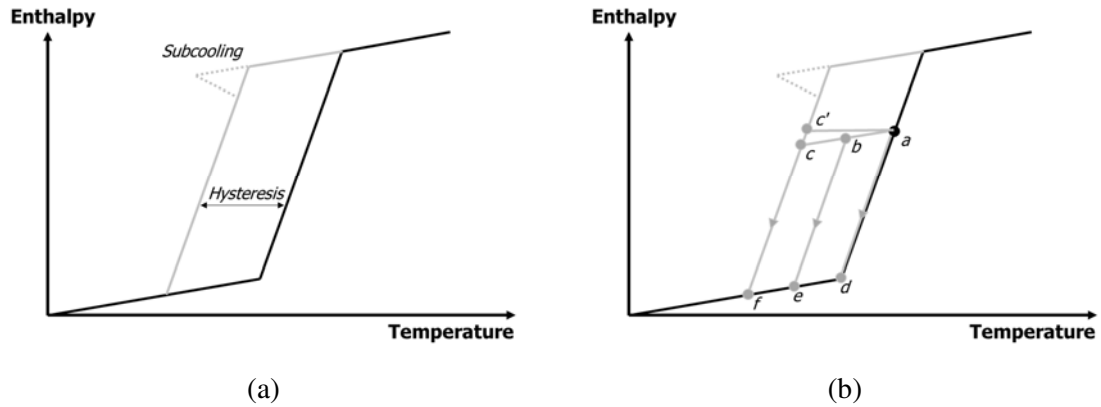


Figure 1. (a) Hysteresis and subcooling in a PCM melting and solidification process. (b) Scenarios for modelling the transition between heating and cooling in a partially melted PCM. Based on [19].

From the literature review, it was found that little research has been conducted in studying the effect of the partial load operating conditions in a latent heat TES system. Therefore, the aim of the present work is to contribute to fill this gap by experimentally studying and quantifying how the percentage of charge of a latent heat TES system above 100 °C influences its discharge behaviour. The results presented in this paper provide relevant experimental data to the scientific community which can help to support better sizing decisions, as well as to take into account the greater level of complexity when dealing with latent TES units, as the geometry and operation conditions play a very important role in the heat transfer rates during the melting-solidifying processes.

## 2 Material, experimental setup and methodology

### 2.1 Material

The PCM chosen for the present experimentation was high density polyethylene (HDPE) because of its good behaviour in terms of health hazard, thermal stability, and cycling stability [21]. DSC results showed that the melting process occurred between 124 °C and 134 °C, with the melting temperature (peak) at 127 °C, and that the solidification phase change was ranged

between 126 °C and 114 °C, with the solidification temperature (peak) at 119 °C (Figure 2). The methodology used to obtain the enthalpy-temperature and specific heat-temperature curves followed the standard presented in the IEA SHC Task 42 / ECES Annex 29 [22].

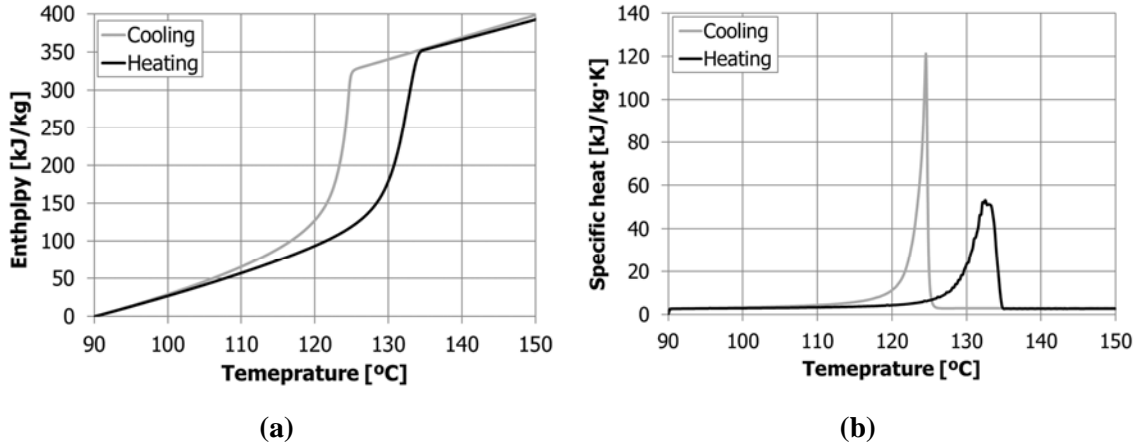


Figure 2. High density polyethylene enthalpy-temperature (a) and specific heat-temperature curves (b) following the PCM standard methodology [22].

## 2.2 Experimental setup

The experimentation presented in this paper was carried out at the pilot plant facility available at the University of Lleida, which is integrated by three main parts: the heating system, the cooling system, and the storage system. The heating system, which simulates the energy source during the charging process (i.e. solar field, waste heat stream...), consists of a 24 kW<sub>e</sub> electrical heater. The cooling system, which simulates the energy consumption, consists of a 20 kW<sub>th</sub> cross flow air-HTF heat exchanger. Finally, the storage system consists of a stainless steel 304 L storage tank based on the shell-and-tube heat exchanger concept. This design consists of a rectangular prism shaped vessel (0.53 x 0.27 x 1.27 m), where the PCM is located, with a tubes bundle inside containing 49 tubes distributed in square pitch and bended in U-shape, with an average length of 2.49 m (Figure 3a). The capacity of the storage tank is 0.154 m<sup>3</sup> and 99.5 kg of PCM are distributed as shown in Figure 1b: 79% of the PCM is located in the so-called main part, which surrounds the tubes bundle, 14% of the PCM is located in the central part, and finally the remaining 7% is located in the corners. Two Pt-100 1/5 DIN class B temperature sensors, located at the inlet and outlet of the HTF tubes bundle, were used to measure the HTF inlet and outlet temperature ( $T_{HTF,IN}$  and  $T_{HTF,OUT}$  in Figure 3d) and thirty-one Pt-100 1/5 DIN class B temperature sensors were installed in the storage tank to measure the PCM temperature. Nineteen of these sensors were located in the main part (from  $T_{PCM,1}$  to  $T_{PCM,15}$  in Figure 3c), six sensors in the corner part close to the U bend (from  $T_{c,1}$  to  $T_{c,6}$  in Figure 3d), and six in the central part (from  $T_{in,1}$  to  $T_{in,6}$  in Figure 3d). Each temperature sensor was associated to a control

volume, which is defined as the theoretical volume of PCM in which the value of the temperature sensor could be stated as representative. Six additional Pt-100 1/5 DIN class B were placed on the walls of the storage tank and of the insulation to evaluate the heat losses to the surroundings. The HTF flow rate was measured using a FUJI FCX-A2 V5 series transmitter. Temperature and flow rate sensors were connected to a data acquisition system, which controls, measures and records the information at a time interval of 60 s.

The three above-explained systems are linked through a stainless steel 304 L piping system which distributes the HTF (silicon fluid Syltherm 800) within a flow rate range between 0.3 and 3 m<sup>3</sup>/h. The piping system is insulated with rock wool in order to minimize the heat losses to the surroundings.

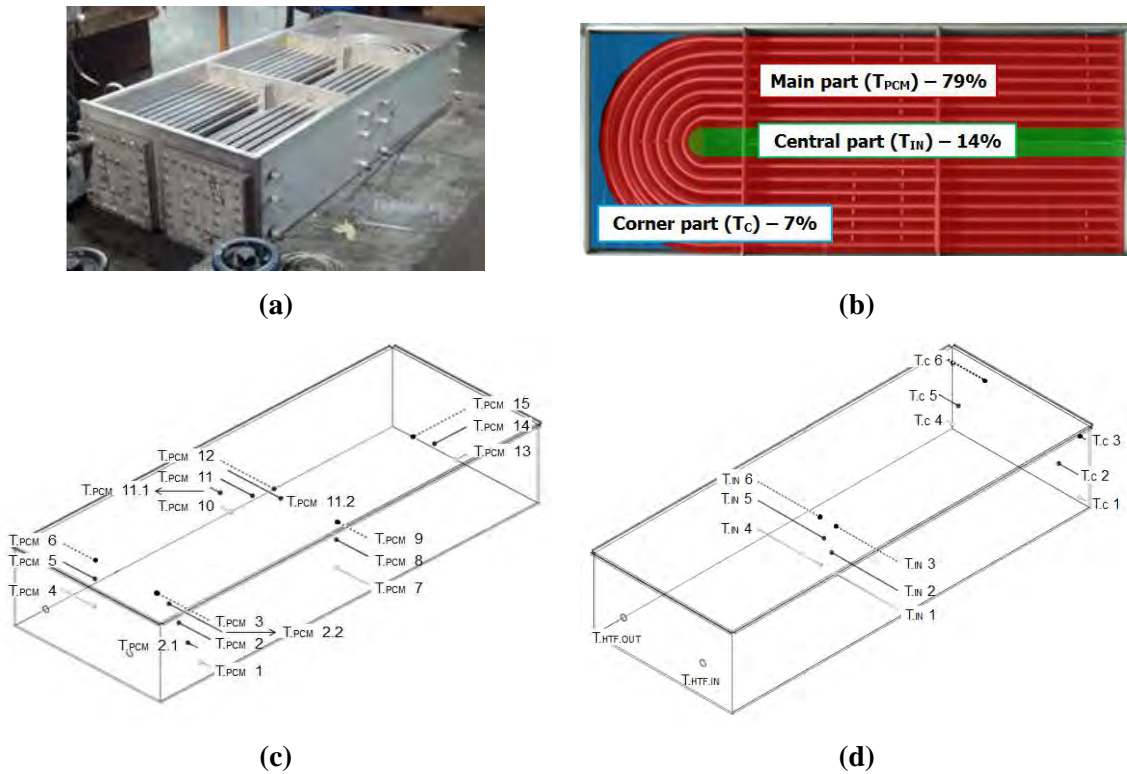


Figure 3. TES system used of the experimental setup: (a) Overview of the TES system; (b) PCM distribution within the TES system; (c) PCM temperature sensors of the main part; (d) Inlet and outlet HTF temperature sensors, and PCM temperature sensors of the corner and central parts.

### 2.3 Theory and calculation

This section presents the main equations used to describe the experimental results presented in the results and discussion section. The energy stored, or released, by the PCM during the



charging or discharging process, respectively, is calculated as given in Eq. 1. Since HDPE presents hysteresis, the methodology used to study the enthalpy variation under partial load operating conditions followed the one proposed by Chandrasekharan et al. [11], who suggests staying at the same curve without considering the other curve.

$$E_{PCM} = \sum_{n=1}^{27} \sum_{i=1}^{t_{pr}} m_{PCM,n} \cdot \Delta h(T)_{n,(i,i-1)} \quad (1)$$

The heat transfer rate of the HTF during the charging or discharging process is calculated using Eq. 2:

$$\dot{E}_{HTF} = \sum_{i=1}^{t_{pr}} \dot{m}_{HTF} \cdot C_{pHTF} \cdot (\Delta T_{HTF.in-out}) \quad (2)$$

Finally, the ratio of accumulated energy (RAE), which is the amount of energy accumulated in the PCM at a certain time interval in front of the theoretical maximum energy that can be stored by the PCM is calculated using Eq. 3. The theoretical maximum energy stored was obtained by multiplying the enthalpy variation of the PCM, whose values were acquired from the DSC enthalpy-temperature curves, by the total amount of material used in this experimentation, as described in Eq. 1. In this case, the theoretical maximum energy accumulated by 99.5 kg of HDPE within the temperature range of 105 °C and 155 °C is 10.08 kWh. Similarly, the maximum energy stored by the metal parts of the TES system is 1.29 kWh, which is obtained by multiplying their mass by the specific heat of the stainless steel, and by the temperature difference between the initial state and the final state.

$$RAE = \frac{E_{PCM.i}}{E_{PCM.max}} \quad (3)$$

## 2.4 Methodology

The experimentation presented in this paper consisted of five different charging and discharging tests with the aim of evaluating the effect of partially charging the PCM (partial load operating conditions) on the discharging process (see Table 1). All the processes were carried out using the same parameters, and at least five repetitions of each one were performed to ensure repeatability. Table 1 shows the operating conditions of the partial load charging processes, evaluated by the RAE, and the time needed to reach them. In order to determine the time needed

to reach the evaluated ratios, a 24-hour charging process was carried out. The time needed to reach each RAE was used to control the processes at the pilot plant facility.

Table 1. Operating conditions of the charging processes evaluated in this study

Process	RAE	Time needed to reach this ratio
Charge 1	$97 \pm 1\%$	$1440 \pm 5$ min
Charge 2	$92 \pm 1\%$	$450 \pm 3$ min
Charge 3	$83 \pm 1\%$	$150 \pm 1$ min
Charge 4	$73 \pm 1\%$	$70 \pm 1$ min
Charge 5	$58 \pm 1\%$	$41 \pm 1$ min

A summary of the flow rates and temperatures used in the experimentation is shown in Table 2. Due to the characteristics of the experimental facility, a homogenization process was required before starting each process, which lasted around 25 minutes for the charging process and 30 minutes for the discharging process. The objective was to ensure a uniformity and homogeneity at both the PCM and HTF initial temperatures shown in Table 2.

Table 2. Summary of the main parameters of the processes.

Process	HTF mass flow rate	HTF inlet temperature	PCM average initial temperature
	[kg/s]	[°C]	[°C]
Charge	$0.5 \pm 0.01$	$155 \pm 2$	$104 \pm 1.5$
Discharge	$0.5 \pm 0.01$	$105 \pm 2$	128.5 to 150 (depending on the RAE)

## 2.5 Uncertainty analysis

This section aims to show the uncertainties of the different parameters and their impact in the results of the present study to determine their precision and general validity. The first step was to establish the uncertainties of the parameters which were measured during the experimentation and the uncertainties associated to the thermophysical properties of the HTF and PCM. These values are shown in Table 3.

Table 3. Uncertainties of the different parameters involved in the analyses of the present study.

Parameter	Units	Sensor	Accuracy
Temperature	°C	Pt-100 1/5 DIN class B	± 0.3
Flow rate	l/h	FUJI FCX-A2 V5 series transmitter	± 23.7
HTF specific heat	kJ/kg·°C	From ref. [23]	± 0.054
HTF density	kg/m <sup>3</sup>	From ref. [23]	± 25.16
PCM mass	kg	Regular scale	± 0.5
PCM volume	m <sup>3</sup>	Storage tank designer	± 0.0024
PCM enthalpy	kJ/kg	Sensors from Mettler Toledo DSC-822e	± 3

Once the uncertainties of these parameters were known, the next step was to estimate the uncertainties of the HTF power and PCM accumulated energy, which were obtained as shown in Eq. 4 [24]. These uncertainties were calculated for each time interval registered.

$$W_R = \left[ \left( \frac{\partial R}{\partial x_1} \cdot w_{x_1} \right)^2 + \left( \frac{\partial R}{\partial x_2} \cdot w_{x_2} \right)^2 + \dots + \left( \frac{\partial R}{\partial x_n} \cdot w_{x_n} \right)^2 \right]^{1/2} \quad (4)$$

where  $W_R$  is the estimated uncertainty in the final result,  $R$  is a function which depends on the measured parameters,  $x_n$  are the independent measured parameters, and  $w_x$  are the uncertainties which are associated to the independent parameters.

Table 4 shows average uncertainty in HTF power and PCM accumulated energy of the different processes carried out.

Table 4. Estimated uncertainties of the HTF power and PCM accumulated energy.

		Uncertainty of the HTF power [± kW]	Uncertainty of the PCM accumulated energy [± kWh]
<b>RAE</b>	<b>97%</b>	0.36	0.064
	<b>92%</b>	0.38	0.063
	<b>83%</b>	0.44	0.042
	<b>73%</b>	0.44	0.042
	<b>58%</b>	0.48	0.049

### **3 Results and discussion**

#### **3.1 Repeatability**

Each charging and discharging process was repeated five times to demonstrate repeatability of the methodology and the experimental results. Figure 4 presents the temperature profiles of the HTF at the inlet and outlet of the TES system, and the temperature profiles of the PCM at three different locations (evaluated by the temperature sensors T.PCM.2, T.PCM.5, and T.PCM.14) during the charging and discharging processes for the case study referred to a RAE of 92%. Results from the repeatability tests show that the methodology adopted for the present experimentation produced repeatable values. Notice that only one case study is presented, however, the remaining four case studies also showed repeatability. Moreover, when the phase change temperature range is evaluated in both Figure 2 and Figure 4, slight differences can be observed. On one side, DSC results showed that melting was ranged between 124 °C and 134 °C while pilot plant results showed that melting occurred approximately between 127 °C and 136 °C. On the other side, DSC results showed that solidification took place between 126 °C and 114 °C, while pilot plant results showed that it was ranged between 127 °C and 124 °C. The reason for these differences was due to the different heating/cooling rates, different sample masses, which are known to have an influence on the PCM phase change behaviour [25].

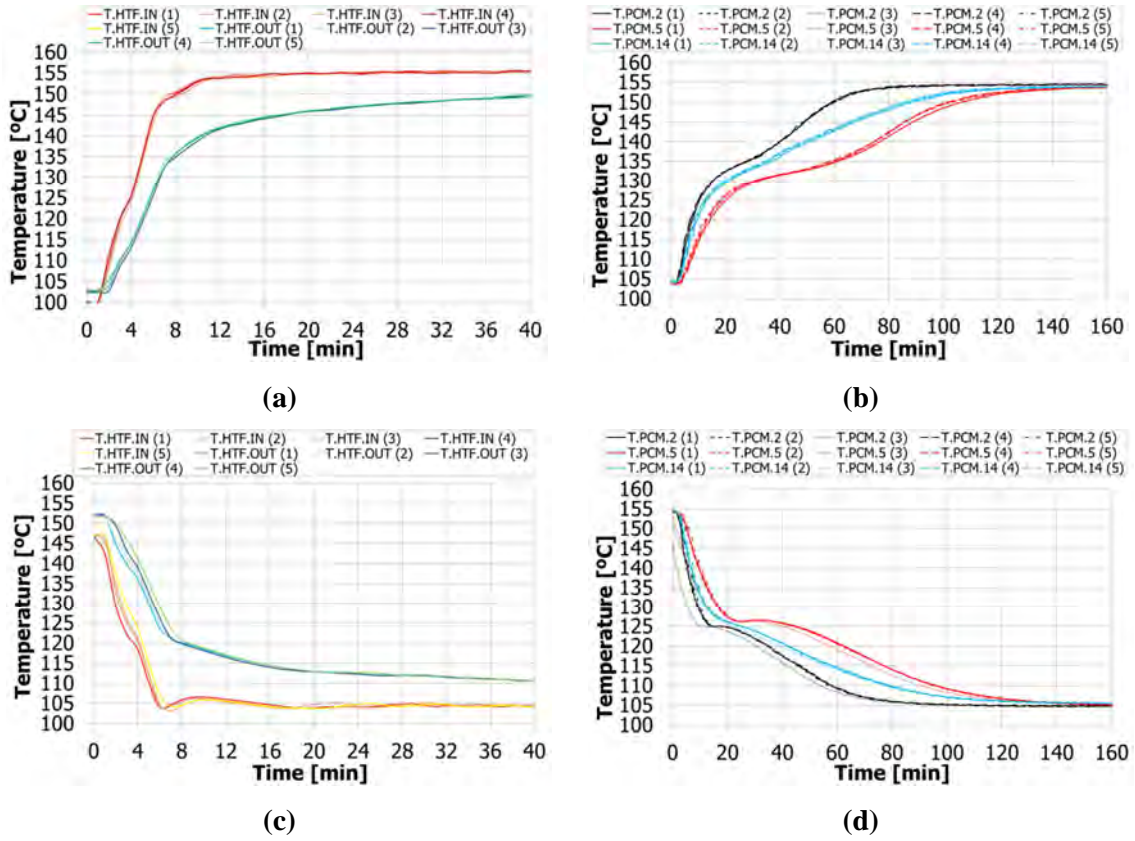


Figure 4. Repeatability tests for the study case of a RAE of 92%: (a) HTF inlet and outlet temperatures during the charging process; (b) PCM temperature at three different locations (evaluated by the temperature sensors T.PCM.2, T.PCM.5, and T.PCM.14) during the charging process; (c) HTF inlet and outlet temperatures during the discharging process; (d) PCM temperature at three different locations (evaluated by the temperature sensors T.PCM.2, T.PCM.5, and T.PCM.14) during the discharging process.

### 3.2 Charging process

Figure 5 shows the evolution of the time of charge (left axis) and the evolution of the average temperatures of the PCM at the main, corner, and central part of the TES system (right axis) for the different percentages of RAE. These results were used to determine the five case studies presented in this paper. Notice that the profile of the time showed a linear evolution until the RAE achieved the value of 55%. From this value to a value of RAE of 70%, the time profile also showed a linear evolution but with a different slope, which indicates that the time needed to increase the RAE is increased. Finally, from the value of RAE of 70% up to the maximum achievable RAE (in the present study this value was 97% after 24 h, which was limited because of the current geometrical design of the TES system and the existing heat losses), the time profile showed a non-linear evolution, since the efforts were focused on melting the PCM located on the corner part and on increasing the temperature of the already liquid PCM.

A more detailed profile time study is shown in Figure 6. This figure shows the time needed to increase 5% the value of the RAE during the charging process (notice that the primary and secondary y-axes are in a log-2 scale). It can be observed that for the first period (from 0% to 55%) the time needed was five minutes or less. Specifically, it can be seen that the time to store the initial 5% of energy was slightly higher than the time needed to increase the following 5% until a RAE of 55%. The reason is due to two factors. On one hand, during the first six minutes of charge, the heat transfer gradually increases from 0 to its maximum, as seen in Figure 7, and as a consequence, the energy transferred in this period is lower. On the other hand, part of this energy is absorbed initially by the metal of the tubes bundle and afterwards by the PCM located around it in terms of sensible energy. After the first 5%, it takes between two and four minutes to increase 5% until the ratio of energy accumulated (RAE) is 55%. On the other hand, in the second period (from 55% to 70%) the required time was at least nine minutes. Finally, the time needed to increase 5% the RAE in the third period (from 70% to 97%) increased exponentially. As explained above, the main reason for this behaviour is that when the RAE achieved the value of 70% the PCM located at the main and central parts was already melted. Therefore, the biggest amount of energy from the HTF was focused on increasing the temperature and melting the PCM located at the corner part. The existing distance between the corners and the HTF tubes bundle, and the low thermal gradient between the PCM and the HTF induced low heat transfer rates and a reduction in the power of energy absorbed by the PCM. The existence of this non-linear profile from the RAE of 70% shows the potential of using partial load operating conditions when a fast charging process needs to be performed, mainly because of the heating source availability.

If the different case studies are compared to the baseline case study (RAE 97%), different reductions on the energy accumulated at the end of the charging process and the time needed to reach these levels are observed. In the case study of RAE 92%, a reduction of 5.2% of the accumulated energy, which causes a time reduction of 68.8% on the charging period. This variation on the charging time is even increased in the other case studies. If the accumulated energy is reduced 14.4% (RAE 83%), 24.7% (RAE 73%), and 40.2% (RAE 58%), the period of time needed to reach these levels is reduced 89.6%, 95.1%, and 97.2%, respectively (Table 5).

Focusing again on the temperature profiles shown in Figure 5, it can be seen that the baseline study case (cross mark) shows always higher temperature in the three different regions than the other case studies as a result of the higher amount of accumulated energy. Looking at the PCM distribution in the TES system, it can be seen that the average temperature of the PCM located in the main part at the end of the charging process, in comparison to the baseline case study, has

a temperature variation which goes from 12.8% in the case of RAE 58% (round mark) to a difference of only 0.4% in the case of RAE 92% (rhombus mark). Observing the PCM located in the central part, the temperature variation compared to the baseline study case goes from the 19.8% in the case of RAE 58% to 3.5% in the case of RAE 92%. Finally, the PCM located in the corners of the TES system, shows a higher temperature variation, from 25.4% in the study case of RAE 58% to 6.5% in the case of RAE 92%. These results show that, if compared to the baseline study case, the PCM located at the main part presents a lower temperature variation than the PCM located in both the central and corner parts. The reason lies on the fact that this PCM surrounds the HTF tubes bundle and therefore it received first the heat released by the HTF and it increased faster its temperature. Moreover, Figure 5 shows that the PCM located in the corners of the TES system did not fully melt. This was mainly caused by a non-optimized design of the TES system, which creates dead zones. Hence, the effect of the heat losses was higher than the effect of the heat transfer from the HTF.

Figure 7 presents the evolution of the HTF heat transfer rate during the charging process of the five study cases. These profiles are limited to 180 minutes for a better visualization. As expected, the heat transfer rates are practically the same for the different studies until they are stopped, and the slight variations are due to the small variations on the initial conditions and mass flow rates. These profiles show an exponential behaviour with significantly higher values during the first 10 minutes of process, when the heat is mainly transferred to the metal tubes bundle, and therefore rapidly increases its temperature, and when the thermal gradient between the HTF and the PCM is maximum. Afterwards, while the PCM increases its temperature, the values of the heat transfer exponentially decrease until minimum values. At this moment, the heat transfer is focused on increasing the temperature of the PCM located at the corners and the central part of the tank.

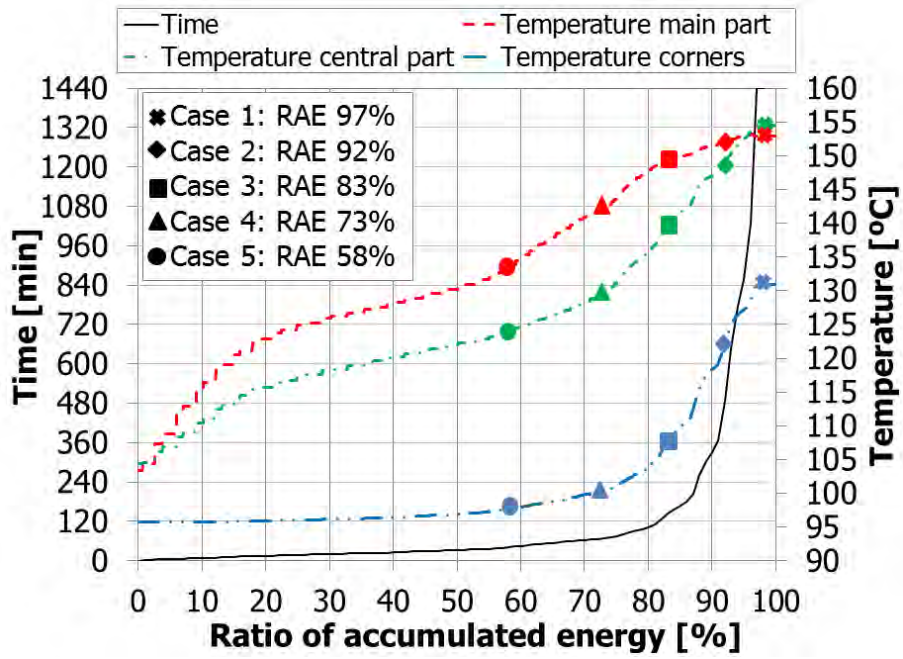


Figure 5. Evolution of the time during a charging process (left-y axis) and evolution of the temperature of the PCM in the main, central, and corner parts of the TES system (right-y axis) according to the ratio of accumulated energy (RAE) of the charging process.

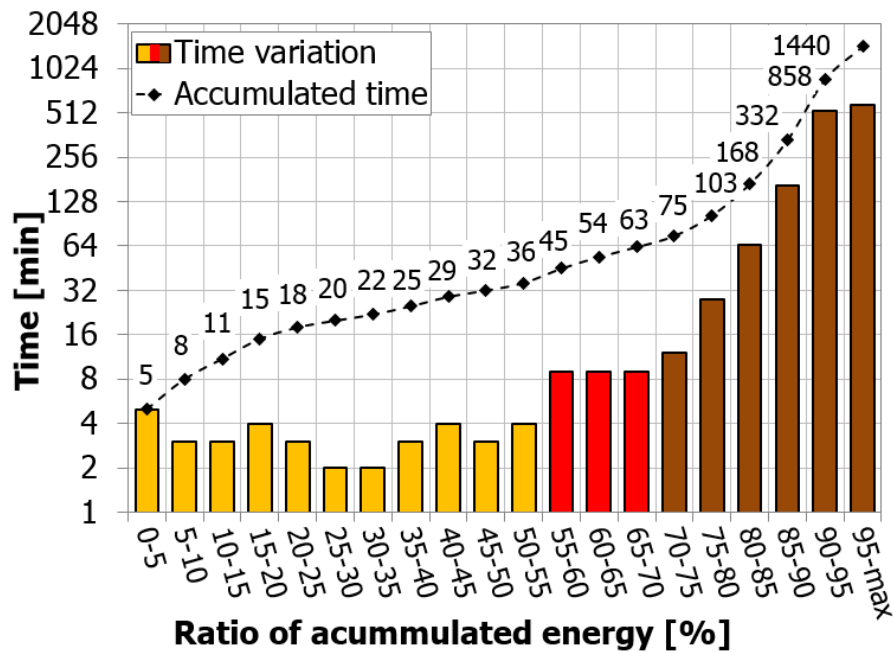


Figure 6. Time variation needed to increase 5% the energy accumulated in the studied TES system for a 24-hour charging process (bars) and accumulated time during this (line) the same charging process.



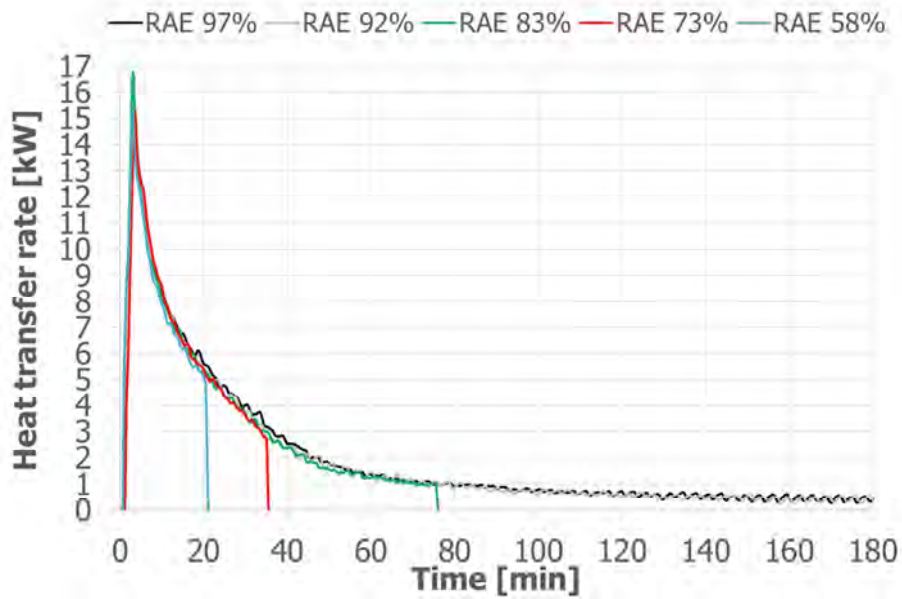


Figure 7. Evolution of the HTF heat transfer rate during the charging processes of the five study cases presented in this study.

### 3.3 Discharging process

In order to study the influence that the RAE during the charging process has on the discharging process, the authors decided to analyse the temperatures and heat transfer rates. Figure 8 shows the evolution of the PCM temperature during the discharging process at the three characteristic locations of the storage tank, as well as the weighted average temperature of the whole PCM, for the five case studies. For the whole discharging processes, higher temperatures were observed for the study cases with a higher RAE, no matter where the PCM was located. However, an interesting phenomenon could be observed in the PCM located at the corners (Figure 8d). During the first 40-90 minutes of the discharging process, depending on the RAE during the charging process, the average temperature of the PCM slightly increased since the energy transferred from the PCM located at the main part was higher than the energy lost through the walls. After this period, the PCM temperature started to decrease for opposite reasoning.

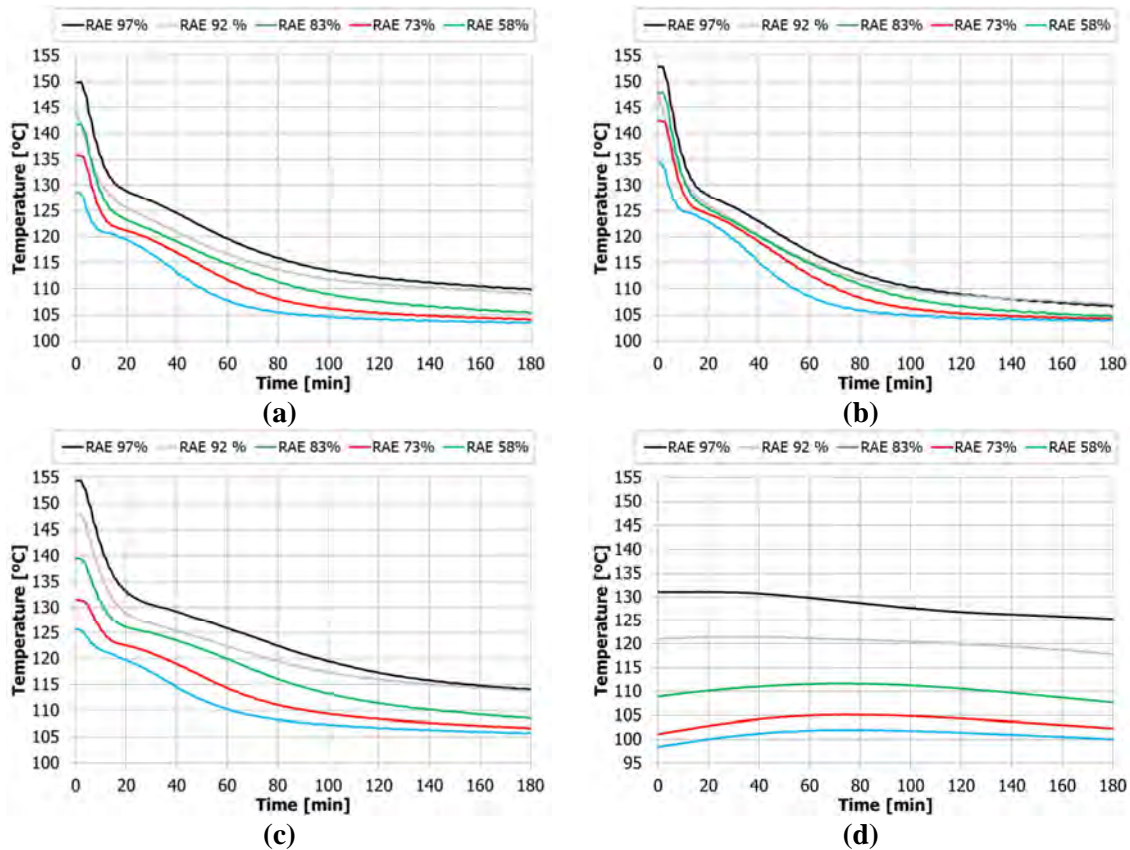


Figure 8. Evolution of the weighted average PCM during the discharging process: (a) All control volumes; (b) Control volumes located at the main part; (c) Control volumes located at the central part; (d) Control volumes located at the corners.

The evolution of the HTF temperature at the outlet of the HTF tubes bundle for the different RAE is presented in Figure 9. Notice that only the first 180 minutes are presented for a better comprehension of the readers since the values for longer periods of time present an asymptotic trend. Results in Figure 9 show that the HTF outlet temperature during the discharging process is clearly affected by the percentage of charge. It is observed that the outlet HTF temperature decreased as the RAE during the charge decreased and the variations in comparison to the baseline study case were higher when the RAE during the charge were 73% and 58%. Due to the fact that both the inlet HTF temperature and mass flow rate were kept almost constant along the different discharging processes, the heat transfer rates were also affected similarly than the outlet HTF temperature as shown in Figure 10. Figure 11 goes a step further and numerically quantifies the average values of the heat transfer rates every 30 min from the beginning to the minute 180. Results show that during the first 30 minutes the heat transfer rates of all the case studies, except for the case study RAE 58%, presented a variation, compared to the baseline case, lower than 10% (Table 5). During the next 30 minutes only the RAE 92% and RAE 83% kept average heat transfer rates with a variation, compared to the baseline case, lower than 10%. From this period on, all the case studies presented variations higher than 10%. Therefore, it

can be concluded that if the TES system is required to supply thermal energy for a short period of time (i.e. discharging process of less than 60 min) the penalization of working under partial load operating conditions during the charging process are widely overcome by the charge time reductions, mainly in the cases of RAE 92% and 83%. Finally, a comparison of the heat transfer rates during the discharging process for the five case studies when the PCM accumulated energy reached the same value than the energy stored at the beginning of the study case of RAE 58% is presented in Figure 12. This state was achieved after 34 minutes (RAE 97%), 22 minutes (RAE 92%), 20 minutes (RAE 83%), and 14 minutes (RAE 73%) of starting their respectively discharging processes. It can be observed that the heat fluxes are not the same, which indicates the influence of the PCM temperature distribution within the TES system on the heat transfer rates during the discharging process. The PCM was already solidified around the tubes bundle in the case of RAE 97%, 92%, 83%, and 73% since the weighted average temperature in all cases was around 125 °C. However, in the case study of RAE 58%, the PCM around the tubes bundle was still liquid since it was the beginning of the process. As a consequence, the driving force between the PCM and the HTF, and the thermal resistance around the tubes bundle greatly affected the heat transfer rates.

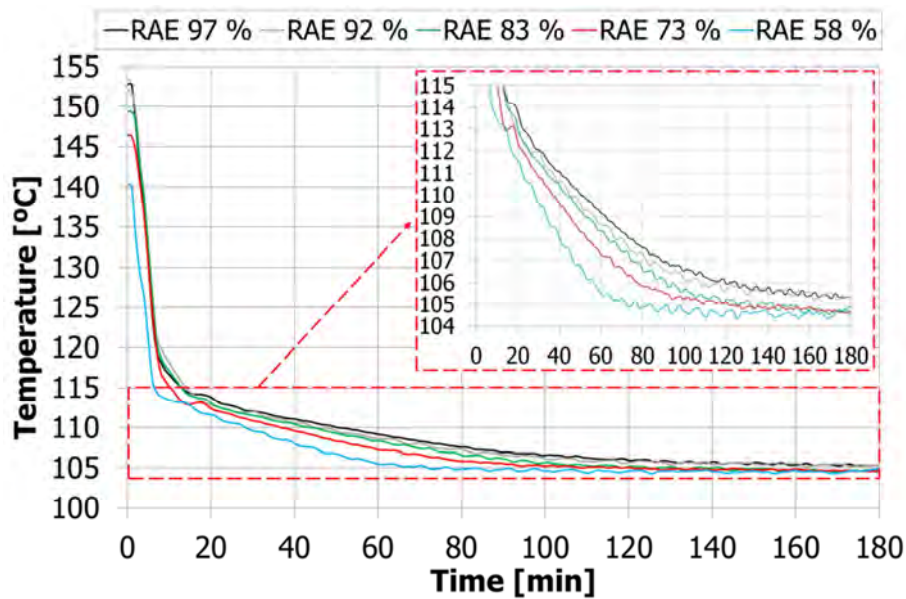


Figure 9. Evolution of the outlet HTF temperature during the discharging processes of the five study cases presented in this study.

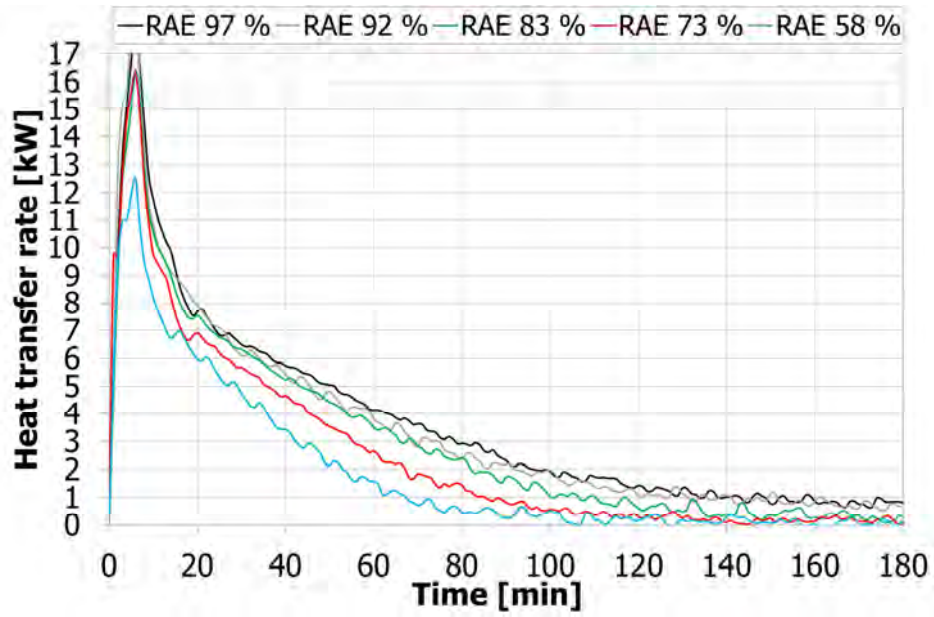


Figure 10. Evolution of the HTF heat transfer rate during the discharging processes of the five study cases presented in this study.

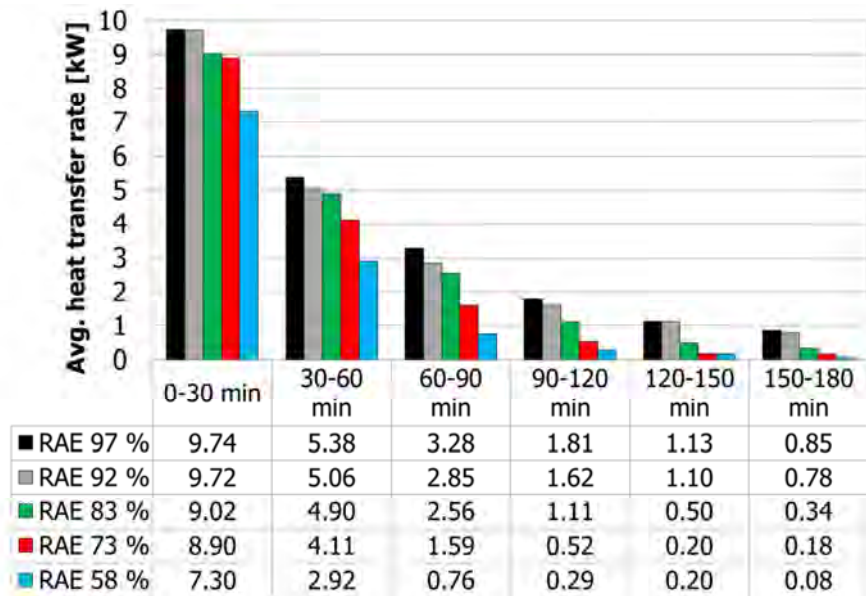


Figure 11. Evolution every 30 min of the averaged HTF heat transfer rate during the discharging processes of the five study cases presented in this study.

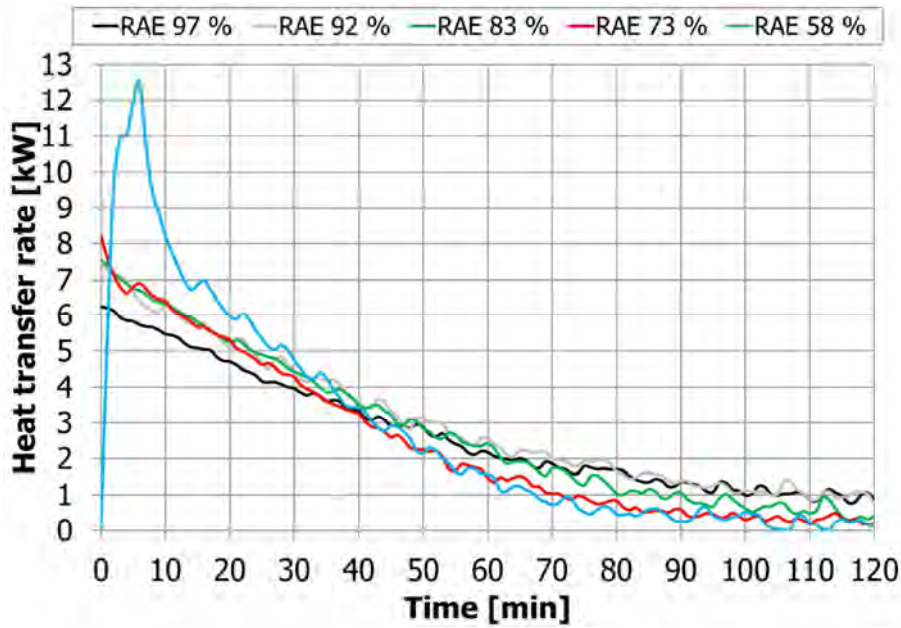


Figure 12. Evolution of the HTF heat transfer rate during the discharging processes of the five study cases when the accumulated energy is 58%. Notice that 0 in the “x” axis means the moment when the different study cases achieved this value, and not the beginning of the discharging process.

Table 5. Summary of the variation of the main results discussed in the present paper compared to the baseline case (RAE 97 %).

		Charge		Discharge					
		$\Delta$ RAE	$\Delta$ Process time	$\Delta$ Average HTR* 0-30 min	$\Delta$ Average HTR 30-60 min	$\Delta$ Average HTR 60-90 min	$\Delta$ Average HTR 90-120 min	$\Delta$ Average HTR 120-150 min	$\Delta$ Average HTR 150-180 min
<b>RAE</b>	<b>97 %</b>	-	-	-	-	-	-	-	-
	<b>92 %</b>	5.2 %	68.8 %	0.3%	6.0%	13.3%	10.7%	2.7%	7.9%
	<b>83 %</b>	14.4 %	89.6 %	7.4%	9.0%	22.1%	38.5%	55.8%	59.8%
	<b>73 %</b>	24.7 %	95.1 %	8.7%	23.7%	51.5%	71.3%	82.3%	78.4%
	<b>58 %</b>	40.2 %	97.2 %	25.0%	45.8%	76.8%	84.2%	82.3%	91.0%

\*HTR: Heat transfer rate

## 4 Conclusions

The work presented in this paper studies how the fact of partially charging a latent heat TES system influences the discharging process (partial load operating conditions). Five different percentages of charge were studied and discussed, which were determined with a 24-hour charging process. The parameter ratio of accumulated energy (RAE) was defined to evaluate the partial load charging processes. This ratio defines the PCM accumulated energy at a certain time interval in front of the theoretical maximum energy stored by the PCM. The five case studies were RAE 97 %, RAE 92 %, RAE 83 %, RAE 73 %, and RAE 58 %, being the first one the baseline case.

The experimental results showed that during the charging process, the evolution of the time according to the RAE presented three different profiles. From the beginning to a RAE of 55%, the profile of the time showed a linear evolution. After this value to a RAE of 70%, the time profile also showed a linear evolution but with a different slope. Finally, from the value of RAE of 70% up to the maximum achievable RAE (97%) the time profile showed a non-linear evolution. Moreover, it was observed that in comparisons to the baseline case, by reducing the RAE between 5.2% and 40.2%, the charging process time could be reduced between 68.8 % and 97.2%. Therefore, in terms of time, working under partial load operating conditions is beneficial. However, during the discharging process partial load operating conditions penalize the heat transfer rate. Before the first hour of discharge, only the case studies of RAE 92% and 83% showed heat transfer rates values which were 10% lower than the baseline case, and after the first hour all the case studies present a reduction on the heat transfer rates in comparison to the baseline case higher than 10%. Therefore, if the TES system works under partial load operating conditions during the charging process for a RAE higher than 83%, it is able to supply heat transfer rates during the first 60 min of the discharging process with variations lower than 10% compared to the baseline case, but with significant time reductions in the charging process of more than 89%.

Finally, it has to be pointed out that the results presented in this paper are strongly affected by the particular geometry of the system, which presents two dead zones (at the corners) that cannot be effectively used. Different geometries, with different distribution of tubes or different container shapes, could yield different results.

## Acknowledgements

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# **Influence of the storage period between charge and discharge in a latent heat thermal energy storage system working under partial load operating conditions**

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## **Abstract**

The supply intermittency of energy sources like solar energy or industrial waste heat should be properly addressed when studying latent heat thermal energy storage (TES) systems, since it might cause an incomplete melting/solidification of phase change materials (PCM). In the present paper, an experimental study was performed to analyse the storage period (also known as stand-by period) in a latent heat TES system working under partial load operating conditions and the effect of its duration on the subsequent discharging process. In the experimental set-up, 99.5 kg of high density polyethylene (HDPE) was used as PCM in a 0.154 m<sup>3</sup> storage tank based on the shell-and-tube heat exchanger concept. Four different percentages of charge were evaluated: 58%, 73%, 83% (partial charge), and 97% (full charge). Each charging level was followed by three different periods of storage: 25 min, 60 min, and 120 min. The fact of working at different levels of charge caused that in some regions of the TES system the PCM was not completely melted. Thus, at the end of the charging process different levels of thermal homogenisation were observed. However, during the storage period, the PCM temperature showed a tendency to homogenisation, which was influenced by the energy distribution within the PCM, the heat losses, and the duration of the storage period. Focusing on the discharging period, it was observed that the duration of the storage period slightly affected the temperature and heat transfer profiles, causing the main differences of performance during the first 30 min of process.

*Keywords:* Thermal energy storage; Phase change material; Partial loads; Incomplete melting; Storage period; Stand-by period.

## Nomenclature

$C_p$	Specific heat, J/kg·K
$E$	Energy, J or kWh
$h$	Enthalpy, J/kg or kWh/kg
$m$	Mass, kg
$\dot{m}$	Mass flow rate, kg/s
$R$	Function that depends on the measured parameters
$t$	Time, s
$T$	Temperature, °C
$V$	Volume, m <sup>3</sup>
$w$	Uncertainties associated to the independent parameters
$W$	Estimated uncertainty in the final result
$x$	Independent measured variables
$\rho$	Density, kg/m <sup>3</sup>
$\lambda$	Thermal conductivity, W/m·K

## *Subscripts*

$c$	Corner
$ch$	Charge
$dch$	Discharge
$fs$	Final time of the storage process
$i$	Instant
$in$	Central part of the storage tank when it refers to the PCM Inlet of the storage tank when it refers to the HTF
$is$	Initial time of the storage process
$ins$	Insulation
$loss$	Losses
$m$	Melting
$n$	Control volume
$out$	Outlet of the storage tank
$pr$	Process
$s$	Solidification
$st$	Storage
$tank$	Metal parts of the storage tank
$sub$	Subcooling

*Abbreviations*

DSC	Differential scanning calorimeter
HDPE	High density polyethylene
HTF	Heat transfer fluid
HTR	Heat transfer rate
MF	Melt fraction
PCM	Phase change material
RAE	Ratio of accumulated energy
TES	Thermal energy storage

## 1 Introduction

Reducing greenhouse gases emissions and therefore, fighting against climate change, which is responsible of several catastrophes around the world, implies shifting towards a more sustainable society. This means that households, industries, governments, etc. must find an equilibrium between economic prosperity, technological competitiveness, and environment benefits. Two of the easiest actions which can be carried out to achieve such equilibrium are the deployment of renewable energies and the reuse of wastes. From the thermal energy point of view, the main targets for this deployment are solar energy and industrial waste heat (IWH) use, due to their high availability and energy potential [1,2]. However, they have a major drawback which limits their harnessing, the intermittency in their thermal energy supply. As a consequence, mismatches between energy supply and demand might occur and therefore, the thermal process associated to them might be forced to work under partial load operating conditions.

Thermal energy storage (TES) is a key technology that can address the intermittency of both solar energy and IWH and thus, helping to the reliability of the system. Moreover, TES allows increasing the facility generation capacity by taking advantage of the thermal energy excess during low-demand periods, and increasing the versatility of the system by levelling peak load demand periods and achieving off-peak consumption [3]. The basic principle of TES is the storage of energy from a heat supply to be further used by a heat sink, usually through an intermediate heat transfer fluid (HTF). A full TES cycle involves the processes of charge, storage, and discharge. Thus, the heat obtained from the TES system during the discharging process not only depends on how the energy was supplied into the system during the charging process, but also depends on the behaviour of the TES system during the storage process. Among the different TES technologies, latent heat TES is considered one of the most promising due to its high energy density [4]. For example, during the phase change of 1 kg of ice, about 334 kJ of energy can be stored. On the contrary, if the same amount of energy is required to be stored in the sensible form, the water storage system would require a temperature gradient of 79 °C, which can cause problems with heat losses, stratification control, etc. [5]. Several studies were carried out in order to optimize and maximize the operation of latent heat TES systems, focusing on evaluating the influence of the HTF mass flow rate, HTF inlet temperature, PCM melting temperature, number of PCM in multiple PCM configurations, PCM effective thermal conductivity, TES system dimension, sensible heating, and subcooling [6]. However, the gross majority of those studies did not take into account two important considerations. On one hand, the storage period between the charging and discharging processes, since these studies are mainly focused on the charge and discharge, either as separate processes or as continuous

processes [7,8]. On the other hand, working under partial load operating conditions, which might lead the PCM to be partially charged/discharged and, as a consequence, to not fully undergo phase change [9]. Thus, the aim of this paper is to study if the variation of the duration of the storage period (also known as stand-by period) in a latent heat TES system which has been previously partially or totally charged has any influence on the subsequent discharging process.

For the storage process it is important to be properly evaluated since the thermal distribution at the end of the preceding process (either charge or discharge) may be different than the thermal distribution at the beginning of the subsequent process, especially in partially charged/discharged latent TES systems. To the best of the authors knowledge, only four studies did an attempt to evaluate the storage process after interrupting a charging/discharging process. Toksoy and Ilken [10] observed, with a mathematical model, that in a storage process which followed an interrupted freezing process, the phase change process continued until the temperature distribution in the whole system became uniform. Similarly, Bejarano et al. [11] performed a series of partial charging/discharging operations with a short storage period. Results showed that during the storage period the PCM continued storing/releasing energy because of the thermal inertia of the intermediate fluid. Hence, it is important that both, the temperature distribution due to the temperature gradient within the TES material and the heat losses to the surroundings, are properly taken into account during the storage period. Following this idea, Jegadheeswaran et al. [12] stated that the storage period required in a TES system has a high influence on its final thermo-economic analysis results. Another study carried out by Hirano [13] evaluated the behaviour of a latent heat TES system, after being fully charged, under three different storage periods (36 h, 7 days, and 2 months). They observed that after two-month-period storage, about 40% of the input energy was lost and 10% of it was due to the cooling operation for nucleation. Moreover, they observed that the temperature of the storage tank showed a tendency to homogenise to the ambient temperature, as a result of the heat losses. It has to be mentioned that most of the research which studied the temperature distribution and heat losses within a TES system were mainly focused on sensible TES materials, such as packed beds [14], water [15] or molten salts [17].

As mentioned above, partial load operating conditions are very likely to happen in real thermal processes where PCMs are used and, therefore, incomplete melting and/or freezing cases may still occur. This is even more sensitive in PCM which present specific effects such as hysteresis and/or subcooling [17,18]. There is currently no consensus on how to address in numerical models the transition between heating and cooling in TES systems working under partial load operating conditions from the enthalpy-temperature curve point of view. Four different

methodologies are currently available in the literature defining how to model such transition in a partially melted/solidified PCM [19-22], highlighting the need for experimental studies which shed light on the matter and define the proper methodology of modelling. The first [19] and second [20] methodologies state that one can change from one curve to the other. The only difference is the slope of the change, either parallel to the curve in the sensible region [19] or without [20]. The third methodology [21] suggests to remain in the same curve. Finally, the fourth methodology [22] states that the curve is placed between the cooling and heating curves. Differential scanning calorimeter (DSC) analyses carried out by Li et al. [23] showed that the peak in melting process gradually decreased in incomplete phase change processes, and that the relationship of heat flow with temperature also showed a different behaviour. Bedecarrats et al. [24] and Avignon and Kummert [25] experimentally observed that interrupting heating/cooling processes before the PCM was completely melted or solidified also had an effect on the phase change temperature and on the degree of subcooling. Palomba et al. [26] noticed that the level of charge, or discharge, in incomplete phase change processes influenced their subsequent processes. Finally, in a previous study carried out by Gasia et al. [27], five percentage of charge (58%, 73%, 83%, 92%, and 97%) and their influence on the discharging process were evaluated in a latent heat TES system. Results from the experimentation showed that partially charging the TES system above 85% of its maximum energy capacity becomes a good option if the final application accepts a maximum decrease of discharging heat transfer rates of 10% if compared to the fully charged system.

From the literature review, it is observed that there is still a lack of experimental results which evaluate the effect of the storage period duration in cyclic processes working under partial load operating conditions. Therefore, continuing the previous work [27], this paper analyses the influence that the duration of different storage periods (25 min, 60 min, and 120 min) after partially charged (charging levels of 58%, 73%, and 83%) and fully charged processes has on the same storage periods and on their subsequent discharging processes.

## **2 Materials and method**

### **2.1 Materials**

High density polyethylene (HDPE) was the PCM used in this experimentation. Table 1 and Figure 1 summarize the main thermophysical properties of HDPE. Moreover, pilot plant analyses carried out in a previous study by Gasia et al. [27] showed that the melting process occurred between 127 °C and 136 °C and that the solidification occurred between 127 °C and 124 °C [27], in accordance to what it was observed in Rathgeber et al. [31].

Table 1. Summary of the main thermo-physical properties of HDPE.

Parameter		Value		Ref.
Melting temperature	$T_m$	124 – 134 °C		[27]
Solidification temperature	$T_s$	126 – 124 °C		[27]
Melting enthalpy	$\Delta H_m$	137.8 kJ/kg		[27]
Solidification enthalpy	$\Delta H_s$	130.7 kJ/kg		[27]
Specific heat	$C_p$	@100 °C	2.4 – 2.8 kJ/kg·K	[27-29]
		@150 °C	2.3 – 2.7 kJ/kg·K	[27-29]
Density	$\rho$	@100 °C	990 kg/m <sup>3</sup>	[30]
		@150 °C	786 kg/m <sup>3</sup>	[27]
Thermal conductivity	$\lambda$	@100 °C	0.35 – 0.38 W/m·K	[28,29]
		@150 °C	0.19 W/m·K	[28]
Subcooling	$\Delta T_{sub}$	No subcooling was detected		[27]

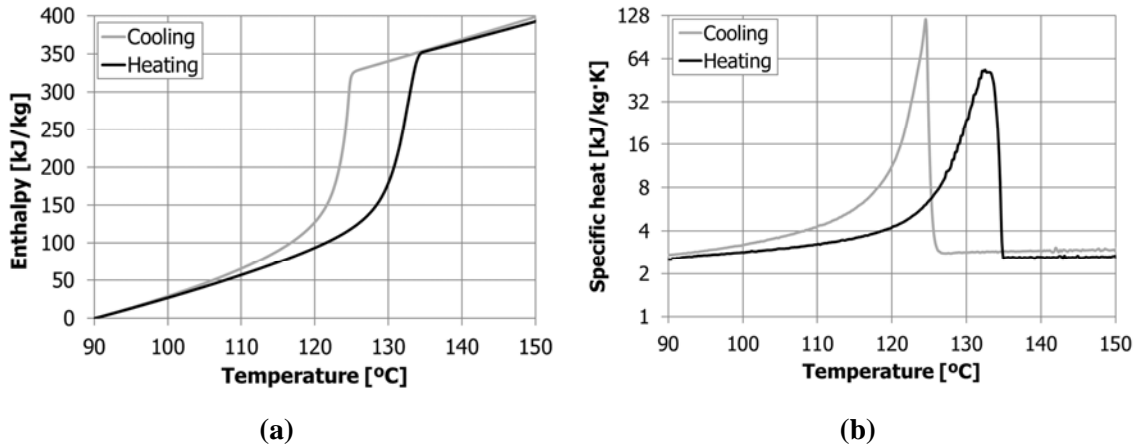


Figure 1. High density polyethylene enthalpy-temperature (a) and specific heat-temperature curves (b) [27].

## 2.2 Experimental set-up

This study was performed at the pilot plant facility available at the University of Lleida, whose high versatility allows testing and characterizing sensible and latent heat TES systems up to 400 °C [27,32]. The storage system was a 0.154 m<sup>3</sup> tank based on the shell-and-tube exchanger concept (Figure 2a) whose lateral walls and cover were insulated with 240 mm of rock wool (90.4 kg) and whose bottom region was insulated with 450 mm of foam glass (91.4 kg). It contained 99.5 kg of HDPE distributed within the tank in three representative regions as shown in Figure 2b: 79% of the PCM was placed around the tubes bundle (main part), 14% of the PCM



was placed in the region between the tubes bundle (central part), and the remaining 7% was located in the corners. With the aim of monitoring the behaviour of the latent heat system several Pt-100 1/5 DIN class B temperature sensors were installed. Thirty-one of them were installed in the three representative regions of the storage tank (Figure 2c and Figure 2d). Each sensor was associated to a PCM control volume, which allowed a better monitoring and analysis of the PCM behaviour. Two temperature sensors were located at the inlet and outlet of the HTF tubes bundle to measure the HTF temperature (Figure 2d). Finally, six temperature sensors were placed on the walls of the storage tank and on the walls of the insulation to evaluate the energy accumulated by the tank and by the insulation, as well as to evaluate the heat losses to the surroundings.

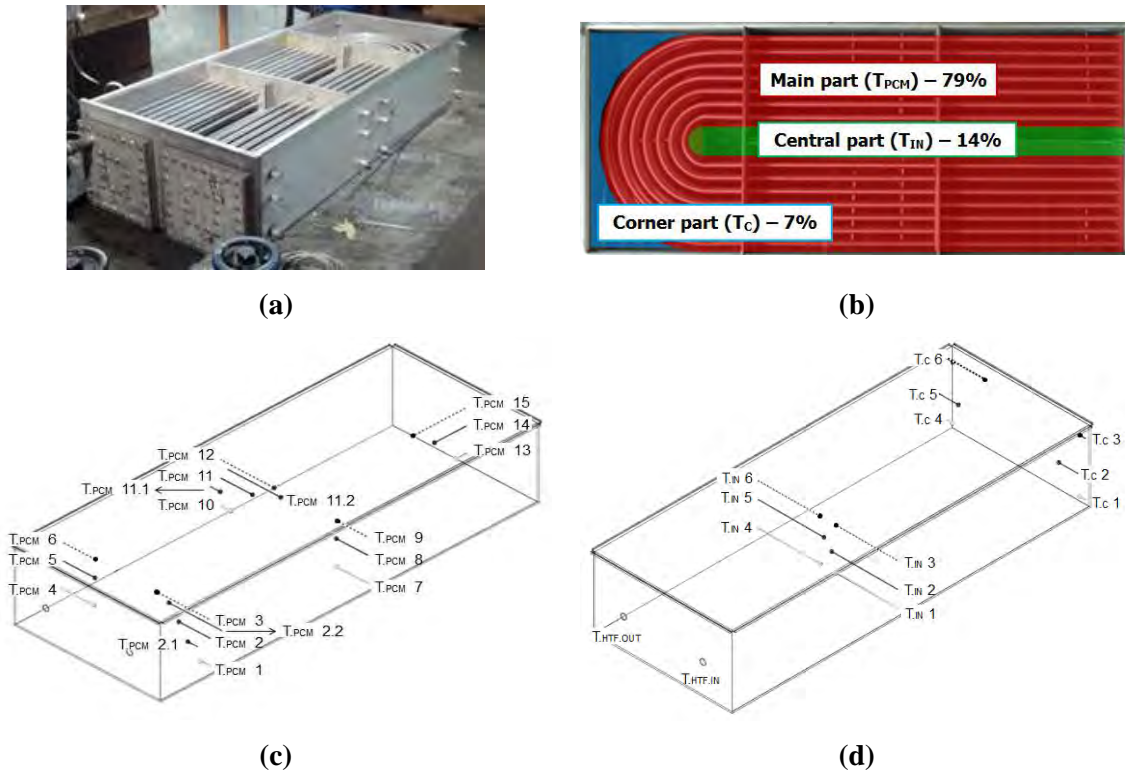


Figure 2. TES system used in the experimental setup: (a) Overview of the TES system; (b) PCM distribution within the TES system; (c) PCM temperature sensors of the main part; (d) Inlet and outlet HTF temperature sensors, and PCM temperature sensors of the corner and central parts [27].

### 2.3 Methodology

The experimentation consisted of twelve complete cycles (Table 2). These cycles presented three stages, as shown in Figure 3. The first stage was the charging process. In this stage, the PCM was initially at a homogeneous average temperature of  $105 \pm 1$  °C and the HTF entered the TES system at a temperature of  $155 \pm 2$  °C and a mass flow rate of  $0.5 \pm 0.01$  kg/s. Four

different charging levels were evaluated, following the previous study carried out by Gasia et al. [27]. Hence, the charging processes were stopped after a period of time ( $\Delta t_{CH}$ ) which depended on the ratio of accumulated energy (RAE). This performance indicator defines the amount of energy accumulated in the PCM at a certain time interval in front of the theoretical maximum energy that can be stored by the PCM if fully charged. Therefore, the charging time was:  $41 \pm 1$  min (RAE 58%),  $70 \pm 1$  min (RAE 73%),  $150 \pm 1$  min (RAE 83%), and  $1440 \pm 5$  min (RAE 97%). These processes were followed by a storage period, in which no HTF recirculation within the storage tank took place. The duration of this period ( $\Delta t_{ST}$ ) was a parameter defined by the authors of the current study. Three different storage periods were evaluated:  $25 \pm 1$  min,  $60 \pm 1$  min, and  $120 \pm 1$  min. Authors tried to represent potential storage periods in processes working under partial load operating conditions, such as industrial and solar processes, which might need the heat from the latent heat TES system even though they are not completely charged. It should also be mentioned that 25 min was the minimum storage period required to carry out the change from charge to discharge, and vice versa, since it is the minimum time needed by the experimental facility to achieve the set-point temperatures in each process. Finally, the third stage of the cycle was the discharging process, where the energy stored in the system is recovered by recirculating HTF at an average temperature of  $105 \pm 2$  °C and a mass flow rate of  $0.5 \pm 0.01$  kg/s. In that case, the process was finished when the PCM reached steady conditions. Each of the twelve whole charging-storage-discharging cycle carried out in the present study was repeated three times to demonstrate repeatability of the methodology and the experimental results, ending up with the realization of thirty-six complete cycles.

Table 2. Set of experiments carried out in this study.

<b>Experiment</b>	<b>RAE</b>	<b><math>\Delta t_{ST}</math></b>	<b>Experiment</b>	<b>RAE</b>	<b><math>\Delta t_{ST}</math></b>
1	97%	$25 \pm 1$ min	7	73%	$25 \pm 1$ min
2	97%	$60 \pm 1$ min	8	73%	$60 \pm 1$ min
3	97%	$120 \pm 1$ min	9	73%	$120 \pm 1$ min
4	83%	$25 \pm 1$ min	10	58%	$25 \pm 1$ min
5	83%	$60 \pm 1$ min	11	58%	$60 \pm 1$ min
6	83%	$120 \pm 1$ min	12	58%	$120 \pm 1$ min

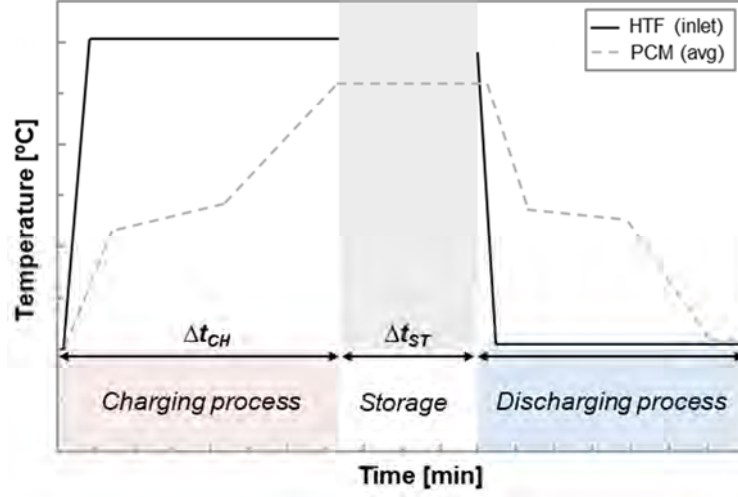


Figure 3. Stages of a complete charging/discharging cycle.

## 2.4 Theory and calculation

This section presents the equations used to obtain the results presented in the results and discussion section. The PCM weighted average temperature, according to the three representative regions of the storage tank (Figure 2b), was calculated as shown in Eq. 1:

$$T_{PCM.total,i} = \frac{\sum_{n=1}^{15} m_{PCM,n} \cdot T_{PCM,n,i} + \sum_{n=1}^6 m_{in,n} \cdot T_{in,n,i} + \sum_{n=1}^6 m_{c,n} \cdot T_{c,n,i}}{m_{PCM} + m_{in} + m_c} \quad (1)$$

The variations of the energy levels of PCM, HTF, metal parts of the storage tank, and insulation were calculated as given in Eq. 2, Eq. 3, Eq. 4, and Eq. 5, respectively:

$$\Delta E_{PCM.total} = \sum_{n=1}^{27} m_{PCM,n} \Delta h(T)_{n,fs-is} = \Delta E_{PCM.main} + \Delta E_{PCM.in} + \Delta E_{PCM.c} \quad (2)$$

$$\Delta E_{HTF} = \rho_{HTF} \cdot V_{HTF} \cdot Cp_{HTF} \cdot \Delta T_{HTF,fs-is} \quad (3)$$

$$\Delta E_{tank} = m_{tank} \cdot Cp_{tank} \cdot \Delta T_{tank,fs-is} \quad (4)$$

$$\Delta E_{ins} = m_{ins} \cdot Cp_{ins} \cdot \Delta T_{ins,fs-is} \quad (5)$$

Finally, the energy lost during the process was calculated through an energy balance, as shown in Eq. 6:

$$\Delta E_{loss} = -(\Delta E_{PCM.total} + \Delta E_{HTF} + \Delta E_{tank} + \Delta E_{ins}) \quad (6)$$

The HTF heat transfer rate at time “i” during the discharging processes that follows a storage period was calculated by means of Eq. 7:

$$\dot{Q}_{HTF,dch,i} = \dot{m}_{HTF,i} \cdot C_{p_{HTF,i}} \cdot \Delta T_{HTF,in-out,i} \quad (7)$$

## 2.5 Uncertainty analysis

An uncertainty analysis of the HTF heat transfer rate and the PCM accumulated energy of different charging and discharging processes was carried out in a previous study [27] to determine the precision and general validity of such results. In this paper, the uncertainty analysis is extended to include the storage period between a (partial) charge and the subsequent discharge of the latent heat TES system. Furthermore, the present analysis also includes an uncertainty analysis related to the energy variations in the HTF, the metal parts of the tank, the insulation, and the heat losses (for the storage period).

Two types of uncertainties may be distinguished in the analysis (Table 3): uncertainties associated to experimentation and uncertainties associated to the thermos-physical properties of the HTF, PCM, metal parts of the tank, and insulation.

Table 3. Uncertainties of the different parameters involved in the analyses.

Parameter	Units	Source	Accuracy
Temperature	°C	Pt-100 1/5 DIN class B	± 0.2
HTF flow rate	l/h	FUJI FCX-A2 V5 series transmitter	± 23.7
HTF specific heat	kJ/kg·°C	From ref. [33]	± 0.054
HTF density	kg/m <sup>3</sup>	From ref. [33]	± 25.16
HTF volume	%	Estimated	± 3
PCM mass	kg	Regular scale	± 0.28
PCM volume	m <sup>3</sup>	From manufacturer	± 0.0024
PCM enthalpy	kJ/kg	Sensors from Mettler Toledo DSC-822e	± 3
Insulation mass	%	From manufacturer	± 3
Insulation specific heat	%	From manufacturer	± 3
Metal parts volume	%	From manufacturer	± 3
Metal parts specific heat	%	From manufacturer	± 3
Metal parts density	%	From manufacturer	± 3

Next, the influence of these uncertainties on the results was determined by means of Eq. 8 [34]. All uncertainties were calculated for the storage period, except for the HTF heat transfer rate, which was calculated for the discharging process.

$$W_R = \left[ \left( \frac{\partial R}{\partial x_1} \cdot w_{x_1} \right)^2 + \left( \frac{\partial R}{\partial x_2} \cdot w_{x_2} \right)^2 + \dots + \left( \frac{\partial R}{\partial x_n} \cdot w_{x_n} \right)^2 \right]^{1/2} \quad (8)$$

### 3 Results and discussion

#### 3.1 Repeatability

Figure 4 shows the repeatability of different cycles for the cases with a storage period duration between charge and discharge of 60 min. Notice that only four cycles out of twelve are presented in this figure but it has to be mentioned that the remaining cycles also showed a similar tendency. On one hand, Figure 4a presents the evolution of the inlet HTF temperature during the charging (warm colours) and discharging processes (cold colours) for the four different RAE. In all cases the temperature follows practically the same trend with a delay of one to four minutes during the first 14 min of process (either charge or discharge) due to the differences in the stabilization process of the HTF temperature controller. At the moment the stationary regime was achieved, the temperatures followed the same profiles. On the other hand, Figure 4b shows the evolution of the PCM temperature at control volumes evaluated by the temperatures sensors  $T_{PCM,8}$  (straight line),  $T_{IN,1}$  (dashed line), and  $T_{C,6}$  (dotted line) during the charging process (as referred in Figure 2). The temperature profiles followed almost the same profile, with a deviation lower than 5% during the first 20 minutes and lower than 1% from that moment on. Thus, it can be concluded that the results presented in this work show repeatability.

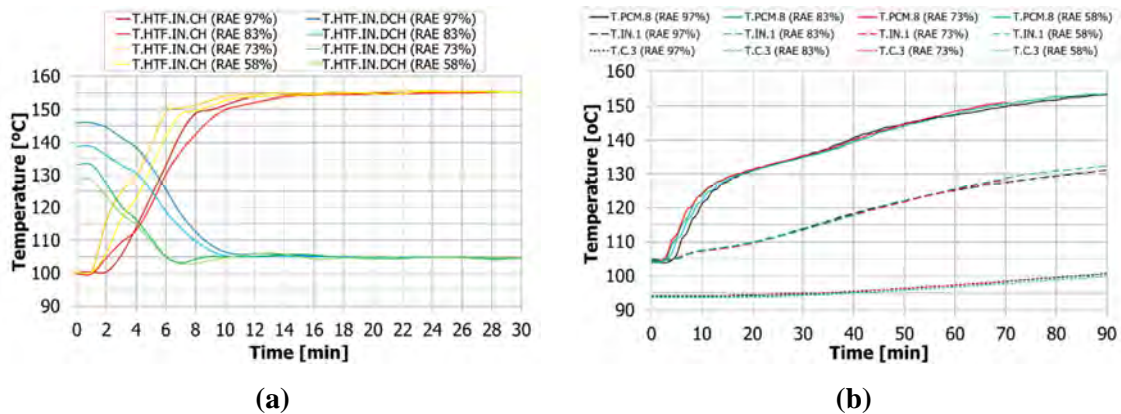


Figure 4. Repeatability tests for the study when the duration of the storage period was 60 minutes. (a) HTF inlet temperatures during the charging and discharging processes; (b) PCM temperature at three

different locations (evaluated by the temperature sensors  $T_{PCM,8}$ ,  $T_{IN,1}$ , and  $T_{C,3}$ ) during the charging process

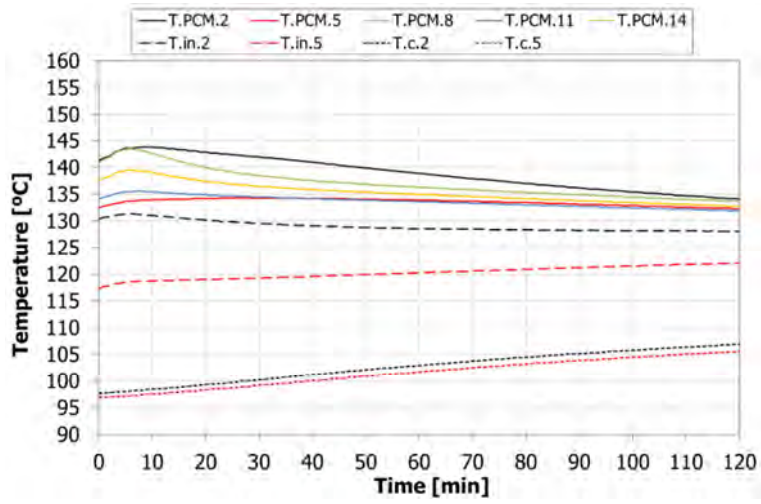
### 3.2 Storage process

Figure 5 shows the PCM temperature evolution of different control volumes in the three main regions of the storage tank during a storage period of 120 min for the four different tested RAE. Notice that due to the repeatability of results shown in the previous section, the PCM temperature evolution during the storage periods of 25 and 60 min can be analysed in the same figure. Moreover, the variation of the PCM average temperature and energy accumulated in the different regions of the tank, as well as the energy variation in the metal parts of the storage tank and in the insulation, and the heat losses are summarized from Table 4 to Table 7. Each table shows the results for each of the four RAE evaluated during the charging process.

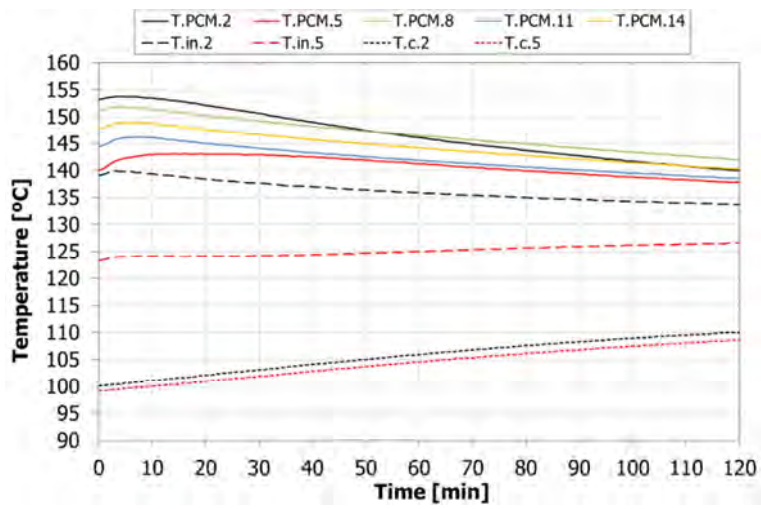
It can be observed that when the charging process was stopped at a RAE 58% (Figure 5a) and the storage period started, the temperature of the PCM inside the tank was not homogeneous. The PCM located in the main region (straight line) was at a higher temperature than the PCM located in the central region (dashed line) and corners (dotted line). Furthermore, within the same regions, differences can be observed. The reason lies on the low thermal conductivity of HDPE ( $<0.4 \text{ W/m}\cdot\text{K}$  at the temperature range evaluated [28]), the geometry of the storage tank, and the level of charge. However, as the storage period keeps going, two different phases can be observed. On one hand, during the first 10 min there was an increasing of temperatures on practically all PCM control volumes because of the thermal inertia of the HTF and the metal parts of the storage tank. This turned out into an increase of the energy available to be transferred to the PCM, which was at a lower temperature. On the other hand, from that moment on, a clear tendency to homogenization of temperatures, and therefore of the energy level, was observed. Energy from the PCM located at the main region was transferred to the PCM located at the central region and corners but also to insulation and lost to the environment (Table 4). During 120 min of storage, the average temperature of the PCM located at the main region decreased  $5.5 \text{ }^\circ\text{C}$ , while the average temperature of the PCM located at the central region and corners increased  $0.4 \text{ }^\circ\text{C}$  and  $9.1 \text{ }^\circ\text{C}$ , respectively. Moreover, the PCM that was under phase change at beginning of the storage showed temperature variations within the melting temperature range during the storage process, indicating that the melting front suffered a modification during the process, whose extend could not be quantified because of the current set-up acquisition methodology.

For shorter periods of storage, a similar tendency was observed, being the variation of temperature and energy levels between the end of the charging process and the beginning of the discharging process smaller than the variation over the storage periods of 120 min. Focusing on the PCM distribution within the tank, it can be seen that the material located in the main region losses less energy to its surrounding, or even it is still absorbing energy at lower RAE, as a result of the above-mentioned influence of the thermal inertia of the HTF and the metal parts of the tank. This is translated to a less energy absorbed by the PCM in the corners, and therefore to a practically negligible temperature variation. Focusing on the energy released by the metal parts of the storage tank and by the HTF, it can be observed that shorter storage periods meant lower energy released to the PCM, and of course, lower heat losses to the surroundings. Finally, despite observing a decrease of the PCM weighted average temperature during the storage process, the variation of PCM energy did not show the same tendency, but it showed an increase. This reflects the influence of the sensible region on the temperature behaviour while the energy variation was mainly influenced by the latent region.

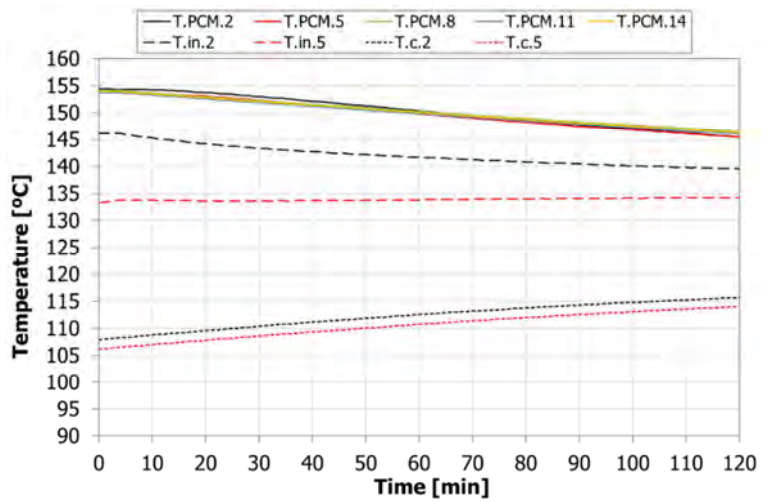
When the RAE was increased (Figure 5b-5d and Table 5 to Table 7) some differences could be observed if compared to the process with a RAE 58% (Figure 5a). For the RAE 73% and the RAE 83%, a higher decrease of temperatures in the PCM located at the main and central regions and a higher increase of temperature in the PCM located in the corners was observed. However, it can be seen that at RAE 73% there was a change of tendency, and from this point on, the increase of temperature of the PCM located in the corners starts to be lower due to the increase of heat losses. Identically to temperatures, the PCM energy level showed a decrease in the main and central regions and an increase in the corners. Moreover, there was a lower heat transfer from the HTF and metal parts of the storage tank to the PCM due to the fact that there were lower temperature gradients between the PCM and these two components. Finally, the behaviour of the TES system during the storage period which was previously fully charged (RAE 97%) deserves a separate mention. Notice that at the beginning of the storage process, there was an almost homogeneous temperature of the PCM at the inlet HTF temperature. The PCM temperature remained practically at the same homogeneous temperature during the whole period, with a temperature variation of 1.6 °C after 120 min of storage. The high homogenization existing within the storage tank caused that the variation on the energy level was mainly due to heat losses.



(a)

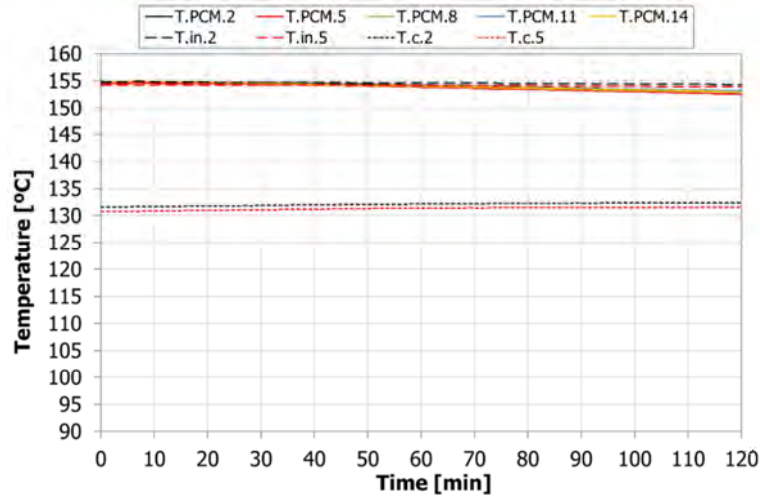


(b)



(c)





(d)

Figure 5. PCM temperature evolution during a storage period of 120 min: (a) RAE 58%; (b) RAE 73%; (c) RAE 83%; (d) RAE 97%. Due to the repeatability of the methodology and the experimental results, the evolution of the PCM temperature during the storage periods of 60 min and 25 min can be also observed in the same figures.

Table 4. Summary of the most important results during the storage process for a RAE 58%.

Parameter*		Units	Storage period ( $\Delta t_{ST}$ )		
			25 min	60 min	120 min
Temperature variation of the whole PCM	$\Delta T_{PCM.total}$	[°C]	- 0.33 (- 0.3%)	- 0.22 (- 0.2%)	- 2.95 (- 2.3%)
Temperature variation of the PCM located in the main region	$\Delta T_{PCM}$	[°C]	- 0.83 (- 0.6%)	- 0.78 (- 0.6%)	- 5.45 (- 4.0%)
Temperature variation of the PCM located in the central region	$\Delta T_{IN}$	[°C]	- 0.09 (- 0.1%)	+ 0.86 (+ 0.7%)	+ 0.41 (+ 0.3%)
Temperature variation of the PCM located in the corners	$\Delta T_C$	[°C]	+ 2.28 (+ 2.4%)	+ 2.35 (+ 2.4%)	+ 9.09 (+ 9.5%)
Energy variation of the whole PCM	$\Delta E_{PCM.total}$	[kWh]	+ 0.156 (+ 2.2%)	+ 0.049 (+ 0.7%)	- 0.250 (- 3.6%)
Energy variation of the PCM located in the main region	$\Delta E_{PCM}$	[kWh]	+ 0.275 (+ 4.4%)	+ 0.079 (+ 1.3%)	- 0.240 (- 3.8%)
Energy variation of the PCM located in the central region	$\Delta E_{IN}$	[kWh]	- 0.130 (- 17.3%)	- 0.059 (- 9.1%)	- 0.062 (- 9.4%)
Energy variation of the PCM located in the corners	$\Delta E_C$	[kWh]	+ 0.012 (+36.3%)	+ 0.029 (+89.9%)	+ 0.052 (+ 164.2%)
Energy variation of the HTF	$\Delta E_{HTF}$	[kWh]	- 0.070 (- 6.0%)	- 0.138 (- 11.7%)	- 0.182 (- 15.4%)
Energy variation of the metal parts of the storage tank	$\Delta E_{tank}$	[kWh]	- 0.083 (- 2.4%)	- 0.187 (- 5.4%)	- 0.262 (- 7.5%)
Energy variation of the insulation	$\Delta E_{ins}$	[kWh]	+ 0.084 (+ 3.6%)	+ 0.124 (+ 5.3%)	+ 0.200 (+ 8.4%)
Heat losses	$\Delta E_{loss}$	[kWh]	- 0.087 (- 0.6%)	+ 0.152 (+ 1.1%)	+ 0.493 (+ 3.5%)

\*The percentage between parentheses shows the percentage variation during the storage process compared to the value at the end of the charging process.

Table 5. Summary of the most important results during the storage process for a RAE 73%.

Parameter		Units	Storage period ( $\Delta t_{ST}$ )		
			25 min	60 min	120 min
Temperature variation of the whole PCM	$\Delta T_{PCM.total}$	[°C]	- 0.52 (- 0.4%)	- 2.99 (- 2.2%)	- 4.97 (- 3.7%)
Temperature variation of the PCM located in the main region	$\Delta T_{PCM}$	[°C]	- 1.00 (- 0.7%)	- 4.67 (- 3.3%)	- 7.85 (- 5.5%)
Temperature variation of the PCM located in the central region	$\Delta T_{IN}$	[°C]	- 0.71 (- 0.5%)	- 1.99 (- 1.5%)	- 2.52 (- 1.9%)
Temperature variation of the PCM located in the corners	$\Delta T_C$	[°C]	+ 2.18 (+ 2.2%)	+ 5.73 (+ 5.8%)	+ 9.56 (+ 9.7%)
Energy variation of the whole PCM	$\Delta E_{PCM.total}$	[kWh]	+ 0.071 (+ 0.8%)	- 0.160 (- 1.9%)	- 0.339 (- 4.0%)
Energy variation of the PCM located in the main region	$\Delta E_{PCM}$	[kWh]	+ 0.062 (+ 0.8%)	- 0.176 (- 2.3%)	- 0.391 (- 5.2%)
Energy variation of the PCM located in the central region	$\Delta E_{IN}$	[kWh]	- 0.004 (- 0.4%)	- 0.017 (- 1.7%)	- 0.005 (- 0.5%)
Energy variation of the PCM located in the corners	$\Delta E_C$	[kWh]	+ 0.014 (+ 28.9%)	+ 0.033 (+ 71.5%)	+ 0.058 (+ 127.4%)
Energy variation of the HTF	$\Delta E_{HTF}$	[kWh]	- 0.093 (- 7.7%)	- 0.113 (- 9.4%)	- 0.172 (- 14.2%)
Energy variation of the metal parts of the storage tank	$\Delta E_{tank}$	[kWh]	- 0.137 (- 3.8%)	- 0.162 (- 4.5%)	- 0.267 (- 7.4%)
Energy variation of the insulation	$\Delta E_{ins}$	[kWh]	+ 0.073 (+ 3.2%)	+ 0.121 (+ 4.8%)	+ 0.182 (+ 7.2%)
Heat losses	$\Delta E_{loss}$	[kWh]	+ 0.086 (+ 0.5%)	+ 0.314 (+ 2.0%)	+ 0.596 (+ 3.8%)

Table 6. Summary of the most important results during the storage process for a RAE 83%.

Parameter		Units	Storage period ( $\Delta t_{ST}$ )		
			25 min	60 min	120 min
Temperature variation of the whole PCM	$\Delta T_{PCM.total}$	[°C]	- 1.14 (- 0.8%)	- 3.43 (- 2.4%)	- 5.41 (- 3.8%)
Temperature variation of the PCM located in the main region	$\Delta T_{PCM}$	[°C]	- 1.60 (- 1.1%)	- 4.81 (- 3.2%)	- 7.78 (- 5.2%)
Temperature variation of the PCM located in the central region	$\Delta T_{IN}$	[°C]	- 2.27 (- 2.4%)	- 4.14 (- 2.9%)	- 5.10 (- 3.6%)
Temperature variation of the PCM located in the corners	$\Delta T_C$	[°C]	+ 1.97 (+ 1.8%)	+ 4.45 (+ 4.2%)	+ 7.41 (+ 7.0%)
Energy variation of the whole PCM	$\Delta E_{PCM.total}$	[kWh]	- 0.107 (- 1.1%)	- 0.270 (- 2.8%)	- 0.394 (- 4.1%)
Energy variation of the PCM located in the main region	$\Delta E_{PCM}$	[kWh]	- 0.080 (- 1.0%)	- 0.260 (- 3.2%)	- 0.437 (- 5.4%)
Energy variation of the PCM located in the central region	$\Delta E_{IN}$	[kWh]	- 0.040 (- 2.8%)	- 0.038 (- 2.7%)	- 0.008 (- 0.6%)
Energy variation of the PCM located in the corners	$\Delta E_C$	[kWh]	+ 0.013 (+ 13.7%)	+ 0.029 (+ 32.0%)	+ 0.051 (+ 56.7%)
Energy variation of the HTF	$\Delta E_{HTF}$	[kWh]	- 0.039 (- 3.2%)	- 0.073 (- 6.0%)	- 0.118 (- 9.8%)
Energy variation of the metal parts of the storage tank	$\Delta E_{tank}$	[kWh]	- 0.057 (- 1.5%)	- 0.127 (- 3.4%)	- 0.195 (- 5.3%)
Energy variation of the insulation	$\Delta E_{ins}$	[kWh]	+ 0.050 (+ 2.0%)	+ 0.054 (+ 2.0%)	+ 0.120 (+ 4.5%)
Heat losses	$\Delta E_{loss}$	[kWh]	+ 0.152 (+ 0.9%)	+ 0.415 (+ 2.4%)	+ 0.588 (+ 3.4%)

Table 7. Summary of the most important results during the storage process for a RAE 97%.

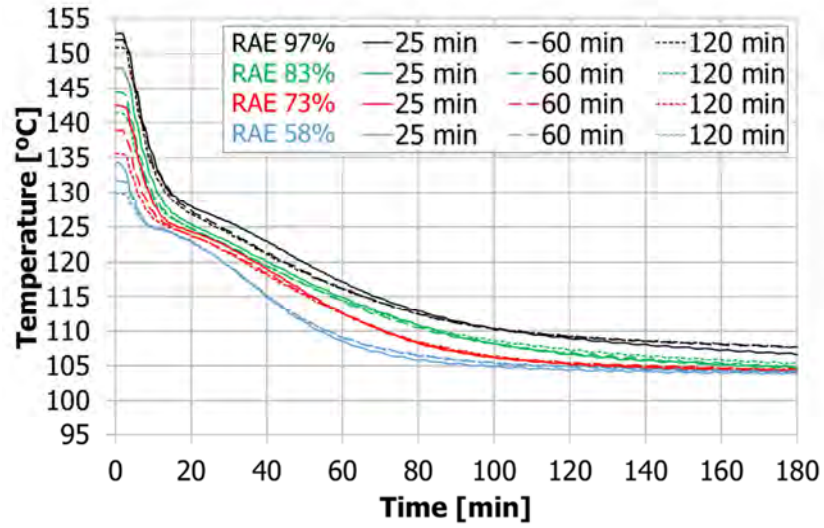
Parameter		Units	Storage period ( $\Delta t_{ST}$ )		
			25 min	60 min	120 min
Temperature variation of the whole PCM	$\Delta T_{PCM.total}$	[°C]	- 0.06 (- 0.0%)	- 0.75 (- 0.5%)	- 1.60 (- 1.1%)
Temperature variation of the PCM located in the main region	$\Delta T_{PCM}$	[°C]	- 0.09 (- 0.1%)	-0.97 (- 0.6%)	- 2.05 (- 1.3%)
Temperature variation of the PCM located in the central region	$\Delta T_{IN}$	[°C]	- 0.09 (- 0.1%)	- 0.40 (- 0.3%)	- 0.90 (- 0.6%)
Temperature variation of the PCM located in the corners	$\Delta T_C$	[°C]	+ 0.17 (+ 0.1%)	+ 0.24 (+ 0.2%)	+ 0.57 (+ 0.4%)
Energy variation of the whole PCM	$\Delta E_{PCM.total}$	[kWh]	- 0.013 (- 0.1%)	- 0.048 (- 0.4%)	- 0.095 (- 0.9%)
Energy variation of the PCM located in the main region	$\Delta E_{PCM}$	[kWh]	- 0.016 (- 0.2%)	- 0.054 (- 0.6%)	- 0.124 (- 1.4%)
Energy variation of the PCM located in the central region	$\Delta E_{IN}$	[kWh]	- 0.002 (- 0.1%)	- 0.004 (- 0.2%)	- 0.010 (- 0.6%)
Energy variation of the PCM located in the corners	$\Delta E_C$	[kWh]	+ 0.005 (+ 1.0%)	+ 0.010 (+ 2.2%)	+ 0.039 (+ 8.7%)
Energy variation of the HTF	$\Delta E_{HTF}$	[kWh]	- 0.016 (- 1.3%)	- 0.027 (- 2.3%)	- 0.043 (- 3.6%)
Energy variation of the metal parts of the storage tank	$\Delta E_{tank}$	[kWh]	- 0.031 (- 0.8%)	- 0.057 (- 1.5%)	- 0.099 (- 2.5%)
Energy variation of the insulation	$\Delta E_{ins}$	[kWh]	- 0.009 (- 0.3%)	- 0.024 (- 0.8%)	- 0.032 (- 1.0%)
Heat losses	$\Delta E_{loss}$	[kWh]	+ 0.069 (+ 0.4%)	+ 0.156 (+ 0.8%)	+ 0.270 (+ 1.4%)

### 3.3 Discharging process

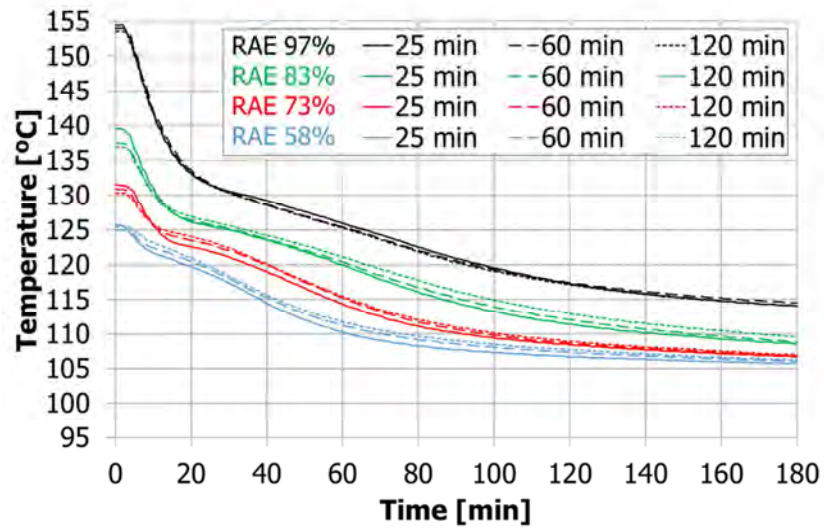
The influence of the storage period on the discharge process was evaluated from thermal and heat transfer rates points of view. Figure 6 shows the temperature evolution of the weighted average temperature of the PCM located in the three characteristic regions of the storage tank (Figure 2b), during the first 180 min of discharge. The first thing it can be observed is that the temperature profiles showed higher values when the previous charging processes were performed at higher RAE. This picture was the same in all three regions of the storage tank and independently of the storage period duration. The second thing that can be observed is that slight variations could be observed on the temperature profiles when the RAE was kept constant and only the storage period was modified. However, the influence of the storage period length is analysed in each region of the storage tank separately. If considering PCM located in the main region (Figure 6a), it can be seen that the discharging processes which were preceded by short storage periods (no matter which RAE was) presented higher average temperature values until one point (i.e:  $t=40$  min in RAE 58% or  $t=80$  min in RAE 73%), where this tendency is reversed. The instant where the change of tendency took place depended on the RAE of the previous charging period, being faster for lower RAE. The PCM located in the central region (Figure 6b) showed a similar temperature evolution than the previous case, but with slightly

lower average values. However, the change of tendency depending on the storage period took place earlier in all cases. The higher homogenisation of temperatures existing in the latent TES system after a larger storage period causes its average temperature to reach faster the temperature of the HTF. The PCM located in the corners (Figure 6c) showed a behaviour totally different than the PCM located in the previous two regions. The first difference was that the temperature of the processes which were preceded by longer storage periods was higher, as observed in section 3.2. The second difference was that, due to their location far from the tubes bundle and the low thermal conductivity of the PCM, the discharge of energy is penalized in this region and its average temperature keeps increasing until one point, where the combination of heat losses and low temperature of the HTF cause the average PCM temperature to start decreasing. The high temperature homogenisation existing in the storage tank at the beginning of the discharging processes preceded by full charging processes (RAE 97%), which caused that there was no increase of temperatures at any moment of the process and that after 40 min, the temperatures started to decrease for the same reasoning than before.

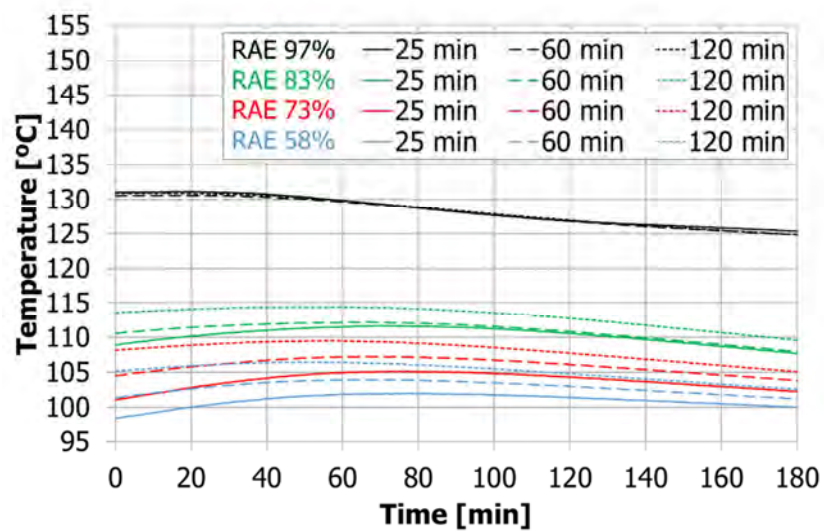
Figure 7 shows the evolution of the HTF heat transfer rate during the discharging processes for different storage periods ( $\Delta t_{ST}=25$  min, 60 min, and 120 min) and preceded by charging processes at different energy levels (RAE 58%, RAE 73%, RAE 83%, and RAE 97%). Similar to the temperature profiles evolution, the length of the storage period slightly affected heat transfer rates during the discharging process. As expected, higher heat transfer rate values were observed when the charging level of the preceding processes was higher. Focusing on such energy levels, it can be observed that when the TES system was partially charged at a RAE 58% (Figure 7a), the fact of extending the storage period from 25 min to 60 min and 120 min, caused differences in the average heat transfer values during the first 30 min of discharge between 3.6% and 9.9%, respectively. After that moment, the heat transfer profiles in all cases practically showed the same behaviour. When the TES system was partially charged at a RAE 73% (Figure 7b), the first 30 min of the discharging processes which were preceded by storage periods of 60 min and 120 showed differences up to 15.9% and 17.2%, respectively, if compared to the process preceded by a storage period of 25 min. The heat transfer rates of discharging processes which followed a process of partial charge at a RAE 83% (Figure 7c) showed small differences when the storage period lasted 60 min (3.7% if compared to a storage period of 25 min) but it significantly increased to 9.5% when the storage period was extended to 120 min. Finally, the high homogenisation existing after a full charging process (Figure 7d) caused that the influence of the storage period was practically null in the heat transfer rate profiles, observing differences of 2.5% between the process preceded by a 120-min storage period and the process preceded by a 60-min storage period during the first 30 min of discharge.



(a)



(b)



(c)

Figure 6. Evolution, during the discharge, of the weighted average temperature of the PCM located in the main region (a), in the central region (b), and in the corners (c) for different periods of storage: 25 min, 60 min, and 120 min

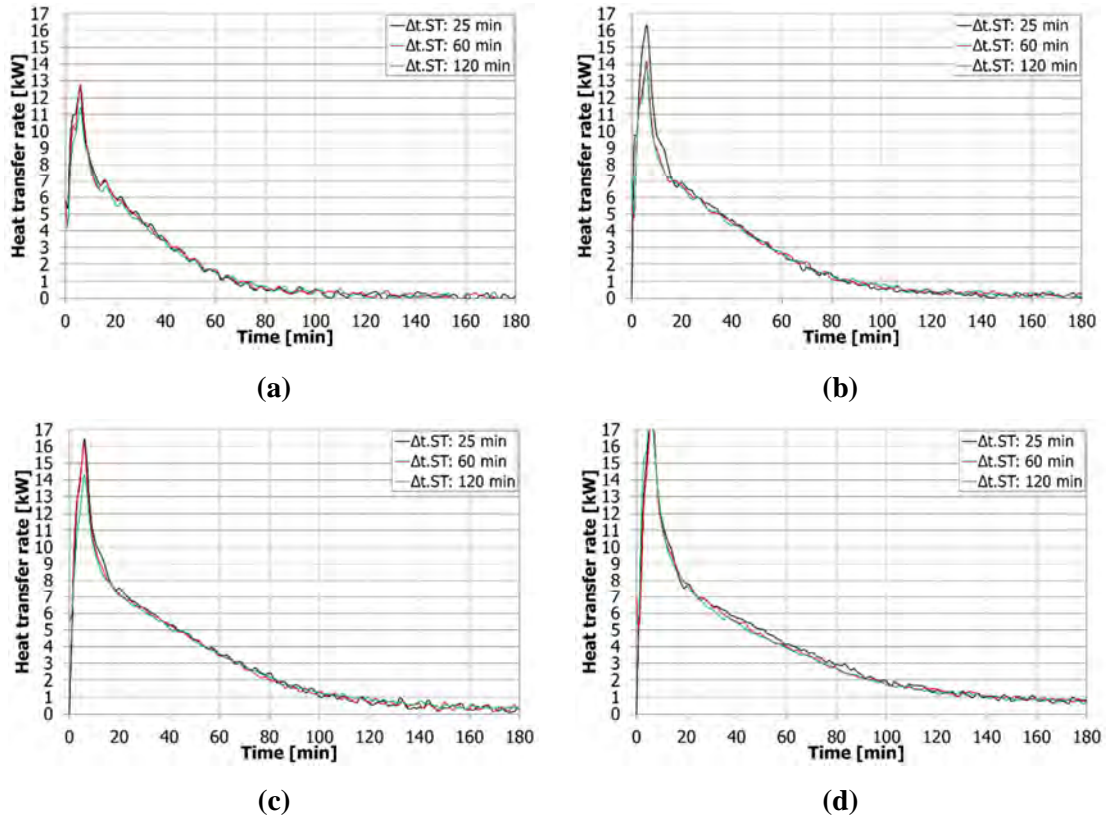


Figure 7. Evolution of the HTF heat transfer rate during the discharging processes for different storage periods ( $\Delta t_{ST}=25$  min, 60 min, and 120 min) and preceded by charging processes at different energy levels: (a) RAE 58%; (b) RAE 73%; (c) RAE 83%; (d) RAE 97%.

### 3.4 Uncertainty analysis results

To get an insight on the importance of the uncertainties in the results presented in this study, Table 8 shows the results of the uncertainty analysis calculated for the case in which the tank was charged up to RAE 83%, and the storage period was 60 minutes. This case was selected since the authors considered it could give more representative values. The values of the HTF power uncertainty refer to the average value of  $\dot{Q}_{HTF,dch}$  over the first 30 minutes of discharge.

Table 8. Summary of the uncertainty analysis results, for a RAE 83% and a storage period of 60 minutes.

Parameter		Units	Value*
Temperature variation of the whole PCM	$\Delta T_{\text{PCM,total}}$	[°C]	$\pm 0.05$ ( $\pm 1.5\%$ )
Temperature variation of the PCM located in the main region	$\Delta T_{\text{PCM}}$	[°C]	$\pm 0.07$ ( $\pm 1.4\%$ )
Temperature variation of the PCM located in the central region	$\Delta T_{\text{IN}}$	[°C]	$\pm 0.10$ ( $\pm 2.4\%$ )
Temperature variation of the PCM located in the corners	$\Delta T_{\text{C}}$	[°C]	$\pm 0.10$ ( $+ 2.3\%$ )
Energy variation of the whole PCM	$\Delta E_{\text{PCM,total}}$	[kWh]	$\pm 0.028$ ( $\pm 10.2\%$ )
Energy variation of the PCM located in the main region	$\Delta E_{\text{PCM}}$	[kWh]	$\pm 0.026$ ( $\pm 10.1\%$ )
Energy variation of the PCM located in the central region	$\Delta E_{\text{IN}}$	[kWh]	$\pm 0.008$ ( $\pm 20.6\%$ )
Energy variation of the PCM located in the corners	$\Delta E_{\text{C}}$	[kWh]	$\pm 0.004$ ( $\pm 13.3\%$ )
Energy variation of the HTF	$\Delta E_{\text{HTF}}$	[kWh]	$\pm 0.004$ ( $\pm 5.5\%$ )
Energy variation of the metal parts of the storage tank	$\Delta E_{\text{tank}}$	[kWh]	$\pm 0.013$ ( $\pm 10.0\%$ )
Energy variation of the insulation	$\Delta E_{\text{ins}}$	[kWh]	$\pm 0.038$ ( $\pm 70.2\%$ )
Heat losses	$\Delta E_{\text{loss}}$	[kWh]	$\pm 0.049$ ( $\pm 11.8\%$ )
HTF heat transfer rate	$\dot{Q}_{\text{HTF,dch}}$	[kW]	$\pm 0.54$ ( $\pm 6.1\%$ )

\* The percentage between parentheses shows the relative uncertainty in relation to the value of the parameter during the process.

Table 6 shows that the errors associated to the PCM temperature measurements are small compared to the PCM temperature variations in the different regions of the tank. The errors associated to the variations of the energy stored in the PCM are relatively high, but still much lower than the corresponding variations during the storage period. The highest relative uncertainty of the PCM energy variations corresponds to the central part of the tank ( $\Delta E_{\text{PCM,in}}$ ). The uncertainty of the energy variations of the HTF are in between the uncertainties of the PCM temperature and PCM energy, while the uncertainties of the metal parts of the storage tank and of the heat losses are comparable to that of the PCM energy. The only variable that has a relatively high uncertainty is the variation of the energy stored in the insulation. Thus, one has to pay more attention to the correct quantification of this variable. Finally, the uncertainty associated to the average HTF power during the first 30 minutes of discharge is also relatively low; nevertheless, they are comparable with the results obtained regarding the variation of the average HTF power with respect to the case in which the storage period was 25 minutes.

## 4 Conclusions

The experimental research presented in this paper aims at studying the influence of the duration of the storage period in a latent heat TES system which was partially charged on its subsequent discharging process. Three different storage periods were evaluated (25 min, 60 min, and 120 min), which followed charging processes which were charged at different energy levels (58%, 73%, 83% (partial charge), and 97% (full charge)).

Results showed that during the storage periods which followed partially charged processes there was an energy homogenization process not only as a result of the temperature gradients existing within the different regions of the storage tank, but also as a result of the heat losses to the surroundings. When the storage period was increased, higher energy transference from the main region to the corners was observed. Due to the geometry of the TES system, it was desired that the energy was contained in the main region rather than in the corners, where the energy is more difficult to recover from. Moreover, heat losses were also increased no matter the value of the RAE. Therefore, the length of the storage period which follows an incomplete charge has an influence on the modification of the discharging process initial map. This is important for numerical models, since they usually consider at the beginning of the discharge the same map than the existing at the end of the charge.

During the discharging process, it was observed that the length of the storage period had a higher influence when the RAE was intermediate (RAE 73%), and not that important for lower (RAE 58%) or higher values (RAE 97%). However, it can be stated that this effect is not very significant, and it only can be observed during the first 30 min of discharge as a result of the temperature at which the discharging process starts.

## Acknowledgements

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# Experimental investigation of the effect of dynamic melting in a cylindrical shell-and-tube heat exchanger using water as PCM

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## Abstract

In the present paper, an experimental study is carried out to evaluate the effect of the dynamic melting concept in a cylindrical shell-and-tube heat exchanger using water as the phase change material (PCM) and a potassium formate/water solution as the heat transfer fluid (HTF). The dynamic melting concept is a new heat transfer enhancement technique which consists of recirculating the liquid PCM during the melting process with a pump and thus increasing the overall heat transfer coefficient as a result of the dominance of the forced convection. The HTF flow rate was kept constant at 1 l/min and four different PCM flow rates of 0, 0.5, 1 and 2 l/min were tested. Results from the experimental analysis showed enhancements up to 65.3% on the melting period, up to 56.4% on the effectiveness, and 66% on the heat transfer rates when the PCM flow rate was twice the HTF flow rate. From these experiments, it can be concluded that dynamic melting is an effective technique for enhancing heat transfer during melting of PCM.

*Keywords:* Thermal energy storage; Phase change material; Dynamic melting; Heat transfer enhancement; effectiveness.

## Nomenclature

### *Dimensional variables*

$A$	Area ( $m^2$ )
$cp$	Specific heat ( $J/kg \cdot K$ )
$E$	Energy (J)
$\dot{m}$	Mass flow rate ( $kg/s$ )
$\dot{Q}$	Heat transfer rate (W)
$r$	Radial dimension of the HTF tube (m)
$T$	Temperature ( $^{\circ}C$ )
$\dot{V}$	Volumetric flow rate ( $m^3/s$ )

### *Greek symbols*

$\alpha$	Convection coefficient ( $W/m^2 \cdot K$ )
$\delta$	Error of the energy balance (-)
$\varepsilon$	Local effectiveness (-)
$\eta$	Efficiency (-)
$\lambda$	Thermal conductivity ( $W/m \cdot K$ )
$\rho$	Density ( $kg/m^3$ )

### *Subscripts*

1	HTF tube
2	Inner face of the heat exchanger
3	Outer face of the heat exchanger
4	Outer face of the insulation
<i>amb</i>	Ambient
<i>c</i>	Cross-sectional
<i>in</i>	Inlet of the heat exchanger
<i>ins</i>	Insulation
<i>HEX</i>	Heat exchanger
<i>HG. loop</i>	Heat gains as a result of the external recirculation of the liquid PCM
<i>HG. BC</i>	Heat gains as a result of the boundary conditions
<i>HTF</i>	Heat transfer fluid
<i>L</i>	Losses
<i>m</i>	Melting
<i>Near. Tube. ave</i>	Average near the HTF pipe
<i>out</i>	Outlet of the heat exchanger

p	Pump
PC	Phase change
<i>PCM</i>	Phase change material
ps	Power station
theor	Theoretical

## 1 Introduction

It is well understood that the implementation of energy storage technologies is an effective method to help to correct the mismatch between the energy demand and supply. Among the different energy storage technologies, latent heat thermal energy storage (LHTES) systems have gained relevance during the last decades because of their high energy storage densities and almost isothermal operating conditions [1].

In LHTES systems, the process of energy storage involves absorbing (charging) and releasing (discharging) thermal energy. During these processes, the phase change material (PCM) stores and releases thermal energy when changing from a solid to a liquid. In low temperature storage applications (cold storage), charging involves freezing while discharging involves melting. During the charging process, the PCM solid front moves away from the heat transfer surface and the thickness of the PCM solid layer increases. This makes conduction the dominant heat transfer mechanism. During the discharge process, the PCM starts melting around the heat transfer surface. The melting front moves away from the heat transfer surface and the thickness of the PCM liquid layer increases. Thus, natural convection becomes the heat transfer mechanism. In both cases the thermal resistance increases, reducing the effectiveness of heat transfer.

The low thermal conductivity coefficients of currently-available cost-effective PCMs cause the thermal resistance to be high, so it reduces the heat transfer during the charging processes [2]. To overcome this drawback and to improve the thermal performance of the thermal energy storage system, heat transfer enhancement techniques are required. Some of these techniques consist of adding extended surfaces, such as fins [3,4] and heat pipes [5,6], and combining the PCM with highly conductive materials, such as carbon-based elements [2,7] metallic particles elements [8,9] and nanoparticles [10,11]. They have been found to be very effective, but adding external elements to improve the thermal conductivity causes a decrease in the energy storage capacity, a decrease in the compactness factor, which is the ratio that takes into account the volume of PCM per volume of LHTES unit, and an increase in the final cost of the system. Collectively, the research above involves static solutions in which components are added to the

PCM. A limited but growing area of interest is through the use of dynamic means to enhance heat transfer, which can potentially overcome the disadvantages of the static concepts. Dynamic systems involve the PCM moving during the phase change process. These concepts include PCM slurries, direct-contact PCM systems, and dynamic PCM systems.

PCM slurries are a mixture of a heat transfer fluid (HTF) and microcapsules of PCM (e.g. a mixture of water and microencapsulated PCM) without the need of intermediate materials like tubes or encapsulations. They can be treated as a homogeneous medium which exhibits fluid-like properties and eliminates the heat losses associated with the heat transfer between the HTF and the PCM. Previous studies [12,13] showed that if the concentration of microcapsules is increased, both the storage capacity and the viscosity increase. While the increase of storage capacity is desired, the increase of viscosity should be avoided, since it leads to an increase of the pump consumption and a decrease of the heat transfer. Delgado et al. [12] observed that with PCM concentrations higher than 20 % the disadvantages associated with the increase in viscosity have a higher influence than the advantages associated with the increase of the specific heat. These concentrations deliver a significant reduction in compactness ratio.

In direct-contact PCM systems, the PCM is mixed directly with the HTF without an intermediate material, which allows the system to supply high heat transfer rates and effectiveness values [14,15]. Furthermore, by eliminating the intermediate material, the heat losses associated with the heat transfer between the HTF and the PCM are reduced. However, the PCM needs to be non-soluble in the HTF and the difference between the densities of both materials should be large enough to ensure a proper phase separation. The main disadvantages of these systems are the pressure losses, volumetric expansion of the PCM during phase change, which reduce the compactness factor, and that enhancements are obtained only when the HTF flows uniformly through the PCM [16].

In dynamic PCM systems, the PCM is moved while undergoing phase change with an external mechanical force [17-20]. This results in minimising the thermal resistance between the PCM and the heat transfer fluid since forced convection becomes the dominant heat transfer mechanism. Three main advantages are associated with this heat transfer enhancement technique. First, a higher heat transfer rate can be maintained for a longer period during phase change. Second, the continuous movement of PCM may avoid phase segregation. Third, the compactness factor of the storage tank is kept constant since no external elements are introduced inside the LHTES unit. The main disadvantage of this enhancement technique is the increase of the electrical energy consumption required in moving the PCM.

In recent times, only three studies have investigated the benefits of actively moving the PCM to improve the heat transfer: screw heat exchanger, PCM flux, and dynamic melting. Zipf et al. [17] presented a study based on the main principles of the screw heat exchanger design and construction. This concept consists of a heat exchanger which has an internally helicoidally heat transfer surface that is continuously moving. It transports the PCM during both melting and solidification processes, from a PCM storage tank, which is located at one end of the heat exchanger and where the PCM is in one state, to another PCM storage tank, which is located at the other end of the heat exchanger and where the PCM is stored in the other state. Pointner et al. [18] presented the PCM flux concept, which consists of a transportation line that moves PCM plates parallel to a heat transfer surface. Finally, the dynamic melting concept was experimentally and numerically investigated by Tay et al. [19,20]. This concept consists of externally recirculating the liquid PCM with a pump during the melting process. Initially, Tay et al. [19] designed and constructed a coil-in-tank LHTES system with two pre-melt tubes which created the pre-melt paths to allow dynamic melting. Results showed an improvement of up to 89 % in the average effectiveness and up to 30 % decrease in the melting period. In order to develop a more detailed understanding of this concept, Tay et al. [20] numerically studied the effect of both HTF and PCM mass flow rates and direction (parallel and counter-flow) for the dynamic melting enhancement technique around a single tube. Results showed that the counter-flow direction delivered lower phase change periods than the parallel-flow direction. It was also found that when the flow rates of the PCM were equal or higher than the HTF, better results were obtained in terms of effectiveness and phase change periods. This study was conducted ignoring natural convection, and therefore an experimental study is warranted to confirm these results.

There is currently no detailed experimental study which has investigated the impact of the amount of dynamic melting on the heat transfer rate through the PCM. Furthermore, no research has investigated the associated pumping power needed to achieve dynamic melting. Hence, the objective of this article is to fill this knowledge gap, since it represents the first experimental parametric study in the literature which demonstrates, through experimentation, the heat transfer enhancement of the dynamic melting enhancement technique and the conditions under which this can be maximised. From this work, the pumping power and therefore the overall benefit of dynamic melting can be established. The originality of this work lies in the fact that it contributes to the field of enhancing heat transfer within PCMs through dynamic mechanisms and it will contribute to the knowledge of these techniques by experimentally verifying the conditions by which dynamic melting can dramatically increase heat transfer.



## 2 Experimental study

### 2.1 Experimental set-up

A schematic view of the experimental set-up is shown in Fig. 1. It is composed of the following components: (1) a shell-and-tube heat exchanger; (2) a cold HTF tank, which stored and cooled 400 l of HTF with a refrigeration unit; (3) a hot HTF tank containing 25 l of HTF, which was stored and maintained at the desired discharging temperature with an electrical heater and a temperature controller; (4) two Keg King MK II magnetic drive pumps, which recirculated the HTF and the liquid PCM; (5) two three-way valves, which were used to change the direction of the HTF so as to switch between charging and discharging processes; (6) two pin valves, which were used to adjust the flow rates of the HTF and the PCM; and finally, (7) a data acquisition unit connected to a personal computer (PC) for data analysis. All the components which were likely to exchange heat with the surroundings were insulated with 95 mm of expanded polystyrene.

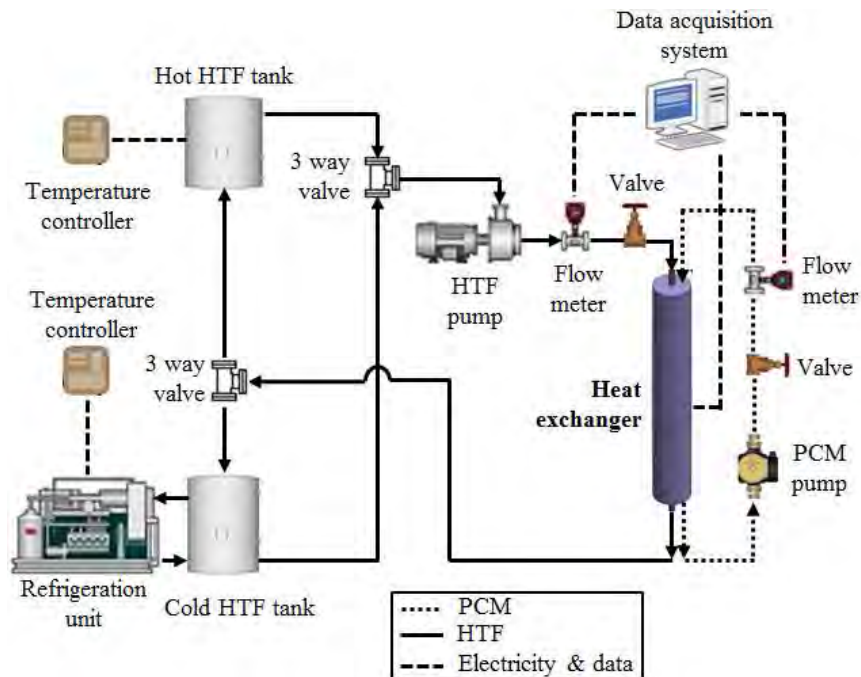


Fig. 1. Schematic view of the experimental set-up used to perform the present work.

The heat exchanger is based on the shell-and-tube concept. It is composed of two concentric tubes, with the HTF flowing vertically through the inner tube and with the PCM placed in the annulus region between the two tubes (Fig. 2). The inner tube is made of stainless steel and has an outer diameter of 25.4 mm. The outer tube, or shell, is made of clear polycarbonate, which allows visual inspection of the heat exchanger. It has a length of 1006 mm, with inner and outer

diameters of 94 mm and 100.6 mm, respectively. The HTF used was a potassium formate and water solution, which has an operating temperature range of -50 °C to 218 °C. This solution is a low temperature HTF with lower viscosity than glycol, and therefore requires less pumping energy. Table 1 shows its main thermophysical properties.

Table 1. Thermophysical properties of the HTF used in the present study

<b>Property</b>		<b>@ -10 °C</b>	<b>@ 10 °C</b>
Viscosity	[mPa·s]	5.99	3.80
Thermal conductivity	[W/m·K]	0.475	0.495
Specific heat	[kJ/kg·K]	2.64	2.68
Density	[kg/m <sup>3</sup> ]	1356	1345

Water was used as the PCM to eliminate the errors associated with latent energy measurement. It was placed in the annulus space between the metallic tube and the plastic shell, to a height of 926 mm, leaving 50 mm height of air for volumetric expansion. Different temperature sensors, as shown in Fig. 2, determined the thermal behaviour of the system during the experiment. Five four-wire resistance temperature detectors (RTDs) with an error of  $\pm 0.1$  °C were placed inside the PCM at axial distances of 115 mm, 246 mm, 486 mm, 726 mm, and 861 mm from the bottom of the heat exchanger and at radial distances of 17.7 mm and 40 mm. Four more RTDs were placed at the inlet and outlet of both the HTF and the PCM recirculation loop to obtain accurate temperature measurements of the HTF and the liquid PCM during the dynamic melting process. Two rotary piston flow meters with an error of  $\pm 2\%$  were used to measure both the HTF and the liquid PCM flow rates. All RTDs and flow meters were connected to a data acquisition system. Commercial software was used to acquire and record the data at time intervals of 5 s in a database format on the PC for further processing. The sensors and flow meters were calibrated with the data acquisition system so that the errors were minimised.

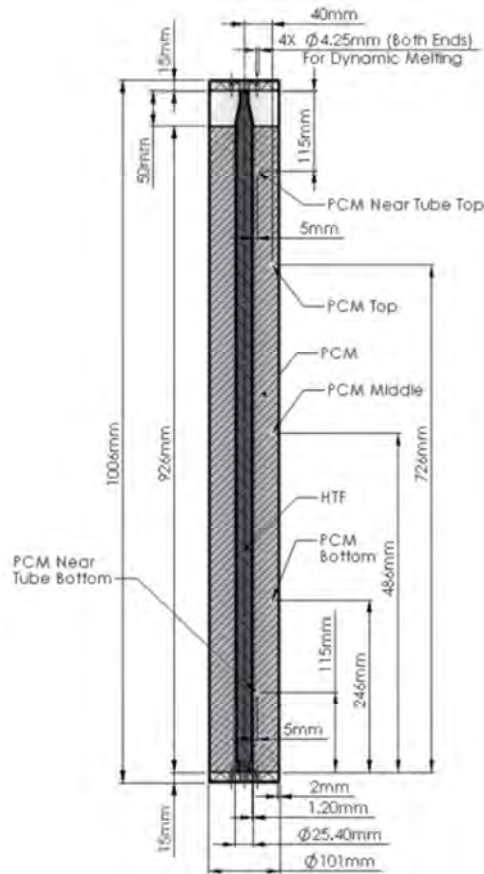


Fig. 2. Schematic view of the shell-and-tube heat exchanger. Dimensions and location of the temperature sensors.

## 2.2 Methodology

### 2.2.1 Experimental procedure

The experiments consisted of different charging (freezing) and discharging (melting) processes at a temperature range between  $-7.5\text{ }^{\circ}\text{C}$  and  $10\text{ }^{\circ}\text{C}$ . The HTF flow rate was set at a constant value of  $1\text{ l/min}$  for all the experiments and the PCM flow rates were modified to  $0.5$ ,  $1$  and  $2\text{ l/min}$ . A baseline test was also conducted without any PCM flow.

For each test, the PCM was frozen to achieve the same initial conditions. The HTF was set at the temperature of  $-7.5\text{ }^{\circ}\text{C}$  and it was circulated through the inner tube from the top to the bottom until all the temperature sensors inside the PCM were recording the same temperature of  $-4 \pm 1\text{ }^{\circ}\text{C}$ . Subsequently, the discharging processes were performed. Three different repetitions for each test were carried out to ensure repeatability. They were conducted by circulating the HTF at a temperature of  $10\text{ }^{\circ}\text{C}$  through the inner tube from the top to the bottom until the PCM reached the HTF temperature. As for the discharging processes with dynamic melting, the start

of the tests was the same as the baseline test. The pump of the PCM loop was activated when the PCM temperature near the HTF tube (*PCM Near Tube Top* and *PCM Near Tube Bottom*) reached  $5 \pm 0.5$  °C. It was experimentally proven that this temperature was the threshold for a liquid path to be created. The start of the dynamic melting process represents a variable in the impact of such a technique on the results overall effectiveness. The recirculation of the liquid PCM was then conducted by pumping it from the bottom of the heat exchanger and returning it to the top, such that the PCM flow was in parallel to the HTF. It was possible to have the liquid PCM flowing in the opposite direction. However, this was not practical in this rig as the liquid level drops during melting and this would cavitate the pump. This research applied parallel flow as it was found to be effective in previous research conducted by Tay et al. [20]

### 2.2.2 Calculation procedure

The energy absorbed by the PCM during the discharging process is equal to the summation of the energy which is actually recovered by the HTF ( $E_{HTF}$ ), the heat gains because of the boundary conditions ( $E_{HG.BC}$ ), and the heat gains due to external recirculation of the liquid PCM ( $E_{HG.loop}$ ). This parameter is defined in Eq. 1:

$$E_{PCM} = E_{HTF} + E_{HG.BC} + E_{HG.loop} = \int^{t_{PC}} (\dot{Q}_{HTF} + \dot{Q}_{HG.BC} + \dot{Q}_{HG.loop}) \cdot dt \quad (1)$$

The HTF heat transfer rate ( $\dot{Q}_{HTF}$ ) is calculated using Eq. 2:

$$\dot{Q}_{HTF} = \dot{m}_{HTF} \cdot cp_{HTF} \cdot (T_{HTF.in} - T_{HTF.out}) \quad (2)$$

where  $\dot{m}_{HTF}$  is the mass flow rate of the HTF,  $cp_{HTF}$  is the specific heat of the HTF, and  $(T_{HTF.in} - T_{HTF.out})$  is the temperature difference of the HTF between the inlet and the outlet of the heat exchanger.

The heat transfer rate of the heat gains because of the boundary conditions ( $\dot{Q}_{HG.BC}$ ) is defined in Eq. 3:

$$\dot{Q}_{HG.BC} = \frac{T_{PCM.Near.Tube.ave} - T_{amb}}{\frac{\ln(r_2/r_1)}{2 \cdot \pi \cdot \lambda_{PCM}} + \frac{\ln(r_3/r_2)}{2 \cdot \pi \cdot \lambda_{HEX}} + \frac{\ln(r_4/r_3)}{2 \cdot \pi \cdot \lambda_{ins}} + \frac{1}{2 \cdot \pi \cdot r_4 \cdot \alpha_{air}}} \quad (3)$$

where,  $T_{PCM.Near.Tube.ave}$  is the average temperature of the PCM near the HTF tube,  $T_{amb}$  is the ambient temperature,  $r_1$ ,  $r_2$ ,  $r_3$ , and  $r_4$  are the radial dimension of the HTF tube, inner face of the shell, outer face of the shell, and outer face of the insulation, respectively.  $\lambda_{PCM}$ ,  $\lambda_{HEX}$ , and  $\lambda_{ins}$  are the thermal conductivities of the PCM, polycarbonate and insulation, respectively, and  $\alpha_{air}$  is the air convection coefficient. In order to facilitate the calculation of this parameter, it was assumed that it consists of a one dimensional process, conduction is the only heat transfer mechanism in the PCM, and with insulation the convection has a negligible impact.

Finally, the heat transfer rate of the heat gains due to external recirculation of the liquid PCM are mainly caused by two factors. First, the heat introduced by the recirculation pump as a result of its internal mechanical losses, and second, the heat absorbed along the PCM recirculation loop through the insulated piping. This parameter is calculated as shown in Eq. 4:

$$\dot{Q}_{HG.loop} = \dot{m}_{PCM} \cdot cp_{PCM} \cdot (T_{PCM.in} - T_{PCM.out}) \quad (4)$$

where  $\dot{m}_{PCM}$  is the mass flow rate of the PCM liquid fraction,  $cp_{PCM}$  is the specific heat of the liquid PCM, and  $(T_{PCM.in} - T_{PCM.out})$  is the temperature difference of the liquid PCM between the inlet and the outlet of the heat exchanger.

The heat introduced by the recirculation pump ( $P_L$ ) determines the influence of the pump in the PCM heating rate. This value is calculated as shown in Eq. 5:

$$P_L = \frac{\Delta P \cdot \dot{V}_{PCM}}{\eta_p \cdot \eta_{ps}} \quad (5)$$

where  $\Delta P$  is the pressure drop of the PCM liquid fraction in the PCM recirculation loop, evaluated with the Darcy-Weisbach equation (Eq. 6).  $\dot{V}_{PCM}$  is the volumetric flow rate of the liquid PCM during the dynamic melting. Pump efficiency ( $\eta_p$ ) and power station efficiency ( $\eta_{ps}$ ) were fixed at 0.5 and 0.3, respectively.

$$\Delta P = f \cdot \left(\frac{L}{d}\right) \cdot \left(\frac{\dot{m}_{PCM}^2}{2 \cdot \rho_{PCM} \cdot A_c^2}\right) \quad (6)$$

where  $f$  is the friction factor,  $L$  is the length of the PCM recirculation loop,  $d$  is the inner tube diameter,  $A_c$  is the cross-sectional area of the annulus between the HTF tube and the shell,  $\dot{m}$

the mass flow rate of the liquid PCM in the PCM recirculation loop and  $\rho$  is the density of the liquid PCM. The entrance and exit losses are ignored as these are negligible.

Local effectiveness, as described in Eq. 7, is the ratio of the actual heat transfer and the theoretical maximum heat transfer that can be discharged at any point in time over the phase change period [21]. Therefore, the maximum values of effectiveness are obtained when the HTF exits at temperatures close to the PCM phase change temperature.

$$\varepsilon = \frac{\dot{Q}_{experiment}}{\dot{Q}_{theoric}} = \frac{T_{HTF.in} - T_{HTF.out}}{T_{HTF.in} - T_{PCM.m}} \quad (7)$$

In order to validate the results, the error from the energy balance is calculated as shown in Eq. 8:

$$\delta = \frac{E_{PCM.PC} - E_{theor.PC}}{E_{theor.PC}} \quad (8)$$

where  $E_{PCM.PC}$  is the energy absorbed during the phase change process from the energy balance shown in Eq.1, and  $E_{theor.PC}$  is the theoretical energy absorbed. This last parameter is calculated multiplying the PCM mass and the PCM heat of fusion. Sensible energy is ignored since it only represents 13.5 % of the energy stored in the PCM

### 3 Results and discussion

Fig. 3 to Fig. 6 present the temperature evolution of the PCM and the inlets and outlets of the HTF and PCM during the discharging process for four different PCM flow rates. The PCM temperature evolution along the discharging process allows the influence of the dynamic melting on the phase change process to be studied.

Fig. 3 shows the temperature distribution for the baseline test with the HTF flow rate of 1 l/min and the PCM flow rate of 0 l/min. In this figure, three different states of the test can be clearly observed. The first one is the solid sensible region, where the top, middle and bottom PCM temperatures showed a nearly homogeneous increase, from the initial discharging conditions to the phase change temperature. This behaviour shows that conduction is the main heat transfer mechanism and gravity has no effect on the solid PCM. Once the PCM reached the phase change temperature (0.11 h), it moved to the latent heat transfer region. After 0.3 h, a melted path started to be formed around the HTF tube since the PCM temperature sensors located near the outer surface of the HTF tube (*PCM Near Tube Top* and *PCM Near Tube Bottom*) showed

that the temperatures were higher than zero. The separation of ice from different surfaces of the inner and outer tubes played an important role in the PCM temperature distribution. This was consistent with López-Navarro et al. [22]. While the *PCM Near Tube Bottom* thermocouple showed a gradual increase during the melting process, the *PCM Near Tube Top* showed different peaks along the melting process. Since water has a lower density in the solid state than in liquid state (unlike most of the other PCMs), once the ice was detached from the surfaces of the heat exchanger, it floated and remained around the upper PCM temperature sensors. As a consequence, its temperature decreased sharply until it was melted, showing different bumps in the *PCM Near Tube Top* thermocouple. Because of this phenomenon the upper region of the PCM was kept in the latent region for a longer time than the lower region. This result is unlikely to have occurred without the impact of buoyancy. Since the HTF flows from top to bottom, consistent with Tay et al. [20], the upper section should complete melting before the lower sections. Finally, after 12.4 h it can be considered that the PCM entered the liquid sensible region, and therefore the melting process lasted 12.3 h. In the liquid sensible region, the PCM temperature behaviour followed a different pattern than the one observed in the latent region, showing a temperature stratification mainly influenced by the HTF flow arrangement and the effect of natural convection. When the PCM was in a liquid state, the heat transfer was mainly conducted by natural convection, which created an internal recirculation due to the buoyancy forces. These forces are induced by the density gradients as a result of the temperature differences [23]. Notice that during the liquid sensible region, there was a sharp decrease in the *PCM Near Tube Top* temperature sensor. This result can be attributed to a small piece of ice attached to the top layers of the heat exchanger that were broken up towards the end of the process and floated on top of the liquid PCM.

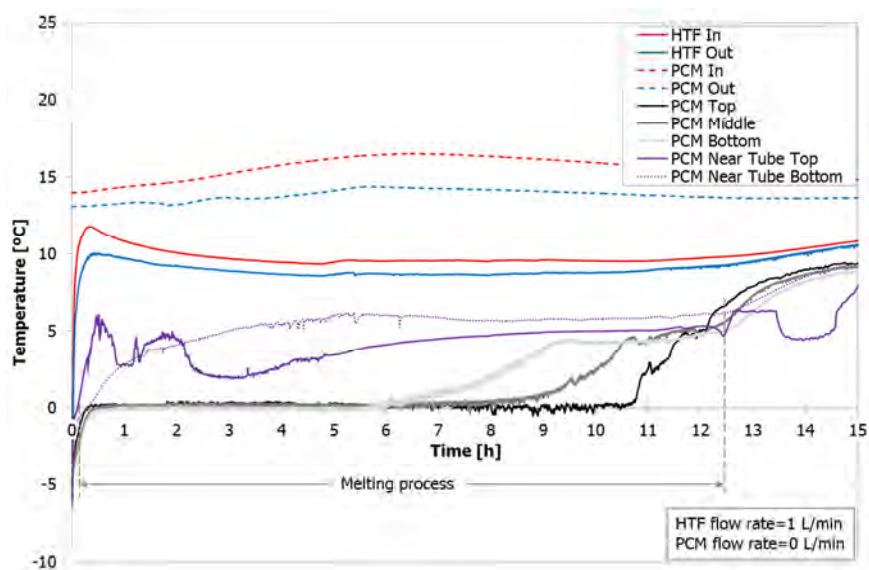


Fig. 3. Temperature evolution of the heat transfer fluid (HTF) and the phase change material (PCM) of the baseline test. Experiment set at an average HTF flow rate of 1 l/min and a PCM flow rate of 0 l/min.

Fig. 4 to Fig. 6 show the temperature distribution for a HTF flow rate of 1 l/min and PCM flow rates of 0.5, 1 and 2 l/min, respectively. In all these experiments, the behaviour of the PCM before starting the dynamic melting process was similar to the baseline test, confirming that the experimental results are directly comparable. The phase change of all three experiments started at  $0.12 \pm 0.02$  h after the beginning of the melting process, and the dynamic melting processes started  $0.7 \pm 0.05$  h after the start of the discharging processes. Despite the variation in the start of dynamic melting processes, it has a small effect on the results of dynamic melting, especially on the overall effectiveness, as observed in the following sections. When the PCM recirculation pump was switched on, a sharp variation in the temperature of recirculated PCM (*PCM in* and *PCM out*) was observed. Before starting the dynamic melting processes, the PCM located inside the PCM recirculation loop was at a temperature close to the ambient one as a result of being located outside the heat exchanger zone, although insulated from the surroundings. Immediately after starting the dynamic melting process, these temperatures decreased as the PCM was recirculated. The sensors *PCM Near Tube Top* and *PCM Near Tube Bottom* also showed a sharp variation for analogous reasoning. Once the dynamic melting processes started, the inlet and outlet temperatures of the HTF remained relatively constant throughout the phase change period for 0.5 l/min and decreased at higher PCM flow rates. This decrease is indicative of the improved heat transfer to the HTF, as the PCM could absorb more energy than that applied to the HTF from the heat source. It was observed that, when the PCM flow rate was equal or higher than the HTF flow rate, the temperature differences between the inlet and outlet HTF increased due to the decreasing outlet HTF temperature, as predicted by Tay et al. [20]. The reason is because for PCM flow rates lower than HTF flow rates, the heat gains associated with the recirculation outside the heat exchanger of the liquid PCM has a higher influence in heat transfer than the enhancement obtained as a result of the forced convection. Hence, the enhancement obtained as a consequence of the increase in the overall heat transfer coefficient was compensated or even overcome for the PCM temperature increase as a result of the heat gains.

The PCM temperature profiles during the dynamic melting processes in the latent region varied significantly depending on the PCM flow rates. When the PCM flow rate was equal or lower than the HTF flow rate, the phase change within the heat exchanger followed the following pattern, with the order of melting being the top, middle and then the bottom section consistent with the baseline case. When the PCM flow rate was higher than the HTF flow rate, the melting process of the PCM followed a different pattern, and started from the middle, followed by the top and ended at the bottom of the heat exchanger. For the first case, during melting, buoyancy in the PCM maintained the warm liquid near the top and therefore this section melted first. With



a high level of forced flow, this buoyancy was overpowered and therefore the PCM liquid was further mixed, changing which section melted first. At the end of the melting process, the remaining ice located at the bottom region of the heat exchanger was detached from the surface of the heat exchanger and raised to the top of the PCM column reducing top temperatures.

From this study, it can be observed that the phase change duration was reduced as the PCM flow rate increased since the overall heat transfer coefficient was increased. Moreover, the temperature difference in the PCM recirculation loop shows that heat was being transferred to the PCM as it was pumped and this heat did contribute to the melting process and therefore influenced the decreasing of the melting time. Hence, as a result of recirculating the liquid PCM, the melting processes with a flow rate of 0.5, 1 and 2 l/min were completed after 6.61, 6.55 and 4.26 h, respectively, representing the melting processes to be 47.4%, 47.8% and 65.3% faster than the baseline test.

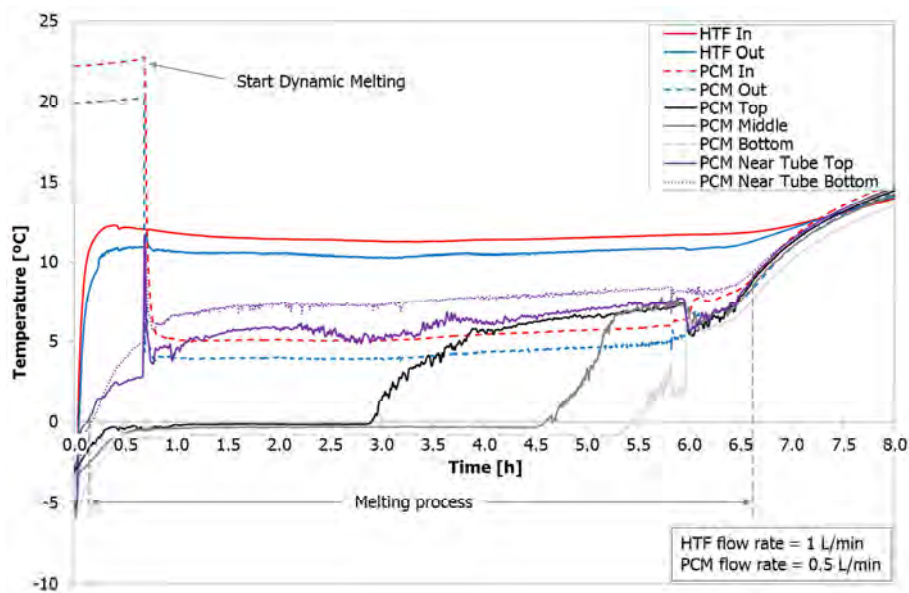


Fig. 4. Temperature evolution of the HTF and the PCM in a discharging process with dynamic melting. Experiment set at an average HTF flow rate of 1 l/min and a PCM flow rate of 0.5 l/min.

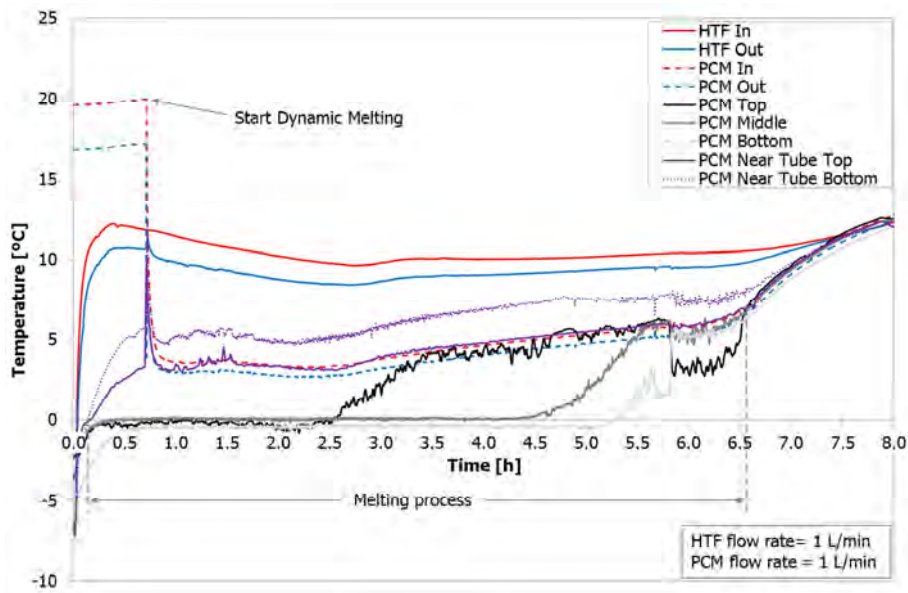


Fig. 5. Temperature evolution of the HTF and the PCM in a discharging process with dynamic melting. Experiment set at an average HTF flow rate of 1 //min and a PCM flow rate of 1 //min.

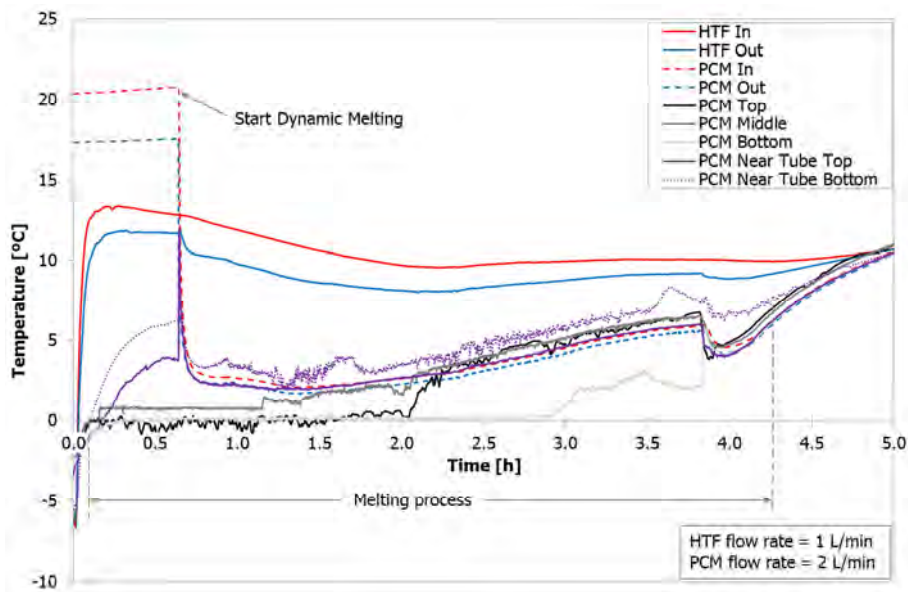


Fig. 6. Temperature evolution of the HTF and PCM in a discharging process with dynamic melting. Experiment set at an average HTF flow rate of 1 //min and a PCM flow rate of 2 //min.

Fig. 7 shows the local effectiveness of the melting process with respect to the PCM liquid fraction for a HTF flow rate of 1 //min with and without the influence of dynamic melting. It can be observed from Fig. 7 that during the baseline test, the effectiveness was initially high, reflecting the low resistance in heat transfer in the PCM. After this, it decreased until the liquid fraction was 0.25, and remained constant until the liquid fraction was 0.4. From the liquid fraction of 0.4 to 0.75, natural convection is likely to have dominated maintaining the resistance relatively constant. Finally, at the end of the melting process, the effectiveness decreased due to

a loss of heat transfer area as the phase front decreased rapidly. The average effectiveness value during the baseline test was 0.088.

During the other processes, all three effectiveness profiles before the beginning of the dynamic melting process were tracking below the baseline test profile. The differences were mainly due to slightly different boundary conditions and experimental errors. The three dynamic melting processes started at a liquid fraction of  $0.095 \pm 0.005$ . Once dynamic melting was initiated, the effectiveness experienced a rapid decrease followed by a sharp increase. The sudden decrease was caused by the mixing of the PCM in the PCM recirculation loop which was at ambient temperature. Before the dynamic melting was activated, the liquid PCM was stratified both in the radial and axial directions: the liquid PCM located nearer the HTF tube was hotter than liquid PCM located nearer to the solid PCM, and the liquid PCM located at the top of the heat exchanger was hotter than liquid PCM located at the bottom. Therefore, when the PCM recirculation pump was switched on, the hotter liquid PCM located at the top and in the PCM recirculation loop passed through the entire outer wall of the HTF tube, causing the outlet HTF temperature to increase sharply and therefore a sharp decrease in the effectiveness. Very quickly, the liquid PCM was mixed due to the recirculation, which induced the temperature of the PCM to drop. As a consequence, the HTF outlet temperature sharply decreased, which caused a sharp increase in the effectiveness. When the liquid fraction was around 0.9, the effectiveness showed again a sudden increase. This is because a small block of ice remaining at the top of the tube would fall into the liquid PCM and cool the HTF. This small block was attached to the shell of the heat exchanger and as the PCM melted, the volume of the PCM reduced, leaving this block above the liquid level.

The magnitude of enhancement of the dynamic melting was related to the PCM flow rate. Dynamic melting showed enhancement when the PCM flow rate was equal to or higher than the HTF flow rate, which is consistent with what was determined in Tay et al. [20]. The effectiveness was based on how close the HTF outlet temperature was to the PCM melting temperature. The PCM recirculation loop was adding heat to the PCM and the impact of this was to increase the HTF outlet temperature as it physically pumped warmer PCM in parallel to the tube and it increased the HTF outlet temperature, as observed in Table 2. Hence, it was found that the measured effectiveness was, in fact, an underestimated value since if the heat gains would not have existed the effectiveness would have been higher. The average effectiveness values during the melting process with PCM flow rates of 1 and 2 l/min were 0.109 and 0.137, respectively, which were 24.7% and 56.4% higher than the value obtained in the baseline test.

It was interesting to note that for the dynamic melting process at a PCM flow rate of 0.5 l/min, which turned to be lower than the HTF flow rate, there was a lack of improvement when compared to the baseline test. It was initially observed that when the dynamic melting process started, the effectiveness increased slightly. However, after this point, the difference compared to the baseline test was small. Notice that if the heat gains did not occur in the PCM recirculation loop, then an improvement in effectiveness would have occurred at this PCM flow rate. At PCM flow rates of 1 and 2 l/min, which turned to be equal to or higher than the HTF flow rate, a significant increase in the effectiveness occurred for the liquid fraction range between 0.1 and 0.45. During this period, the resistance in the PCM was practically constant and much lower than that delivered by natural convection as occurred in the baseline test. The mixing due to the PCM recirculation was able to achieve these enhancements. For the liquid fraction range between 0.45 and 0.9, it decreased gradually as a result of a heat transfer area loss since the PCM front became more two-dimensional.

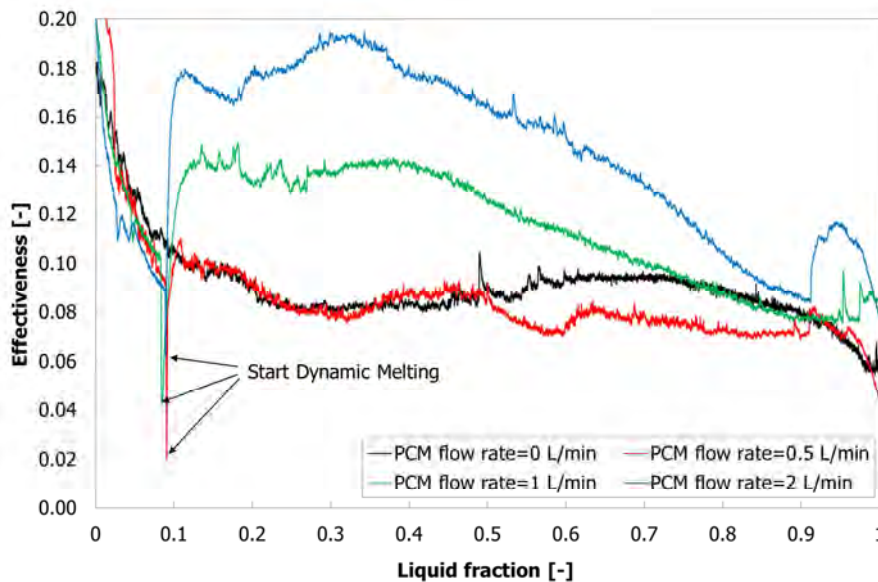


Fig. 7. Local effectiveness evolution during the melting process for an HTF flow rate of 1 l/min with and without the influence of the dynamic melting.

Fig. 8 shows the evolution of the energy released by the HTF, the heat gains in the PCM recirculation loop and the heat gains because of the boundary conditions during the phase change process. This evaluation allows studying the influence of the heat gains on the decreasing of the phase change process time and on the decreasing of the local effectiveness.

Note that during the baseline test (Fig. 8a), basically, all the energy absorbed by the PCM came from the HTF. At the end of the melting process, 92.7% of the energy removed from the PCM during the charging process was recovered, and only 7.3% was lost due to heat gains from the

surroundings. During the processes where the dynamic melting enhancement technique was implemented (from Fig. 8b to Fig. 8d), heat gains due to the recirculation of the liquid PCM outside the heat exchanger reduced the melting time. The energy absorbed by the PCM because of these heat gains was  $32.6 \pm 0.9\%$  of the energy stored during the charging processes, while the energy absorbed by the PCM from the surroundings represented the  $3.5 \pm 0.3\%$ . As a result of the heat gains, the PCM temperature was increased and therefore a reduction in the phase change period was obtained. Notice that the error from the energy balance of the experimentation carried out (Table 2) is less than the 11%, which shows that the results are validated.

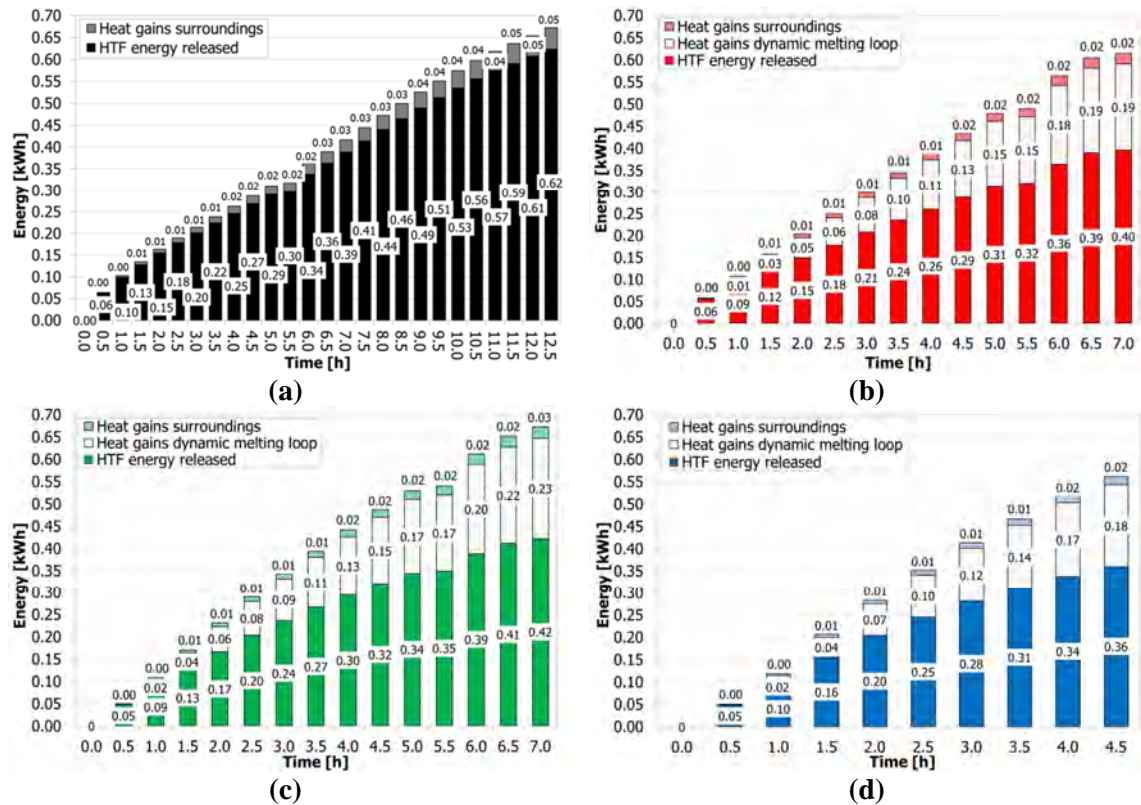


Fig. 8. Evolution of the energy absorbed by the HTF (straight full coloured bar), the heat gains because of the liquid PCM recirculation (white bar), and the heat gains because of the boundary conditions (dotted coloured bar) during the melting process for an HTF flow rate of 1 l/min. (a) Baseline test: PCM flow rate of 0 l/min; (b) dynamic melting: PCM flow rate of 0.5 l/min; (c) dynamic melting: PCM flow rate of 1 l/min; (d) dynamic melting: PCM flow rate of 2 l/min

Fig. 9 shows the change of the heat transfer rate of the HTF during the discharging process, with and without the influence of dynamic melting. Clearly, the impact of dynamic melting is to dramatically increase the heat transfer rate to the HTF. The average heat transfer value of the HTF during the baseline test was 49.1 W, while the average heat transfer value of the HTF during the dynamic melting for flow rates of 0.5, 1 and 2 l/min were 58.2 W, 62.1 W and 81.6

W, respectively. If compared to the baseline test, it represented an enhancement of 18.5, 26.3 and 66 %, respectively. Moreover, it was found that the heat transfer rates of the PCM recirculation loop were 32.9, 37.3 and 48.9 W, respectively. Therefore, if the heat gains were minimized, the heat transfer rate from the HTF would have been even higher, as the HTF would have been the only heat source melting the PCM. Furthermore, the influence of the pump in the PCM rate was found to be very small if compared to the average increase in the heat transfer rate from the dynamic melting. Values from the calculation showed that the heat introduced by the PCM recirculation pump during the dynamic melting for flow rates of 0.5, 1 and 2 l/min were  $4.25 \cdot 10^{-5}$  W,  $1.67 \cdot 10^{-5}$  W, and  $1.09 \cdot 10^{-2}$  W, respectively. The pumping power was determined by calculating the pressure drop through the annulus surrounding the tube at each melt fraction, and subsequently determining the average pumping power over the entire melting process. Given the pumping power is small, the additional capital cost to the system is likely to be small as well.

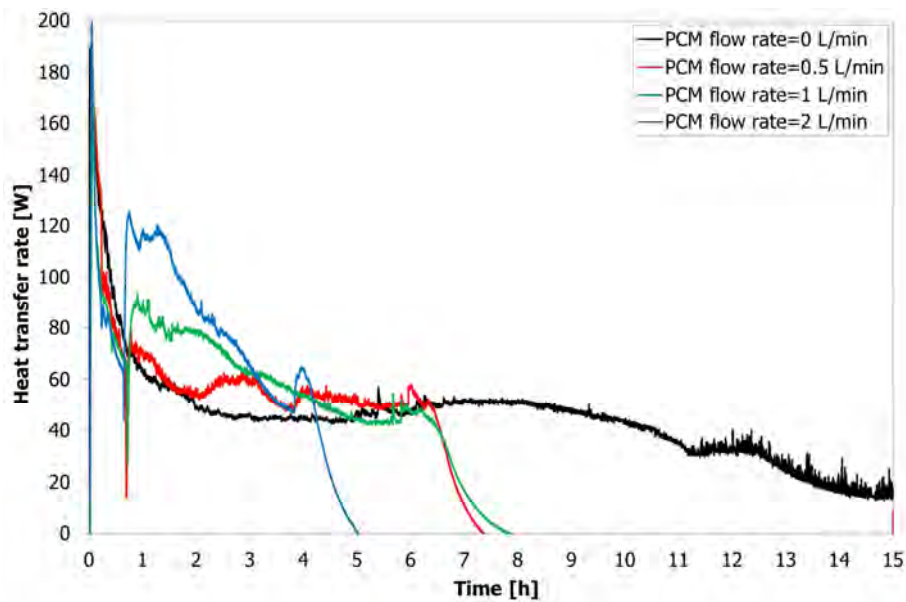


Fig. 9. Evolution of the HTF heat transfer rate during the discharging process for an HTF flow rate of 1 l/min with and without the influence of the dynamic melting.

Finally, Table 2 and Table 3 summarize the results obtained during the experiments and show the enhancements of the dynamic melting.

Table 2. Summary of the results obtained for the different experiments carried out.

PCM flow rate	Melting process time	Dynamic melting process start	Average T.PCM.in	Average T.PCM.out	$E_{HTF}$	$E_{HG,BC}$	$E_{HG,loop}$	$E_{PCM}$	Average $\dot{Q}_{HTF}$	Average $\dot{Q}_{HG,BC}$	Average $\dot{Q}_{HG,loop}$	Average $\dot{Q}_{PCM}$	Average $P_L$	Average effectiveness	Energy balance error
l/min]	[h]	[h]	[°C]	[°C]	[kWh]	[kWh]	[kWh]	[kWh]	[W]	[W]	[W]	[W]	[W]	[-]	[%]
<i>Experimentation without dynamic melting</i>															
0	12.3	-	-	-	0.62 (92.7%)	0.05 (7.3%)	-	0.67 (100%)	49.14	3.89	-	53.03	-	0.09	9
<i>Experimentation with dynamic melting</i>															
0.5	6.61	0.71	5.66	4.58	0.40 (64.5%)	0.02 (3.8%)	0.19 (31.7%)	0.61 (100%)	58.22	3.47	32.87	94.56	$4.25 \cdot 10^{-5}$	0.08	3
1	6.55	0.72	4.57	3.97	0.42 (62.7%)	0.03 (3.8%)	0.23 (33.5%)	0.68 (100%)	62.07	3.75	37.30	103.12	$6.80 \cdot 10^{-4}$	0.14	11
2	4.26	0.65	3.80	3.43	0.36 (64.0%)	0.02 (3.2%)	0.18 (32.8%)	0.56 (100%)	81.56	3.99	48.90	134.45	$1.09 \cdot 10^{-2}$	0.14	8

Table 3. Comparison of the dynamic melting enhancements.

PCM flow rate	Change in melting process time	Average $\dot{Q}_{HTF}$	Change in average effectiveness
0	-	-	-
0.5	47.4 %	18.5 %	-4.5 %
1	47.8 %	26.3 %	24.7 %
2	65.3 %	66.0 %	56.4 %

## 4 Conclusions

A heat transfer enhancement technique known as dynamic melting has been experimentally tested in a cylindrical shell-and-tube heat exchanger using water as PCM. This enhancement technique consists of externally recirculating the liquid PCM during the melting process using an external pump, thereby increasing the overall heat transfer coefficient. Different experiments were carried out with a constant HTF flow rate of 1 l/min and varying PCM flow rates: 0, 0.5, 1 and 2 l/min. Only the parallel flow arrangement has been studied.

The experimental results showed that the phase change processes with the dynamic melting technique were 47.4%, 47.8% and 65.3% faster than the baseline test for flow rates of 0.5, 1 and 2 l/min, respectively. However, this enhancement was not only due to the increase in the overall heat transfer coefficient because of the forced convection, it was also due to the heat gains from the PCM recirculation loop. These heat gains increased the PCM temperature and represented the  $32.5 \pm 1\%$  of the energy stored during the charging processes, which decreased the energy capacity of the LHTES unit. As for the effectiveness, the implementation of the dynamic melting technique only showed an enhancement when the PCM flow rate was equal or higher than the HTF flow rate due to the influence of the heat gains. During the dynamic melting processes with PCM flow rates of 1 and 2 l/min, the average effectiveness was respectively 24.7% and 56.4% higher than the effectiveness of the baseline test. In terms of heat transfer rates, dynamic melting represented an enhancement of 18.5%, 26.3% and 66% for flow rates of 0.5, 1 and 2 l/min, respectively. However, these heat gains are a characteristic of the experiments and not what can be expected in a real application. Hence, if the heat gains did not exist the effectiveness would be higher. An analysis of the pumping power associated with dynamic melting was shown to be negligible relative to the heat transfer improvements.

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# Numerical study of dynamic melting enhancement in a latent heat thermal energy storage system

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## Abstract

In the present work, a 2D Cartesian numerical model is implemented to simulate the transient behaviour of a latent heat thermal energy storage system under the effect of the dynamic melting enhancement technique. This enhancement technique consists of recirculating the liquid phase change material (PCM) during the melting process with an external pump and therefore increasing the overall heat transfer coefficient. Several simulations were carried out to study the influence of the PCM flow direction, the PCM velocity, and the heat gains in the PCM recirculation loop, showing in all cases the benefits of implementing this enhancement technique. Results from the simulations show that when the PCM flows from top to bottom, higher enhancements are obtained when compared to the PCM flowing from bottom to top. Moreover, it is observed that the higher the PCM velocity, the better the enhancement in terms of process duration and heat transfer rates. Additionally, the PCM velocity also has an influence over the evolution of the PCM melting front and thus over the evolution of the PCM temperature profiles. It is shown that the intensity of the enhancements, as well as the evolution of the melting front and temperature profiles, are more influenced by the PCM velocity than by the ratio between the heat transfer fluid (HTF) and PCM velocities. Finally, heat gains should be avoided in the PCM recirculation loop since they decrease the heat transfer rate between the PCM and the HTF.

*Keywords:* Thermal energy storage; Phase change material; Heat transfer enhancement; Dynamic melting; Numerical study; Forced Convection.

## 1. Introduction

Latent heat thermal energy storage (LHTES) systems have been widely studied during the last decades because of their high energy storage densities and almost isothermal operating characteristic, which allow improving the thermal performance of the energy system they are coupled to [1]. However, the low thermal conductivity of the most extensively studied phase change materials (PCMs) hinders the full implementation of these systems for daily life applications. Different authors reviewed the research which has been carried out regarding the implementation of heat transfer enhancement techniques, such as adding highly conductive extended surfaces and combining highly conductive materials with the PCM, in order to overcome the low thermal conductivity problem and increase the overall heat transfer rates in and out of LHTES systems [2-4]. Even though these techniques are found to be very effective in terms of heat transfer enhancement, they are invasive, since a lower quantity of PCM can be placed within the LHTES system. As a consequence, there is a decrease in the packing factor and in the energy storage capacity. In order to overcome these disadvantages, other authors focused on studying non-invasive heat transfer enhancement such as dynamic PCM systems [5,6].

The working principle of dynamic PCM systems is the movement or agitation of the PCM during the phase change process. They can be categorized into five different groups. The first technique is the implementation of ultrasonic vibration in the LHTES system with the enhancements mainly due to the combination of cavitation, acoustic streaming, and thermally oscillating flow initiated by the ultrasonic vibration [7-11]. Oh et al. [7] experimentally investigated it during the melting process. They observed that they could accelerate the melting process up to 2.5 times if compared to the rate of natural melting. Other authors experimentally and numerically investigated the implementation of this technique during the solidification process [8-11]. They focused on preventing the adhesion of the PCM solid layers to the cooling wall and on the generation of solid PCM slurries in subcooled liquid PCM, obtaining better results because of the longer absence of these solid layers.

The second technique, known as close contact melting, bases its working principle on avoiding the attachment of solid PCM on the cold surfaces of the TES system and allowing motion of the solid PCM [12-14]. This is done by creating a thin liquid PCM layer on the wall as a result of setting on it a temperature with a value higher than the PCM melting temperature. Experimental and numerical studies showed a heat transfer rate enhancement and therefore, a melting time reduction. The third technique is known as double screw heat exchanger and consists of transporting the PCM within a constantly moving helicoidally heat transfer surface of a heat

exchanger during both the charging and discharging processes [15]. Few experimental results are available, which show that thermal power up to  $9.3 \pm 0.8$  kW can be obtained using sodium nitrate as PCM and thermal oil as heat transfer fluid (HTF) [5]. The fourth technique is the PCM flux concept, which consists of a transportation line that moves PCM blocks parallel to a heat transfer surface [16]. Therefore, by controlling the speed of the transportation line, the heat flux can be controlled. Experimental results showed that a nearly constant power over time could be obtained, whose level mainly depended on the ratio between the velocity of the PCM block and the velocity of the system heat flux.

Finally, the fifth technique, which is numerically evaluated in the present paper, is the dynamic melting concept. This technique consists of recirculating the liquid PCM during the melting process with an external device, which is used to control the PCM flow rate and the heat transfer rate. The continuous movement and mixing of the PCM not only increases the overall heat transfer, but it also reduces the charging time and increases the effectiveness of the system by promoting forced convection. In addition, this technique prevents phase segregation and PCM degradation, while maintaining the high packing factor. Tay et al. [17,18] and Gasia et al. [19] numerically and experimentally studied this technique, observing enhancements in terms of effectiveness, heat transfer, and melting duration. This technique is at a technology readiness level (TRL) of 4 and thus, further research is needed to move it to a higher TRL and make it practically implementable in real applications.

The work presented in the current paper goes in depth in the dynamic melting concept by providing new results and statements with the aim of increasing the knowledge of this topic. The novelty of this article is the evaluation of the effect of the PCM flow direction, the evaluation of the TES system thermal behaviour under the influence of different HTF and PCM velocities, and under the influence of different heat gains in the PCM recirculation loop, and finally, the description of the PCM fluid dynamics behaviour in the PCM enclosure when the dynamic melting is set. This study is done to determine the qualitative impact of these parameters on a LHTEs system and to explain the nature of experimental results obtained in previous studies [17-19]. Therefore, a 2D numerical model using the modified specific heat-porosity method is selected and used to explain some trends and aspects observed in Gasia et al. [19].

## 2. Numerical and physical model

### 2.1. Geometry and boundary conditions

The 2D Cartesian geometry used to carry out the numerical study is shown in Figure 1. The choice of a 2D model instead of a 3D lies on the computational and convergence cost of this last one, and to facilitate the explanation of the thermodynamics and fluid dynamics of the PCM under the effect of dynamic melting. Hence, this study is not a recreation of the experimentation shown in Gasia et al. [19], but it is a study on its own to look at overarching behaviours. The geometry shown in Figure 1 represents, from left to right, the HTF duct, the intermediate wall, and the PCM enclosure. The HTF duct, through which the HTF flows, has a height of 100 mm and a width of 9 mm. The intermediate wall, which is made of stainless steel and separates the HTF and the PCM, has a height of 100 mm and a width of 1 mm. Finally, the PCM enclosure, which is the cavity where the PCM is located, has a height of 100 mm and a width of 30 mm. In this cavity, two small ducts of 2 mm width are attached at 0 mm to the HTF intermediate wall to simulate the inlet and outlet of the PCM recirculation loop during the dynamic melting process. In a LHTES system using the dynamic melting enhancement technique, the PCM recirculation loop is the external pipe connected to a recirculation pump which is used to move the liquid PCM. Thus, the inlet of this loop is where the liquid PCM enters the LHTES system and the outlet is, where the liquid PCM leaves the LHTES system.

The initial and boundary conditions are the followings:

- Only the melting process is evaluated.
- The initial temperature of the entire system is set at  $T_0 = 268.15$  K to ensure that the PCM is fully solidified and with the same value than in the previous experimental work [19].
- When the discharging process starts, the HTF flows downwards from the top of the HTF duct at velocity  $V_{HTF}$ . During the first 10 s, the HTF progressively increases from the initial system temperature  $T_0$  to a constant final inlet temperature  $T_{in} = 283.15$  K in order to avoid divergences during the simulation.
- Only half of the system is considered since there is symmetry with respect of the vertical symmetry line existing in the HTF duct (Figure 1).
- All the outside walls are thermally insulated, with the exception of the inlet and outlet of the PCM recirculation loop during the performance of the dynamic melting process.
- No-slip conditions are set on the surfaces of the system, with the exception of the vertical symmetry line in the HTF duct.

- The dynamic melting processes are started at  $t_{discharge} = 1200$  s with the liquid PCM circulating at velocity  $V_{PCM}$ . This value ensured that the PCM around the PCM inlet and outlet ducts was completely melted.

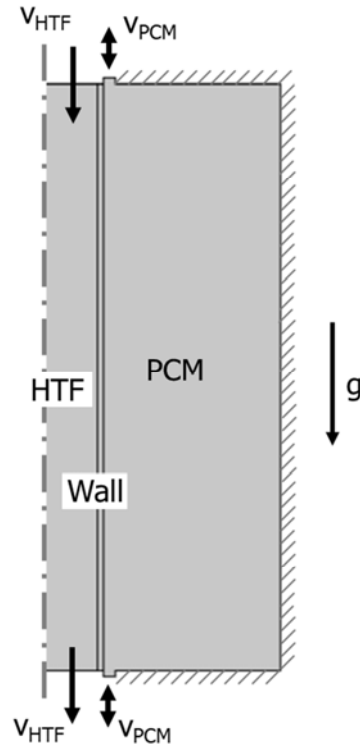


Figure 1. Geometry and boundary conditions of the study

## 2.2. Materials properties

The thermophysical properties of the HTF, intermediate wall and PCM are listed in Table 1. The fluids are considered to be incompressible, and therefore, with a constant density value incompressible for convergence and computational cost purposes. For the simulation work, the density of the PCM has been considered as an average value between the value of the ice density and the value of the water density at 10 °C, 959.5 kg/m<sup>3</sup>.



Table 1. Thermophysical properties of simulated materials.

Properties		Units	PCM [20]	Intermediate wall [21]	HTF [19]
Material		-	Water	AISI 4340 steel alloy	Potassium formate and water solution
Melting temperature ( $T_m$ )		K	273.15	-	-
Phase change temperature range ( $\Delta T_m$ )		K	2	-	-
Latent heat ( $L_f$ )		J/kg	$3.34 \cdot 10^5$	-	-
Coefficient of expansion ( $\beta$ )		1/K	$8 \cdot 10^{-4}$	-	-
Thermal conductivity	solid state ( $k_s$ )	W/m·K	2.30	44.5	-
	liquid state ( $k_l$ )	W/m·K	0.56	-	0.485
Density	solid state ( $\rho_s$ )	kg/m <sup>3</sup>	919	7850	-
	liquid state ( $\rho_l$ )	kg/m <sup>3</sup>	1000	-	1351
Specific heat	solid state ( $c_{p,s}$ )	kJ/kg·K	2.027	0.475	-
	liquid state ( $c_{p,l}$ )	kJ/kg·K	4.186	-	2.661
Dynamic viscosity	liquid state ( $\mu_l$ )	mPa·s	1.52	-	4.7

### 2.3. Numerical model

The numerical model used in this study is based on the well-studied and validated modified heat capacity – porosity method. The modified heat capacity accounts for the latent heat present during phase change while the porosity component of the model deals with the impact of natural convection in the liquid melt.

The physical processes which occur on each element of the system are explained below:

#### 1. Heat transfer fluid

The fluid dynamic behaviour of the HTF was simulated through the Navier-Stokes equations, which consist of time-dependent equations to solve for mass, momentum and energy conservation. Both the mass and the momentum conservation equations were solved simultaneously. The heat transfer between the HTF and the intermediate walls occurs by convection, which is introduced in the material derivative term of the energy conservation equation from the velocities obtained in the mass and momentum conservation equations. These governing equations, assuming fluid incompressibility, take the following forms:

- Continuity:

$$\nabla \vec{u} = 0 \quad (1)$$

where  $\vec{u}$  is the velocity vector.

- Momentum:

$$\rho \frac{D\vec{u}}{Dt} = -\nabla P + \mu \nabla^2 \vec{u} \quad (2)$$

where  $\rho$  is the density,  $P$  is the pressure and  $\mu$  is the viscosity.

- Energy:

$$\rho c_p \frac{DT}{Dt} = k \nabla^2 T \quad (3)$$

where  $c_p$  is the specific heat,  $k$  is the thermal conductivity, and  $T$  is the temperature.

## 2. Intermediate wall

The heat transfer through the intermediate wall is only by conduction, and it is described by the following equation:

$$\rho c_p \frac{\partial T}{\partial t} = k \nabla^2 T \quad (4)$$

## 3. Phase change material

In this study, the authors implemented a modified heat capacity-porosity method to study the behaviour of the PCM. This method has been verified and validated in previous work by the authors [22,23] and other researchers [24]. It treats the PCM as a liquid regardless of it being in the liquid or in the solid state and uses additional functions to avoid material movement when the PCM is below its melting temperature (and hence solid). Moreover, the modified heat capacity-porosity method considers that the phase change transition from solid to liquid, or vice versa, does not occur at a single defined temperature, but over a finite temperature range ( $\Delta T_m$ ), defining an artificial transition region known as the mushy zone, in which the melt fraction (MF( $T$ )) varies from zero in the solid to one in the liquid, following the piecewise function presented in Eq. (5):

$$\text{MF}(T) = \begin{cases} 0 & , T < T_m - \Delta T_m/2 \\ \frac{T - (T_m - \Delta T_m/2)}{\Delta T_m} & , T_m - \Delta T_m/2 < T < T_m + \frac{\Delta T_m}{2} \\ 1 & , T > T_m + \Delta T_m/2 \end{cases} \quad (5)$$

The porosity part of the method models the fluid flow within the mushy zone as a flow through a porous medium, modifying the velocity of the fluid through the mushy zone from zero in the solid to the natural convection velocity in the liquid phase [25]. A phase change temperature range ( $\Delta T_m$ ) of 2 °C was selected as a compromise between simulation time, mesh size, and accuracy of results [24].

The governing equations are the Navier-Stokes equations, which assume incompressibility with variable viscosity:

- Continuity conservation is expressed using Eq. (1).
- Momentum:

$$\rho \frac{D\vec{u}}{Dt} = -\nabla P + \mu \nabla^2 \vec{u} + \vec{S}_a + F_b \quad (6)$$

T

the PCM always being considered a liquid in this method, the Navier-Stokes equations will calculate liquid velocities at every point in the PCM, even in the solid state. In order to speed up calculations and to avoid potential divergences during the simulation, a source term ( $\vec{S}_a$ ) is added to the momentum conservation equation (Eq. 7).

$$\vec{S}_a = -S(T) \cdot \vec{u} \quad (7)$$

where  $S(T)$  is the Carman-Kozeny function defined by Eq. (8). It acts as a damping function as explained by Voller and Prakash [27]: When the PCM is liquid, the Carman-Kozeny function takes a zero value and the momentum equation is not altered. When the PCM is in the mushy zone close to the liquid region, the Carman-Kozeny function starts to take important values and the source term  $\vec{S}_a$  starts to dominate the transient, convective, and diffusive terms. On the contrary, when the PCM is in the mushy zone close to the solid region, the Carman-Kozeny function takes large values and the source term  $\vec{S}_a$  dominate all other terms in the momentum equation, forcing the PCM velocity to values close to zero. Finally, in the solid region, and for analogous reasoning, the PCM velocities are zero.

$$S(T) = A_{mush} \frac{(1 - MF(T))^2}{MF(T)^3 + \varepsilon} \quad (8)$$

where  $A_{mush}$  is the mushy-zone constant, a parameter that controls the degree of penetration of the convection field into the mushy region [25]. Different studies have been done to understand the effect of the parameter of  $A_{mush}$  [22,25-28], where values for  $A_{mush}$  ranging from  $10^3$  to  $10^{10}$  have been used. No consensus has been found for the right value to take since it appears to depend on the code and software used, the actual process studied, and the geometry looked at. Lately, using the same method and the same software, the authors have found that values of  $10^4$  provide the most accurate results when actually comparing the progression of the melting interface in a 2D rectangular enclosure heated from one side to experimental results [22]. This recent work provides great confidence in the selection of  $A_{mush}$  for use in this geometry and using this software. Therefore, in the present study,  $A_{mush}$  takes a value of  $10^4$ .  $\epsilon$  is an arbitrary constant which takes a value of  $10^{-3}$  to avoid division by zero when the PCM is in solid state.

Furthermore,  $\vec{F}_b$  is a volume force which is added to the momentum conservation equation to account for the buoyancy force that gives rise to natural convection in the liquid phase. It is modelled through the Boussinesq approximation as follows:

$$\vec{F}_b = \rho_0 \beta g (T - T_0) \quad (9)$$

where  $\rho_0$  is the reference density which is taken to be the density of the liquid PCM at the melting temperature,  $\beta$  is the thermal expansion coefficient,  $g$  is the gravity acceleration, and  $T_0$  is the reference temperature which is taken to be the melting temperature.

Moreover, in order to better describe the velocity profile and to improve the time of convergence, some studies showed that the viscosity has to be defined as a temperature dependent fluid viscosity through the Carman-Kozeny term  $S(T)$  as shown in Eq. (10) [24,29]. By implementing this modification, the modified viscosity takes the material liquid viscosity ( $\mu_l$ ) value when the temperature is higher than  $T_m + \Delta T_m/2$ , it takes extremely large values when the temperature is lower than  $T_m - \Delta T_m/2$ , and therefore forcing the material to behave as a solid, and it damps following the profile given by the Carman-Koseny term  $S(T)$  within the mushy region, from large values in the solid state to the liquid viscosity value to the liquid state.

$$\mu(T) = \mu_l(1 + S(T)) \quad (10)$$

- Energy:

$$\rho c_p(T) \frac{DT}{Dt} = \nabla \cdot (k(T) \cdot \nabla T) \quad (11)$$

where  $c_p(T)$  is the modified heat capacity, which accounts in the same equation for the latent heat during the phase change. It is calculated as follows:

$$c_p(T) = c_{p,s} + MF(T)(c_{p,l} - c_{p,s}) + L \cdot D(T) \quad (12)$$

where the subscripts 's' and 'l' represent the solid and liquid phases, respectively, and  $L$  is the latent heat. The latent heat contribution to the specific heat is modelled using a Gaussian function centered about the melting temperature ( $D(T)$ ), as shown below:

$$D(T) = \frac{1}{\sqrt{\pi(\Delta T_m/4)^2}} e^{-\frac{(T-T_m)^2}{(\Delta T_m/4)^2}} \quad (13)$$

In order to model the solid and liquid phases of the PCM, the thermal conductivity is also temperature dependant, and as a consequence, the system of equations is rendered non-linear (Eq. 14).

$$k(T) = k_s + MF(T)(k_l - k_s) \quad (14)$$

## 2.4. Model mesh

The mesh used in this study is a physics-controlled mesh with a fine size element (Figure 2). This selection was done after performing a mesh convergence study which ensured a mesh independent solution with a deviation lower than 8% in comparison to best results. The whole domain was discretized in 7066 elements, being 89.73% of them triangular and 10.27% quadrilateral (Table 2).

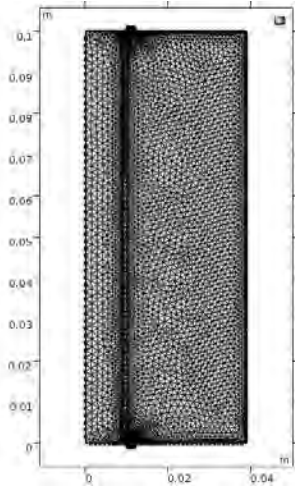


Figure 2. Mesh used in this study

Table 2. Parameters of the mesh selected in this study

Domain	Total elements	Triangular elements	Quadrilateral elements
<b>Total</b>	7066	6340	726
<b>HTF</b>	1393	1207	186
<b>Stainless steel wall</b>	553	553	0
<b>PCM</b>	5120	4580	540

## 2.5. Numerical study

The numerical study consisted of the 14 simulations which are summarized in Table 3. These simulations were ran in COMSOL Multiphysics 5.3 on an Intel Xeon 16 Core processor (2.40 GHz) with 128 GB of RAM. The studies evaluated the effect of the dynamic melting enhancement technique for different PCM flow directions, different heat gains in the PCM recirculation loop, and different PCM and HTF inlet velocities. Thus, to properly evaluate the temperatures over time, several monitor points were added in the PCM enclosure and in the HTF duct (Figure 3).

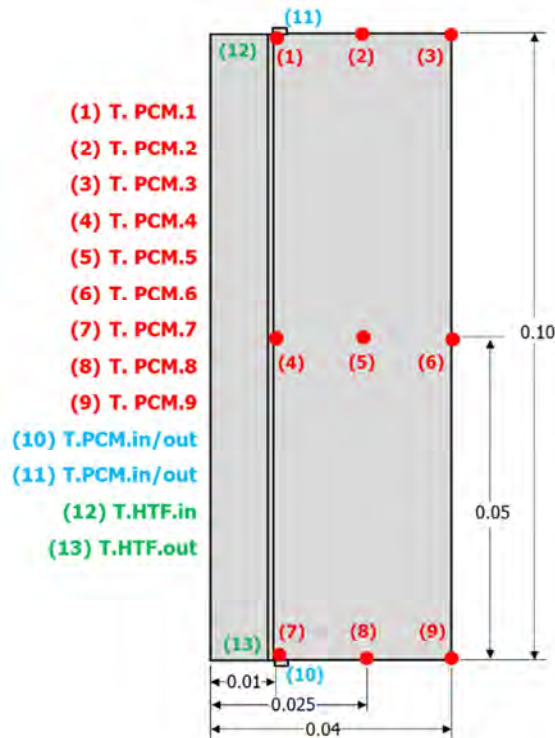


Figure 3. Monitor points used to measure the PCM temperature

Table 3. Simulations carried out in the present numerical study

Case study	Dynamic melting	HTF flow direction	PCM flow direction	Heat gains [W]	HTF Velocity [m/s]	PCM Velocity [m/s]
1	No	Top to bottom	-	-	0.05	0.00
2	Yes	Top to bottom	Top to bottom	No	0.05	0.05
3	Yes	Top to bottom	Bottom to top	No	0.05	0.05
4	Yes	Top to bottom	Top to bottom	10 W	0.05	0.05
5	Yes	Top to bottom	Top to bottom	25 W	0.05	0.05
6	Yes	Top to bottom	Top to bottom	100 W	0.05	0.05
7	Yes	Top to bottom	Top to bottom	No	0.05	0.025
8	Yes	Top to bottom	Top to bottom	No	0.05	0.10
9	Yes	Top to bottom	Top to bottom	No	0.05	0.20
10	No	Top to bottom	-	-	0.025	0.00
11	Yes	Top to bottom	Top to bottom	No	0.025	0.0125
12	Yes	Top to bottom	Top to bottom	No	0.025	0.025
13	Yes	Top to bottom	Top to bottom	No	0.025	0.05
14	Yes	Top to bottom	Top to bottom	No	0.025	0.10

## 2.6. Calculation procedure

The melt fraction (MF) is the portion of PCM which is in liquid state in a domain at a given time. In a 2D Cartesian model, it is defined as the surface integral of all PCM elements with a temperature higher than the upper temperature of the melting range, divided by the total area of all elements (Eq. 15).

$$MF = \frac{\iint \text{Area with } (T > (T_m + \Delta T/2)) \cdot dx \cdot dy}{A_{tot}} \quad (15)$$

The heat transfer rate ( $\dot{Q}_{HTF}$ ) of the HTF is obtained as Eq (16) shows:

$$\dot{Q}_{HTF} = \dot{m}_{HTF} \cdot Cp_{HTF} \cdot (T_{HTF.in} - T_{HTF.out}) \quad (16)$$

where  $\dot{m}_{HTF}$  is the HTF mass flow rate,  $Cp_{HTF}$  is the specific heat,  $T_{HTF.in}$  is the temperature at the inlet of the duct, and  $T_{HTF.out}$  is the temperature at the outlet of the duct. Note that both HTF

temperatures in Eq. (15) are mean temperatures of the fluid as defined for internal forced convection problems.

Finally, integrating the previous equation over the process time, one can obtain the energy released by the HTF as shown in Eq. (16):

$$E_{HTF} = \int \dot{Q}_{HTF} \cdot dt \quad (17)$$

### 3. Results and discussion

#### 3.1. Influence of the flow arrangement

Figure 4 shows the evolution of the PCM temperature recorded by the monitor points T.PCM.3, T.PCM.6, and T.PCM.9 for the case studies 1, 2 and 3 presented in Table 3. The HTF flows from top to bottom at a velocity of 0.05 m/s, while the PCM does not flow in the static melting process (SM), and it flows at an average PCM velocity of 0.05 m/s during the dynamic melting process. The direction of the PCM flow depends on the flow arrangement, from top to bottom in the parallel flow arrangement (DM\_PF), and from bottom to top in the counter-flow arrangement (DM\_CF).

In Figure 4, it can be seen that during the first 1200 s the three monitoring points show the same behaviour since the dynamic melting process is not occurring. However, the temperature profiles start to differ as a consequence of the activation of the dynamic melting process. With the absence of PCM recirculation in the LHTES system (SM), the PCM located at the upper part of the enclosure melts faster than when the PCM is recirculated, which is consistent with Tay et al. [16]. Natural convection plays an important role and results in the rise of the warmer PCM, which leads to increase the heat transfer in the top region. Therefore, the influence of natural convection causes the PCM located at the bottom region to melt in the last place. On the other hand, when PCM recirculation takes place, the flow arrangement determines which regions of the LHTES systems melt in the first and last place. When the PCM is recirculated from top to bottom (DM\_PF) the PCM located at the middle region melts in the first place, followed by the PCM located at the upper and at the bottom regions, respectively. This is due to the fact that liquid PCM from the bottom region of the LHTES system, which is at a lower temperature than the PCM located at the upper region, is externally recirculated to the top of the LHTES system. Thus, higher heat transfer rates occur between the HTF and the PCM as a result of an increase of the temperature gradient, accelerating both the melting process and the modification of the



melting front shape. On the contrary, when the PCM flows from bottom to top (DM\_CF), the PCM melts following the same pattern than the case with no PCM recirculation.

Figure 5 presents the evolution of the melting front at different moments of the discharging process. It is found that this result differs from the one observed by Gasia et al. [19], who experimentally studied the same phenomenon. In that study, the authors observed that the solid pieces of ice which were detached from the system surfaces, floated and remained around the upper portion for a long period of time and, as a consequence, affected to the temperature distribution. The impact of the floating ice was not implemented in this numerical model for computational reasons, which explains this difference. As the melting process goes on, the influence of the PCM recirculation was observed in the PCM temperature (Figure 4), the evolution of the melting front (Figure 5), and the velocity profiles (Figure 6).

When the dynamic melting process is activated ( $t_{discharge}=1200$  s) the melting front presents an irregular shape, no matter which flow arrangement is set (Figure 5). Therefore, the liquid PCM flow channel inside the enclosure does not present a homogeneous cross-sectional area. The liquid PCM flow channel is defined as the section of liquid PCM limited by solid walls, which in the current study are the HTF intermediate wall and the melting front. In the upper region, the melting front is found halfway in the PCM enclosure, while in the bottom region the melting front is found very close to the duct of PCM recirculation loop. This situation causes that when the PCM is recirculated from top to bottom (DM\_PF), the PCM initially follows the theoretical linear path that connects the inlet and outlet of the PCM recirculation loop. Continuously, it gradually diverges towards the outer wall of the LHTES system, modifying the melting front shape as seen in Figure 5 (b). This is due to the sudden expansion that suffers the liquid PCM when it enters the top of the LHTES system, which has a large cross-sectional area, coming from the PCM recirculation loop, which has a lower cross-sectional area. This effect is increased by the existence of a sharp 90° turn in this section of the LHTES system that makes the liquid PCM flow streamline to separate from the wall and to take the above-mentioned diverging pattern towards the external wall of the LHTES system (Figure 6) and, as a consequence, to hit the PCM melting front further downstream at a distance which depends on the Reynolds number [30-32]. Moreover, as a result of this sudden expansion, the PCM is decelerated and it suffers an increase in its pressure, creating a pressure difference between the regions with different cross-sectional areas in the direction of the flow. Furthermore, the liquid PCM located in the right-top corner region, which is out of direct influence of the PCM flow streamline, suffers a reverse recirculation since its low kinematic energy cannot overcome the adverse pressure increase created in the direction of the flow streamline (Figure 6). A similar behaviour is observed in the liquid PCM located in the region close to the HTF intermediate

wall. The adverse pressure gradient generated in the enclosure causes a detachment of the liquid PCM flow streamline from the surface of the HTF wall, and a further reattachment to the wall at a certain distance downstream, which also depends on the Reynolds number [30-32]. As a result, a reverse recirculation zone is generated within the space between both detachment and reattachment points, for analogous reasoning.

When the PCM is recirculated from bottom to top (DM\_CF), the previous behaviour is not observed anymore, and the PCM follows during the whole process the theoretical linear path which connects the inlet and outlet of the PCM recirculation loop. At the beginning of the dynamic melting process, the liquid PCM flow channel at the bottom region of the LHTES system has a cross-sectional area which is almost identical to the cross-sectional area of the PCM recirculation loop inlet. This characteristic does not allow a sudden expansion of the incoming liquid PCM as seen before. However, the cross-sectional area of the liquid PCM flow channel gradually increases as it moves upwards the enclosure because of the effect of the natural convection on the early stages of the process, becoming more remarkable at the upper region. Furthermore, the sudden and sharp contraction that the liquid PCM suffers at the top region when it leaves the LHTES system creates a recirculation zone between the outlet of the PCM recirculation loop and the melting front due to the pressure gradients which are generated (Figure 6). As the process continues, the region of liquid PCM which is not directly influenced by the liquid PCM flow streamline is affected by the effects of the natural convection. Thus, it results into a temperature profile and melting front evolution similar to the evolution observed when the process has no PCM recirculation, but with a faster response, confirming the benefits of forced convection.

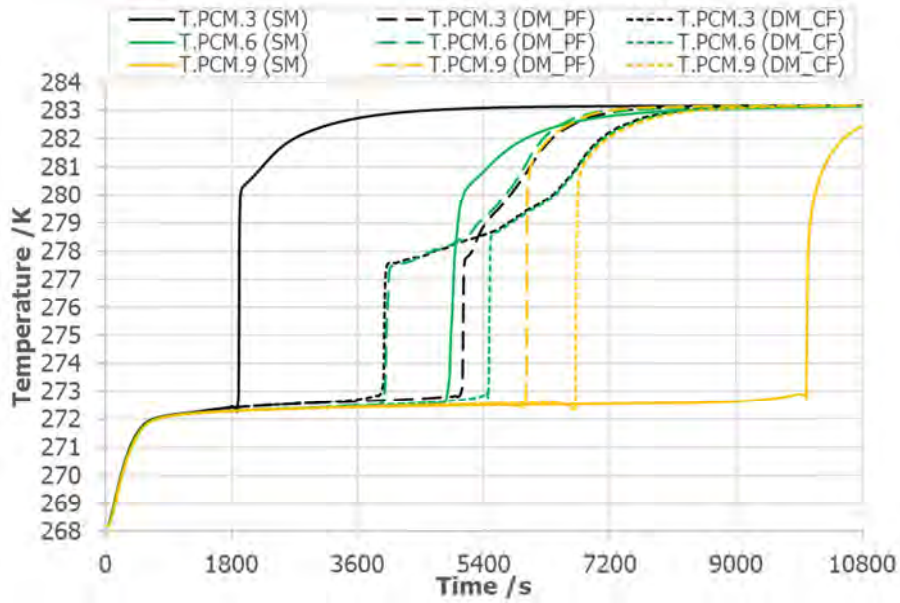


Figure 4. Temperature of the PCM at the upper-right corner (T.PCM.3), centre-right side (T.PCM.6), and bottom-right corner (T.PCM.9) of the LHTES system. Influence of the PCM flow arrangement for an HTF flowing from top to bottom at an average velocity of 0.05 m/s: No dynamic melting (SM), PCM flowing from top to bottom (DM\_PF), PCM flowing from bottom to top (DM\_CF).

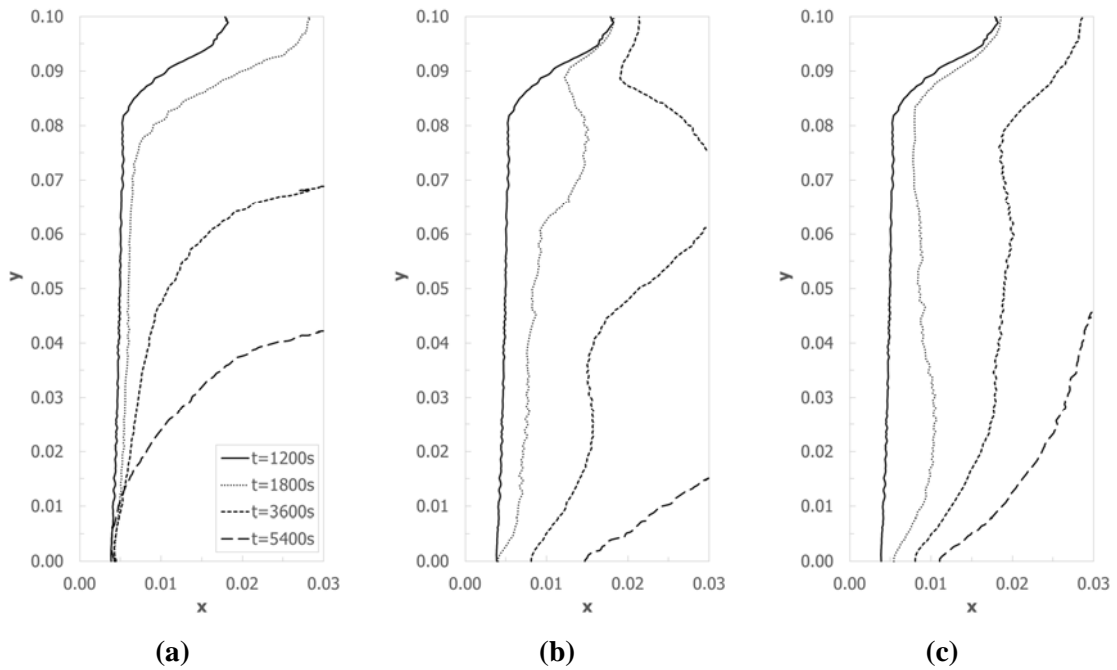
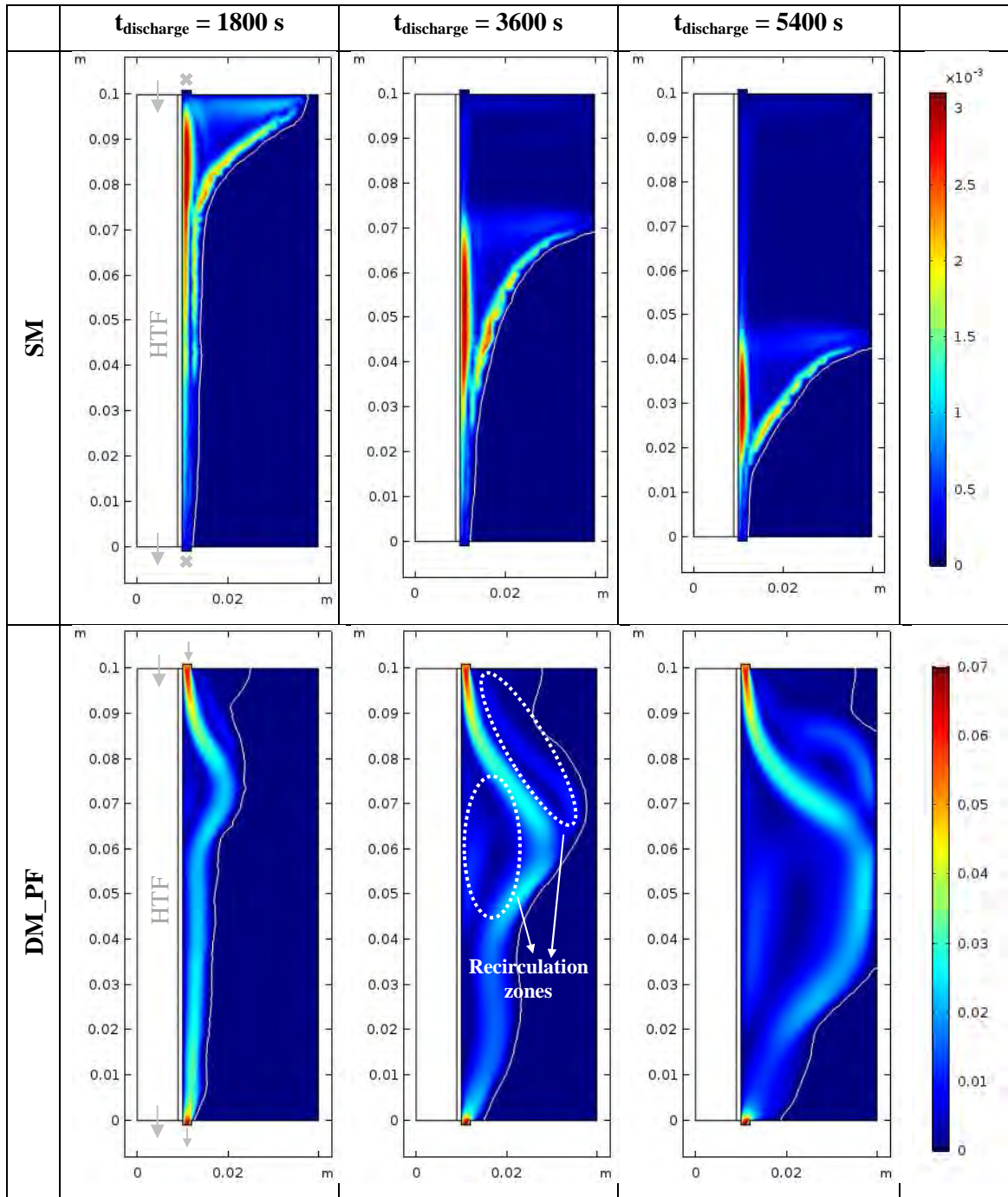


Figure 5. Melting front at  $t=1200$  s,  $t=1800$  s,  $t=3600$  s, and  $t=5400$  s. Influence of the PCM flow arrangement for an HTF flowing from top to bottom at an average velocity of 0.05 m/s: No dynamic melting (SM), PCM flowing from top to bottom (DM\_PF), PCM flowing from bottom to top (DM\_CF).



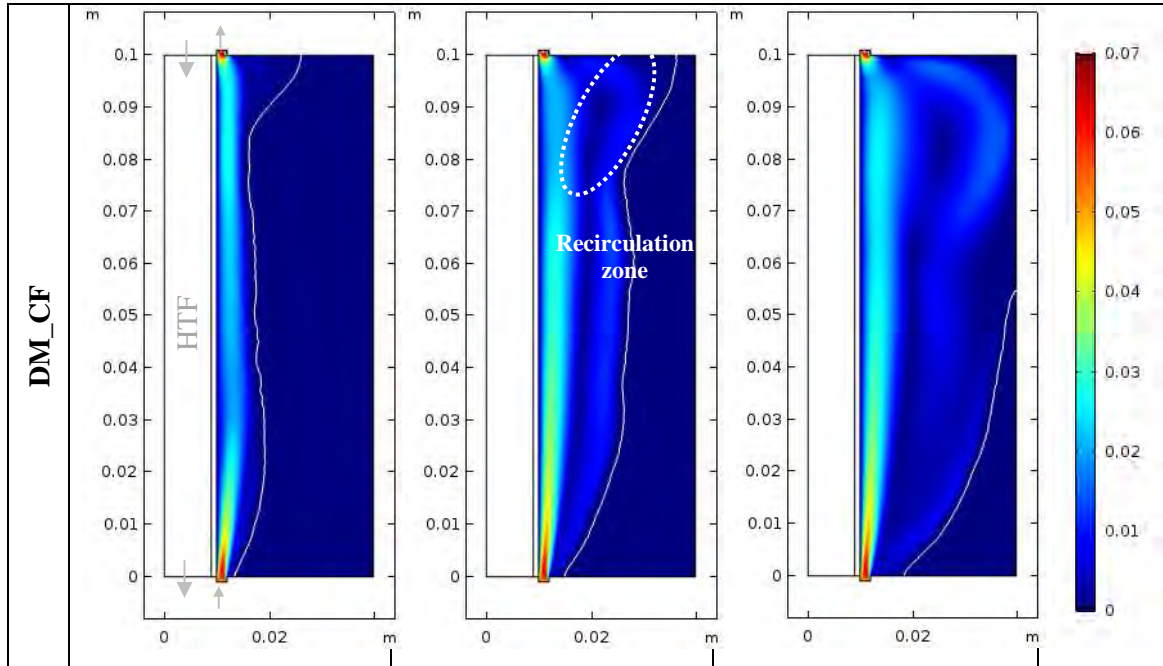


Figure 6. Velocity profiles at  $t=1800$  s,  $t=3600$  s, and  $t=5400$  s. Influence of the PCM flow arrangement for an HTF flowing from top to bottom at an average velocity of  $0.05$  m/s: No dynamic melting (SM), PCM flowing from top to bottom (DM\_PF), PCM flowing from bottom to top (DM\_CF). The white line represents the boundary where the PCM is at the melting temperature.

The influence of the PCM recirculation can be easily observed in the evolution of the heat transfer rate (Figure 7) and the melting fraction (Figure 8) profiles. Notice that when the dynamic melting process is activated ( $t_{discharge} = 1200$  s), differences are observed in the three cases studied. The average value of the heat transfer rate during the discharge is  $104.7$  W when there is no PCM recirculation (SM). The heat transfer rate is increased to  $173.8$  W (+ 66.1%) when the PCM flow from top to bottom (DM\_PF), while the heat transfer rate becomes  $152.5$  W (+45.7%) when the PCM flows from bottom to top (DM\_CF). These results indicate that the recirculation of the liquid PCM increases the heat transfer rates in comparison to the case with no PCM recirculation. When the PCM is recirculated from top to bottom, the colder liquid PCM is sucked from the bottom region of the system and poured to the top region, which makes the temperature gradient between the inlets of the HTF and the PCM higher than when the PCM is recirculated from bottom to top. In such case, warmer PCM from the top is externally pumped to the bottom, allowing the colder water from the bottom to internally flow towards the upper region of the system but at a higher average temperature. The presence of higher values of heat transfer rates leads to the melting process finishing 40% earlier in the parallel flow arrangement ( $t_{MF=1} = 6000$  s) and 32.7% earlier in the counter flow arrangement ( $t_{MF=1} = 6730$  s) when compared to the case with no PCM recirculation ( $t_{MF=1} = 10000$  s).

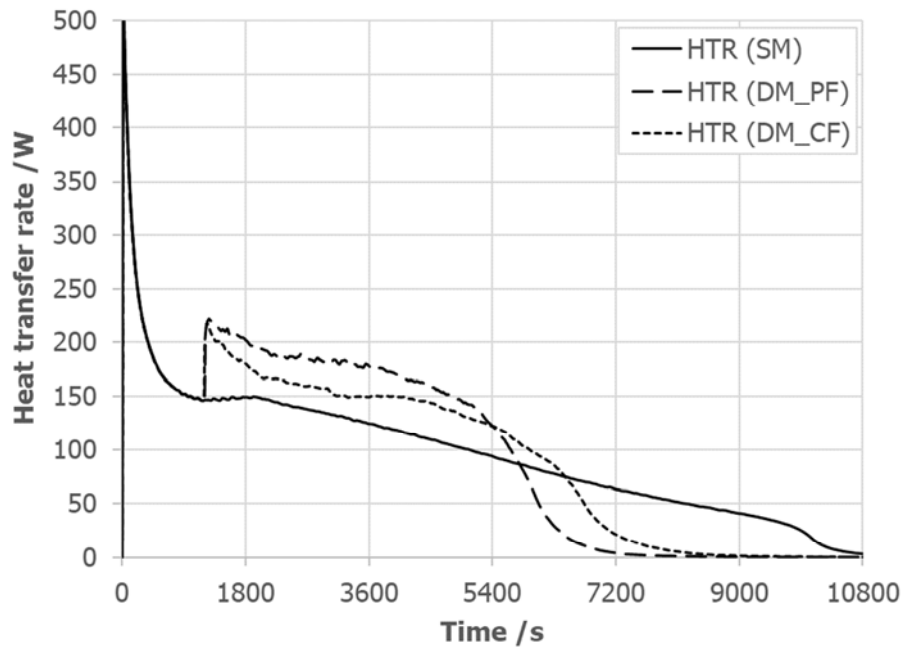


Figure 7. Evolution of the HTF heat transfer rate. Influence of the PCM flow arrangement for an HTF flowing from top to bottom at an average velocity of 0.05 m/s: No dynamic melting (SM), PCM flowing from top to bottom (DM\_PF), PCM flowing from bottom to top (DM\_CF).

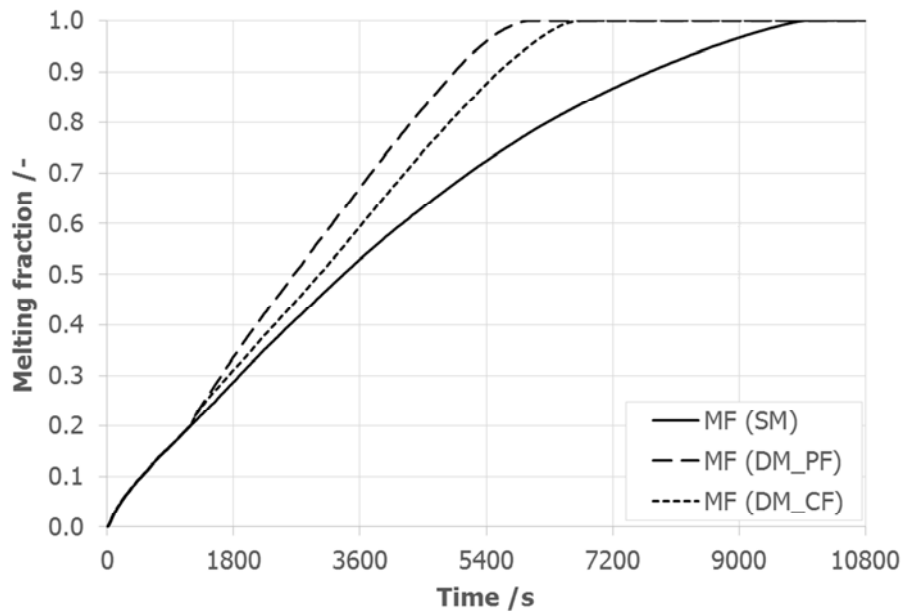


Figure 8. Evolution of the melting fraction. Influence of the PCM flow arrangement for an HTF flowing from top to bottom at an average velocity of 0.05 m/s: No dynamic melting (SM), PCM flowing from top to bottom (DM\_PF), PCM flowing from bottom to top (DM\_CF).

### 3.2. Influence of the PCM velocity

Having determined that recirculating the liquid PCM from top to bottom provides an added heat transfer rate, the following step is to study the influence of the PCM velocity in the recirculation loop on the heat transfer and energy storage behaviour (case studies 1, 2, 7, 8, and 9 presented in Table 3). Moreover, the authors also investigated the possible relationship between the enhancements observed because of the dynamic melting and the ratio between the HTF and PCM velocities as stated by Tay et al. [16] and Gasia et al. [19] from previous experimental studies.

Figure 9 displays the evolution of the melting fraction for an average HTF velocity of 0.05 m/s and different PCM velocities, both fluids flowing from top to bottom. It can be observed that increasing the value of the average PCM velocity translates to a decrease of the time needed to fully melt the entire PCM inside the LHTES system. Results from the simulations shows that when the PCM velocity is set to be half of the HTF velocity (i.e  $v_{PCM} = 0.025$  m/s), the time needed to entirely melt the PCM is 6910 s, which represents a reduction of 30.9% as compared to the case with no PCM recirculation ( $t_{SM,MF=1} = 10000$  s). If the PCM velocity is increased, the time needed to fully melt the PCM is 6000 s ( $v_{PCM} = 0.05$  m/s), 5120 s ( $v_{PCM} = 0.1$  m/s), and 4560 s ( $v_{PCM} = 0.2$  m/s), which represents a reduction of 40%, 48.8%, and 54.4% as compared to the case with no PCM recirculation, respectively. The reduction of the melting period is due to the increase in heat transfer rates between the HTF and the PCM, as shown in Figure 10. Notice that when the PCM velocities are increased, both the peak and average values of the heat transfer rates are increased. Results from simulations show that when the PCM velocities are 0.025 m/s, 0.05 m/s, 0.1 m/s, and 0.2 m/s, the average heat transfer values are 150 W, 173.8 W, 200.3 W, and 220.7 W, respectively. Hence, if compared to the case with no PCM recirculation, the enhancements are 43.3%, 66.1%, 91.3%, and 110.8%, respectively. When the PCM velocity is higher, the liquid PCM temperature does not change as much within between the inlet and the outlet of the PCM recirculation loop. Thus, the temperature difference between HTF and PCM stays higher, since lower temperature PCM from the bottom region of the LHTES system is pumped to the top, and as a consequence it increases the heat transfer. Moreover, since the HTF flow is laminar, the heat transfer coefficient is constant, and the heat transfer rate mainly depends on the temperature gradients between the HTF and the PCM.

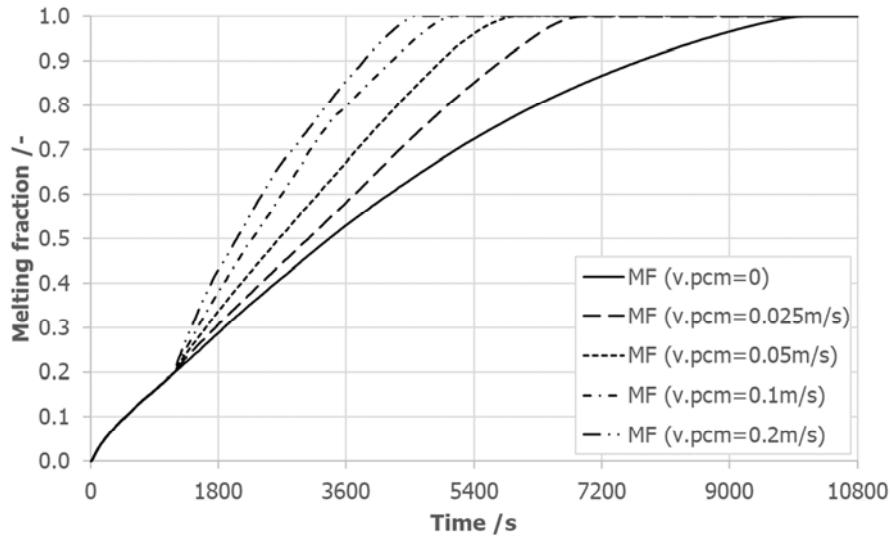


Figure 9. Evolution of the melting fraction. Influence of the liquid PCM velocity for an average HTF velocity of 0.05 m/s. Both PCM and HTF flow from top to bottom.

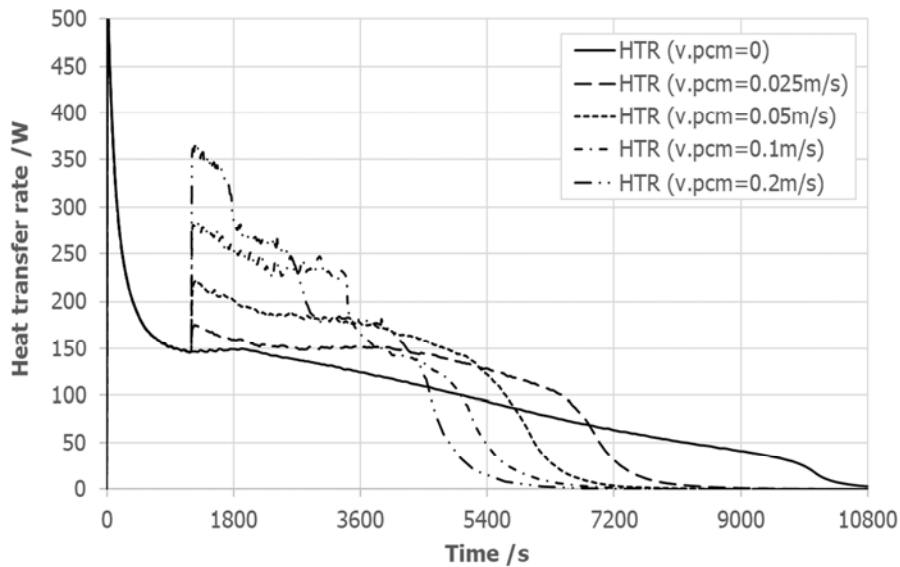


Figure 10. Evolution of the HTF heat transfer rate. Influence of the liquid PCM velocity for an average HTF velocity of 0.05 m/s. Both PCM and HTF flow from top to bottom.

The results from the simulation also show a different behaviour in the evolution of temperature profiles of the PCM located at the right side of the LHTES system (Figure 11) and the evolution of the melting front (Figure 12) depending on the PCM velocity. Notice that when the PCM velocity is 0 m/s or 0.025 m/s the PCM located at the upper-right corner increases its temperature faster than the PCM located at centre-right side and the bottom-right corner. When the PCM velocity is 0.05 m/s or 0.1 m/s, the PCM located at the centre-right region melts faster than the PCM located at the upper- and bottom-right corners. Finally, when the PCM velocity is 0.2 m/s, the PCM located at the bottom-right corner increases its temperature faster than the



PCM located at the upper- and centre-right regions. It is observed that the size and structure of the two recirculation zones above-mentioned (the one generated at the intermediate wall and the one generated in the upper region of the LHTES system as a result of the sharp corner) is strongly dependant on the PCM velocity, consistent with [30-32]. For lower velocities, the pressure gradients generated by the sudden expansion cause the liquid PCM streamline to move towards the upper region of the external wall of the LHTES. As a result, the detachment point from the intermediate wall is located at the upper region of the LHTES system and therefore big recirculation zones are created on this wall, while the recirculation zone generated at the upper regions decrease its size. On the other hand, for higher velocities, the liquid PCM streamline is attached to the wall for a longer length and the detachment point is located downwards. Thus, the recirculation zone generated on the wall has a smaller size while the other one increases its size. These results are consistent with the experimental results obtained in Gasia et al. [19], where a similar behaviour on the temperature profiles were observed.

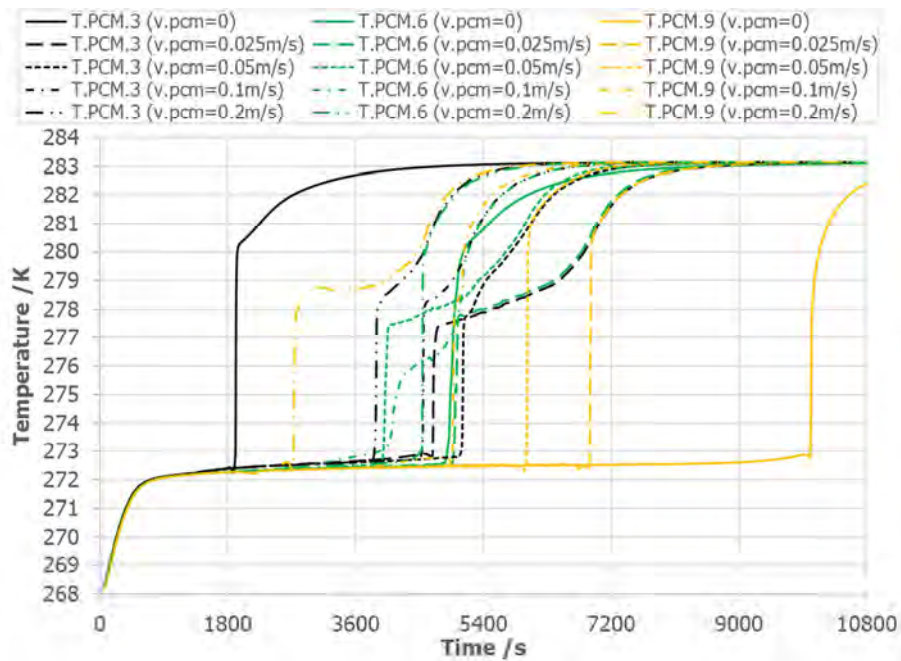


Figure 11. Temperature of the PCM at the upper-right corner (T.PCM.3), centre-right side (T.PCM.6), and bottom-right corner (T.PCM.9) of the LHTES system. Influence of the PCM velocity for an average HTF velocity of 0.05 m/s. Both PCM and HTF flow from top to bottom.

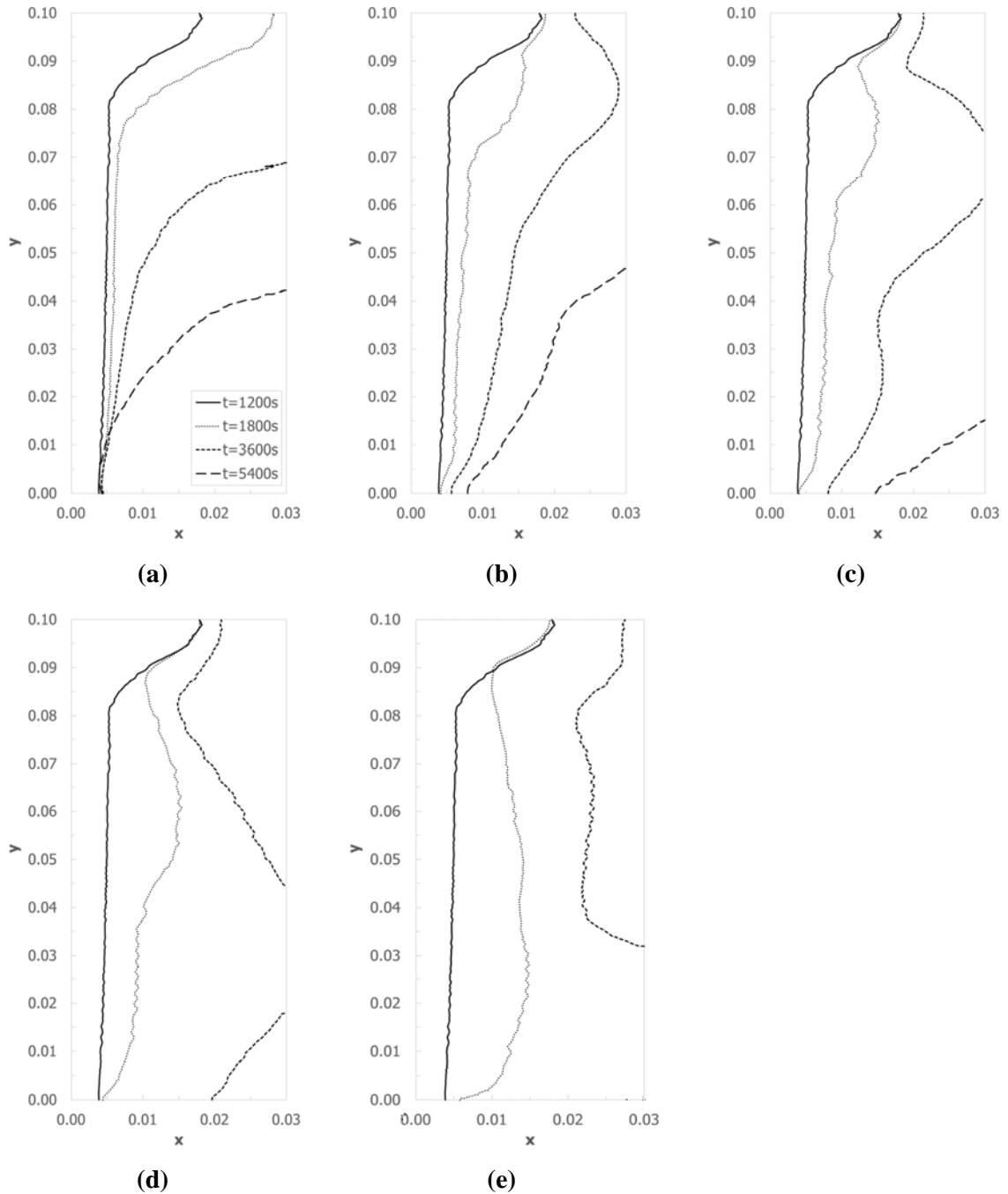


Figure 12. Melting front at  $t=1200$  s,  $t=1800$  s,  $t=3600$  s, and  $t=5400$  s. Influence of the PCM velocity for an average HTF velocity of  $0.05$  m/s: (a)  $v_{PCM}=0$ , (b)  $v_{PCM}=0.025$  m/s, (c)  $v_{PCM}=0.05$  m/s, (d)  $v_{PCM}=0.10$  m/s, and (e)  $v_{PCM}=0.20$  m/s. Both PCM and HTF flow from top to bottom.

The influence of the ratio between the PCM and the HTF velocities ( $v_{PCM} / v_{HTF}$ ) is also studied to evaluate if the dynamic melting enhancement is influenced by this ratio, or it is uniquely influenced by the PCM velocity (Case studies 10, 11, 12, 13, and 14 presented in Table 3). Hence, the average HTF velocity was reduced to  $0.025$  m/s while the ratio between both velocities was the same than in the previous case study ( $v_{PCM} / v_{HTF} = 0, 0.5, 2, \text{ and } 4$ ). Hence, the new PCM velocities are:  $v_{PCM} = 0, 0.0125, 0.025, 0.05, \text{ and } 0.1$  m/s, respectively). Figure 13

shows the evolution of the melting front at different moments of the process for the five above-mentioned PCM velocities. As compared to the previous case study (Figure 12), it can be observed that for the same PCM velocity, the melting front follows practically the same pattern over time (i.e. Figure 12(b) and Figure 13(c), Figure 12(c) and Figure 13(d), Figure 12(d) and Figure 13(e)). The only difference is that the melting front profile has slightly moved more towards the right border of the enclosure when the HTF velocity is higher ( $v_{HTF}=0.5$  m/s). The reason lies on the fact that with lower HTF velocities, the heat transfer rates are lower and therefore, lower quantity of PCM is melted (Figure 14). Thus, it can be concluded that in absence of heat gains in the LHTES system, the enhancement obtained by the implementation of the dynamic melting concept is uniquely due to the PCM velocity. Hence, there is no threshold in the ratio between the PCM and HTF velocities below which there is no enhancement in terms of heat transfer, opposite to what it was stated in Tay et al. [16] and Gasia et al. [19].

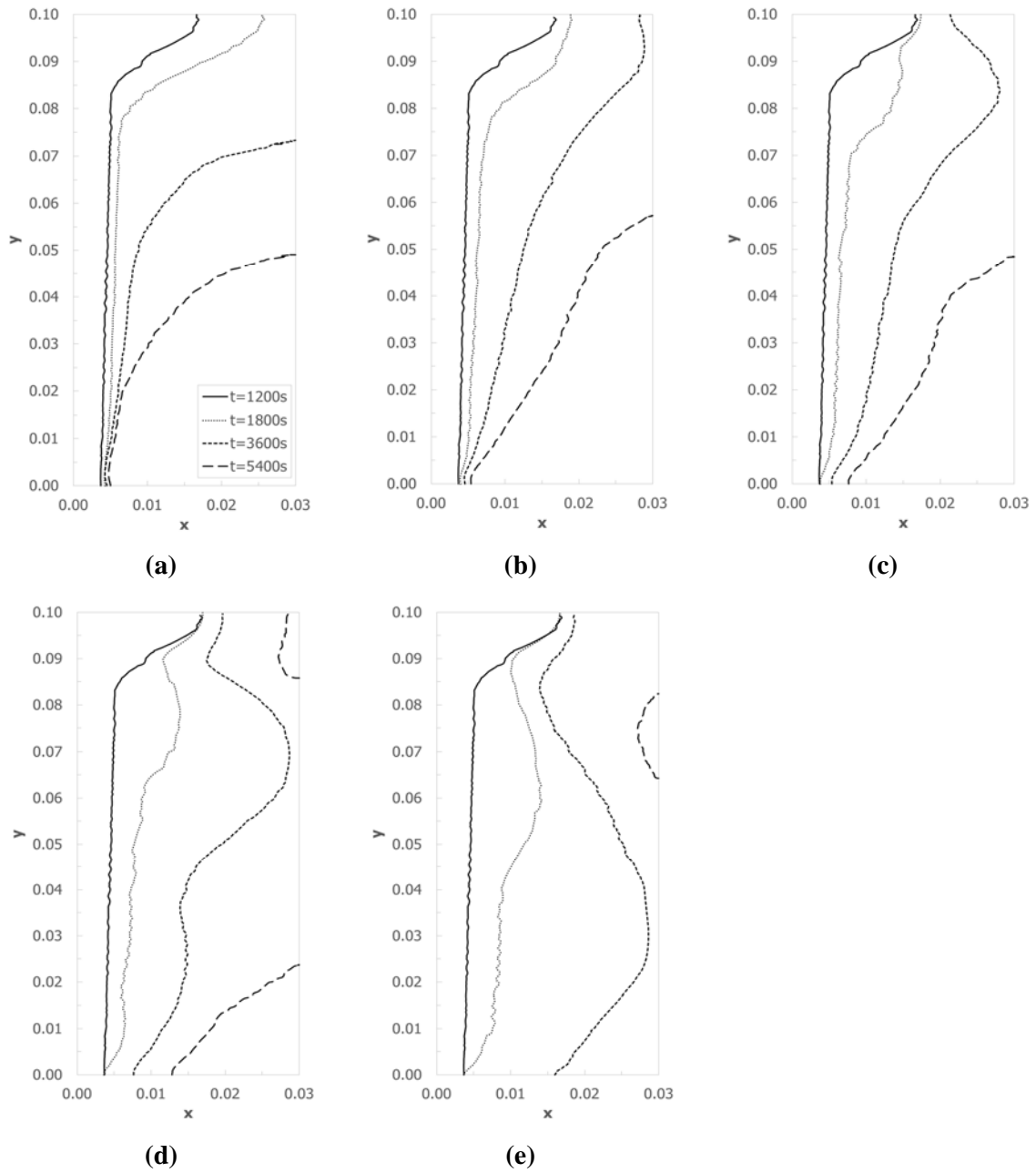


Figure 13. Melting front at  $t=1200\text{ s}$ ,  $t=1800\text{ s}$ ,  $t=3600\text{ s}$ , and  $t=5400\text{ s}$ . Influence of the PCM velocity for an average HTF velocity of  $0.025\text{ m/s}$ : (a)  $v_{\text{PCM}}=0$ , (b)  $v_{\text{PCM}}=0.0125\text{ m/s}$ , (c)  $v_{\text{PCM}}=0.025\text{ m/s}$ , (d)  $v_{\text{PCM}}=0.05\text{ m/s}$ , and (e)  $v_{\text{PCM}}=0.10\text{ m/s}$ .

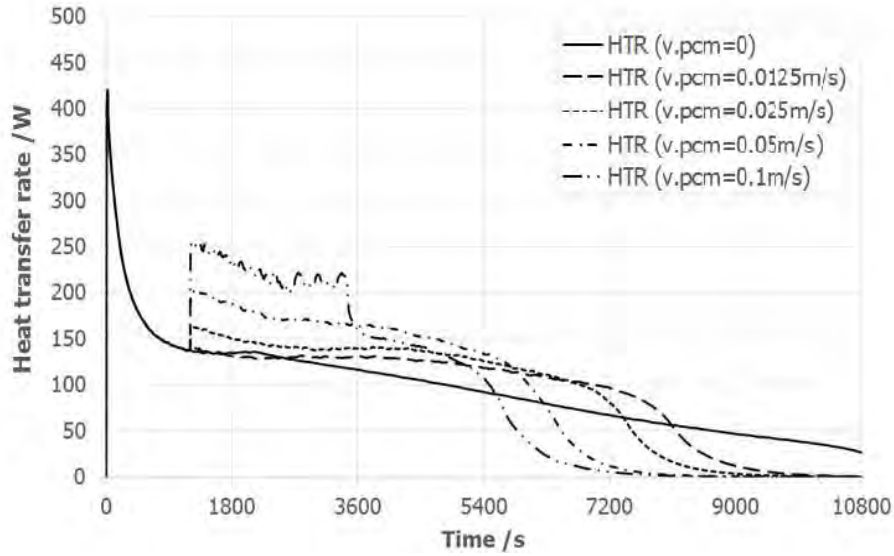


Figure 14. Evolution of the HTF heat transfer rate. Influence of the liquid PCM velocity for an average HTF velocity of 0.025 m/s.

### 3.3. Influence of heat gains in the dynamic melting loop

This section aims to show the influence on the LHTES system thermal behaviour of the heat gains from the PCM recirculation loop. The goal of cooling down the HTF in the LHTES system for refrigeration purposes is to obtain a colder temperature at the outlet of the HTF duct. Hence, heat gains in the PCM recirculation loop makes the PCM temperature increase faster and therefore, reduces the amount of “cold” which can be obtained from the PCM. These heat gains can be generated by two factors. The first factor is due to poor insulation of the PCM recirculation piping, which causes the PCM to absorb energy from the environment. The second factor is by the mechanical losses of the PCM recirculation pump, which increases the internal energy of the liquid PCM. As a result of these heat gains, the PCM increases its temperature and modifies the LHTES system behaviour.

In the present study, five different cases are compared and discussed (Case studies 1, 2, 4, 5, and 6 presented in Table 3). First, a static melting process with the HTF flowing from top to bottom at a constant velocity of  $v_{HTF} = 0.05$  m/s without the effect of the dynamic melting (DM). Second, a dynamic melting process with the same HTF flow arrangement, and the liquid PCM flowing from top to bottom at a constant velocity of  $v_{PCM} = 0.05$  m/s without heat gains (DM\_PF). Finally, the same dynamic melting processes with heat gains on the PCM recirculation loop of 10 W, 25 W, and 100 W (DM\_PF\_10W, DM\_PF\_25W, DM\_PF\_100W, respectively).

Results from the simulations show that the increase on the inlet temperature of the PCM at the top of the LHTES system, as a result of the heat gains in the recirculation loop, causes the PCM to melt faster than with absence of heat gains (Figure 15). Indeed, the time needed to fully melt the PCM when the heat gains are 10 W, 25 W, and 100 W are 5820 s, 5600 s, and 4700 s, respectively, in comparison to the 6000 s needed when no heat gains are being absorbed by the PCM. Therefore, the melting process is affected, and the time needed to complete it is reduced up to 21.7% if there are constant heat gains of 100 W. However, this reduction is not beneficial as it can be seen in Figure 16 and Figure 17. The fact of increasing the inlet PCM temperature directly affects the heat transfer between the HTF and the PCM, therefore the heat released by the HTF during the melting process. As observed in section 3.1, the fact of recirculating colder PCM from the lower regions of the LHTES system to its upper regions dramatically increases the heat transfer. However, if warmer PCM is pumped to the LHTES system, the temperature gradient between the HTF and the PCM is decreased, and so does the heat transfer. Results from the simulation show that the average heat transfer rate from the beginning of the process until the PCM is fully melted is 171.1 W, 167.6 W, and 151.6 W, when the heat gains are 10 W, 25 W, and 100 W, respectively. This means a reduction of up to 12.8% as compared to the dynamic melting process without heat gains. Moreover, there is one point at which the HTF stops releasing energy to the PCM and starts absorbing energy from the PCM (heat transfer = 0 W). At this point, the LHTES system becomes worthless as cold LHTES system and it should be stopped. Finally, the melting front is also affected by the heat gains (Figure 18) in a similar way than the melting fraction. Despite the fact that its profile is not being modified like it is observed in the previous sections, it moves along faster as the heat gains are higher.

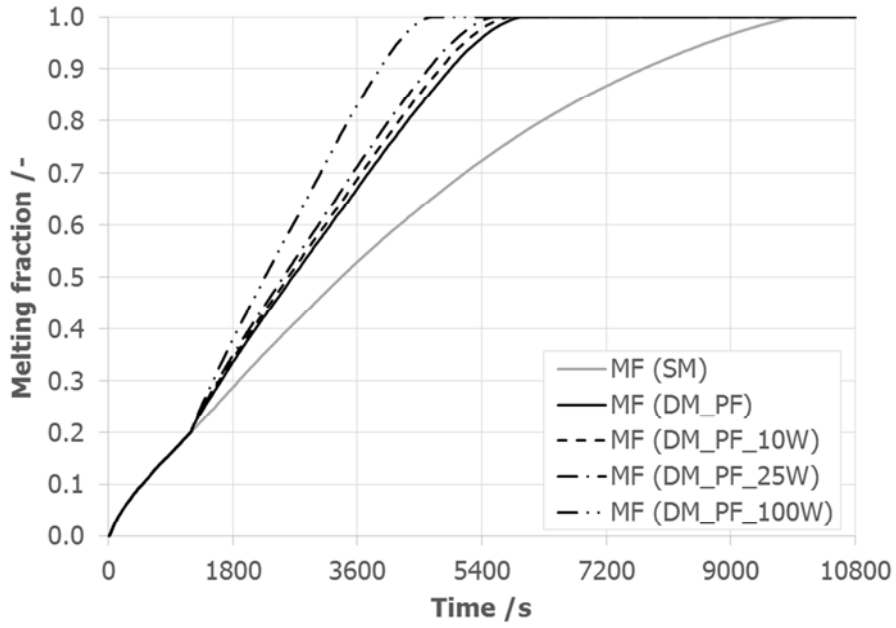


Figure 15. Evolution of the melting fraction. Influence of the heat gains in the PCM recirculation loop for the HTF and PCM flowing from top to bottom at an average velocity of 0.05 m/s.

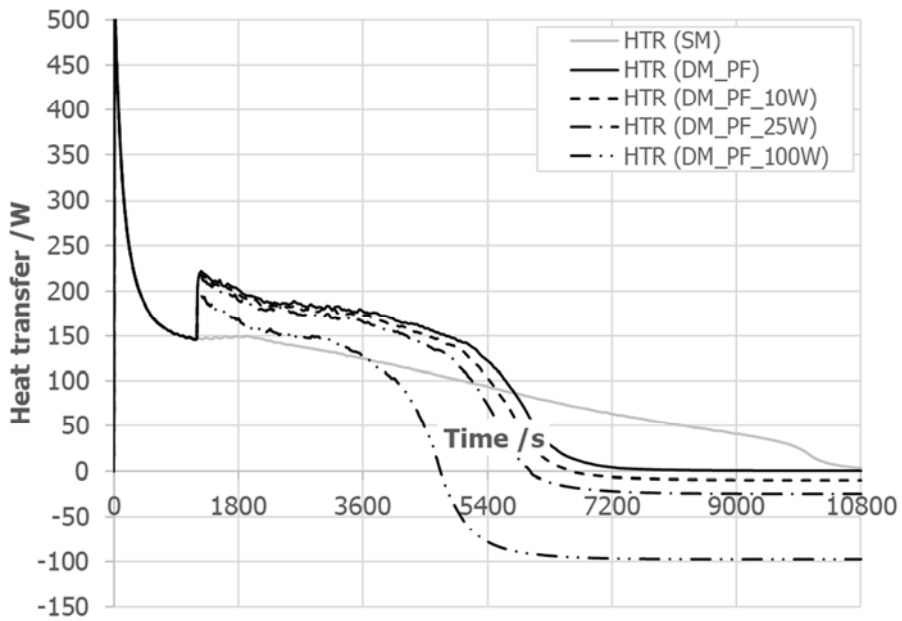


Figure 16. Evolution of the HTF heat transfer rate. Influence of the heat gains in the PCM recirculation loop for the HTF and PCM flowing from top to bottom at an average velocity of 0.05 m/s.

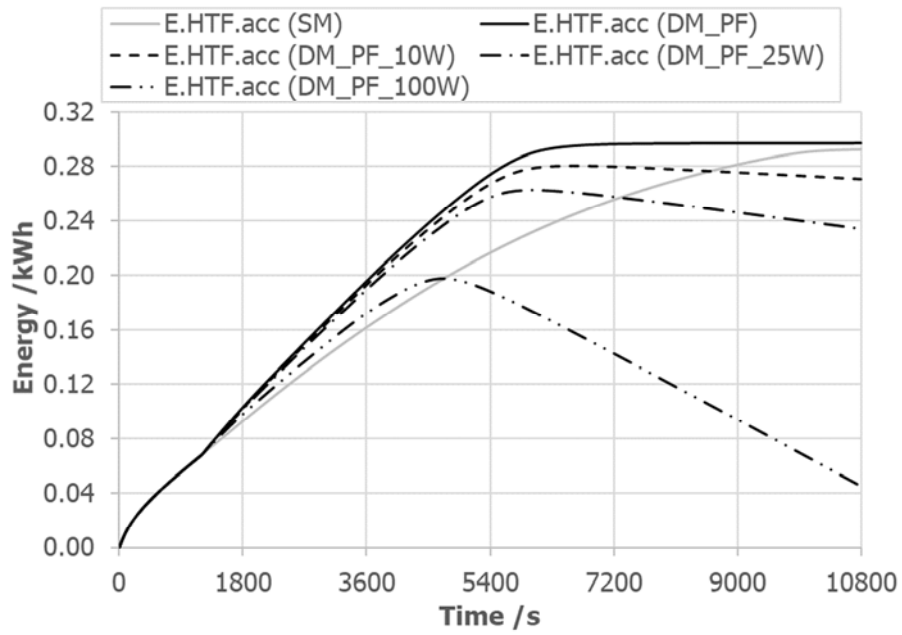


Figure 17. Evolution of the accumulated energy released by the HTF during the melting process. Influence of the heat gains in the PCM recirculation loop for the HTF and PCM flowing from top to bottom at an average velocity of 0.05 m/s.



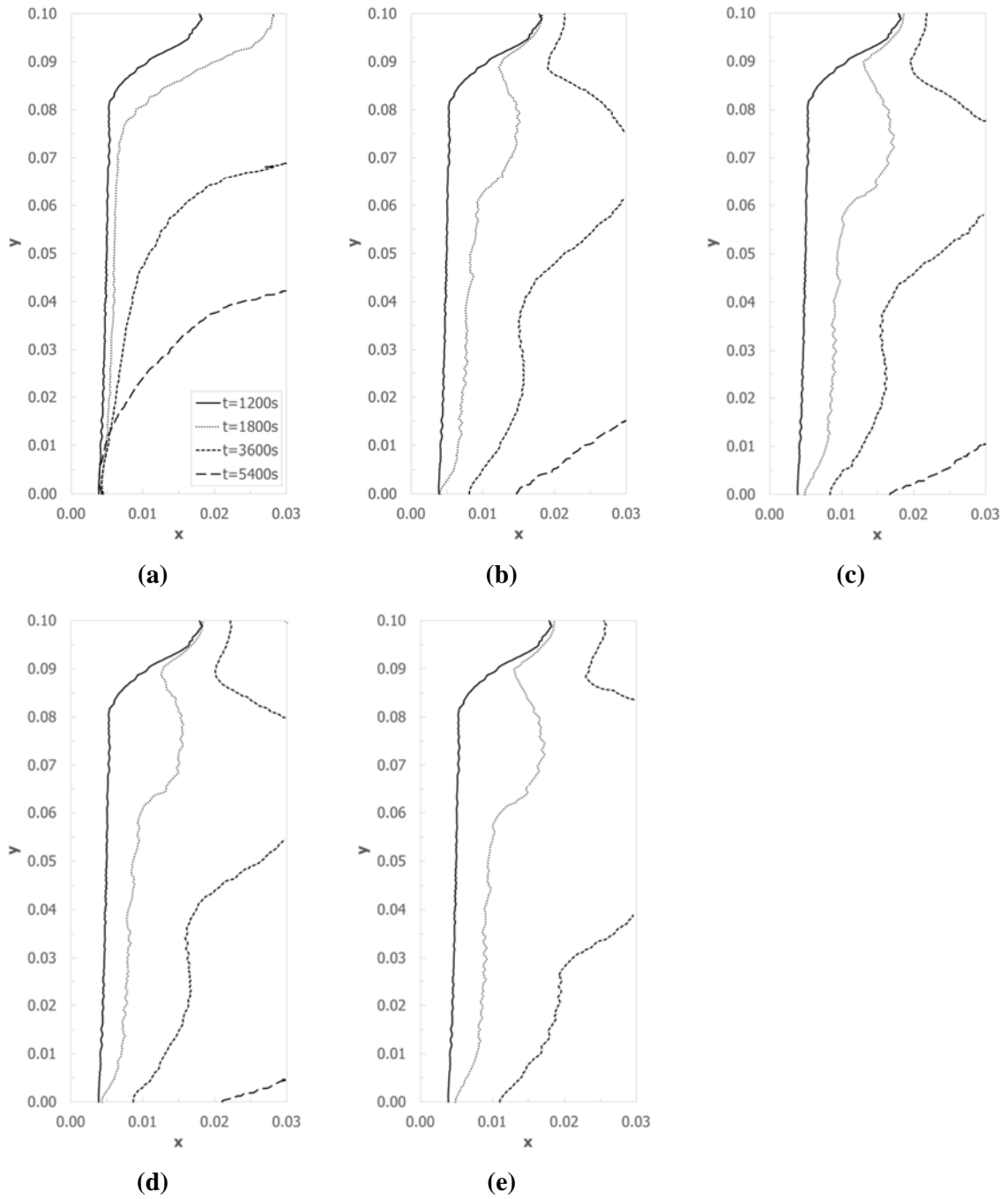


Figure 18. Melting front at  $t=1200$  s,  $t=1800$  s,  $t=3600$  s, and  $t=5400$  s. Influence of the heat gains in the PCM recirculation loop for the HTF and PCM flowing from top to bottom at an average velocity of 0.05 m/s: (a) Heat gains=0W ( $v_{PCM}=0$  m/s), (b) Heat gains=0W, (c) Heat gains=10W, (d) Heat gains=25W, and (e) Heat gains=100W.

### 3.4. Summary of the results

Finally, Table 4 summarizes the main results obtained for the 14 simulations carried out in the present work.

Table 4. Summary of the results obtained for the different simulations carried out in the present work. The percentage difference in comparison to the two cases with no PCM recirculation are shown in brackets.

Study	Dynamic melting	HTF flow direction	PCM flow direction	Heat gains [W]	HTF Velocity [m/s]	PCM Velocity [m/s]	Melting process period [s]	Average heat transfer [W]
1	No	Top to bottom	-	-	0.05	0.00	10000 (-)	104.7 (-)
2	Yes	Top to bottom	Top to bottom	No	0.05	0.05	6000 (-40%)	173.8 (+66.1%)
3	Yes	Top to bottom	Bottom to top	No	0.05	0.05	6730 (-32.7%)	152.5 (+45.7%)
4	Yes	Top to bottom	Top to bottom	10 W	0.05	0.05	5820 (-41.8%)	171.1 (+63.4%)
5	Yes	Top to bottom	Top to bottom	25 W	0.05	0.05	5600 (-44%)	167.6 (+60.1%)
6	Yes	Top to bottom	Top to bottom	100 W	0.05	0.05	4700 (-53%)	151.6 (+44.8%)
7	Yes	Top to bottom	Top to bottom	No	0.05	0.025	6910 (-30.9%)	150 (+43.3%)
8	Yes	Top to bottom	Top to bottom	No	0.05	0.10	5120 (-48.8%)	200.3 (+91.3%)
9	Yes	Top to bottom	Top to bottom	No	0.05	0.20	4560 (-54.4%)	220.7 (+110.8%)
10	No	Top to bottom	-	-	0.025	0.00	10800 (-)	96.4 (-)
11	Yes	Top to bottom	Top to bottom	No	0.025	0.0125	7940 (-26.6%)	129.5 (+34.3%)
12	Yes	Top to bottom	Top to bottom	No	0.025	0.025	7300 (-32.4%)	140.5 (+45.7%)
13	Yes	Top to bottom	Top to bottom	No	0.025	0.05	6310 (-41.6%)	163.3 (+69.4%)
14	Yes	Top to bottom	Top to bottom	No	0.025	0.10	5530 (-48.8%)	184.1 (+91.0%)

#### 4. Conclusions

This work presents a numerical study focused on studying the influence of the dynamic melting concept in a two-dimensional Cartesian LHTES system, with the HTF flowing from top to bottom at 0.05 m/s and the PCM is stored in a rectangular enclosure. Dynamic melting is an enhancement technique which consists of recirculating the liquid PCM during the melting process with an external device and therefore, increasing the overall heat transfer coefficient. Fourteen different simulations were carried out with the aim of studying the influence of the PCM flow direction, the PCM velocity, and the heat gains in the PCM recirculation loop.

The study of the influence of the PCM flow direction shows that when the PCM is recirculated from top to bottom, which means parallel to the HTF flow direction, higher heat transfer rates and lower melting process periods are obtained than when the PCM is recirculated from bottom to top. Results from the simulations showed that heat transfer rates, in comparison to the case with no recirculation are 66.1% and 45.7% higher, respectively, while the melting process periods are 40% and 32.7% lower, respectively. Moreover, different melting front and temperature profiles are observed in each scenario. On the other hand, the study of the influence of the PCM velocity shows that the higher the velocity the higher the heat transfer rates and the lower the melting process periods. Specifically, with PCM velocity between 0.025 m/s and 0.20 m/s, results showed enhancement in the heat transfer rates between 43.3% and 110.8%, while reduction in the melting process periods between 30.9% and 54.4%, respectively. Additionally, two other issues were observed in this study First, that the enhancement was uniquely due to PCM velocity, and not to the ratio between the PCM and HTF velocities and second, that the PCM velocity strongly affected the melting fronts and temperature profiles within the LHTES system. The previous studies were carried out with the absence of heat gains in the PCM recirculation loop, which causes the increase of the PCM temperature at the inlet of the LHTES system. The increase of the heat gains is translated to a decrease of the average heat transfer rates and a decrease of the melting process periods. Results showed a difference of 21.3% in the heat transfer rate between the case study with absence of heat gains and the case study with constant heat gains of 100 W. Moreover, it is observed that there is one point that the HTF stops releasing energy to the PCM and it starts absorbing energy, as a result of the PCM temperature increase.

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