

# **Total Synthesis of Phlegmarine Alkaloids**

Caroline Bosch

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# UNIVERSITAT DE BARCELONA

# FACULTAT DE FARMÀCIA I CIÈNCIES DE L'ALIMENTACIÓ

DEPARTAMENT DE FARMACOLOGIA, TOXICOLOGIA I QUÍMICA TERAPÈUTICA

LABORATORI DE QUÍMICA ORGÀNICA

## TOTAL SYNTHESIS OF PHLEGMARINE ALKALOIDS

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PROGRAMA DE DOCTORAT: QUÍMICA ORGÀNICA EXPERIMENTAL I INDUSTRIAL

# TOTAL SYNTHESIS OF PHLEGMARINE ALKALOIDS

Memòria presentada per Caroline Bosch per optar al títol de doctor per la Universitat de Barcelona

	Dirigida per:	
Dr. Ben Bradshaw		Dr. Josep Bonjoch

Barcelona, Octubre 2016

Caroline Bosch

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### **Prologue**

The manuscript of this Doctoral Thesis has been divided into six main parts: a general introduction into phlegmarine alkaloids followed by five research chapters.

The general introduction provides an overview on the phlegmarine alkaloids, the total syntheses previously reported as well as the group precedents in the field of total synthesis of phlegmarine alkaloids.

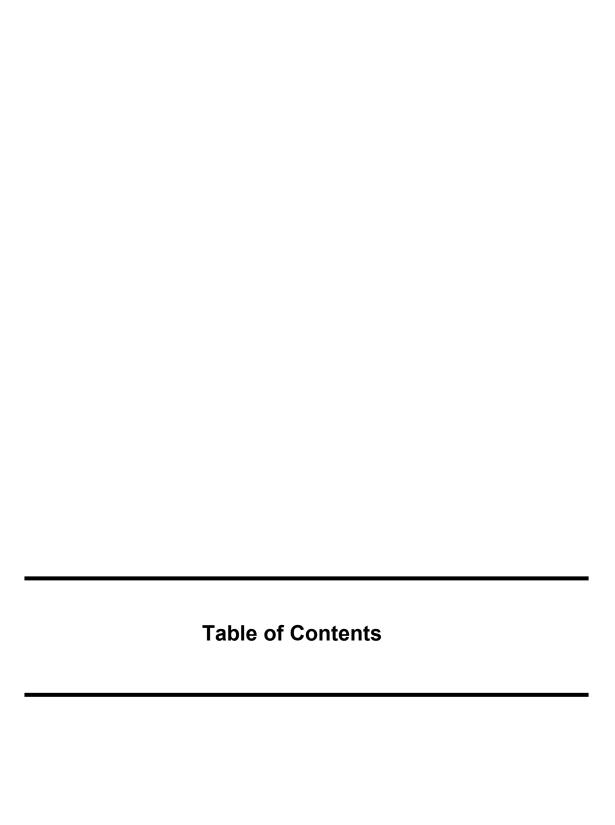
The second chapter titled 'Asymmetric Synthesis of Octahydroindoles via a Domino Robinson Annulation/5-Endo Intramolecular aza-Michael Reaction' describes a straightforward two-step asymmetric synthesis of octahydroindoles. This allowed the construction of complex octahydroindoles with up to 4 stereocenters, excellent enantioselectivities (up to 95% ee) and complete diastereoselective control in a single pot operation. Those results have been published in *J. Org. Chem.* **2016**, (Featured Article) DOI: 10.1021/acs.joc.6b01568 (ASAP 08/09/2016). This project was performed in collaboration with Dr. Claudio Parra.

The third chapter titled 'Fischer Indole Reaction in Batch and Flow Employing a Sulfonic Acid Resin: Synthesis of Pyrido[2,3-a]carbazoles' describes an Amberlite IR 120 H-promoted one-pot Fischer indolization from a *cis*-decahydroquinoline using a range of phenylhydrazines. This methodology provides access to compounds with the unprecedented pyrido[2,3-a]carbazole scaffold. The process may be conducted either in batch mode or in a continuous manner in a flow reactor. This methodology allowed the synthesis of a small library of compounds sent for biological testing. These results have been published in *J. Flow Chem.* **2016**, DOI: 10.1556/1846.2016.00016. This project was performed in collaboration with the flow chemistry company Future Chemistry as well as Pr. Floris Rutjes Nijmegen, the Netherlands.

The fourth chapter titled 'Total Synthesis of *cis*-Phlegmarines via Stereodivergent Reduction: (+)-Serratezomine E and Putative Structure of (-)-Huperzine N' describes a unified strategy for the synthesis of the *cis*-phlegmarine group of alkaloids. This strategy was possible through contrasteric hydrogenation methods. This work led to the first synthesis of serratezomine E as well as the putative structure of huperzine N. Calculations were performed by Pr. Enrique Gómez-Bengoa and Dr. Béla Fiser, San Sebastian, Spain to determine the mechanism. Structures for huperzines M and N were reassigned. A part of these results has been published in *Org. Lett.*, **2015**, *17*, 5084-5087.

The fifth chapter titled 'Access to *trans*-Phlegmarines of Type D: Synthesis of (±)-Serralongamine A and the Revised Structure of Huperzine N' describes the proposed structure reassignment for the *Lycopodium* alkaloid huperzine N which is confirmed by synthesis. This route allowed first total synthesis of the alkaloid serralongamine A, and two additional steps led to the revised structure of huperzine N. Those results have been published in *J. Org. Chem.* **2016**, *81*, 2629-2634. This project was performed in collaboration with Dr. Gisela Saborit.

The sixth chapter titled 'Decahydroquinoline Ring NMR Patterns for the Stereochemical Elucidation of Phlegmarine Alkaloids: Synthesis of (-)-Serralongamine A and the Revised Structures of (-)-Huperzine K and Huperzine M' describes the structural reassignment of the *Lycopodium* alkaloids huperzine K, huperzine M and Lycoposerramine Y which were then confirmed by synthesis. In this paper we detail our efforts to develop general rules to deduce in an easy manner the stereochemistry of any phlegmarine-type alkaloids. A manuscript is in preparation for the publication of these results.



List o	of Abbreviations and Acronyms	ix
1	Introduction and objectives 1.1. Introduction 1.2. Objectives	1 3 26
2.	Asymmetric Synthesis of Octahydroindoles via a Domino Robinson Annulation/5- <i>Endo</i> Intramolecular Aza-Michael Reaction	29
3.	Fischer Indole Reaction in Batch and Flow Employing a Sulfonic Acid Resin; Synthesis of Pyrido[2,3-a]carbazoles	45
4.	Total Synthesis of <i>cis</i> -Phlegmarines via Stereodivergent Reduction: (+)-Serratezomine E and Putative Structure of (-)-Huperzine N	57
5.	Access to <i>trans</i> -Phlegmarines of Type D: Synthesis of (±)-Serralongamine A and the Revised Structure of Huperzine N	83
6.	Decahydroquinoline Ring NMR Patterns for the Stereochemical Elucidation of Phlegmarine Alkaloids: Synthesis of (-)-Serralongamine A and the Revised Structures of (-)-Huperzine K and Huperzine M	95
7.	Conclusions	113
8.	Publications and experimental  * J. Org. Chem. 2016, doi 10.1021/acs.joc.6b01568 and SI (2)  * J. Flow Chem .doi 10.1556/1846.2016.00016 and SI (3)  * Org. Lett. 2015, 17, 5084-5087 and SI (4) and addendum SI (4)  * J. Org. Chem. 2016, 81, 2629-2634 and SI (5)  * Experimental of chapter 6 unpublished results	121 4')



# **List of Abbreviations and Acronyms**

In this manuscript, the abbreviations and acronyms most commonly used in organic chemistry have been used following the recommendations from "Guidelines for Authors" of *Journal of Organic Chemistry* 

 $\alpha_D$  specific optical rotatory power at  $\lambda$ = 589 nm

acac acetylacetonate

aq. aqueous

atm atmosphere

ax axial

9-BBN 9-borabicyclo[3.3.1]nonane

BEMP 2-*tert*-butylimino-2-diethylamino-1,3-dimethylperhydro-

1,3,2-diazaphosphorine

Boc *tert*-butoxycarbonyl

Boc<sub>2</sub>O di-*tert*-butyl carbonate

bp boiling point

BPR back pressure regulator

br broad

c concentration

<sup>13</sup>C NMR carbon-13 nuclear magnetic resonance

calcd calculated

Cbz benzyloxycarbonyl

CDI 1,1'-carbonyldiimidazole

Celite<sup>®</sup> filtration agent

CHD cyclohexadiene

COSY correlation spectroscopy

compd compound

d day(s), doublet (spectra)

δ chemical shift

DBU 1,8-diazabicycloundec-7-ene

DCE dichloroethane

dd doublet of doublets

de diastereomeric excess

DEAD diethyl azodicarboxylate

DFT density functional theory

DHQ decahydroquinoline dm doublet of multiplets

DMF N,N-dimethylformamide

DMSO dimethyl sulfoxide

dpm 2,2,6,6-tetramethyl-3,5-heptanedionato

DPPA diphenyl phosphoryl azide

dppf 1,1'-bis(diphenylphosphino)ferrocene

dr diastereomeric ratio

dt doublet of triplets

DTAD di-tert-butylazodicarboxylate

ee enantiomeric excess

*epi* epimer

equiv. equivalent eq equatorial

ETFE ethylene tetrafluoroethylene

GC gas chromatography

[H] reduction

HAT hydrogen atom transfer

HMPA hexamethylphosphoramide

<sup>1</sup>H NMR proton nuclear magnetic resonance

HPLC high performance liquid chromatography

HRMS high resolution mass spectrum

HSQC heteronuclear single quantum correlation spectroscopy

HWE Horner-Wadsworth-Emmons

J coupling constant

LiHMDS lithium bis(trimethylsilyl)amide

Lit. literature

M molar

m multiplet

M<sup>+</sup> molecular ion

*m/z* mass to charge ratio

*m*-CPBA meta-chloroperoxybenzoic acid

mol mol(es)

mp melting point

MS mass spectrometry / molecular sieves

Ms mesyl (methylsulfonyl)

*n.a.* not available

*n.d.* not determined

*n.o.* not observed

NOESY nuclear Overhauser effect spectroscopy

Ns nosyl (4-nitrobenzenesulfonyl)

[O] oxidation

ox oxalate

p page

ppm parts per million

PS-BEMP polymer supported 2-tert-butylimino-2-diethylamino-

1,3-dimethylperhydro-1,3,2-diazaphosphorine

quant. quantitative

R generalized alkyl group or substituent

 $R_f$  retention factor

rac racemic

ref. reference

rfx reflux

ROESY rotating frame nuclear Overhauser effect spectroscopy

rt room temperature

s singlet

sat. saturated

sol. solution t triplet

TASF tris(dimethylamino)sulfonium difluorotrimethylsilicate

TBAH tetrabutylammonium hydroxide solution

TBDPS *tert*-butyl(chloro)diphenylsilane

TBHP *tert*-butyl hydroperoxyde

td triplet of doublets

(-)-TCC (-)-trans-2-( $\alpha$ -cumyl)cyclohexanol

Teoc trimethylsilyl-ethoxycarbonyloxy

Tf triflate

TFA trifluoroacetic acid

TFE trifluoroethanol
THF tetrahydrofuran

TIPS triisopropylsilyl

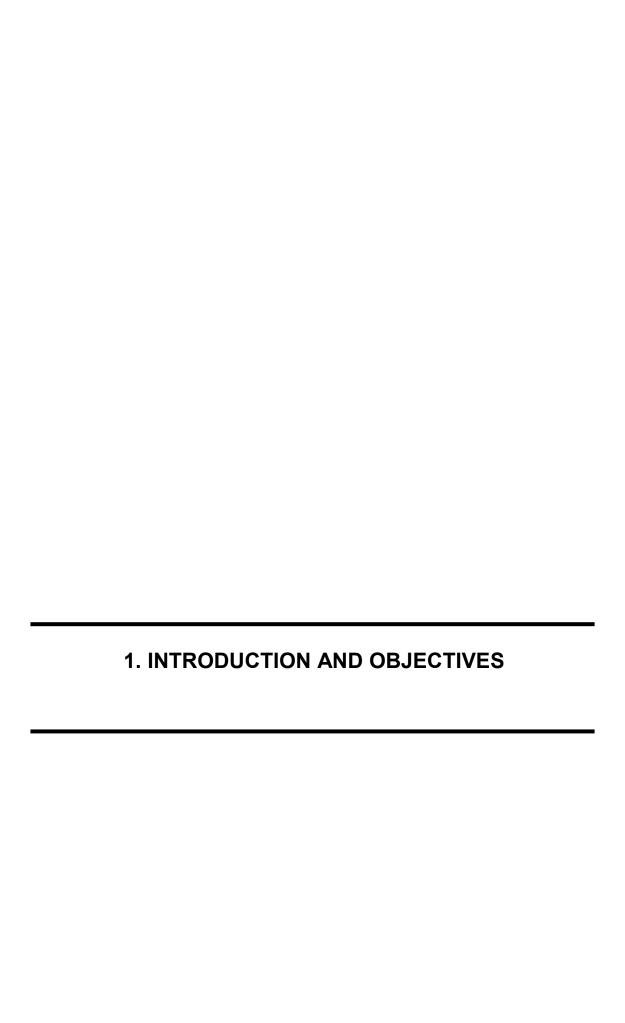
TLC thin layer chromatography

Ts tosyl (p-toluenesulfonyl)

TS transition state

UHP urea hydrogen peroxide

wt weight



#### 1.1 Introduction

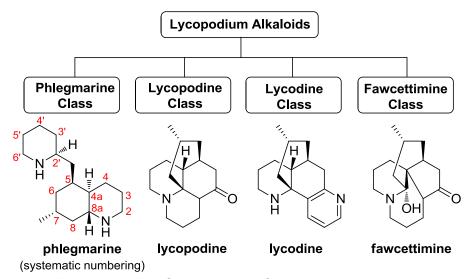


Figure 1.1 The four classes of lycopodium alkaloids

The lycopodium alkaloids,<sup>1</sup> isolated from the lycopodium genus of club mosses belonging to the family of *Lycopodiaceae*, represent a diverse group of structures with around 300 known members to date. These alkaloids can be divided into four distinct classes or subfamilies as illustrated in Figure 1.1.<sup>2</sup>

The phlegmarine-type lycopodium alkaloids which are the main focus of this thesis were first discovered by Braekman and co-workers in 1978<sup>3</sup> and possess a C<sub>16</sub>N<sub>2</sub>-skeleton that consists of a piperidine ring and a decahydroquinoline ring connected through a methylene unit. Braekman also proposed that these compounds are likely the biogenetic precursors of the other main classes of lycopodium alkaloids thus indicating the central underlying importance of the phlegmarine class. Although lack of material from natural sources has prevented a full and extensive evaluation of the biological activities of these compounds, from the limited data available they show promise for the treatment of cancer and severe neurodegenerative diseases such as Alzheimer's<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> Ma, X.; Gang, D. R. *Nat. Prod. Rep.* **2004**, *21*, 752-772.

<sup>&</sup>lt;sup>2</sup> Ayer, W. A.; Trifonov, L. S. *Alkaloids* (*Academic Press*), **1994**, *45*, 233-266.

<sup>&</sup>lt;sup>3</sup> Nyembo, L.; Goffin, A.; Hootelé, C.; Braekman, J.C. *Can. J. Chem.* **1978**, *56*, 851-865.

### • Classification of the phlegmarine alkaloids

The 5,7-disubstituted decahydroquinoline ring of the phlegmarine alkaloids exists in a variety of stereochemical arrangements according to the stereochemical relationship pattern between the C-7 methyl group, the (2-piperidyl)methyl side chain at C-5, and the type of ring fusion. To facilitate the identification we have grouped the four possible permutations based on the relation of the ring fusion hydrogens (cis or trans) to the C-7 methyl with letters A to D. Additionally, the orientation of the (2-piperidyl)methyl side chain at C-5 is denoted as  $\alpha$  or  $\beta$  (Figure 1.2).<sup>4</sup> The carbon atom linked to the methyl group is always  $R^5$  and the stereochemistry in the piperidine ring appendage appears always to have the S configuration thus limiting the 32 possible stereochemical arrangements to just 8.

**Type A** compounds are those which contain the C-7 (methyl substituent) and C-4a and C-8a ring fusions hydrogens all arranged in a *cis* orientation. Compounds of this group are lycoposerramine Z<sup>6</sup> and serratezomine E.<sup>7</sup> **Type B** compounds are characterized by having the ring fusion hydrogens in *cis*, but *trans* respect the C-7 methyl group. This group includes cermizine A,<sup>8</sup> which features an ethanoic acid appendage at C-2 of the decahydroquinoline ring, cermizine B,<sup>8</sup> huperzine M, and huperzine N.<sup>9</sup> **Type C** compounds are the most numerous group, in

<sup>&</sup>lt;sup>4</sup> It should be noted that at the start of this thesis the compounds in figure 1.2 were the known structures - the revised and corrected structures based on the work carried out in this thesis are depicted on page 119.

<sup>&</sup>lt;sup>5</sup> When the absolute configuration is unknown, some phlegmarine alkaloid isolation papers depict the enantiomer of the corresponding structure shown in Figure 1.2. The only related structuture thus far known to have an *S* configuration at C-7 is spirolucidine.

<sup>&</sup>lt;sup>6</sup> Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles* **2006**, 69, 223-229

<sup>&</sup>lt;sup>7</sup> Kubota, T.; Yahata, H.; Yamamoto, S.; Hayashi, S.; Shibata, T.; Kobayashi, J. *Bioorg. Med. Chem. Lett.* **2009**, *19*, 3577-3580.

Morita, H.; Hirasawa, Y.; Shinzato, T.; Kobayashi, J. *Tetrahedron* **2004**, *60*, 7015-7023.
 Gao, W. Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Helv. Chim. Acta* **2008**, *91*, 1031-1035.

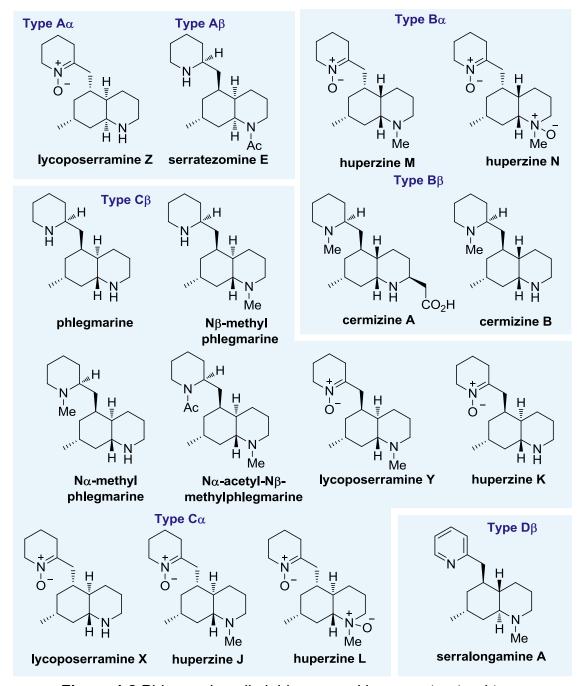


Figure 1.2 Phlegmarine alkaloids grouped by core structural type

which the ring fusion hydrogens and the C-7 methyl are all arranged *trans* to each other. This group includes phlegmarine<sup>3</sup> and a number of N-methylated and acetylated derivatives as well as lycoposerramine  $Y^6$  and huperzine K, which posses a nitrone unit. Lycoposerramine X, huperzine J, and  $L^{10}$  also feature a nitrone unit but are epimeric at C-5

<sup>&</sup>lt;sup>10</sup>Gao, W. Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Planta Med.* **2000**, *66*, 664-667.

with a  $5\alpha$  disposition. **Type D** stereochemistry has the ring fusion hydrogens arranged trans and the C-8a hydrogen cis to the C-7 methyl. Until relatively recently with the isolation of serralongamine A, 11 this stereochemical pattern was unknown.

#### Miscellaneous group lycopodium alkaloids

From each of the core phlegmarine structures further complexity and diversity appear via three main modifications: oxidations, ring fragmentations and ring cross linkage. 12 Those compounds are grouped in the miscellaneous class (Figure 1.3). Most notably, in the vast majority of cases they are derived from bioprecursors presenting a trans relationship between the C-5 substituent and the C-8a hydrogen. The type Aβ skeleton is present in the compounds luciduline, <sup>13</sup> huperminone A,  $^{14}$   $\Delta^{13,N}$ ,  $N\alpha$ -methylphlegmarine- $N\beta$ -oxide,  $^{15}$  nankakurine B,  $^{16}$  lyconadin C, A, and B<sup>17</sup> and dihydrolycolucine. The **type**  $\mathbf{B}\alpha$  phlegmarine is less prevalent featuring in the dimeric compound spirolucidine 19 which also features a type A nucleus. Compounds derived from the type  $\mathbf{C}\alpha$ phlegmarine alkaloids include lycobelline A<sup>20</sup> via fragmentation of the

<sup>&</sup>lt;sup>11</sup>Jiang, W. P.; Ishiuchi, K.; Wu, J. B.; Kitanaka, S. *Heterocycles* **2014**, 89, 747-752.

<sup>&</sup>lt;sup>12</sup> In a number of cases where the core stereochemistry is lost via an oxidation or fragmentation the resulting compound may arise from more than one possible precursor.

<sup>&</sup>lt;sup>13</sup> Ayer, W. A.; Masaki, N.; Nkunika, D. S. *Can. J. Chem.* **1968**, *46*, 3631-3642.

<sup>&</sup>lt;sup>14</sup> Hirasawa, Y.; Kato, Y.; Wong, C. P. Uchiyawa, N.; Goda, Y.; Hadi, H. A.; Morita, H. *Tetrahedron Lett.* **2013**, *54*, 1593-1595.

<sup>15</sup> Wang, Z.; Wu, J.; Zhao, N.; Yang, Y.; Chen, Y. *Nat. Prod. Res.* **2015**, *30*, 241-245.

<sup>&</sup>lt;sup>16</sup> Hirasawa, Y.; Morita, H.; Kobayashi, J. *Org. Lett.* **2004**, *6*, 3389-3391.

<sup>&</sup>lt;sup>17</sup> Kobayashi, J.; Hirasawa, Y.; Yoshida, N.; Morita, H. *J. Org. Chem.* **2001**, 66, 5901-

<sup>&</sup>lt;sup>18</sup> Ayer, W. A.; Browne, L. M.; Nakahara, Y.; Tori, M.; Delbaere, L. T. Can. J. Chem. **1979**. *57*. 1105-1107.

<sup>&</sup>lt;sup>19</sup> Ayer, W. A.; Ball, L. F.; Browne, L. M.; Tori, M.; Delbaere, L. T. J.; Silverberg, A. Can. J. Chem. 1984, 62, 298-302.

<sup>&</sup>lt;sup>20</sup> Hirasawa, Y.; Matsuya, R.; Shaari, K.; Lajis, N.-H.; Uchiyama, N.; Goda, Y.; Morita, H. Tetrahedron Lett. 2012, 53, 3971-3973.

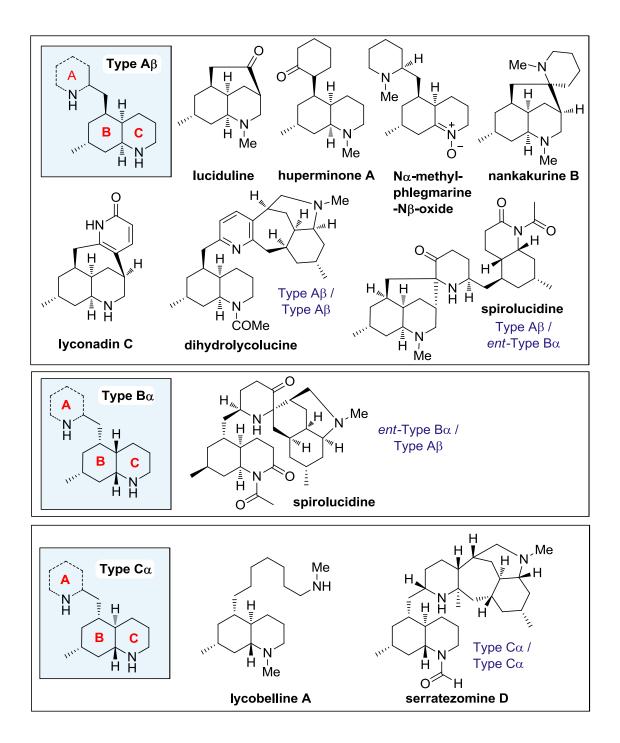
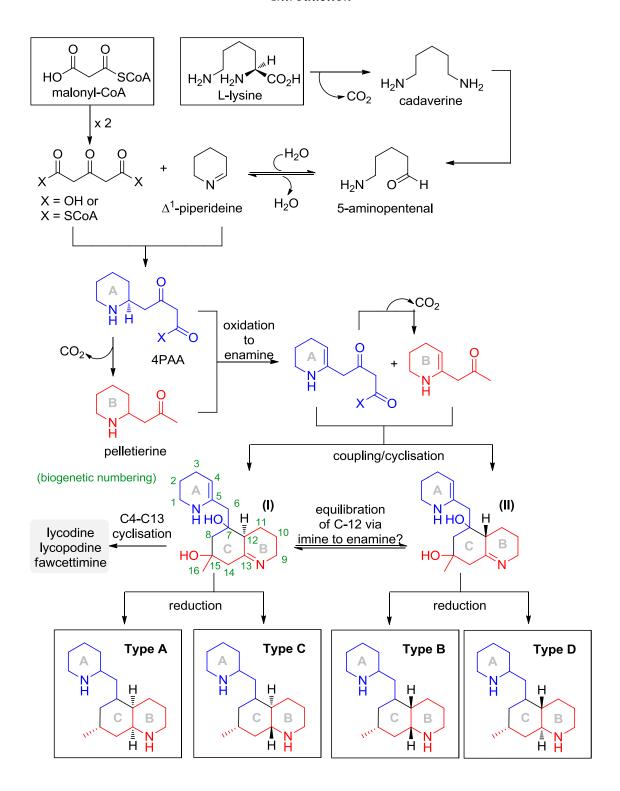


Figure 1.3 Miscellaneous and Lycopodium alkaloids related with phlegmarines piperidine ring and serratezomine D a dimeric compound, which contains two type C nuclei embedded within its structure. So far no derivatives containing a **type D** $\beta$  nucleus are known.

## Biosynthesis of the phlegmarine alkaloids

An overview of the biosynthesis of the different phlegmarine nuclei is outlined in Scheme 1.1.1 In this part biogenetic numbering is used (see Scheme 1.1).<sup>21</sup> The pathway starts with the decarboxylation of lysine to form cadaverine which is then transformed to  $\Delta^1$ -piperideine. At the same molecules of malonyl-CoA are condensed to time. two acetonedicarboxylic acid, which upon coupling with  $\Delta^1$ -piperideine leads to 4-(2-piperidyl) acetoacetate (4PAA). Subsequent decarboxylation forms pelletierine. At this point 4PAA and pelletierine are oxidized to their corresponding enamines prior to their joining via a decarboxylative aldol coupling. This is followed by a second aldol type coupling via the enamine to give I with the stereochemistry at C-12 required for type A and C compounds. It is possible at this point that II is also formed with the stereochemistry for type B and D compounds. Alternatively, the stereochemistry at C-12 of II might also arise from equilibration of I via imine to enamine equilibration. Finally, reduction at C-7, C-15, C-13 and C-5 from the corresponding precursor I or II gives the various decahydroquinoline ring stereochemistries observed. It should be noted that if instead of undergoing reduction, the enamine of the A ring of intermediate I is coupled intramolecularly to the C-13 position imine one can obtain access to the other three main lycopodium parent compounds.

<sup>&</sup>lt;sup>21</sup> Throughout this thesis two numbering system can be found: the systematic numbering is used for all synthetic aspects, whereas biogenetic numbering will be used for isolated natural products.



Scheme 1.1 Proposed biosynthesis of the phlegmarine alkaloids

### Previous syntheses of phlegmarine type alkaloids

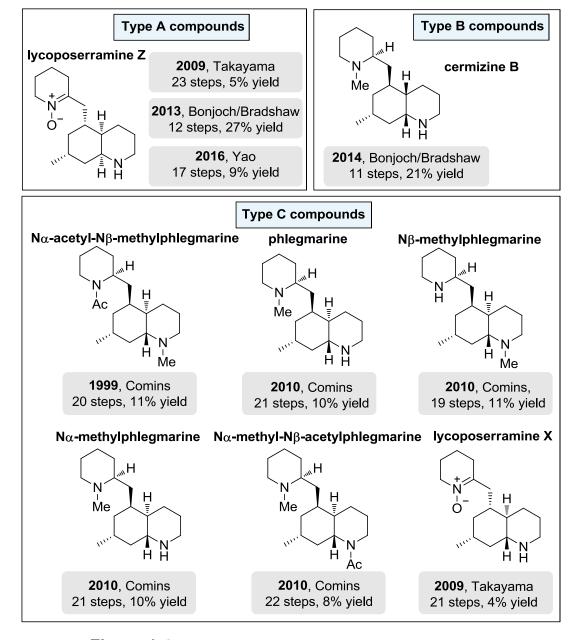


Figure 1.4 Previous syntheses of phlegmarine alkaloids

While there are numerous approaches towards the total synthesis of all the classes of lycopodium alkaloids,<sup>22</sup> the phlegmarine subclass has received less attention until relatively recently. In this section an overview of all the prior syntheses of the phlegmarine alkaloids are presented in chronological order (Figure 1.4).

<sup>&</sup>lt;sup>22</sup> For a extensive review focusing on the total synthesis of the lycopodium alakoids see: Siengalewicz, P.; Mulzer, J.; Rinner, U. *The Alkaloids*, **2013**, *72*, 1-151.

# Total synthesis of N $\alpha$ -methyl-N $\beta$ -acetylphlegmarine (Maclean) <sup>23</sup>

**Scheme 1.2** Total synthesis of  $N\alpha$ -methyl- $N\beta$ -acetylphlegmarine (Maclean)

Starting from 2-(2-cyanoethyl)-5-methyl-1,3-cyclohexanedione the *trans* decahydroquinoline core was prepared in two steps. <sup>24</sup> Peterson olefination furnished a mixture of Z/E vinyl pyridines, which upon reduction with Pt gave two epimers that were separable in their *N*-oxide form. Transformation into the methyl pyridine and hydrogenation over Pt gave a mixture of diastereomeric piperidines at C-5', which could only be separated after *N*-acylation. The lack of material did not allow a determination of the stereochemistry at C-5' position. However, the synthesis of MacLean established the relative stereochemistry at four of the five stereogenic centers and showed that the stereochemistry pattern in phlegmarine is the same configuration found in lycopodine and most other lycopodium alkaloids (Scheme 1.2).

<sup>&</sup>lt;sup>23</sup> (a) Szychowski, J.; Maclean, D. B. *Can. J. Chem.* **1979**, *57*, 1631-1637. (b) Leniewski, A.; Szychoski, J.; Maclean, D. B. *Can. J. Chem.* **1981**, *59*, 2479-2490. <sup>24</sup> When MacLean began the synthesis of phlegmarine he proposed that it contained an all *cis* decahydroquinoline system (type A). However, after arriving to a compound with this stereochemistry he realized that its NMR data did not match with that reported by Braekman and proposed that phlegmarine contains a *trans* decahydroquinoline core.

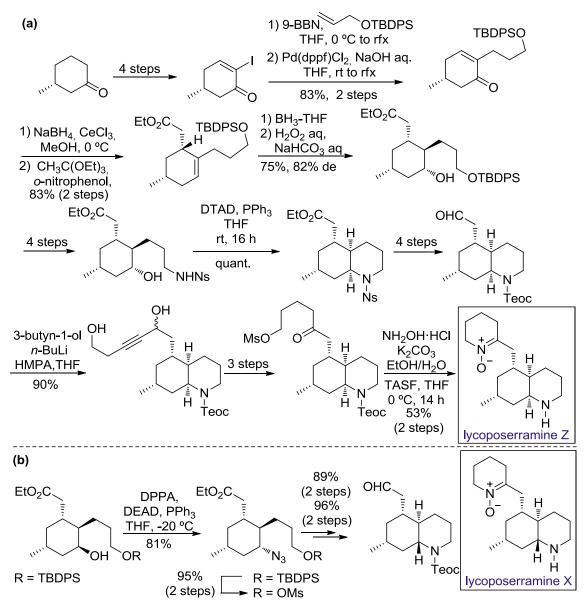
## Total synthesis of type $C\beta$ phlegmarine compounds (Comins)<sup>25</sup>

**Scheme 1.3** Total synthesis of type Cβ phlegmarine compounds (Comins)

In the first enantioselective synthesis of a naturally ocurring phlegmarine, Comins established the configuration at C-8a using his acylpyridium salt methodology with (-)-TCC as the chiral auxiliary. Addition of the Grignard reagent of (R)-5-chloro-4-methylpentene followed by a conjugate addition of a silyl containing Grignard reagent took place with complete stereoselectivity, giving the correct stereochemistry at C-5. Hydrogenation of an endocyclic olefin provided the C-4a stereogenic center. Then, a second addition of the chiral auxiliary allowed the introduction of the piperidine ring with the correct stereochemistry at C-5'. From here reductions and various functional group manipulations allowed the synthesis of all the **type C** $\beta$  phlegmarine compounds (Scheme 1.3).

<sup>&</sup>lt;sup>25</sup> (a) Comins, D. L.; Libby, A. H.; Al-awar, R. S.; Foti, C. J. *J. Org. Chem.* **1999**, *64*, 2184-2185. (b) Wolfe, B. H.; Libby, A. H.; Al-awar, R. S.; Foti, C. J.; Comins, D. L. *J. Org. Chem.* **2010**, *75*, 8564-8570.

# Total synthesis of lycoposerramine X and Z (Takayama)<sup>26</sup>



**Scheme 1.4** Takayama's total synthesis of (a) lycoposerramine Z and (b) lycoposerramine X

Starting from commercially available (*R*)-3-methylcyclohexanone installation of the side chain was achieved via a Pd-catalyzed Suzuki-Miyaura reaction followed by reduction and a Johnson-Claisen rearrangement.<sup>27</sup> An intramolecular Mitsunobu reaction gave the *cis*-

<sup>&</sup>lt;sup>26</sup> Tanaka, T.; Kogure, N.; Kitajima, M.; Takayama, H. *J. Org. Chem.* **2009**, *74*, 8675-8680.

The same approach was used in their previous approach to lycoposerramines V and W see: Shigeyama, T.; Katakawa, K; Kogure, N.; Kitajima, M.; Takayama, H. *Org. Lett.* **2007**, 9, 4069-4072

decahydroquinoline skeleton. After protecting group interchange, reduction and oxidation steps, the aldehyde was obtained, which was treated with an alkynyl anion to append the carbon chain necessary for the construction of the required nitrone ring. Conversion to a keto-mesylate and condensation with NH<sub>2</sub>OH·HCl affords the cyclic nitrone. Removal of the carbamate on the nitrogen resulted in the first synthesis of lycoposerramine Z, (Scheme 1.4a). A modification of the construction order allowed the route to access the *trans* decahydroquinoline nucleus which was converted to lycoposerramine X (Scheme 1.4b).

# Towards the total synthesis of dihydrolycolucine (Sarpong)<sup>28</sup>

The Sarpong group designed an approach to the highly complex dimeric compound dihydrolycolucine based on their synthesis of lyconadin A.<sup>29</sup> Appendage of a phosphonate unit to an advanced intermediate en route to lyconadin A and coupling to an enantiopure 5oxodecahydroquinoline fragment gave a vinylpyridine intermediate. To test the coupling reaction and reduction they chose the phlegmarine compound serratezomine E as a model target which comprises of the same relative stereochemistry of the southern part of dihydrolycolucine. Thus the type A building block assembled using a modified approach developed by Maclean was subjected to a Horner-Wadsworth-Emmons reaction with pyridine methyl phosphonate unit followed hydrogenation. Whilst the reduction diastereoselective was unfortunately gave the opposite stereochemistry to that required (notably, this is the same relative stereochemistry of lycoposerramine Z). Using the analogous sequence of coupling they elaborated tetracyclic phosphonate and hydrogenation towards dihydrolycolucine was carried out with the

<sup>&</sup>lt;sup>28</sup> Sarpong, unpublished results: Sarah Elizabeth House, Ph. D. **2010**, University of California, Berkelev.

Whilst this compound bears many similarities to the phlegmarine alkakoids the presence of the 7-membered ring led to a completely different disconnection approach: see: Bisai, A.; West, S. P.; Sarpong, R. *J. Am. Chem. Soc.* **2008**, *130*, 7222-7223.

**Scheme 1.5** Sarpong's approximation to serratezomine E and dihydrolycolucine

same unwanted facial selectivity. No yields are given presumably due lack of material which didn't allow them to quantify these transformations and ultimately led them to abandon the synthesis. It should be noted however that both the directness and modularity of this approach is interesting and a strategy that could overturn this observed facial selectivity could be used as the key step in the synthesis of not only dihydrolycolucine but also the other simple and complex miscellaneous group lycopodium alkaloids.

# <u>Total synthesis of lycoposerramine Z (Yao)</u><sup>30</sup>

Desymmetrization of commercially available 3-methylglutaric anhydride with (S)-methylbenzylamine was used to introduce the chirality. Subsequent functional group manipulations then gave *bis*-Weinreb

<sup>&</sup>lt;sup>30</sup> Zhang, L. D.; Zhong, L. R.; Xi, J.; Yang, X. L.; Yao, Z.Y. *J. Org. Chem.* **2016**, *81*, 1899-1904.

**Scheme 1.6** Total synthesis of lycoposerramine Z by Yao

amide.<sup>31</sup> Chemoselective Grignard addition onto the  $\alpha$ ,  $\beta$  unsaturated Weinreb amide addition over its aliphatic partner gave the corresponding diketo compound. Use of a chiral Brønsted acid catalyst gave the desired cyclohexenone in excellent 99% dr. Removal of the O, O-ketal with aq. HCl, followed by reductive amination with commercially available (S)-1-(4-methoxyphenyl)-ethylamine, provided the decahydroquinoline as a single diastereomer. Hydrogenation and Boc protection gave the N-Boc protected primary alcohol. Mesylation followed by treatment with NH<sub>2</sub>OH·HCl and K<sub>2</sub>CO<sub>3</sub> provided the cyclic nitrone. Finally, removal of the N-Boc protecting group with TFA gave lycoposerramine Z.

<sup>&</sup>lt;sup>31</sup> Yao employed this same intermediate in his prior synthesis of lycoposseramine V. See: Zhang, L.; Zhou, T. T.; Qi, S. X.; Xi, J.; Yang, X. L.; Yao, Z. Y. *Chem. Asian. J.* **2014**, 9, 2740-2744.

### Previous Work by Our Research Group

### Synthesis of 5-oxodecahydroquinoline

i. CDI, THF ii. Bu<sub>2</sub>Mg, THF, 16 h

TsCI 1N NaOH O Et<sub>2</sub>O HO NH<sub>2</sub> 
$$\frac{Et_2O}{89\%}$$
 HO NH<sub>2</sub>  $\frac{t\text{-BuO}}{0}$   $\frac{t\text{-BuO}}{0}$ 

Scheme 1.7 Synthesis of type A decahydroquinoline

Using a tandem reaction sequence from a simple acyclic  $\beta$ -ketoester bearing a tosyl protected nitrogen tether our research group has developed a highly efficient route to 5-oxodecahydroquinolines (of type A structure). Treatment of the  $\beta$ -ketoester with crotonaldehyde in the presence of LiOH·H<sub>2</sub>O and water in *i*PrOH initiated a tandem Robinson-annulation aza-Michael cyclization to give the decahydroquinoline ring (Scheme 1.7).

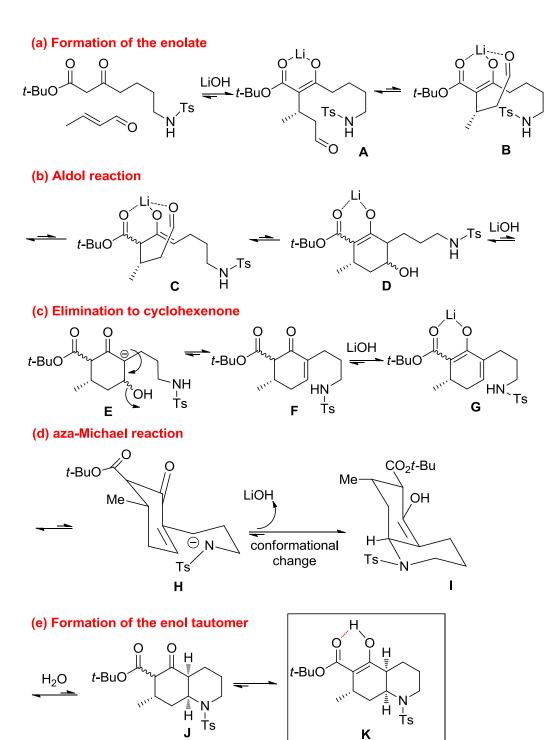
Only one single diastereoisomer was formed. This result was corroborated by calculation of the energies of the 4 possible diastereoisomers. Notably, the cyclic β-keto ester formed has the methyl group at C-7 axially located but was found to be thermodynamically more stable than the absent epimer with the methyl substituent equatorially located. This is believed to be due to steric crowding that would result from the bulky *tert*-butoxycarbonyl group if it was located on the same plane as the equatorial methyl substituent at C-7.

<sup>&</sup>lt;sup>32</sup> Bradshaw, B.; Luque-Corredera, C.; Bonjoch, J. *Org. Lett.* **2013**, *15*, 326-329.

## Proposed Mechanism of the Tandem Reaction<sup>33</sup>

Based on the experimental results, a plausible mechanism for the reaction was proposed which was then refined and corroborated by DFT modelling studies (Scheme 1.8). The mechanism can be split into roughly 5 parts: Formation of the correct enolate: Michael reaction between the β-keto ester and crotonaldehyde gave the coupled product, which deprotonates further to give the more stable lithium enolate species A and effectively preventing the Robinson annulation from progressing (Scheme 1.8a). However, it was thought that the carbonyl group on the side chain forms a tricoordinated species B whose energy difference between the analogous tricoordinated species **C** is reduced lowering the energy enough of species C to form to allow sequence to continue. Aldol **reaction:** Once formed, the required regiospecific enolate undergoes an aldol reaction. However, proton transfer to the alkoxide from the keto ester reforms the more stable enolate D, which effectively halts the reaction once again (Scheme 1.8b). *Elimination to Cyclohexenone:* Despite the stability of **D** a small quantity of the less stable enolate **E** is present at equilibrium and undergoes dehydration to give enone F. Deprotonation of the keto ester once again prevents the reaction from progressing by forming the more stable enolate **G** (Scheme 1.8c). **Aza-**Michael reaction and protonation: A small amount of enone H present at equilibrium undergoes an aza-Michael with attack from the top face to give an intermediate, which immediately undergoes protonation and a ring flip to form I setting the methyl group in the axial position. The presence of water provides a ready source of protons to trap intermediate I before the retro aza-Michael product can revert back to the ring-opened form **H**. Finally, hydrogen bond formation between the enol form and the ester group locks the molecule and drives the reaction to completion and

<sup>&</sup>lt;sup>33</sup> Bradshaw, B.; Luque-Corredera, C.; Saborit, G.; Cativiela, C.; Dorel, R.; Bo, C.; Bonjoch, J. *Chem. Eur. J.* **2013**, *19*, 13881-13892.



**Scheme 1.8** Overview of the *bis*-cyclization process mechanism leading to 5-oxodecahydroquinolines (based on experimental DFT modelling studies)

ensuring the stability of K. Whilst the vast majority of steps are unfavourable the last step in which the enol of K is formed drives the various equilibriums over to the right to allow the reaction to reach completion.

### Organocatalyzed synthesis of decahydroquinolines

Scheme 1.9 Organocatalyzed synthesis of type A decahydroquinoline

An asymmetric version was then developed by using the Hayashi-Palomo catalyst,<sup>34</sup> to render the initial Michael addition enantioselective allowing for the formation of the bicyclic product in 85% ee and >99% ee after recrystallization (Scheme 1.9).

#### Mechanism of the organocatalytic step

The mechanism for the organocatalytic part of the reaction is outlined in Scheme 1.10. The catalyst couples with crotonaldehyde to give an imine intermediate with the methyl orientated away from the bulky O-silyl substituent. The function of LiOAc presumably helps to activate the  $\beta$ -keto ester and make it more nucleophillic by helping to form the enolate. Additionally, it may interact with the coupled product to form the tricoordinated species proposed in the previous section. This would remove the free aldehyde from the equilibrium, freeing the organocatalyst to re-enter the catalytic cycle.

<sup>&</sup>lt;sup>34</sup> Palomo, C.; Landa, A.; Mielgo, A.; Oiarbide, M.; Puente, A.; Vera, S. *Angew. Chem. Int. Ed.* **2007**, *46*, 8431-8435.

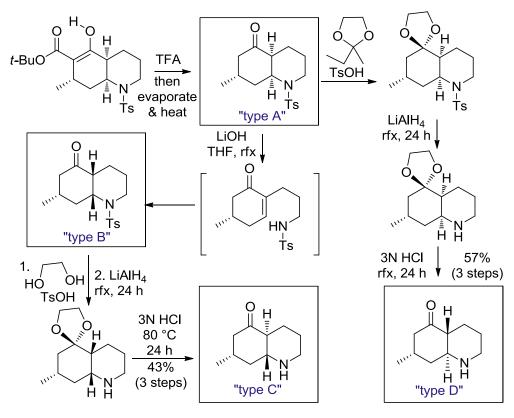
<sup>&</sup>lt;sup>35</sup> In the absence of the additive less coupling was observed. For an interesting study on the effect of LiOAc in organocatalyzed Michael reactions, see: Duce, S.; Mateo, A.; Alonso, I.; García Ruano, J. L.; Cid, M. B. *Chem. Commun.* **2012**, *48*, 5184-5186.

Scheme 1.10 Mechanism for the organocatalytic step

It is not clear if the free NH-Ts on the side chain plays a key role in the reaction *e.g.* via H-bonding with another part of the molecule. It is likely that it must play more than just a passive role in the reaction mechanism due to the significant differences observed compared to those observed by Jørgensen using simple  $\beta$ -keto esters.<sup>36</sup>

<sup>&</sup>lt;sup>36</sup> Jensen, K. L.; Dickmeiss, G.; Jiang, H.; Albrecht, L.; Jørgensen, K. A. *Acc. Chem. Res.* **2012**, *45*, 248-264.

# Access to 5-oxodecahydroquinolines of type B, C and D33



Scheme 1.11 Access to 5-oxodecahydroquinolines of type B, C and D

After obtaining the type A stereochemistry it was subsequently shown that the other three relative stereochemistries of 7-methyl-5oxodecahydroquinolines ("types B-D") could also be obtained from this same intermediate through a series of configurationally controlled equilibration processes (see Scheme 1.11). When the ester was removed a retro aza-Michael reaction followed by re-closure of the ring, the type B stereochemistry was observed and found to be the most thermodynamically stable compound by calculation. After formation of the acetal of the type B compound and removing the nitrogen-substituent group, isomerization at C-4a via enolization and reprotonation from the opposite face gave the desired trans stereochemistry. An analogous process from the type A substrate allowed access to type D compounds.

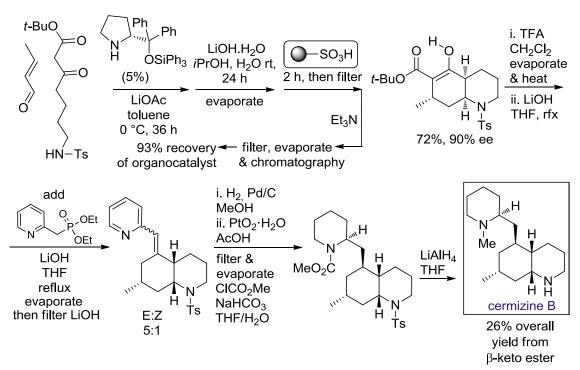
### • Use of Decahydroquinoline building block in total synthesis

# Total synthesis of lycoposerramine Z<sup>32</sup>

**Scheme 1.12** Total synthesis of lycoposerramine Z

The building block containing the all *cis* type A stereochemistry ideally positioned it for a synthesis of the phlegmarine alkaloid lycoposerramine Ζ (Scheme 1.12). After decarboxylation, decahydroguinoline building block underwent a Horner-Wadsworth-Emmons with a pyridine phosphonate to install all the remaining carbon atoms. Hydrogenation of the resulting vinyl pyridine took place selectively from the top face to install all the required stereogenic centres. Since the conditions required to remove the tosyl group were not compatible with the sensitive nitrone moiety, it was exchanged for the more easily removable Teoc group (used by Takayama in his synthesis of the same compound). Hydrogenation of the pyridine, oxidation with urea peroxide and finally treatment with TFA gave lycoposerramine Z.

## Total synthesis of cermizine B<sup>37</sup>



**Scheme 1.13** Total synthesis of cermizine B

Total synthesis of cermizine B<sup>37</sup> was achieved using similar method (Scheme 1.13). After formation of the decahydroquinoline nucleus the reaction mixture was treated with an acidic resin to scavenge the basic residues and to capture and recover the organocatalyst. Treatment with TFA removed the ester whilst refluxing with LiOH allowed partial equilibration to the type B stereochemistry, which could be driven to completion by selective trapping of the type B intermediate with a pyridine phosphonate. Finally, hydrogenation of the vinyl pyridine with Pd/C followed by addition of PtO<sub>2</sub> gave the piperidine, which was converted to the methyl carbamate allowing the undesired epimer to be removed by chromatography. Finally, reduction with LiAlH<sub>4</sub> removed the tosyl group and converted the carbamate to a methyl affording the desired product. Notably most steps did not require work-up or chromatography and could be carried out in the same flask and on a gram scale.

<sup>&</sup>lt;sup>37</sup> Bradshaw, B.; Luque-Corredera, C.; Bonjoch, J. *Chem. Commun.* **2014**, *50*, 7099-7102.

# Attempted synthesis of phlegmarine (2016) 38

**Scheme 1.14** Attempted synthesis of phlegmarine (2016).

Based on the method outlined in Scheme 1.11 the type C nucleus was obtained. Coupling was initially attempted with a chiral piperidine phosphonate but unfortunately this led only to ring opening of the decahydroquinoline ring.<sup>39</sup> However the pyridine phosphonate readily coupled in excellent 92% yield. Reduction only mildiy selective for the desired epimer could be improved to 4:1 by addition of a chiral phosporic acid. Reduction with with PtO<sub>2</sub> in AcOH reduced the pyridine to a piperidine ring. This reduction could also be done in the presence of the same chiral phosphoric acid used above however no selectivity for the piperidine C-5' position was observed. Unfortunately the epimers could not be separated even by the formation of the methyl carbamate. Lack of material<sup>40</sup> at this point did not allow the completion of the synthesis.

<sup>&</sup>lt;sup>38</sup> Gisela Saborit, Universitat de Barcelona, thesis, unpublished results.

<sup>&</sup>lt;sup>39</sup> Notably the enantiomer of the chiral piperidine readily coupled indicating that the desired stereochemistry was mismatched.

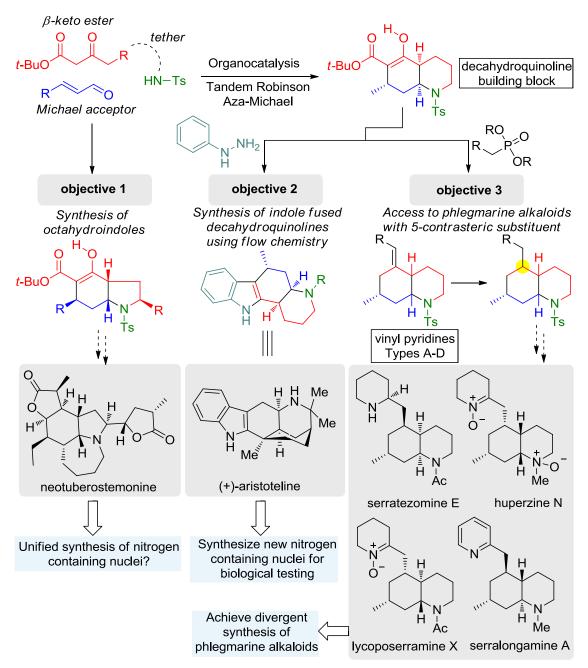
<sup>&</sup>lt;sup>40</sup> Last steps were performed on small scale: yields are not available.

#### 1.2 Objectives

Objective 1: The organocatalyzed construction of highly functionalized polycyclic nuclei in a one-pot operation from simple acyclic precursors has the potential to greatly shorten a synthetic sequence targeting complex natural products. The developed organocatalytic strategy toward decahydroguinolines (Scheme 1.9) was instrumental in enabling highly efficient syntheses of the phlegmarine alkaloids lycoposerramine Z (Scheme 1.12) and cermizine B (Scheme 1.13). Looking to expand the potential of this methodology, it soon became apparent that the principles behind the reaction sequence, namely a β-keto ester, a tethered sulfonamide and an enal engaging in a tandem Robinson aza-Michael reaction (Scheme 1.15) could be more general in scope, providing access to a range of different important nitrogen bicyclic nuclei in enantiopure form. Indeed, this proved to be the case, and allowed our research group to achieve the first efficient synthetic entry to the morphan nucleus using organocatalysis from simple acyclic precursors.41 Here, we propose to examine the scope of this strategy to include the octahydroindole unit, another privileged scaffold found in an extensive and diverse range of compounds such as neotuberostemonine.

Objective 2: Heterocyclic scaffolds bearing a tetrahydrocarbazole structural subunit and an additional nitrogen-containing ring are found both in natural products (alkaloids) and pharmacologically active compounds developed in medicinal chemistry research. More particularly, a series of tetracyclic indoles have been reported as androgen receptor ligands, among which an unprecedented pyrido[2,3-a]carbazole scaffold Considering pharmacological was evaluated. the interest in cis-5pyridocarbazole compounds and the availability of oxodecahydroquinolines we decided to study the Fischer indole reaction

<sup>&</sup>lt;sup>41</sup> Bradshaw, B.; Parra, C.; Bonjoch, J. *Org. Lett.* **2013**, *15*, 2458-2461.



Scheme 1.15 Overview of objectives

on the latter compounds. Besides studying conventional efficient batchwise approaches, we were also interested in comparing those with continuous flow procedures employing immobilized catalysts.

**Objective 3:** So far the two strategies developed by our group to synthesize the phlegmarine alkaloids have been dependent on using sterics to control the diastereoselectivity in the hydrogenation step of the vinyl pyridine unit. In both cases the resultant products of the reduction

present a *cis* relationship between the C-5 substituent and the C-8a hydrogen. As discussed previously a large number of lycopodium natural products present a *trans* relationship between the C-5 substituent and the C-8a hydrogen. Thus, development of a contrasteric reduction process would open access to this large pool of natural products. Our proposal is to develop such a process and use it to allow us to achieve a unified synthesis of representative examples of all of the phlegmarine alkaloids in a divergent synthetic process.

Regarding the philosophy behind this work, two sentences of K. C. Nicolaou from a classical paper published some years ago are pertinent.<sup>42</sup>

"The total synthesis of natural products has served as the flagship of chemical synthesis and the principal driving force for discovering new chemical reactivity, evaluating physical organic theories, testing the power of existing synthetic methods, and enabling biology and medicine"

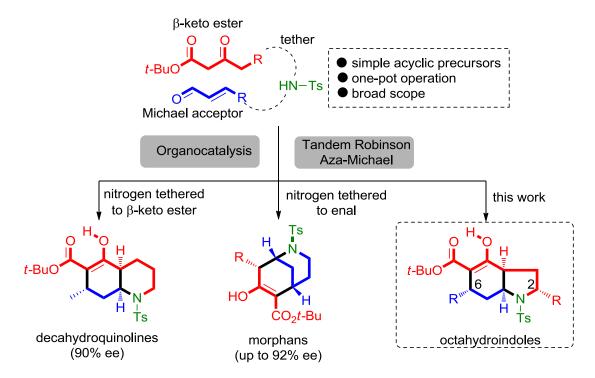
#### Among others, a reason for practicing natural product synthesis.is

"To exploit the opportunity that a challenging molecular architecture presents for the discovery and invention of new synthetic strategies and methods to be used in a wide range of applications"

<sup>&</sup>lt;sup>42</sup> Nicolaou, K. C.; Snyder, S. A. *Proc. Natl. Acad. Sci. USA* **2004**, *101*, 11929-11936.

# 2. Asymmetric Synthesis of Octahydroindoles via a Domino Robinson Annulation/5-Endo Intramolecular Aza-Michael Reaction

J. Org. Chem. 2016, DOI: 10.1021/acs.joc.6b01568



Scheme 2.1 Unified Synthesis of Nitrogen Containing Nuclei

The organocatalyzed construction of highly functionalized polycyclic nuclei in a one-pot operation from simple acyclic precursors has the potential to greatly shorten a synthetic sequence targeting complex natural products. Previously, we have developed an organocatalytic strategy toward decahydroquinolines, which allowed the efficient synthesis of natural products such as lycoposerramine  $Z^{32}$  and cermizine  $B^{37}$ . In both cases, the tandem reaction was instrumental in enabling highly efficient syntheses of these natural products. Looking to expand the potential of this methodology, it soon became apparent that the principles behind the reaction sequence, namely a  $\beta$ -keto ester, a tethered sulfonamide and an enal engaging in a tandem Robinson aza-Michael reaction, could be more general in scope, providing access to a range of different important nitrogen bicyclic nuclei in enantiopure form.

For reviews of organocatalysis in the synthesis of natural products, see: (a) Marqués-López, E.; Herrera, R. P.; Christmann, M. *Nat. Prod. Rep.* **2010**, *27*, 1138-1167. (b) Abbasov, M. E.; Romo, D. *Nat. Prod. Rep.* **2014**, *31*, 1318-1327. (c) Sun, B. F. *Tetrahedron Lett.* **2015**, *56*, 2133-2140. (d) Ishikawa, H.; Shiomi, S. *Org. Biomol. Chem.* **2016**, *14*, 409-424.

Indeed, this proved to be the case, and allowed us to achieve the first efficient synthetic entry to the morphan nucleus using organocatalysis from simple acyclic precursors.<sup>41</sup>

In this chapter of the thesis we detail our efforts to expand the scope of this strategy to the octahydroindole unit,<sup>44</sup> another privileged scaffold found in an extensive and diverse range of compounds. These include natural products such as aeruginosin 298-A,<sup>45</sup> neotuberostemonine,<sup>46</sup> lycorine,<sup>47</sup> pharmaceutical products such as perindopril,<sup>48</sup> and a number of proline analog organocatalysts.<sup>49</sup>

While a number of methods have been developed to synthesize octahydroindoles in enantiopure form, using the chiral pool approach<sup>50</sup> or asymmetric metal-catalyzed reactions,<sup>51</sup> there are few previous approaches using aminocatalysis.<sup>52</sup>

<sup>&</sup>lt;sup>44</sup> We have recently become aware of a new approach to hydroindoles through organocatalysis from acyclic precursors (Prof. F. P. Cossío, XXVI Reunión Bienal GEQOR, Punta Umbría, Spain, June 2016, personal communication).

<sup>&</sup>lt;sup>45</sup> For total syntheses, see: (a) Valls, N.; Lopez-Canet, M.; Vallribera, M.; Bonjoch, J. *J. Am. Chem. Soc.* **2000**, *122*, 11248–11249. (b) Dailler, D.; Danoun, G.; Baudoin, O. *Angew. Chem. Int. Ed.* **2015**, *54*, 4919-4922 and references therein.

<sup>46</sup> Frankowski, K. J.; Golden, J. E.; Zeng, Y.; Lei, Y.; Aubé, J. *J. Am. Chem. Soc.* **2008**, *130*, 6018-6024.

<sup>&</sup>lt;sup>47</sup> For total synthesis, see: Ghavre, M.; Froese, J.; Pour, M.; Hudlicky, T. *Angew. Chem. Int. Ed.* **2016**, *55*, 5642-5691 and references therein.

<sup>&</sup>lt;sup>48</sup> Hurst, M.; Jarvis, B. *Drugs* **2001**, *61*, 867–896.

<sup>&</sup>lt;sup>49</sup> (a) Sayago, F. J.; Laborda, P.; Calaza, M. I.; Jiménez, A. I.; Cativiela, C. *Eur. J. Org. Chem.* **2011**, *11*, 2011–2028. (b) Arceo, E.; Jurberg, I. D.; Alvarez-Fernández, A.; Melchiorre, P. *Nat. Chem.* **2013**, *5*, 750–756.

<sup>(</sup>a) Wipf, P; Kim, Y.; Goldstein, D. M. *J. Am. Chem. Soc.* 1995, 117, 11106-11112.
(b) Bonjoch, J.; Catena, J.; Isábal, E.; López-Canet, M.; Valls, N. *Tetrahedron: Asymmetry* 1996, 7, 1899-1902.
(c) Trembay, M; Hanessian, S. *Org. Lett.* 2004, 6, 4683-4686.
(d) Ruff, B. M.; Zhong, S.; Nieger, M.; Sickert, M.; Schneider, C.; Bräse, S. *Eur. J. Org. Chem.* 2011, 11, 6558-6566.
(e) Hanessian, S.; Dorich, S.; Menz, H. *Org. Lett.* 2013, 15, 4134-4137.

<sup>&</sup>lt;sup>51</sup> (a) Schindler, C. S.; Diethelm, S.; Carreira, E. M. *Angew. Chem. Int. Ed.* **2009**, *48*, 6296–6299. (b) Trost, B. M.; Kaneko, T.; Andersen, N. G.; Tappertzhofen, C.; Fahr, B. *J. Am. Chem. Soc.* **2012**, *134*, 18944-18947. (c) Sun, Z.; Zhou, M.; Li, X.; Meng, X.; Peng, F.; Zhang, H.; Shao, Z. *Chem. Eur. J.* **2014**, *20*, 6112-6119. (d) Dailler, D.; Danoun, G.; Baudoin, O. *Angew. Chem. Int. Ed.* **2015**, *54*, 4919-4922.

<sup>&</sup>lt;sup>52</sup> Using aminocatalysis: (a) Pantaine, L.; Coeffard, V.; Moreau, X.; Greck, C. *Org. Lett.* **2015**, *17*, 3674-3677. Using a chiral Brönsted acid catalyst: (b) Ruff, B. M.; Zhong, S.; Nieger, M.; Sickert, M.; Schneider, C.; Bräse, S. *Eur. J. Org. Chem.* **2011**, *11*, 6558-6566. (c) Han, Y.; Zheng, B.; Peng, Y. *Adv. Synth. Catal.* **2015**, *357*, 1136-1142.

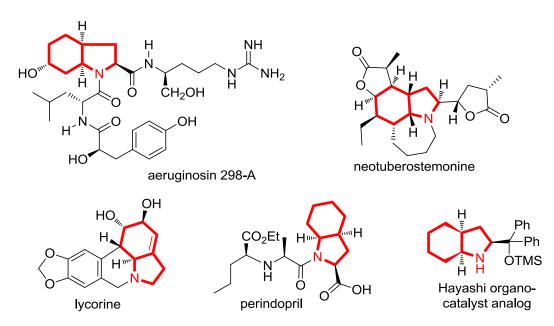


Figure 2.1 Octahydroindoles in natural and synthetic compounds

Detailed herein is the development of an organocatalysis-mediated synthesis of octahydroindoles from a non-cyclic precursor. Moreover, the domino process (Robinson annulation followed by an intramolecular aza-Michael reaction) was also studied using an enantiomerically pure starting material to check its influence on the stereochemical outcome.

Preparation of the required starting material was achieved in a onestep manner by ring opening of the commercially available tosyl aziridines via the dianion of t-butyl acetoacetate<sup>53</sup>

$$R_{1}$$
 $N-Ts$ 
 $N-Ts$ 
 $t-BuO$ 

NaH,  $n-BuLi$ 
 $t-BuO$ 

1a,  $R_{1}=H$  (88%)  $R_{1}$ 
1b,  $R_{1}=Bn$  (79%)

**Scheme 2.2** Synthesis of starting materials

<sup>&</sup>lt;sup>53</sup> For reaction of *N*-tosylaziridines with dianions derived from  $\beta$ -keto esters, see: Lygo, B. Synlett **1993**, 764–766.

With the starting material **1a** in hand, the non-asymmetric version of the tandem cyclization reaction was initially investigated. Different bases were evaluated to perform the reaction (see Table 2.1). Satisfactorily, using the optimal conditions (crotonaldehyde LiOH·H<sub>2</sub>O, *i*PrOH, H<sub>2</sub>O) developed for the decahydroquinoline series<sup>32</sup> gave the desired analogous octahydroindole product **2a**, which maintained the all-*cis* stereochemistry. The moderate 44% yield led us to evaluate other conditions using different bases and solvent system. The best results were obtained using PS-BEMP alone in *i*PrOH which gave **2a** in a 68% yield (entry 8) with 72 h reaction time but other bases could also promote this reaction giving around 40-60% yield.

The relative stereochemistry of rac-2a was elucidated by 2D NMR spectra (COSY, HSQC, and NOESY). Octahydroindole 2a shows a preferred conformation in which the C7-C7a bond of the carbocyclic ring adopts an axial disposition with respect to the nitrogen-containing ring to avoid the allylic strain with the sulfonamide group. The key evidence for the structure depicted in Figure 2.2 was found in the  $^1$ H NMR coupling pattern for H-7ax, which appears as a triplet of doublets (J = 12.8, 5.2 Hz). This coupling pattern is only compatible with an axially disposed location of the methyl group at C-6. Moreover, the axial proton H-7a is strongly coupled with only one adjacent axial proton. Hence, its resonance signal appears deceptively as a doublet (J = 12.8 Hz) of other doublets (J = 8.0, 4.8 Hz). This structural elucidation is fully confirmed by the NOE contacts observed for H-7a.

Table 2.1 Screening of tandem cyclization conditions leading to octahydroindole

entry	base (equiv)	solvent	time (h)	yield <sup>a</sup> (%)
1	LiOH·H <sub>2</sub> O (1) <sup>b</sup>	<i>i</i> PrOH	24	44
2	<i>t</i> -BuOK (0.3)	<i>t</i> -BuOH	24	15 <sup>c</sup>
3	<b>A</b> <sup>d</sup> (0.3), KOH(aq.)	Et <sub>2</sub> O / THF	72	57
4	<b>B</b> <sup>e</sup> (0.1), <b>C</b> (2)	CH <sub>2</sub> Cl <sub>2</sub>	72	
5	<b>B</b> (1), <b>C</b> (2)	<i>i</i> PrOH	24	56 <sup>f</sup>
6	<b>B</b> (1), <b>C</b> (2)	<i>t</i> -BuOH	24	45
7	<b>B</b> (1)	<i>i</i> PrOH	24	42
8	<b>B</b> (1)	<i>i</i> PrOH	72	68
9	<b>B</b> (0.1)	<i>i</i> PrOH	72	54
10	<b>D</b> (1)	<i>i</i> PrOH	24	43

<sup>a</sup> Yield refers to the products isolated by flash chromatography. <sup>b</sup> 10 equiv of H<sub>2</sub>O added. <sup>c</sup> Significant amounts of the non-cyclized cyclohexenone were also obtained (~40%). <sup>d</sup> TBAH refers to 40% *n*Bu<sub>4</sub>NOH in H<sub>2</sub>O. <sup>e</sup> PS-BEMP refers to polymer-supported 2-*tert*-butylimino-2-diethylamino-1,3-dimethylperhydro-1,3,2-diazaphosphorine. <sup>f</sup> Isolated as a mixture of esters by a solvent transesterification process.

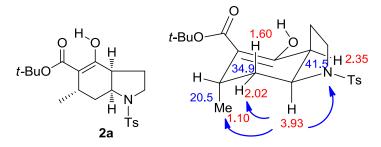


Figure 2.2 NMR structure elucidation

This process constitutes a rare example of an intramolecular aza-Michael reaction through a 5-*endo-trig* cyclization,<sup>54</sup> the latter process being disfavored according to Baldwin's rules.<sup>55</sup>

In order to render the initial Michael addition step in the tandem Robinson/aza-Michael reaction enantioselective, we applied the conditions developed in the decahydroquinoline series<sup>32</sup> (using the Hayashi-Palomo catalyst **3**,<sup>34</sup> LiOAc as an additive, and toluene as a solvent) to see if the octahydroindole series followed the same reactivity pattern. A brief solvent screen for the organocatalytic step proved this to be the case, so toluene was again selected as the solvent of choice based on enantiomeric excess (ee) and yield. The use of different cyclization conditions for the tandem reaction was evaluated (see Table 2.2). With no clear winner for the base for the cyclization step, we decided to test all the conditions that had given good results.

We were surprised to observe that the choice of base was indeed crucial for obtaining good enantioselectivities. Compared to LiOH, the use of *t*-BuOK resulted in a quite considerable reduction of the ee to 73% (entry 2), while the use of KOH with TBAH under biphasic conditions gave an improved 94% (entry 3). The treatment with PS-BEMP (1 equiv) performed almost equally well, giving 90% ee (entry 4). Using catalytic PS-BEMP conditions, the ee dropped slightly to 87% and the yield was significantly reduced (entry 5). The use of the Amberlyst-26 resin resulted in a moderate 84% ee and also a moderate yield. While the KOH, TBAH conditions (entry 3) were the best in terms of enantioselectivity, we chose PS-BEMP (entry 4) as the optimum conditions based on the following

<sup>&</sup>lt;sup>54</sup> For a general overview of the organocatalytic intamolecular aza-Michael reaction, see: Sánchez-Roselló, M.; Aceña, J. L.; Simón-Fuentes, A.; del Pozo, C. *Chem. Soc. Rev.* **2014**, *43*, 7430-7453.

<sup>&</sup>lt;sup>55</sup> Johnston, C. P.; Kothari, A.; Sergeieva, T.; Okovytyy, S. I.; Jackson, K. E.; Paton, R. S.; Smith, M. D. *Nat. Chem.* **2015**, *7*, 171-177.

**Table 2.2** Organocatalyzed Michael reaction/aldol/intramolecular aza-Michael process

entry <sup>a</sup>	base used for cyclization (equiv)	yield <sup>b</sup> (%)	ee <sup>c</sup> (%)
1	LiOH.H <sub>2</sub> O (1)	55	87
2	<i>t</i> -BuOK (0.3)	61	73
3	KOH(aq.), TBAH(0.3)	51	94
4	PS-BEMP(1)	50	90
5	PS-BEMP(0.1)	29	87
6	Amberlyst-26(1)	44	84

<sup>&</sup>lt;sup>a</sup>Reactions were carried out with 1.1 equiv of crotonaldehyde and 0.5 equiv of LiOAc, as an additive, and the reaction time for the first step (i) was 24 h. The second step (ii) was carried out with the base indicated in *i*PrOH for 72 h. <sup>b</sup> Yield refers to the products isolated by flash chromatography. <sup>c</sup> Determined by HPLC analysis.

criteria: (i) the reaction setup and work-up was significantly easier, requiring simple addition and filtration, and (ii) we observed that KOH, TBAH was less effective when the enal substituent was not a methyl group. The absolute configuration proposed for octahydroindole (+)-2a is based on the accepted mechanism of organocatalyzed Michael addition of  $\beta$ -keto esters upon enals,  $^{36}$  as well as the absolute stereochemistry reported in the related process leading to enantiopure decahydroquinolines.  $^{37}$ 

Each compound was prepared initially in racemic form. <sup>a</sup> Organocatalytic conditions did not lead to any significant quantity of coupled product. <sup>b</sup> Excess unreacted non-volatile enal was converted by hydrogenation to the corresponding aldehyde

**Scheme 2.3** Scope of the Organocatalyzed Octahydroindole synthesis

To test the scope of the reaction, a range of enals were examined. It should be noted that in cases where the enal was not volatile, it was necessary to reduce any excess material by hydrogenation before adding the base to initiate the tandem cyclization reaction. Aliphatic enals gave the corresponding octahydroindoles 2b and 2c with good enantioselectivities (87% and 92% ee, respectively). The enal bearing a free hydroxyl group efficiently gave 2d under racemic conditions but did not evolve under organocatalysis due to the formation of a stable heminal species. The reaction also generally performed well when using enals with an aromatic substituent, giving **2e** (phenyl group), **2f** (p-chlorophenyl) (p-methoxyphenyl), the latter bearing an electron-donating substituent, and all with excellent enantioselectivities.

Since many octahydroindole products bear a substituent at the 2position, we were interested in examining the effect of placing a corresponding substituent in the  $\beta$ -keto ester starting material  $\alpha$  to the nitrogen (see Table 2.3). We began by taking  $\alpha$ -substituted  $\beta$ -keto ester **1b** and reacting it under the racemic conditions (PS-BEMP, iPrOH). Notably, the isolation of compound 4a indicated that the incorporation of a stereogenic center at the  $\alpha$ -position of the nitrogen atom (i.e. a benzyl group) caused an effective remote 1,6-asymmetric induction.<sup>56</sup> The stereostructure of 4a was assigned on the basis that the set of signals in its NMR spectra (<sup>1</sup>H and <sup>13</sup>C) showed a close correlation with those observed in 2a. Thus, considering that the pattern of chemical shifts and coupling constants for H-3a, H-6, H-7, and H-7a in 4a was the same as in 2a, a stereostructure analogous to that depicted in Figure 2.2, but having the benzyl substituent at C-2 was assigned to 4a with the all-cis configuration. This was also confirmed with bidimensional NMR analysis. To see if the above asymmetric induction was an effect unique to PS-BEMP, the previously evaluated bases were analysed and the product found in each case was 4a (see Table 2.3). The effect of using the organocatalyst 3 in the initial Michael step was then examined. While the matched organocatalyst ent-3 gave a similar result regarding the all-cis stereochemistry, ent-3 failed to provide the opposite stereochemistry at C-6.

To explore the scope of the reaction, some different unsaturated aldehydes were used in the coupling reaction. As can be seen in Table 2.3, the reaction worked with a variety of substrates, leading to the octahydroindoles **4a-4d** in a non-optimized moderate yield.

<sup>&</sup>lt;sup>56</sup> For diastereoselective construction of remote stereocenters, see: (a) Hayashi, R.; Walton, M. C.; Hsung, R. P.; Schwab, J. H.; Yu, X. *Org. Lett.* **2010**, *12*, 5768-5771. (b) Aron, Z. D.; Ito, T.; May, T. L.; Overman, L. E.; Wang, J. *J. Org. Chem.* **2013**, *78*, 9929-9948. (c) Kwon, K. H.; Serrano, C. M.; Koch, M.; Barrows, L. R.; Looper, R. E. *Org. Lett.* **2014**, *16*, 6048-6051. (d) Kobayakawa, T.; Narumi, T.; Tamamura, H. *Org. Lett.* **2015**, *17*, 2302-2305.

**Table 2.3** Synthesis of 2,4,5,6-Tetrasubstituted Octahydroindoles via 1,6-Remote Induction

entry <sup>a</sup>	R	compound	conditions	yield (%)
1	Me	4a	PS-BEMP	44
2	Me	4a	LiOH.H₂O	60
3	Me	4a	Amberlyst A-26	29
5	Me	4a	BEMP	24
6	Me	4a	3 <sup>b</sup> then PS-BEMP	27
7	Me	4a	ent-3 <sup>b</sup> then PS-BEMP	c
8	Me	4a	PS-BEMP (0.3)	45
9	Me	4a	PS-BEMP (0.3) <sup>d</sup>	36
10	hept	4b	PS-BEMP	28
11	(CH <sub>2</sub> ) <sub>2</sub> OH	4c	PS-BEMP	30
12	Ph	4d	PS-BEMP	43

<sup>&</sup>lt;sup>a</sup> Unless otherwise stated, reactions were carried out with 1 equiv of Base in *i*PrOH for 72 h. <sup>b</sup> Conditions for the organocatalytic step were carried out as in Table 2.2. <sup>c</sup> Mixture of various unidentified compounds was obtained with only traces of **4a**. <sup>d</sup> 10 equiv of H<sub>2</sub>O were added.

Scheme 2.4 DFT calculations

DFT calculations were performed in order to shed light on the unexpected complete diastereoselectivity exerted by the substituent on the bicycle formation. At first sight, any of the C-C or C-N potential candidate bond-forming processes is а stereodetermining transformation. We thus considered all possibilities, starting with the initial Michael addition of the dicarbonylic compound to crotonaldehyde (TS1, see supporting information) to form INT1-R and **INT1-S** (Scheme 2.4), which, as expected, turned out to be non-selective. The absence of interaction between the forming C-C bond and the stereogenic center  $\alpha$  to the nitrogen atom might be behind the observed lack of stereo-control. The fact that **TS1** is non-selective undoubtedly means that INT1-R and INT1-S must be in equilibrium (Curtin-Hammett conditions) prior to the stereo-determining step, which we hypothesized to

be TS2 (Scheme 2.4a). A number of TS2 structures were located, showing different Li cation and H-bond (TsNH) activation modes of the ring formation process. Gratifyingly, the transition state lowest in energy (TS2-S<sub>1</sub>) corresponds to the formation of the S epimer, which is the one experimentally observed. In this structure (TS2-S<sub>1</sub>), the lithium atom is bonded to the two reacting oxygen units (enolate and aldehyde), and the NH of the tosyl group is hydrogen bonding the enolate-oxygen. Any other Li/NH bond combination (TS2-S<sub>2</sub> to TS2-S<sub>4</sub>, Scheme 2.4a) is not so favorable in terms of energy. Similar activation modes can be found in the transition states leading to the R epimer, TS2-R<sub>1</sub> being the lowest one, but their energies are at least 1.7-2.0 kcal/mol larger than those of the S isomer, in agreement with the experimental selectivity data. We hypothesized that the reason for the energy difference between TS2-S<sub>1</sub> and TS2-R<sub>1</sub> might be the tight character of these tricyclic structures, where the steric interaction of the benzyl group with the rest of the molecule gains significance.

We also studied the diastereoselectivity of the second ring formation by attack of the nitrogen atom to INT2-S. The most favorable transition states located were TS3-SR and TS3-SS (Scheme 2.4b), and the comparison of their relative Gibbs Free energies is again in agreement with the experimental results, predicting the formation of the SS adduct. In both diastereoisomers, the lithium cation is bonded to the oxygens of the dicarbonylic system, activating the enone (INT2-S) toward the nucleophilic attack of the tosylamine.

Scheme 2.5 Conclusions

In summary, an effective enantioselective organocatalytic route to polyfunctionalized octahydroindoles was developed using a one-pot sequence, further expanding the potential scope of the organocatalyzed Robinson/aza-Michael reaction for the rapid construction of important natural product nuclei. Moreover, a diastereoselective route starting from commercially available enantiopure aziridine was developed, in which a 1,6-remote control induction was observed in a process leading to enantiopure 2,4,5,6-tetrasubstituted hydroindoles.

# 3. Fischer Indole Reaction in Batch and Flow Employing a Sulfonic Acid Resin: Synthesis of Pyrido[2,3-a]carbazoles

J. Flow Chem. 2016, DOI: 10.1556/1846.2016.00016

Figure 3.1 Heterocyclic Carbazole Structures

Heterocyclic scaffolds bearing a tetrahydrocarbazole structural subunit and an additional nitrogen-containing ring are found both in natural products (alkaloids) and pharmacologically active compounds developed in medicinal chemistry research<sup>57</sup> (Figure 3.1). More particularly, a series of tetracyclic indoles have been reported as androgen receptor ligands,<sup>58</sup> among which an unprecedented pyrido[2,3-a]carbazole scaffold was evaluated. Considering this pharmacological interest in pyridocarbazole compounds<sup>59</sup> and the easy availability of *cis*-5-oxodecahydroquinolines,<sup>32</sup> through the tandem Michael addition / aldol condensation / aza-Michael reaction process developed previously in the research group (see chapter 1), we decided to study the Fischer indole reaction on the latter compounds.

<sup>&</sup>lt;sup>57</sup> Zhao, F.; Li, N.; Zhu, Y. F.; Han, Z. Y. *Org. Lett.* **2016**, *18*, 1506-1509 and references therein.

<sup>&</sup>lt;sup>58</sup> Zhang, X.; Li, X.; Allan, G. F.; Musto, A.; Lundeen, S. G.; Sui, A. *Bioorg. Med. Chem. Lett.* **2006**, *16*, 3233-3237.

<sup>&</sup>lt;sup>59</sup> (a) Pagano, N.; Wong, E. Y.; Breiding, T.; Liu, H.; Wilbuer, A.; Bregman, H.; Shen, Q.; Diamond, S. L.; Meggers, E. *J. Org. Chem.* **2009**, *76*, 8997-9009. (b) Schmidt, A. W.; Reddy, K. R.; Knölker, H. J. *Chem. Rev.* **2012**, *112*, 3193-3328. (c) Mihalic, J. T.; Fan, P.; Chen, X.; Chen, X.; Fu, Y.; Motani, A.; Liang, L.; Lindstrom, M.; Tang, L.; Chen, J. L.; Jaen, J.; Dai, K.; Li, L. *Bioorg. Med. Chem. Lett.* **2012**, *22*, 3781-3785.

#### **Continuous flow synthesis** Continuous-flow Catalyst Optional column Scavenger columns reactor Simple starting Clean product materials Product Batch "ideal" synthesis Easv purification Simple Negligeable or benign starting waste products materials $\overline{\Box}$ Solid supported reagents

Figure 3.2 Flow versus Batch

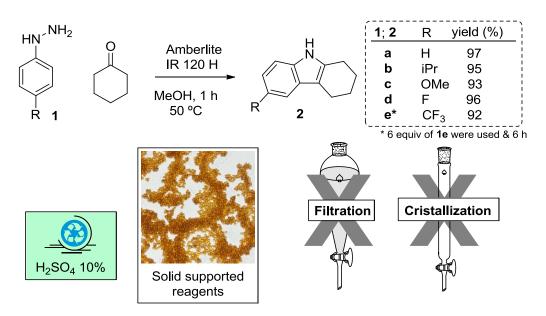
Conducting the Fischer indole synthesis using continuous flow systems has caught the attention of several research groups in recent years. The reaction rate of the Fischer indole synthesis can be significantly increased when run at higher temperatures and pressures or employing microwave irradiation, which renders this reaction highly suitable for new continuous flow techniques. Moreover, a heterogeneous approach using a solid acid could avoid the potentially problematic clogging of microreactor devices due to the facile precipitation or crystallization of the indole, and eventually allow for a one-pot process.

Besides studying flow approaches, we were also interested in comparing those with more conventional procedures employing immobilized catalysts to perform the reaction in one-pot efficient processes and get closer to the batch "ideal" synthesis stated by Wender as the one "in which the target molecule is prepared from readily available starting materials in one simple, safe, environmentally acceptable, and

 <sup>(</sup>a) Wahab, B.; Ellames, G.; Passey, S.; Watts, P. *Tetrahedron* **2010**, *66*, 3861-3865.
 (b) Pagano, N.; Heil, M. L.; Cosford, N. D. P. *Synthesis* **2012**, *44*, 2537-2546.

<sup>(</sup>c) Gutmann, B.; Gottsponer, M.; Elsner, P.; Cantillo, D.; Roberge, D. M.; Kappe, C. O. *Org. Process Res. Dev.* **2013**, *17*, 294-302. (d) Ranasinghe, N.; Jones, G. B. *Current Green Chem.* **2015**, *2*, 66-76.

<sup>&</sup>lt;sup>61</sup> For pioneering work in this field, see: Prochazka, M. P.; Carlson, R. *Acta Chem. Scand.* **1990**, *44*, 614-616.



Scheme 3.1 Model substrate – cyclohexanone experiments in Batch

resource-effective operation that proceeds quickly and in quantitative yield". 62

Various catalytic methods have been reported for the Fischer indole synthesis, 63 including the polymeric sulfonic acid resin Amberlite IR 120 H, 64 which despite its frequent use in ion exchange applications has not been extensively used for this particular purpose. 65

The availability of the reagent, combined with its high ability to catalyze the Fischer indole synthesis, prompted us to choose it for this study. Also the use of a solid supported acidic resin might facilitate every manipulation avoiding the need for work-up of the reaction medium, simple filtration of the resin beads. Finally the indole / carbazole tendency to precipitate and crystallize easily could allow us to avoid any purification by column chromatography and permit a simple purification by precipitation – crystallization of the desired heterocycle.

<sup>&</sup>lt;sup>62</sup> Wender, P.A. & Miller, B. L. in "Organic Synthesis: Theory and Applications", T. Hudlicky, Ed., Greenwich: *JAI Press*, **1993**, *2*, 27-66.

<sup>&</sup>lt;sup>63</sup> For recents studies on the Fischer indole synthesis, see: (a) Gore, S.; Baskaran, S.; König, B. *Org. Lett.* **2012**, *14*, 4568-4571. (b) Inman, M.; Moody, C. J. *Chem. Sci.*, **2013**, *4*, 29-41. (c) Smith, J. M.; Moreno, J.; Boal, B. W.; Garg, N. K. *J. Org. Chem.* **2015**, *80*, 8954-8967.

<sup>64</sup> Yamada, S.; Chibata, I.; Tsurui, R. Chem. Pharm. Bull. 1953, 1, 14-16.

<sup>65</sup> Chandrasekhar, S.; Mukherjee, S. Synth. Commun. 2015, 45, 1018-1022.

As a preliminary task, we decided to examine the behaviour of cyclohexanone as model compound in batch and flow reaction conditions. We were pleased to observe that the use of Amberlite IR 120 H resin in a batch process readily and efficiently catalyzed the Fischer indole reaction of hydrazine 1a with cyclohexanone under mild conditions. It is interesting to note that the resin can be regenerated without any loss of activity by simple stirring in a 10% H<sub>2</sub>SO<sub>4</sub> solution for 15–30 min. These conditions were successfully applied to various substituted phenylhydrazines (Scheme 3.1), in all cases providing excellent isolated yields of products whose data correspond to the literature descriptions.

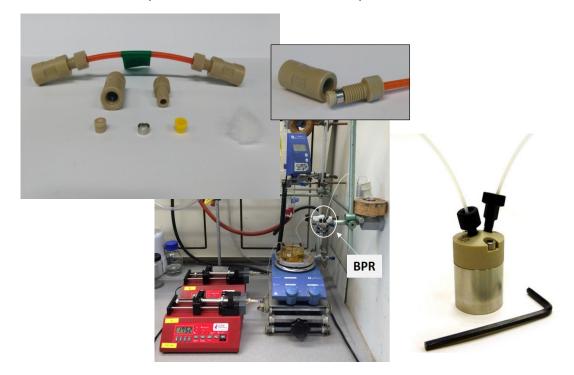
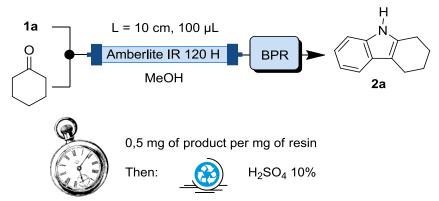


Figure 3.3 Flow set-up

Encouraged by the results obtained in batch, we attempted to conduct the process under continuous flow conditions. For this purpose, a 10 cm long ETFE tubing (1/8" outer diameter, 1/16" inner diameter) packed with Amberlite IR 120 H (100 mg) and sealed with cotton wool was used as the reactor cartridge (100 µL inner volume). The flow system consisted of two syringe pumps, a T-mixer unit for homogenization of the reaction mixture, and a back pressure regulator (BPR), while the cartridge was submerged in an oil bath for heating.

\_\_\_\_\_ Chapter 3 \_\_\_\_\_

Table 3.1 Model substrate in Flow



entry	residence time (min)	temperature (°C)	conversion (%) calculated by <sup>1</sup> H NMR
1	5	50	62
2	10	50	100
3	2.5	90	57
4	5	90	100

Optimization of the residence time was performed using feed solutions of phenylhydrazine 1a (0.5 M) and cyclohexanone (0.5 M) both dissolved in MeOH. Entries 2 and 4 illustrate that it was possible to shorten the reaction time to 10 min at 50 °C and even to 5 min at 90 °C for 0.5 M solutions of the reagents. The straightforward regeneration of the catalyst proved rather useful in the flow process. It was possible to produce with a small cartridge of 100  $\mu$ L inner volume and 100 mg of resin up to 50 mg of pure product before observing any decrease in conversion. Then, as soon as a loss of efficiency was observed, a simple rinse of the resin with a 10%  $H_2SO_4$  solution for 15-30 min allowed full activity to be regained. With these results in hand we moved to the application of those conditions on the 5-oxodecahydroquinoline substrate (3).

Our first attempt to use this flow system with compound 3 and hydrazine 1a gave, besides the anticipated product 4a, the side product 5 arising from a retro-aza-Michael reaction causing opening of the decahydroquinoline ring (Table 3.2, entry 1). This retro-aza-Michael reaction was attributed to ammonia being formed as a side product of the Fischer indole reaction. This could be circumvented by using acetic acid as a co-solvent to immediately quench the ammonia in solution and hence prevent this ring-opening and formation of enone 5 (entry 2). A control experiment was performed by heating compounds 3 and 1a in MeOH/AcOH for 20 min and 1 h at 70 °C. In both cases, only hydrazone intermediates were observed, showing the importance of the Amberlite resin in the one-pot process. A short increase in reaction time allowed a clean and full conversion into the tetracyclic compound in 76% isolated yield under flow conditions (entry 3).

When the process was applied to *p*-substituted phenylhydrazines, some solubility problems were experienced, but these were solved by the dilution of feed solutions to 0.05 M and the use of adapted ternary solvent systems. This flow methodology thus allowed us to gain access to the different products **4a-e** in moderate to good yields (entries 3-7).

\_\_\_\_\_ Chapter 3 \_\_\_\_\_

Table 3.2 Screening of Flow Conditions

entry <sup>a</sup>	<b>1</b> <sup>b</sup>	solvent	residence time (min)	conv <sup>c</sup> (%)
1	1a	MeOH	10	44 <sup>d</sup>
2	1a	MeOH/AcOH	10	90
3	1a	MeOH/AcOH (1:1)	20	100 <sup>e</sup>
4	1b.HCI	MeOH/AcOH/DCE (9:7:4)	30	100 <sup>f</sup>
5	1c.HCI	MeOH/AcOH/DCE (7:2:1)	60	55
6	1d.HCI	MeOH/AcOH/DCE (14:5:1)	60	42
7	1e	MeOH/AcOH/DCE (5:4:1)	60	22

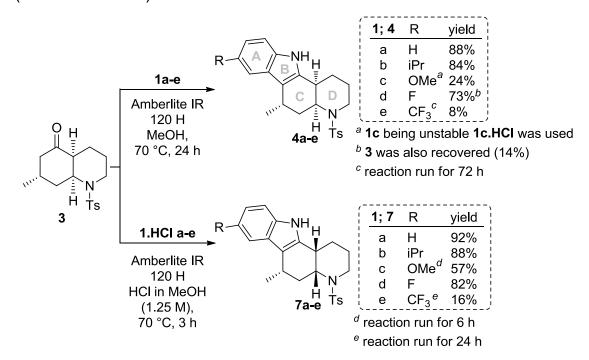
For detailed reaction conditions, see supporting information. Reactor cartridge: Entries 1-3: 10 cm long, packed with 100 mg Amberlite IR 120 H (100 μL inner volume). Entries 4-7: 1.0 m long, packed with 1000 mg Amberlite IR 120 H (1000 μL inner volume). For **1** and **4**: a, R = H; b, R = *i*Pr; c, R = OMe; d, R = F; e, R = CF<sub>3</sub> Determined from crude <sup>1</sup>H NMR spectra (unless otherwise stated, remaining product corresponds to a mix of starting material **3** and its corresponding hydrazone. Formation of side product **5** (14%), see Scheme 1. <sup>e</sup> 76% isolated yield.

Scheme 3.2 Adaptation of Synthesis in Batch

We decided to explore the Fischer indole synthesis from ketone **3** under more classic reaction conditions, without preforming the phenylhydrazone, *i.e.* carrying out the reaction in a one-pot/two-step procedure. Application of batch reaction conditions, previously reported in Scheme 3.1, to compound **3** proved successful, although with a drastic increase in reaction time (24 h) and amount of phenylhydrazine (10 equiv) required. A premix of the Amberlite acidic resin with the free hydrazine base prior to the addition of the decahydroquinoline **3** and refluxing for 24 h gave selective access to products **4a-e** the stereochemistry being confirmed by X-ray analysis.

<sup>&</sup>lt;sup>66</sup> For one-pot/one-step microwave-assisted Fischer indole synthesis from ketones, see: Creencia, E. C.; Tsukamoto, M.; Horaguchi, T. *J. Heterocycl. Chem.* **2011**, *48*, 1095-1102.

On the other hand, we soon realized that the use of hydrochloride salts of the hydrazines (as used in flow conditions) gave a mixture of diastereoisomers **4** and **7** (see Scheme 3.2). This is due to a retro-aza-Michael process occurring in high acidic conditions. Thus, in order to promote a faster isomerization of the initial ketone **3** to **6**, which should be the precursor of **7**, more acidic reaction conditions were used. In fact, upon submitting **3** to an equilibration process to produce **6** followed by a Fischer indolization reaction, only compounds of type **7** were isolated (see Scheme 3.3).



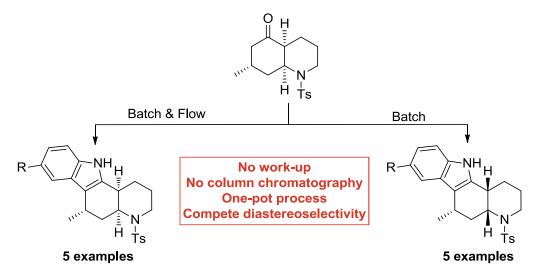
**Scheme 3.3** Scope of the reaction

In summary, in batch the stereochemical outcome for the Fischer indolization from the protected  $\beta$ -amino ketone **3** is different when using phenylhydrazine or its hydrochloride salt (either alone or using HCI-MeOH as additive). In the latter case, prior to the indole ring formation, <sup>68</sup> an initial isomerization of **3** via a retro Aza-Michael ring opening followed by a

<sup>&</sup>lt;sup>67</sup> Probably coming from the HCl counter ion present in solution.

<sup>&</sup>lt;sup>68</sup> Treatment of pyridocarbazole **4a** under acid conditions (HCI-MeOH 1.25 M, 10 equiv, MeOH /DCE/AcOH 6:3:6, 70 °C, 24 h) did not produce any level of isomerization to the isomer **7a**.

recyclization to the more stable ketone **6** occurs, as we have previously observed in other transformations from ketone **3**.<sup>37</sup> In contrast, if the process is very fast, as occurs in the continuous flow procedure, using either phenylhydrazine or its hydrochloride salt, the epimerization process is not observed.



Scheme 3.4 Conclusions

We were then able to develop two different efficient one-pot batch procedures to access stereoselectively to the different stereoisomers of the pyridocarbazoles with no quench of the reaction media and no purification by column chromatography needed. Those optimized conditions allowed us to build a small library of compounds which were submitted to BayerCrop Science (Frankfurt) and Karlsruhe Institute of Technology to evaluate their biological activity.

It was also possible to develop a Flow methodology in which no isomerization of the decahydroquinolines **3** was observed.

## 4. Total Synthesis of *cis*-Phlegmarines via stereodivergent reduction:

(+)-Serratezomine E and Putative Structure of (-)-Huperzine N

Org. Lett 2015, 17, 5084-5087

## a) Chapter 2

For R' = H 7 examples up to 95% ee For R' = Bn 4 examples up to >99% dr Michael reaction by asymmetric organocatalysis or by diastereoselective remote induction

## b) Chapter 3

Scheme 4.1 Recapitulation of Chapters 2 and 3

We have shown in the first part of this Ph. D. manuscript how the asymmetric organocatalyzed intermolecular Michael/intramolecular aldol/intramolecular aza-Michael reaction introduced by our research group to achieve decahydroquinolines<sup>32</sup> could be extended to the noranalogs of octahydroindole type (Chapter 2). In a second part, we have used the 5-oxodecahydroquinoline, the building-block for previous synthesis of *cis*-phlegmarines (lycoposerramine Z<sup>32</sup> and cermizine B<sup>37</sup>), for the synthesis of a small library of pyridocarbazoles, taking advantage of the easy isomerization of 5-oxodecahydroquinolines and applying the Fischer indole reaction either in flow as in batch chemistry. In this program we were able to prepare 10 different compounds which were sent for biological testing (Chapter 3).

The second part of the manuscript of this Doctoral Thesis is focused in the synthesis of phlegmarine alkaloids for which there are no precedents of synthesis.

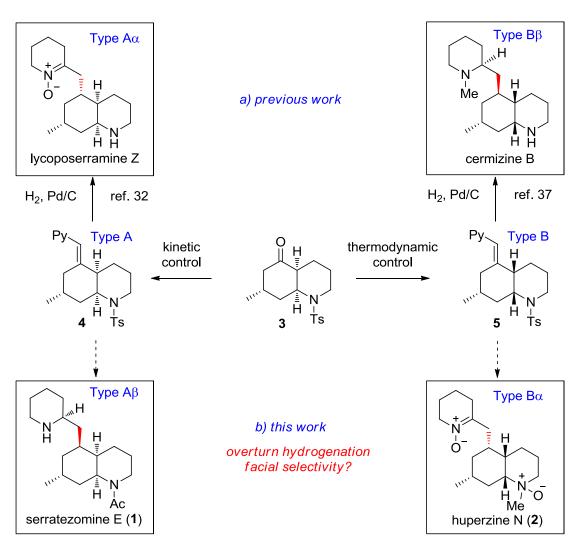
The work introduced in this chapter targets the synthesis of serratezomine E<sup>7</sup> and huperzine N.<sup>9</sup> Both compounds present a *cis*-decahydroquinoline nucleus with a different stereochemical pattern at C-5 with respect to the *cis*-phlegmarines previously synthesized in the research group.

A cornerstone of the project is to achieve these targets from the same building block (*i.e.* the 5-oxodecahydroquinoline) previously used for the synthesis of lycoposerramine  $Z^{32}$  and cermizine  $B^{37}$  in order to achieve a unified synthesis of this group of compounds.

As presented in the introduction, in our research group we have developed an organocatalyzed tandem cyclization to access the 5-oxodecahydroquinoline bearing three stereogenic centers in a one-pot manner. Difference in stability along with retro-aza-Michael process occurring or not during the following coupling step generated the first point of diversification, providing type A or B vinylpyridines depending on the conditions employed.<sup>32, 37</sup>

Hydrogenation of the formed alkene led to a second point of diversification, which from type A vinylpyridine almost exclusively gave the stereochemistry required for the synthesis of lycoposerramine Z.<sup>32</sup> Similarly, hydrogenation of type B vinylpyridine, under the same conditions, allowed the synthesis of cermizine B.<sup>37</sup>

Access to C-5 epimeric phlegmarine alkaloids, such as serratezomine E and huperzine N would require the facial selectivity of this hydrogenation step to be completely overturned. We herein report our efforts to achieve this objective and develop a stereodivergent hydrogenation procedure.



Scheme 4.2 Objectives

\_\_\_\_\_ Chapter 4 \_\_\_\_\_

**Scheme 4.3** Synthesis of *cis*-decahydroguinolines in phlegmarine field

A brief summary of *cis*-decahydroquinoline previous synthesis in the phlegmarine alkaloids field is presented in Scheme 4.3. These syntheses usually involve the use of chiral auxiliary approach,<sup>69</sup> chiral pool approach<sup>26,28</sup> or chiral auxiliary approach and chiral phosphoric acid catalyzed intramolecular Michael reaction.<sup>30</sup>

- 62 -

<sup>&</sup>lt;sup>69</sup> Sahn, J. J.; Bharathi, P.; Comins, D. L. *Tetrahedron Lett.* **2012**, *53*, 1347-1350.

Scheme 4.4 Retrosynthesis of serratezomine E

Using the methodology developed in our research group<sup>32,37</sup> the key point of our approach would be the stereoselective hydrogenation of the vinylpyridine intermediate. The latter compound can be obtained through a Horner-Wadsworth-Emmons coupling with kinetic stereocontrol of the pyridine unit on the ketone intermediate.

This ketone intermediate can be easily obtained through the one pot organocatalyzed cyclization from simple acyclic precursors.

\_\_\_\_\_ Chapter 4 \_\_\_\_\_

**Table 4.1** Hydrogenation with heterogeneous catalysts

conditions see table

rt, 24 h

4 (Ts) LiAlH<sub>4</sub>, THF
7 (H) rfx, 24 h

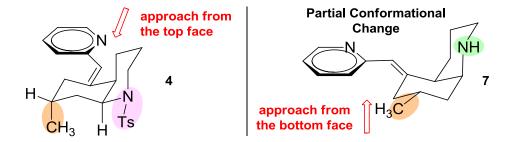
6a (Ts)
8a (H)

"type 
$$A\beta$$
"
serratezomine E
precursor

entry	R	catalyst <sup>a</sup>	solvent	dr <sup>b</sup> <b>a:b</b>
1	Ts	Pd-C	MeOH	3:97
2	Ts	Pd/C	CH <sub>2</sub> Cl <sub>2</sub>	10:90
3	Ts	Pd-C + LiOH	MeOH	1:99
4	Ts	Ra-Ni	MeOH	14:86 <sup>c</sup>
5	Н	Pd/C	MeOH	36:64
6	Н	Pd/C + LiOH	MeOH	22:78
7	Н	Pd/C + AcOH	MeOH	35:65

<sup>&</sup>lt;sup>a</sup> Catalyst loading 20% w/w <sup>b</sup> Determined by analysis of crude <sup>1</sup>H NMR.





Hydrogenation of double bonds is commonly done using heterogeneous catalyst such as palladium on carbon in the presence of hydrogen. The control of the stereochemistry obtained is, in this case, almost exclusively governed by the steric constraints of the molecule.

The selectivity of the hydrogenation of vinylpyridine **4** using either Pd-C or Raney nickel is believed to be governed by an axially positioned methyl group, which combined with the tosyl protecting group blocks the approach from the lower face of the molecule, leading to the

decahydroquinoline **6b** (Table 4.1, entries 1-4). *A priori*, compound **7** appeared to be a convenient precursor of **8a**, since its different conformation could allow a kinetic hydrogenation from the less sterically demanding bottom face and lead to the decahydroquinoline having the required stereochemistry for the serratezomine E synthesis (*i.e.* **8a**).

However, hydrogenation of the secondary amine **7** (entries 5-7) did not give the expected reversal of selectivity. An explanation is that the haptophilicity<sup>70</sup> of the secondary amino function binds it to the catalyst surface and thus directs the delivery of the hydrogen from the top face of **7** to give **8b** as the major epimer.

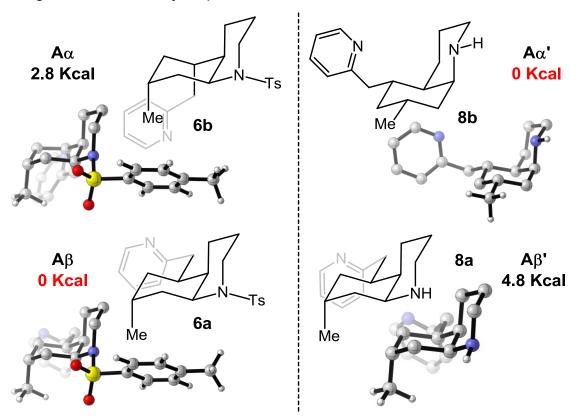


Figure 4.1 Relative stability of hydrogenation products

Considering that the desired compound A $\beta$  (N-Ts, *i.e.* **6a**) is thermodynamically more stable (2.8 Kcal) than epimer A $\alpha$  (*i.e* **6b**) obtained in the hydrogenation process using Pd as a catalyst, we thought we could carry out the hydrogenation of vinylpyridine **4** using novel

<sup>&</sup>lt;sup>70</sup> Thompson, H. W.; Rashid, S. Y. *J. Org. Chem.* **2002**, *67*, 2813-2825.

procedures for reduction of alkenes. When a thermodynamic stereocontrol is required, the standard procedure is the use of dissolving metal reductions,<sup>71</sup> despite its poor chemoselectivity. Indeed most other functional groups are reduced preferentially to alkenes with dissolving metal conditions making this process not compatible with complex structures.

Me Pd/C, 
$$H_2$$
 Kinetic selectivity Thermodynamic selectivity  $H$   $H^{\oplus}$   $H^{$ 

**Scheme 4.5** Hydrogenation with thermodynamic stereocontrol

Since radical methods operate under thermodynamic conditions, it can give access to compounds that were previously unattainable through standard hydrogenation procedures when steric constraint of the molecule favors the formation of the kinetic isomer. Hydrogenation atom transfer (HAT) can be found as a good compromise to obtain thermodynamic hydrogenation on elaborated substrates (Scheme 4.5). Indeed this procedure allows to prevent formation of the high energy radical anion intermediate responsible for the poor chemoselectivity

<sup>&</sup>lt;sup>71</sup> (a) Jonhson, W. S.; Bannister, B.; Bloom, B. M.; Kemp, A. D.; Pappo, R.; Rogier, E. R.; Szmuszkovicz, J. *J. Am. Chem. Soc.* **1953**, *75*, 2275-2276. (b) Stork, G.; Darling, S. D. *J. Am. Chem. Soc.* **1960**, *82*, 1512-15313. (c) Whitesides, G. M.; Ehmann, W. J. *J. Org. Chem.* **1970**, *35*, 3565-3567.

Olefin functionalization (Baran, Carreira, Mukaiyama, and others)

$$R_1 \xrightarrow{R_2} R_3 \xrightarrow{[M], \text{ reducing agent, [E]}} R_1 \xrightarrow{R_2} R_3 \xrightarrow{R_2} R_3 \xrightarrow{R_1 + H} H$$
 Side product often observed

Olefin hydrogenation (a) Magnus 2000 and b) Shenvi 2014)

(a) 
$$R_2$$
 $R_3$ 
 $PhSiH_3$ 
 $R_2$ 
 $R_1$ 
 $R_4$ 
 $R_2$ 
 $R_3$ 
 $PhSiH_3$ 
 $R_4$ 
 $R_1$ 
 $R_4$ 
 $R_2$ 
 $R_3$ 
 $R_4$ 
 $R_4$ 
 $R_4$ 
 $R_1$ 
 $R_2$ 
 $R_3$ 
 $R_4$ 
 $R_4$ 

Scheme 4.6 Hydrogenation with thermodynamic stereocontrol

observed in dissolving metal conditions. Recently a range of radical reduction<sup>72</sup> and olefin coupling<sup>73</sup> conditions following this concept have been developed (Scheme 4.6).

<sup>&</sup>lt;sup>72</sup> (a) Iwasaki, K.; Wan, K. K.; Oppedisano, A.; Crossley, S. W. M.; Shenvi, R. A. *J. Am. Chem. Soc.* **2014**, *136*, 1300-1303. (b) Magnus, P.; Waring, M. J.; Scott, D. A. *Tetrahedron Lett.* **2000**, *41*, 9731-9733.

<sup>&</sup>lt;sup>73</sup> (a) Mukaiyama, T.; Yamada, T. *Bull. Chem. Soc. Jpn.* **1995**, *68*, 17-35. (b) Waser, J.; Gaspar, B.; Nambu, H.; Carreira, E. M. *J. Am. Chem. Soc.* **2006**, *128*, 11693-11712.
(c) Magnus, P.; Payne, A. H.; Waring, M. J.; Scott, D. A.; Lynch, V. *Tetrahedron Lett.* **2000**, *41*, 9725-9730. (d) Gaspar, B.; Carreira, E. M. *J. Am. Chem. Soc.* **2009**, *131*, 13214-13215. (e) Leggans, E. K.; Barker, T. J.; Duncan, K. K.; Boger, D. L. *Org. Lett.* **2012**, *14*, 2010-2013. (f) King, S. M.; Ma, X.; Herzon, S. B. *J. Am. Chem. Soc.* **2014**, *136*, 6884-6887. (g) Lo, J. C.; Yabe, Y.; Baran, P. S. *J. Am. Chem. Soc.* **2014**, *136*, 1304-1307. (h) Lo, J. C.; Gui, J.; Yabe, Y.; Pan, C.-M.; Baran, P. S. *Nature* **2014**, *516*, 343-348.

With this background, we decided to evaluate the reductive radical conditions to attempt the hydrogenation of 4 to 6a. We began using the hydrogen atom transfer (HAT) radical conditions reported by Shenvi<sup>72a</sup>  $(Mn(dpm)_3)$  and  $PhSiH_3$  in the presence of t-BuOOH). Although the desired epimer 6a was obtained as the main compound in a 73:27 ratio (Table 4.2, entry 1), the products were accompanied by significant amounts of byproducts, which were difficult to separate from the main products.74 When the same conditions were applied to the N-H compound 7, there was no reaction and the starting material was completely recovered. Similar radical methods based on other protocols. 73e-g either directly or modified, were also evaluated, but with no significant improvements. On trying the reductive coupling conditions by Baran, 73g but omitting the acceptor compound (entry 2), we were pleased to observe significantly reduced byproduct formation but the reaction ran only to 50% completion (with recovery of unreacted starting material). Attempts to modify the conditions by adding more equivalent of reagents were unsuccessful. Screening of other catalysts that have been employed for various olefin couplings gave only traces of the product (entries 3, 4). Other complexes and conditions were screened, but same ratio along with additional byproducts formation resulted in overall lower conversions.<sup>75</sup>

<sup>&</sup>lt;sup>74</sup> While it was not possible to fully determine the structure of the by-products, we speculatively assigned them as migrated double bond products and miscellaneous oxygenated compounds.

<sup>&</sup>lt;sup>75</sup> For comprehensive experimental studies of radical reduction of vinylpyridine **3** (catalysts, additives, solvent, and optimization process for entry 9, see Tables in Supporting Information 4'). Detailed herein attempts to perform the reaction with catalytic amount of catalyst (10 mol%) and with an excess of catalyst, without any improvement.

\_\_\_\_\_ Chapter 4 \_\_\_\_\_

Table 4.2 Screening of Radical Reduction<sup>75</sup>

conditions see table

rt, 24 h

$$H = Ts$$
 $TR = H$ 

conditions
 $S = table$ 
 $R = Ts$ 
 $R = Ts$ 
 $R = H$ 
 $R = Ts$ 
 $R = Ts$ 

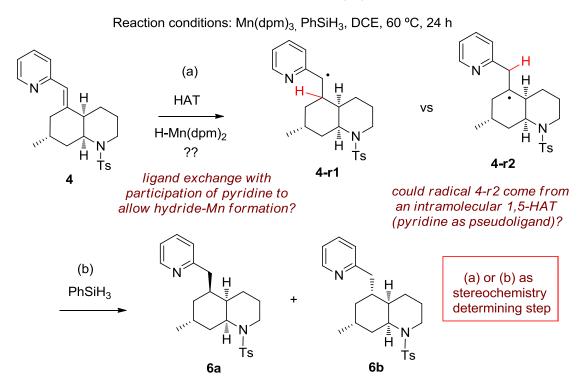
entry <sup>a</sup>	method <sup>b</sup>	conv <sup>c</sup> (%)	dr <sup>c</sup> <b>a:b</b>
1	Mn(dpm) <sub>3</sub> , <sup>d</sup> PhSiH <sub>3</sub> ,TBHP, <i>i</i> PrOH	63 <sup>e</sup>	73:27
2	Fe(acac) <sub>3</sub> , <sup>f</sup> PhSiH <sub>3</sub> , EtOH	49	67:33
3	Fe <sub>2</sub> (ox) <sub>3</sub> .H <sub>2</sub> O, <sup>g</sup> NaBH <sub>4</sub> , H <sub>2</sub> O, EtOH	10	75:25
4 <sup>h</sup>	Co(acac) <sub>2</sub> , <sup>f</sup> Et <sub>3</sub> SiH, TBHP, <i>n</i> -PrOH	7	n.d.
5	Mn(dpm) <sub>3</sub> , <sup>f</sup> PhSiH <sub>3</sub> , EtOH	97	63:37
6 <sup>i</sup>	Mn(dpm) <sub>3</sub> , <sup>f</sup> PhSiH <sub>3</sub> , DCE	100	69:31

<sup>&</sup>lt;sup>a</sup> Reactions were performed on a mixture of *E:Z* isomers (4:1). <sup>b</sup> For detailed reaction conditions see Supporting Information. <sup>c</sup> Determined by analysis of crude <sup>1</sup>H NMR, conversion to the epimeric mixture of reduced product. <sup>d</sup> 10 mol% of catalyst used. <sup>e</sup> Byproducts observed lowering the conversion. <sup>f</sup> 1 equiv of catalyst used. <sup>g</sup> 2 equiv of catalyst used. <sup>h</sup> 1,4-cyclohexadiene used as additive. <sup>l</sup> Isolated yield of the epimer mix of product reduced = 86%

Using a modification of the original conditions with  $Mn(dpm)_3$  in stoichiometric quantities in EtOH as the solvent, but without t-BuOOH, gave for the first time a complete and clean conversion to the desired compound **6a** (entry 5). Extensive screening to improve the results allowed us to optimize the conditions for our type of substrate, affording the desired product with a full conversion, total absence of byproducts, 86% isolated yield and a dr = 69:31 (entry 6). Thus, during this study, we found that the reaction worked best in non-protic solvent (DCE or MeCN). This finding is not in agreement with the supposed mechanism where a protic solvent (i.e. alcohol) supposedly plays a crucial role.

\_\_\_\_\_ Chapter 4 \_\_\_\_\_

### How does the radical reduction of vinylpyridine 4 occur?



Scheme 4.7 Mechanism insight

It should be noted that a mechanism still needs to be proposed to explain the use of the reaction conditions described here. Although the mechanism of this reaction remains unclear, as a tentative starting point, a simple proposal is depicted in Scheme 4.7.

The points to be clarified in the near future are:

- i) Regioselectivity in the initial HAT: we need to evaluate the stability of a tertiary radical (at C-5) compared with a benzylic radical (adjacent to pyridine ring).
- ii) How is the first hydrogen atom transferred? It was observed that the treatment of a dark olive-green solution of Mn(dpm)<sub>3</sub> in DCE with stoichiometric amounts of PhSiH<sub>3</sub> produced no change, but after the addition of isopropyl alcohol, the solution rapidly turned pale yellow to give HMn(dpm)<sub>2</sub>. The latter is considered to be the species that

<sup>&</sup>lt;sup>76</sup> This intermediate was not isolated but was described as the active species in the reference publication (Ref. 72b)

transfers the hydrogen atom, but in our case we were working in an aprotic medium.

A possible explanation could be that the pyridine gets involved in a coordination with the manganese.<sup>77</sup> This would allow the formation of the hydride manganese species (by a hydride transfer from the PhSiH<sub>3</sub>), which can evolve through an intramolecular hydrogen atom transfer, probably a 1,5-HAT.

iii) Working in a stoichiometric manner, the radical formed could be reduced by the PhSiH<sub>3</sub>. A study using a deuterated silane could provide valuable data.

Finally, although not part of the core of this research project, it is noteworthy that the optimized radical reaction conditions introduced here, using Mn(dpm)<sub>3</sub> (Table 4.2, entry 6) were also successfully applied to vinylpyridines of Type B and C, leading to a 89:11 and 88:12  $\alpha/\beta$  diastereomeric ratio, respectively. The study and scope of this reaction awaits exploration by its application to phlegmarine alkaloid synthesis.

Scheme 4.8 Optimized conditions applied to type B and C

<sup>&</sup>lt;sup>77</sup> Attempts to reduce the benzene analog of **4** lacking the pyridine nitrogen, only traces of product was obtained and was accompagnied with unreacted starting material and unidentified byproducts in an unseparable mixture.

\_\_\_\_\_ Chapter 4 \_\_\_\_\_

**Table 4.3** Hydrogenation under homogeneous catalysts

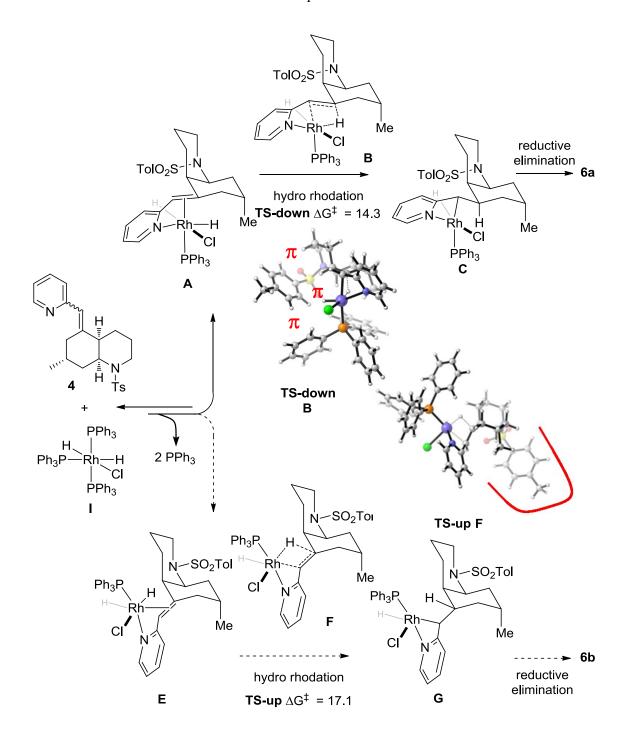
entry	catalyst	loading	solvent	isolated yield <sup>a</sup> (%)	dr <sup>b</sup> <b>a:b</b>
1	Pd/C	20% w/w	MeOH	98	3:97
2	Crabtree	15 mol%	CH <sub>2</sub> Cl <sub>2</sub>	96	68:32
3	Crabtree	15 mol%	MeOH	95	86:14
4	RhCl(PPh <sub>3</sub> ) <sub>3</sub>	15 mol%	CH <sub>2</sub> Cl <sub>2</sub>	97	91:9
5	RhCl(PPh <sub>3</sub> ) <sub>3</sub>	15 mol%	MeOH	96	95:5
6°	RhCl(PPh <sub>3</sub> ) <sub>3</sub>	15 mol%	MeOH	96	95:5
7 <sup>d</sup>	RhCl(PPh <sub>3</sub> ) <sub>3</sub>	15 mol%	MeOH	96	95:5
8	RhCl(PPh <sub>3</sub> ) <sub>3</sub>	5 mol%	MeOH	97	96:4
9	RhCl(PPh <sub>3</sub> ) <sub>3</sub>	2 mol%	MeOH	98	96:4

<sup>&</sup>lt;sup>a</sup> Isolated yield of the epimer mix of product reduced. <sup>b</sup> Determined by <sup>1</sup>H NMR analysis.

Crabtree's reagent: [Ir(PCy<sub>3</sub>)(cod)(py)]PF<sub>6</sub>

In parallel we also explored more conventional hydrogenation methods. Our idea being that even if the alkene functionality presents a high steric constraint we thought that the presence of the pyridine ring system could help to coordinate metal catalyst in the case of standard homogenous catalysis with Crabtree's or Wilkinson's catalyst. This reaction proved really powerful enabling us to achieve almost complete diastereoselectivity (96:4) in a clean quantitative manner using only 2 mol% of catalyst (entry 9). We were pleased to observe equal result performing the reaction on each isolated Ε or Ζ isomers

<sup>&</sup>lt;sup>c</sup> Using Z isomer only. <sup>d</sup> Using E isomer only.



Scheme 4.9 DFT calculations

of vinylpyridine  $\bf 4$  (entries 6 and 7) proving that this reaction is not sensitive to the Z/E ratio. It should also be noted that the free N-H compound  $\bf 7$  did not react with either catalyst.

Given the sterically impeded nature of the  $\beta$ , $\beta$ -disubstituted vinyl pyridine and large size of Wilkinson's catalyst, we presumed the reaction

proceeded via a coordination of the catalyst.<sup>78</sup> Indeed, when the benzene analog of **4** (not shown) lacking the pyridine nitrogen was used, no reduction was observed.

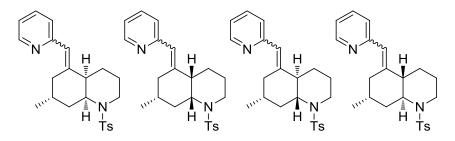
To understand the reaction and account for the excellent stereocontrol observed, calculations were performed and the proposed reaction mechanism is outlined in Scheme 4.9. The hydrogenated Wilkinson's catalyst (I) forms an initial complex A by coordination to the double bond and the pyridine nitrogen atom of the substrate, releasing two molecules of phosphine in the process, which can occur through both faces of the double bond. In complex A, the Rh atom is coordinated to the pyridine ring and the double bond with short interatomic Rh-N (2.4 Å), and Rh-alkene (2.3 Å) distances, inducing a slight deconjugation of the double bond and the pyridine ring, which is partially responsible for its 10 kcal/mol higher energy than the initial hydrogenated Wilkinson catalyst. Thus, the initial equilibrium between the starting materials and **A** is shifted towards the former (Scheme 4.9). However, the very low activation energy required for the hydro-rhodation (TS-down is only 4 kcal/mol above **A**) makes the whole process feasible, triggering an easy formation of **C**, and the consumption of the starting material. After the insertion of hydrogen into **C**, the reaction proceeds through reductive elimination, liberating the final product 6a. As mentioned, the hydro-rhodation step can occur on either face of the double bond, through two diastereoisomeric transition states, **TS-down** and **TS-up** ( $E \rightarrow G$ ). The computed activation energies predict that TS-down is favored by 2.8 kcal/mol over **TS-up**, justifying the experimental formation of the major diastereoisomer 6a. The main difference between the two diasteromeric transition states consists in the different orientation of the N-tosyl moiety

<sup>&</sup>lt;sup>78</sup> For directed-hydrogenations leading to products with contrasteric selectivity, see: Friedfeld M. R., Margulieux, G. W.; Schaefer B. A.; Chirik, P. J. *J. Am. Chem. Soc.* **2014**, *136*, 13178-13181.

of the substrate. In **B**, the phenyl ring of the tosyl group forms at least three strong  $\pi$ -stacking interactions, with one of the rings of the PPh<sub>3</sub> group, and with two different H atoms of the bicyclic skeleton. During the transition state, the Rh-alkene bond is even tighter than in **A** (2.1 Å), inducing a weakening of the Rh-N coordination (2.5 Å).

We were pleased to observe that this pattern of hydrogenation can be also observed in the other types (B, C, and D) of decahydroquinolines obtained using previously described methodology<sup>32,33</sup> useful for phlegmarine alkaloids synthesis (see Table 4.4).

**Table 4.4** Comparison of hydrogenation methods on each type



ratio (α:β)	type A	type B	type C	type D
H <sub>2</sub> , Pd/C	97 : 3	9 : 91	40 : 60	66 : 33
PhSiH <sub>3</sub> , Mn(dpm) <sub>3</sub>	31 : 69	89 : 11	88 : 12	n.d.
H <sub>2</sub> , [RhCl(PPh <sub>3</sub> ) <sub>3</sub> ]	4 : 96	90 : 10	>99 : 1	1:>99

Scheme 4.10 Total synthesis of serratezomine E

With the optimum reduction method in hand, transformation of **4** (prepared in six steps from the commercially available 5-aminopentanoic acid, see Scheme 1.7 and 1.12) led to a concise synthesis of serratezomine E (**1**, Scheme 4.10). Hydrogenation with Wilkinson's catalyst, and removal of the tosyl group of **6a** led to the secondary amine **8a** in a pure form, introduction of the required acetyl group gave **9**. Subsequent reduction of the pyridine provided serratezomine E (**1**) as a white solid,<sup>79</sup> whose structure was unequivocally confirmed by X-ray analysis (Figure 4.2), having the absolute configuration (*S*) at the C-2 piperidine ring and (*R*) at the C-7 decahydroquinoline ring, characteristic of phlegmarine alkaloids.

 $<sup>^{79}</sup>$  The remaining mass comprised the epimer in the form of an oil, which enabled its simple separation from the desired product, despite the two compounds having identical  $R_f$  values.

\_\_\_\_\_ Chapter 4 \_\_\_\_\_

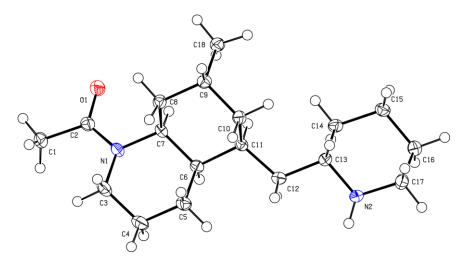
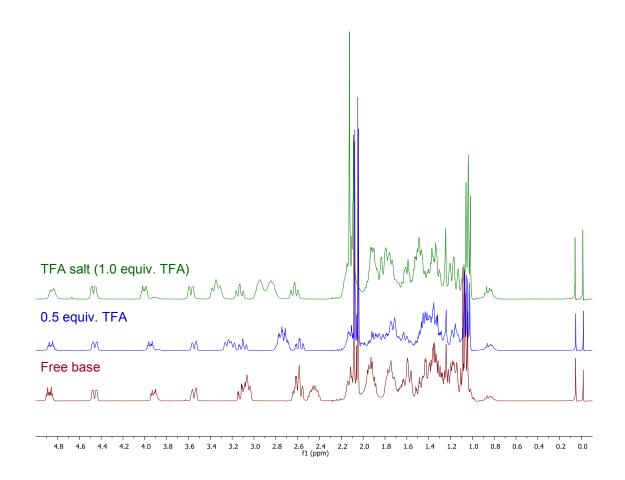


Figure 4.2 X-ray of serratezomine E



**Figure 4.3** <sup>1</sup>H NMR spectra of synthetic serratezomine E (1) in CDCl<sub>3</sub> before and after addition of trifluoroacetic acid. For comparison of NMR data for natural serratezomine E (partially protonated) and compound **1**, see Supporting Information.

Figure 4.4 Huperzine N

Having already achieved total synthesis of lycoposerramine Z, <sup>32</sup> cermizine  $B^{37}$  and now total synthesis of serratezomine E, the next target to achieve a unified synthesis of all the *cis*-phlegmarine alkaloids was huperzine N. <sup>9</sup>

The unusual nitrone moiety, which also appears in other phlegmarine alkaloids (e.g. lycoposerramine Z), is postulated to act as a radical trap halting destructive cascades initiated by free radicals and, hence, shows potential application in neurodegenerative diseases. <sup>80</sup>

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<sup>&</sup>lt;sup>80</sup> Sun, Y.; Yu, P.; Zhang, G.; Wang, L.; Zhong, H.; Zhai, Z.; Wang, L.; Wang, Y. *J. Neurosci. Res.* **2012**, *90*, 1662-1669.

Scheme 4.11 Total synthesis of putative huperzine N

The total synthesis of huperzine N was achieved using an analogous procedure used for the synthesis of serratezomine E, but starting from the vinylpyridine substituted *cis*-decahydroquinoline **5** of type B instead of the type A analog (Scheme 4.11).

Hydrogenation of **5** with Wilkinson's catalyst gave the desired epimer **10** in a 9:1 ratio. Removal of the tosyl group, formation of the *N*-methyl via reductive amination with  $ZnCl_2$  and reduction of the pyridine gave **11** in good overall yield. Finally, oxidation with  $Na_2WO_4$  / urea· $H_2O_2$  gave the reported structure of huperzine N, although the NMR spectra of **2** did not match those described (see Tables 4.5 and 4.6).

Table 4.5 Comparison of <sup>1</sup>H NMR data for (-)-huperzine N and 2 in CDCl<sub>3</sub>

huperzine N

<sup>1</sup> H	synthetic <sup>1</sup> 2	huperzine N <sup>2</sup>
1	3.80 (t, 6.0)	3.75 (t, 6.0)
2	1.92 (m)	1.84-1.92 (m)
3	1.75 (m)	1.64-1.69 (m)
4 5	2.40 (dd, 6.0, 6.0)	2.34 (t, 6.0)
6	2.73 (dd, 13.5, 8.0)	2.96 (dd, 12, 3)
	2.46 (dd, 13.5, 6.5)	1.91 (d, 12)
7	1.96 (m)	2.10-2.17 (m)
8	1.39 (m)	1.34 (ddd, 12, 8, 4)
	1.05 (ddd, 13.0, 13.0, 13.0)	1.29 (br d, 12)
9	3.16 (m)	3.35 (br d, 12)
	3.05 (br dd, 11.2, 3.5)	3.14 (ddd, 12, 11, 3)
10	2.41 (m)	1.34-43 (m)
	1.62 (m)	1.57 (br d, 14)
11	1.68 (m)	2.01-2.06 (m)
	1.37 (m)	1.08-1.13 (m)
12	2.94(dddd, 12.4, 3.8, 3.8, 3.8)	1.78-1.83 (m)
13	3.17 (m)	2.89 (ddd, 11, 10, 3)
14	1.81 (m)	2.06-2.17 (m)
	1.30 (m)	1.67-1.72 (m)
15	1.53 (m)	2.16-2.25 (m)
16	0.99 (d, 6.5)	0.93 (d, 7)
17	3.11 (s)	3.04 (s)

<sup>&</sup>lt;sup>7</sup> Recorded at 400 MHz. Assignments were aided by COSY and HSQC spectra.

<sup>&</sup>lt;sup>2</sup> Recorded at 400 MHz (*Helv. Chim. Acta,* **2008**, 91, 1031-1035).

Table 4.6 Comparison of <sup>13</sup>C NMR data for (-)-huperzine N and 2 in CDCl<sub>3</sub>

<sup>13</sup> C	synthetic <sup>1</sup> 2	huperzine N <sup>2</sup>
1	58.4	58.2
2	23.1	23.1
3	18.8	18.8
4	28.9	30.0
5	147.4	148.0
6	34.4	36.4
7	36.8	32.3
8	34.8	36.6
9	61.0	69.0
10	20.2	20.1
11	16.2	27.0
12	33.4	40.8
13	76.8	73.4
14	31.8	30.0
15	31.8	26.8
16	22.2	19.0
17	58.2	57.6

<sup>&</sup>lt;sup>1</sup> Recorded at 100 MHz. Assignments were aided by COSY and HSQC spectra. <sup>2</sup> Recorded at 100 MHz (*Helv. Chim. Acta,* **2008**, *91*, 1031-1035).

Instead, the NMR data of the natural huperzine N would be explained by the structure depicted in Figure 4.5. This proposal was validated after the total synthesis of a compound with the reassigned structure (see Chapter 5).

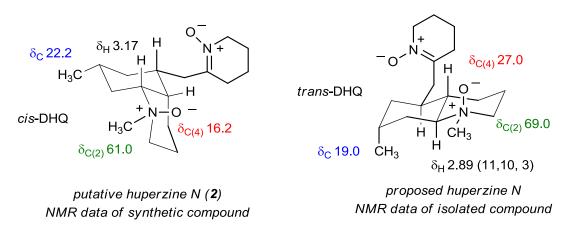


Figure 4.5 Proposed reassignment of huperzine N by NMR data

In summary, a divergent hydrogenation protocol was developed, providing access to a range of phlegmarine compounds unattainable by standard hydrogenation of common vinyl pyridine intermediates. Via rhodium complexation with the pyridine nitrogen and selective facial delivery, it was possible to invert the course of hydrogenation from 97:3 to 4:96 dr. This method was successfully applied for the first total synthesis of serratezomine E as well as huperzine N. The latter turned out to be a putative structure, and the natural one was structurally reassigned. The application of this strategy to *trans*-phlegmarine alkaloids was the next step of our work.

# 5. Access to *trans*-Phlegmarines of Type D:Synthesis of (±)-Serralongamine A and the RevisedStructure of Huperzine N

J. Org. Chem. 2016, 81, 2629-2634.

$$\delta_{\text{C}} \ 22.2 \qquad \delta_{\text{H}} \ 3.17 \qquad H \qquad \qquad \\ H_{3} \ C \qquad \qquad \\ \delta_{\text{C}(4)} \ 16.2 \qquad \qquad \\ \delta_{\text{C}(2)} \ 61.0 \qquad \qquad \\ \delta_{\text{C}(4)} \ 16.2 \qquad \qquad \\ \delta_{\text{C}} \ 19.0 \qquad \\ \delta_{\text{C}} \ 19.0 \qquad \\ \delta_{\text{H}} \ 2.89 \ (11,10,\ 3) \qquad \\ putative \ huperzine \ N \ \textit{(I)} \qquad \qquad \\ NMR \ data \ of \ synthetic \ compound \qquad \\ NMR \ data \ of \ isolated \ compound$$

Figure 5.1 Objective

The second goal related to the synthesis of phlegmarines was to open the access to *trans*-phlegmarine alkaloids using the same building block used for the synthesis of *cis*-phlegmarines, *i.e.* the 5-oxodecahydroquinoline.

Indeed the structure reassignation proposed for huperzine N (1) (in Chapter 4) corresponds to a *trans*-dechydroquinoline scaffold of type D as presented in Figure 5.1.

As discussed previously, the application of our stereodivergent hydrogenation process to the *trans*-decahydroquinoline series could allow us to complete the total synthesis of any phlegmarine alkaloids

Scheme 5.1 Precedents: Series trans type C

Previous *trans*-phlegmarine syntheses have targeted alkaloids with the type C stereoparent. The synthesis of phlegmarine itself was completed by Comins,<sup>25</sup> who also reported the synthesis of three related alkaloids bearing different substituents at the two nitrogen atoms, while Takayama<sup>26</sup> achieved lycoposerramine X. The key challenges in the synthesis of these alkaloids are the generation of the *trans*-decahydroquinoline core and the stereocontrol in the genesis of the stereocenter at C-5 where the pyridylmethyl backbone is attached. The two different approaches to construct the *trans*-decahydroquinoline ring with the required stereochemistry in the four stereogenic centers are summarized in Scheme 5.1. Comins, applying his methodology based on pyridinium salts, prepared a polysubstituted piperidine that furnished the bicyclic ring by an aldol reaction. Stereoselective conjugated addition followed by a hydrogenation process allowed a stereochemical control at

**Scheme 5.2** Retrosynthetic analysis series *trans*-decahydroquinoline type D

C-5 and in the ring fusion, respectively. In contrast, the Takayama approach involved the elaboration of a polyfunctionalized cyclohexane compound in which the four stereogenic centers were established before cyclization leading to the decahydroquinoline ring.

Our approach differs from the aforementioned both in its synthetic strategy and the targeted compounds, presenting a type D decahydroquinoline core. 81 The synthetic plan involved the same building-block used in our previous synthesis of *cis*-phlegmarines which after epimerization of the C-4a stereogenic center affords the *trans*-decahydroquinoline ring of type D. This procedure allows access to phlegmarine alkaloids with a new stereochemical pattern. Control of the stereochemistry at C-5 through a substrate-directable hydrogenation process would be crucial in this synthetic proposal (Scheme 5.2).

<sup>&</sup>lt;sup>81</sup> For a synthetic approach to 5-oxo-*trans*-decahydroquinoline used in the synthesis of the lycopodium alkaloid lycoperine, see: Nakamura, Y.; Burke, A. M.; Kotani, S.; Zilier, J. W.; Rychnovsky, S. D. *Org. Lett.* **2010**, *12*, 72-75.

Scheme 5.3 First total synthesis of serralongamine

Commencing the synthesis from the easily available ketone **4**,<sup>32</sup> our original protocol<sup>33</sup> allowed the ring fusion to be changed from *cis* to *trans*, *via* the conversion of acetal **5** to the corresponding secondary amine and acid-induced epimerization at C-4a. Tosylation of the resulting 2:1 mixture of ketones **6** and its C4a-epimer furnished the required decahydroquinoline **7** with a *trans* ring fusion<sup>82</sup> as a single isomer after chromatographic separation (see Scheme 5.3). This ketone reacted with a solution of the lithium anion of phosphonate **8**<sup>83</sup> to give vinylpyridine derivative **9** in 53% yield, diastereoselectively providing the *E* isomer.<sup>84</sup>

<sup>&</sup>lt;sup>82</sup> The coupling constants of H-4a (11.4, 11.4, 11.4, 3.2 Hz) and H-8a (11.4, 11.4, 4.0 Hz) allow the *trans*-ring fusion in **7** to be clearly established.

<sup>&</sup>lt;sup>83</sup> Gan, X.; Binyamin, I.; Rapko, B. M.; Fox, J.; Duesler, E. N.; Paine, R. T. *Inorg. Chem.* **2004**, *43*, 2443-2448.

 $<sup>^{84}</sup>$  The downfield shift of the H-6eq ( $\delta$  3.07) and the upfield shift at C-6 ( $\delta$  35.2) agree with a steric crowding of the pyridyl ring upon H-6eq associated with the *E* configuration of the exocyclic double bond. For comparison of NMR data in a related system, see ref 32.

Hydrogenation of vinylpyridine **9** using Wilkinson's catalyst allowed the hydrogen to be delivered exclusively from the bottom face. Thus, a pyridine-directed hydrogenation provided access to the valuable intermediate **10** with a contra-steric selectivity (Figure 5.2).

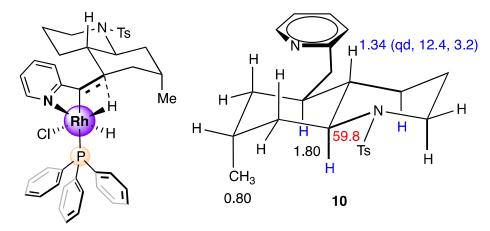
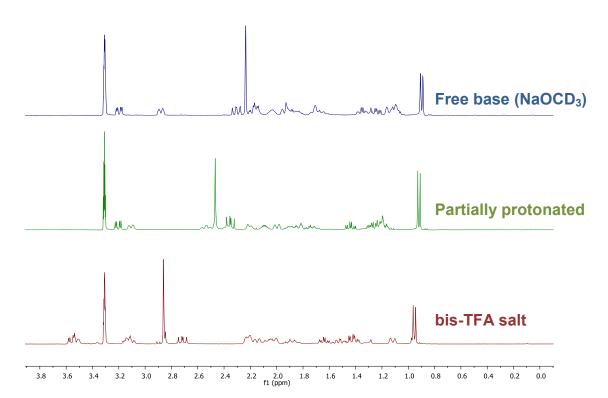


Figure 5.2 Transition state leading to 10 and its representative NMR data

The stereoselectively formed decahydroquinoline **10** showed the same relative configuration in its four stereogenic centers as the target **1** and serralongamine A (**2**). The configuration at C-5 was ascertained considering the multiplicity of the signal corresponding to H-4a, which implies a *trans* relationship between H-4a and H-5, both in an axial disposition. Moreover, the chemical shift for C-8a ( $\delta$  59.8) did not differ from that observed in the precursors **7** ( $\delta$  60.3) and **9** ( $\delta$  60.6), indicating that the pyridylmethyl side chain is not axially located (Figure 5.2).

Removal of the tosyl group in **10** using LiAlH<sub>4</sub> followed by reductive *N*-methylation of **11** gave serralongamine A (**2**) in 76% yield for the two steps, which constitutes the first synthetic entry to a phlegmarine alkaloid embodying its decahydroquinoline stereoparent. The *trans*-decahydroquinoline serralongamine A differs from phlegmarine itself in the stereochemical relationship between the configuration at C-7 and the *trans* ring fusion carbons, C-4a and C-8a (see chapter 1, p 5, Figure 1.2).

<sup>&</sup>lt;sup>85</sup> For the influence of the steric compression effect on NMR chemical shifts, see: (a) Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles* **2006**, *69*, 223-229. (b) Kolocouris, A. *Tetrahedron Lett.* **2007**, *48*, 2117-2122.



**Figure 5.3** <sup>1</sup>H NMR spectra of synthetic serralongamine A (**2**) in CD<sub>3</sub>OD/NaOCD<sub>3</sub> before and after addition of trifluoroacetic acid

It is noteworthy that the NMR data of our synthetic **2** were clearly different from those reported for the isolated serralongamine A in CD<sub>3</sub>OD. Since basic nitrogen atoms readily protonate, we were able to reproducibly obtain <sup>1</sup>H and <sup>13</sup>C NMR spectra of the free base forms of serralongamine A in CD<sub>3</sub>OD containing NaOCD<sub>3</sub>. <sup>86</sup> We surmised that the natural isolate corresponded to its ditrifluoroacetate salt. Thus, the NMR spectra of synthetic serralongamine A was examined by titrating a sample of the free base with TFA. For a comparison of NMR data for natural and synthetic serralongamine A (**2**) as the double TFA salt, see Table 5.1. As reproduced in Figure 5.3, NMR spectra identical to those reported for the natural product were obtained.

<sup>&</sup>lt;sup>86</sup> For a similar NMR protocol to measure the free base and its TFA salt spectra in alkaloid synthesis, see: (a) Altman, R. A.; Nilsson, B. L.; Overman, L. E.; Read de Alaniz, J.; Rohde, J. M.; Taupin, V. *J. Org. Chem.* **2010**, *75*, 7519-7534. (b) Lee, A. S.; Liau, B. B.; Shair, M. D. *J. Am. Chem. Soc.* **2014**, *136*, 13442-13452.

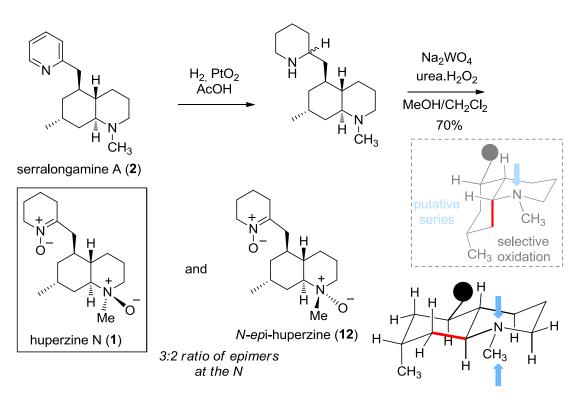
Table 5.1 <sup>13</sup>C NMR data for serralongamine A in CD<sub>3</sub>OD

serralongamine A (2)

δ <sup>13</sup> C	synthetic free base (2) <sup>1</sup>	<b>2</b> bis-TFA salt <sup>1</sup>	isolated serralongamine A <sup>2</sup>
1	149.5	142.8	143.8
2	125.7	126.3	125.9
3	138.4	147.8	146.6
4	122.7	129.4	128.8
5	162.6	157.7	158.1
6	42.6	37.9	38.5
7	37.8	37.5	37.5
8	38.0	36.8	36.8
9	58.5	57.4	57.4
10	26.1	24.0	24.1
11	29.6	27.1	27.2
12	47.8	46.3	46.4
13	64.8	66.0	66.0
14	36.6	33.8	33.9
15	28.5	28.1	28.1
16	19.5	18.3	18.3
17	43.1	41.4	41.4

<sup>&</sup>lt;sup>1</sup> <sup>13</sup>C NMR recorded at 100 MHz. Assignments were aided by COSY and HSQC spectra.

<sup>&</sup>lt;sup>2</sup> Jiang, W. P.; Ishiuchi, K.; Wu, J. B.; Kitanaka, S. *Heterocycles*, **2014**, *8*9, 747-752.



**Scheme 5.4** First total synthesis of revised structure of huperzine N

Having achieved **2**, we were two steps from completing the new structure proposed for huperzine N (**1**). Toward this end, reduction of the pyridine ring gave the corresponding piperidine, which after oxidation with Na<sub>2</sub>WO<sub>4</sub>/ urea·H<sub>2</sub>O<sub>2</sub>(UHP)<sup>87</sup> led to **1** by formation of both the amine *N*-oxide and nitrone functionalities, which were further confirmed by <sup>15</sup>N chemical shift NMR data. The spectroscopic data of the synthetic sample were identical in all respects to those reported for the natural product, <sup>9</sup> although a side product purified together with huperzine N was also formed. Two-dimensional NMR spectroscopy of the mixture identified the minor product as the *N*-oxide epimer of huperzine N. Although the oxidation of cyclic tertiary amines normally takes place axially, <sup>88</sup> the presence of an equatorial substituent increases the equatorial oxidation, <sup>89</sup>

<sup>&</sup>lt;sup>87</sup> Ohtake, H.; Imada, Y.; Murahashi, S. *Bull. Chem. Soc. Jpn.* **1999**, 72, 2737-2754.

<sup>&</sup>lt;sup>88</sup> Shvo, Y.; Kaufman, E. D. *J. Org. Chem.* **1981**, *46*, 2148-2152.

<sup>&</sup>lt;sup>89</sup> Kawazoe, Y.; Tsuda, M. *Chem. Pharm. Bull.* **1967**, *15*, 1405-1410.

as occurred in our substrate (C8-C8a bond). Thus, the reaction did not work diastereoselectively and epimeric *N*-oxide **12** was also formed.

The stereostructure and the complete <sup>1</sup>H, <sup>13</sup>C, and <sup>15</sup>N chemical shifts assignment of both epimers **1** and **12** (Figure 5.5) and also their protonated forms<sup>90</sup> (see the Supporting Information for details) were performed from the analysis of COSY, ROESY,<sup>91</sup> HSQC, HMBC, and TOCSY correlation spectra of the mixture.

The configuration of the new stereogenic center at the nitrogen atom in huperzine N was corroborated as R, on the basis of  $^1$ H and  $^{13}$ C chemical shift NMR analysis of 1 and its N-epimer 12. Thus, a clear upfield shift for C-3, C-4a and C-8 was observed, due to the 1,3-cis relationship between the N $\rightarrow$ O bond and the axial C-H bond of these carbon atoms (see Figure 5.5), compared with either the free amine base nucleus (e.g. in 2) or the N-epimeric N-oxide with the oxygen atom in an equatorial disposition (*i.e.* 12). The NMR data of synthetic huperzine N matched those described for the natural product, thus establishing its configuration as 1R,4aS,5S,7R,8aS. Although we have reported the racemic form, the phlegmarine alkaloids have always shown an R absolute configuration in the carbon bonded to the methyl group in the

 $<sup>^{90}</sup>$  In **1** and **12**, the protonation of the *N*-oxide function leads to a deshielding of the α-hydrogens (see supporting information). It should be noted that the protons in a 1,3-diaxial relationship with the *N*-oxide function are not affected or even slightly shielded upon protonation (e.g., H-10, H-12, and H-14 in huperzine N). For NMR studies in this field, see: Lebrun, B.; Braekman, J. C.; Daloze, D. *Magn. Reson. Chem.* **1999**, 37, 60-64.

<sup>&</sup>lt;sup>91</sup> When huperzine N was originally isolated (ref 9), NOE correlations were established between the putative H-12 proton and signals at N-Me and H-13. However, according to our ROESY NMR spectrum of **1**, which allowed the revised *trans* configuration to be established, these cross-peaks were due to H-14eq. This error is attributed to the <sup>1</sup>H chemical shift degeneracy between H-12 and H-14eq in **1**. This observation is fully confirmed by the NOE contacts observed in the related epimer (**12**) (see Figure 5.4) and protoned *N*-oxide (see supporting information Figure S2) derivatives, as well as of the characteristic multiplet *J* pattern of H-12 and H-13.

For NMR studies in *N*-oxide piperidine compounds, see: (a) Potmischil, F.; Cimpeanu, V.; Herzog, H.; Buddrus, J.; Duddeck, H. *Magn. Reson. Chem.* **2003**, *41*, 554-556. (b) Budesinsky, M.; Vanek, V.; Dracinsky, M.; Pohl, R.; Postova-Slavetinska, L.; Sychrovsky, V.; Picha, J.; Cisarova, I. *Tetrahedron* **2014**, *70*, 3871-3886.

decahydroquinoline ring. Thus, the relative configuration allowed the absolute configuration to be proposed

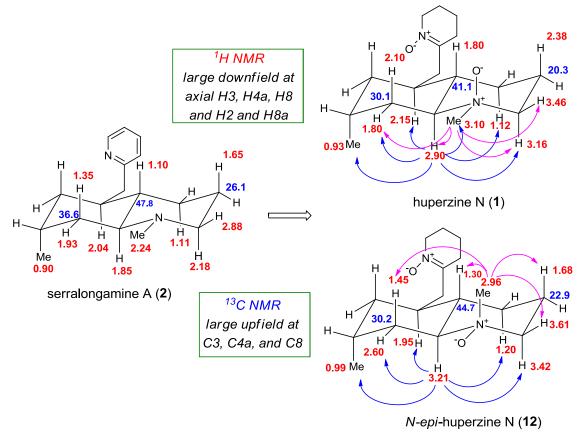


Figure 5.5 NMR of serralongamine A, Huperzine N and its epimer

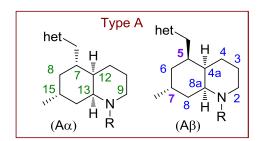
In summary, in this work on the phlegmarine subset of *Lycopodium* alkaloids, the first total synthesis of serralongamine A and the revised structure of huperzine N have been accomplished. The absolute configuration of huperzine N was established and the NMR data of the serralongamine A in its free base form are reported for the first time.

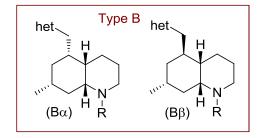
6. Decahydroquinoline Ring NMR Patterns for the
Stereochemical Elucidation of Phlegmarine
Alkaloids: Synthesis of (-)-Serralongamine A and the
Revised Structures of (-)-Huperzine K and
(-)-Huperzine M

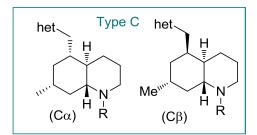
Manuscript in preparation

Considering the erroneous structure assigned to huperzine N, we decided to examine in more details the NMR data of all phlegmarines to find if there are other missassigned alkaloids in the literature. All NMR data available for phlegmarines are listed in Tables 6.1 and 6.2, according to the structural types used in this chapter.

As presented in the introduction the C7-Me always presents the same configuration for biogenetic reasons. Thus all phlegmarine alkaloids can be divided into four groups according the relationship of the hydrogens of the decahydroquinolines ring fusion with the methyl group, two *cis*-fused (types A and B) and the other two *trans* (types C and D). In each type two subunits can be found depending on the configuration at C-5. Thus, a letter ( $\alpha$  or  $\beta$ ) is added: when the two substituents of the carbocyclic ring (at C-5 and C-7) are *cis* ( $\alpha$ ) and when they are *trans* ( $\beta$ ). Figure 6.1 summarizes this type of notation, which helps to clarify the stereochemical patterns of the NMR data to facilitate the prediction of the configuration of any phlegmarine alkaloid.







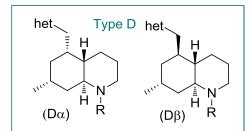


Figure 6.1 Stereoparents for decahydroquinoline rings in phlegmarine alkaloids

### 6.1 Decahydroquinoline Ring NMR Patterns for the Stereochemical Elucidation of Phlegmarine Alkaloids

Due to the stereochemical diversity of phlegmarine alkaloids, structure elucidation of those kind of compounds mainly focus in a first part in the stereochemical pattern present in the decahydroquinoline core structure and in a second part in the relative position of the substitutents on this ring.

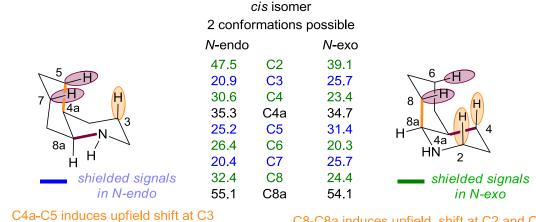
In order to shed light on the structural elucidation of those compound the basis point was first to look at the unsubstituted decahydroquinoline ring system. As presented in Figure 6.2 the *cis*-decahydroquinoline found in some phlegmarine alkaloids (Type A and B) can be conformationally mobile. At low temperature two conformers presenting different <sup>13</sup>C NMR data have been characterized. <sup>93</sup> What we observe is that for the *N*-endo compounds C4a-C5 and N-C8a bonds induce upfield shifts through diaxial interactions respectively at C-3 and C-5, C-7. For the *N*-exo compounds C8-C8a and C4-C4a respectively induce upfield shifts at C-2, C-4 and C-6, C-8 positions (see Figure 6.2).

In natural and synthetic compounds, both conformations of the *cis* can be found depending on the substitution pattern on the decahydroquinoline ring system, this conformation being fixed for a defined compound.

On the other hand, the *trans*-decahydroquinoline (found in Type C and D) present a rigid conformation with only one conformer possible, whose characteristic NMR signal present notably a clear difference from *cis*-systems at the ring junction carbons.

<sup>&</sup>lt;sup>93</sup> Spande, T. F.; Jain, P; Garraffo, H. M.; Pannell, L. K.; Yeh, H. J. C.; Daly, J. W.; Fukumoto, S.; Imamura, K.; Tokuyama, T.; Torres, J. A.; Snelling, R. R.; Jones, T. H. *J. Nat. Prod.* **1999**, *62*, 5-21.

- Chapter 6 \_\_\_\_\_



C4a-C5 induces upfield shift at C3
N1-C8a induces upfield shift at C5 and C7

The N-endo conformer has an axial H-8a relative to the nitrogen-containing ring C8-C8a induces upfield shift at C2 and C4 C4-C4a induces upfield shift at C6 and C8

The N-exo conformer has an equatorial H-8a relative to the nitrogen-containing ring

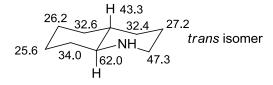
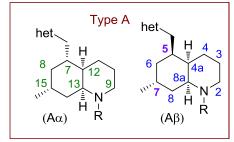
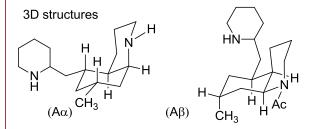
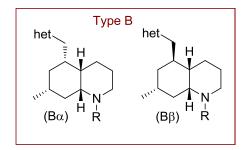


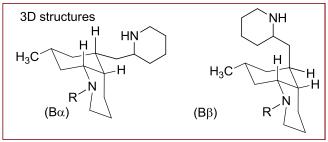
Figure 6.2 Different conformations in the decahydroquinoline system

All NMR spectroscopic data available for the isolated phlegmarine alkaloids have been analyzed and are reported in the next pages. Taking as the base structures supported by X-ray and/or total synthesis in the phlegmarine field, we propose a NMR pattern of the decahydroquinoline core to elucidate the stereostructure of phlegmarine alkaloids. On the basis of the available data information, the structure of some alkaloids should be revised. The corresponding reassigned stereostructures will be verified by total synthesis (see *section 6.2*).









**Figure 6.3** Stereoparents for *cis*-phlegmarines (Type A and Type B) Biogenetic (left) and systematic (right) numbering are indicated in Type A drawing

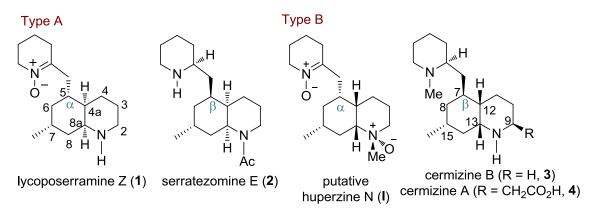
In Figure 6.3 are represented the preferred conformation of the *cis*-phlegmarine alkaloids. Some synthetic compounds, intermediate in total syntheses, show a different conformation induced by a different *N*-substituent type in the decahydroquinoline ring.

In Table 6.1 are summarized the decahydroquinoline core NMR data assignment for each *cis*-phlegmarine natural product. It should be noted that when a total synthesis has already been achieved, the data of the synthetic compound are reported. On the other hand, when no total synthesis of the natural product was reported, the NMR data of the isolated product is given (same method was used for Table 6.2).

We can observe that each stereoisomer present defined signals that allows to differentiate it from the other compounds. For example, for type  $A\alpha$  lycoposerramine Z an upfield shield at C-3 and C-5 signals is induced by the *N-endo* specific conformation only observed in this natural product. For type  $A\beta$  serratezomine E, the presence of rotamers complicates the data but strong upfield shift at C-2, C-4 and C-8 is characteristic of this subtype of compounds.

\_\_\_\_\_ Chapter 6 \_\_\_\_\_

**Table 6.1** <sup>13</sup>C NMR data of *cis*-phlegmarines



compd	1 <sup>94</sup>	<b>2</b> <sup>95</sup>	<b> </b> 96	3 <sup>97</sup>	<b>4</b> <sup>98</sup>	biogenetic numbering
type	Αα	Αβ	Βα	Вβ	Вβ	
C-2	47.1	41.8/36.4	61.0	40.4	49.6	9
C-3	20.0	26.0/25.0	20.2	27.0	39.6	10
C-4	26.2	17.5/17.5	16.2	26.2	25.2	11
C-4a	40.4	38.5/39.7	33.4	41.6	39.7	12
C-5	29.8	29.5	36.8	38.4	37.6	7
C-6	41.0	32.8/32.5	34.8	33.7	33.2	8
C-7	26.5	27.3/27.7	31.8	28.0	27.6	15
Me	22.5	22.1/21.3	22.2	22.9	22.6	16
C-8	40.4	27.6/29.6	31.8	34.2	32.9	14
C-8a	56.6	46.3/52.1	76.8	52.0	52.8	13

For type  $B\alpha$  compound, only present in a synthetic structure, the *N*-oxide add complexity to the NMR pattern but strong upfield shift at C-4 and downfield shift at C-5 can be found as characteristic values. Finally for type  $B\beta$  compounds we observe a downfield shift at C-3 and C-5 compared to the others compounds.

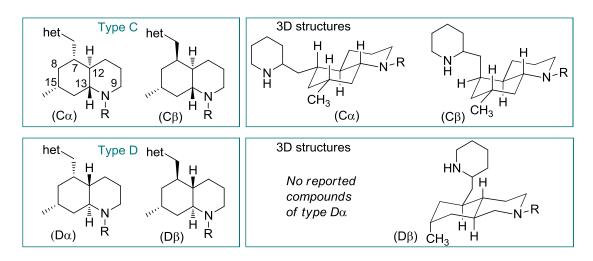
<sup>&</sup>lt;sup>94</sup> (a) Isolation: Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles* **2006**, *69*, 223-229. (b) Synthesis: Bradshaw, B.; Luque-Corredera, C.; Bonjoch, J. *Org. Lett.* **2013**, *15*, 326-329.

<sup>&</sup>lt;sup>95</sup> (a) Isolation: Kubota, T.; Yahata, H.; Yamamoto, S.; Hayashi, S.; Shibata, T.; Kobayashi, J. *Bioorg. Med. Chem. Lett.* **2009**, *19*, 3577-3580. (b) Synthesis: Bosch, C.; Fiser, B.; Gómez-Bengoa, E.; Bradshaw, B.; Bonjoch, J. *Org. Lett.* **2015**, *17*, 5084-5087.

<sup>&</sup>lt;sup>96</sup> Synthesis: see ref 95b.

<sup>&</sup>lt;sup>97</sup> (a) Isolation: Morita, H.; Hirasawa, Y.; Shinzato, T.; Kobayashi, J. *Tetrahedron* **2004**, *60*, 7015-7023. (b) Synthesis: Bradshaw, B.; Luque-Corredera, C.; Bonjoch, J. *Chem. Commun.* **2014**, *50*, 7099-7102.

<sup>&</sup>lt;sup>98</sup> Isolation: see ref 97a.



**Figure 6.4** Stereoparents for *trans*-phlegmarines (Type C and Type D)

After the total synthesis of huperzine N (see chapter 5) it was evident that huperzine M (12), isolated and reported in the same paper as huperzine N, 99 should have a *trans*-configuration in the decahydroquinoline ring fusion, considering the close correlation with its N-oxide analog (huperzine N, 13) and the reassigned structure for it.

Moreover, it was clear that lycoposerramine Y<sup>100</sup> was also missassigned, since its NMR data were identical to those reported for huperzine M (**12**). We also disclose that the structure of huperzine K (**11**), a secondary amine, whose stereochemistry was first unknown in the isolation paper,<sup>101</sup> and then assigned as the same stereochemistry as lycoposerramine Y (its *N*-methyl analog, **12**) due to the strong similarities in the NMR pattern of both isolated molecules,<sup>100</sup> and the observed correlation between *N*-methyl oxide, *N*-methyl and N-H,<sup>102</sup> is also missassigned. According to <sup>13</sup>C NMR data, those compounds should belong to type D class of phlegmarine alkaloids, as depicted in Table 6.2.

 <sup>&</sup>lt;sup>99</sup> Gao, W. Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Helv. Chim. Acta*, **2008**, *91*,1031-1035.
 <sup>100</sup> Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles*, **2006**, *69*, 223-229.

<sup>&</sup>lt;sup>101</sup> Gao, W.Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Planta Med.* **2000**, *66*, 664-667. 
<sup>102</sup> A clear upfield shift for C-3, C-4a and C-8 is observed in **13**, due to the 1,3-*cis* relationship between the N→O bond and the axial C-H bond of these carbon atoms, compared with the free amine base nucleus (*e.g.* in **11**) or the N-Me compound (*i.e.* **12**) (see ref. 92, Chapter 5)

Table 6.2 <sup>13</sup>C NMR data of *trans*-phlegmarines

Type C

lycoposerramine X (**5**, R = H) huperzine J (**6**, R = Me)

huperzine K(11, R = H)

huperzine L (7, R = Me, *N*-oxide)

Type D

lycobeline C (**8**, R = H) lycobeline A (**9**, R = Me)

lycobeline B (as 9, NHMe)

 $N_{\alpha}$ -methyl- $N_{\beta}$ -acetyl phlegmarine (**10**)

serralongamine A (14)

huperzine M /Lycoposerramine Y (12,	R = Me)
huperzine N (13, R = Me, N-oxide)	

compd	<b>5</b> <sup>103</sup>	6 <sup>104</sup>	7 <sup>104</sup>	8 <sup>105</sup>	9 <sup>105</sup>	10 <sup>106</sup>	<b>11</b> <sup>107</sup>	12 <sup>108</sup>	13 <sup>108</sup>	<b>14</b> <sup>109</sup>
type	Cα	Cα	Cα	Cα	Cα	Сβ	Dβ	Dβ	Dβ	Dβ
C-2	47.2	57.5	68.7	47.3	58.5	37.9	46.4	57.5	69.0	58.5
C-3	27.1	25.3	20.0	27.1	26.1	23.1	26.5	25.1	20.1	26.1
C-4	29.3	28.4	27.0	29.4	29.5	25.9	28.1	28.3	27.0	29.6
C-4a	47.9	46.1	40.0	46.7	45.8	41.5	48.0	46.7	40.8	47.8
C-5	39.2	37.6	36.9	41.9	42.1	35.4	32.7	32.8	32.3	37.8
C-6	42.4	40.6	35.6	41.8	41.4	38.7	38.6	35.4	36.6	38.0
C-7	32.0	30.5	30.1	32.2	32.1	26.8	27.2	27.1	26.8	28.5
Me	22.7	22.6	22.4	22.9	23.2	22.5	18.9	19.3	19.0	19.5
C-8	41.9	38.7	32.6	42.7	39.7	39.7	38.8	37.5	30.0	36.6
C-8a	61.6	68.0	77.0	62.1	69.9	54.7	55.7	63.3	73.4	64.8

(a) Isolation: Takayama and co-workers *Heterocycles* **2006**, *69*, 223-229. (Carinatumin C *Bioorg. Med. Chem.* **2007**, *15*, 1703-1707 present the same structure, partially protonated average difference in NMR signals 0.3 ppm). (b) Synthesis: Takayama and co-workers *J. Org. Chem.* **2009**, *74*, 8675-8680.

<sup>106</sup> (a) Isolation: Braekman and co-workers *Can. J. Chem.* **1978**, *56*, 851-856. (b) Synthesis: MacLean and co-workers *Can. J. Chem.* **1981**, *59*, 2695-2702 and Comins, and co-workers *J. Org. Chem.* **2010**, *75*, 8564-8570. In the latter publication phlegmarine was synthesized along with all the type Cβ phlegmarines (for <sup>13</sup>C NMR structure attribution of each compound see Figure 6.8).

(a) Isolation: Huperzine M and N: Zhu and co-workers *Helv. Chim. Acta* **2008**, *91*, 1031-1035 and lycoposerramine Y: see ref 103a reassigned as the same compound.

(a) Isolation: Kitanaka and co-workers *Heterocycles* **2014**, 89, 747-752. (b) Synthesis: Bonjoch and co-workers *J. Org. Chem.* **2016**, 81, 2629-2634.

<sup>&</sup>lt;sup>104</sup> (a) Isolation: Zhu and co-workers, *Planta Med.* **2000**, *66*, 664-667.

<sup>&</sup>lt;sup>105</sup> (a) Isolation: Morita and co-workers *Tetrahedron Lett.* **2012**, *53*, 3971-3973.

<sup>&</sup>lt;sup>107</sup> (a) Isolation: see ref 104a and ref 103a.

The NMR characteristic data for each representative stereoparent of decahydroquinoline framework (NH series) are presented in Figure 6.5, Figure 6.6 and Table 6.3. For more consistency, and to avoid any influence of the nature of the C-5 substituent and N-functionalisation, NMR data of the precursors bearing pyridine substituent at C-5 and without substituent on the N atom are represented.

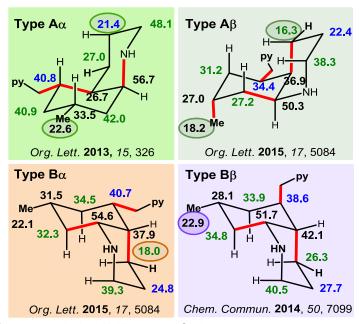


Figure 6.5 Characteristic NMR data of cis-phlegmarine alkaloids precursors

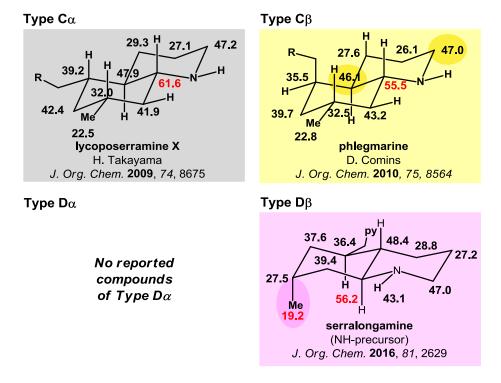


Figure 6.6 Characteristic NMR data of trans-phlegmarine alkaloids precursors

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**Table 6.3** Characteristic NMR data of all phlegmarine alkaloids

	Αα	Аβ	Βα	Вβ	Cα	Сβ	Dβ
C-2	48.1	38.3	39.3	40.5	47.2	47.0	47.0
C-3	21.4	22.4	24.8	27.7	27.1	26.1	27.2
C-4	27.0	16.3	18.0	26.3	29.3	27.6	28.8
C-4a	26.7*	36.9	37.9	42.1	47.9	46.1	48.4
C-5	40.8	34.4	40.7	38.6	39.2	35.5	36.4
C-6	40.9	31.20	34.5	33.9	42.4	39.7	37.6
C-7	33.5*	27.0	31.5	28.1	32.0	32.5	27.5
C-8	42.0	27.2	32.3	34.8	41.9	43.2	39.4
C-8a	56.7	50.3	54.6	51.7	61.6	55.5	56.2
Me	22.6	18.2	22.1	22.9	22.5	22.8	19.2

How to predict the stereoparent for a new compound?

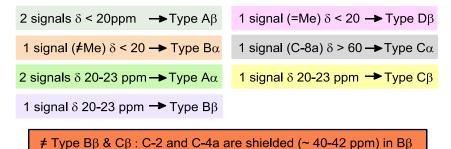


Figure 6.7 Basic Rules

By looking carefully at those data we realized that it is possible to deduce basic rules to determine the stereochemistry of a defined compound, as depicted in Figure 6.7. Of course, when applied to natural products, additional parameters (electronic and steric effects) depending on the nitrogen atom substituent should be considered.<sup>110</sup>

<sup>&</sup>lt;sup>110</sup> For classical <sup>13</sup>C NMR studies of piperidines and decahydroquinolines (NH, *N*-alk,  $R_3N^+H$ , N-COR), see: (a) Eliel, E. L.; Vierhapper, W. *J. Org. Chem.* **1976**, *41*, 199-209. (b) Vierhapper, F. W.; Eliel, E. L. *J. Org. Chem.* **1979**, *44*, 1081-1087. (c) Eliel, E. L.;

It is also noteworthy that  $^1H$  NMR spectra of these alkaloids are, of course, important for their structure elucidation. Indeed, if an upfielded quartet signal ( $\delta$  0.7-1.0) appears, it is the diagnostic for an axial H-6. This proton has a geminal (H-6) and two *trans* relationships (H-5 and H-7). This is only possible in alkaloids of type  $A\alpha$ ,  $B\alpha$  and  $C\alpha$ .

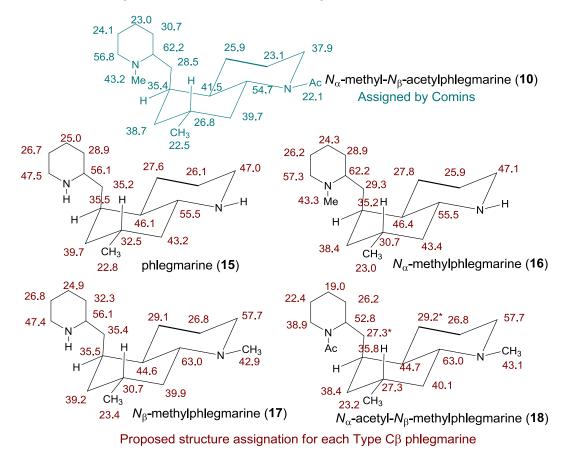
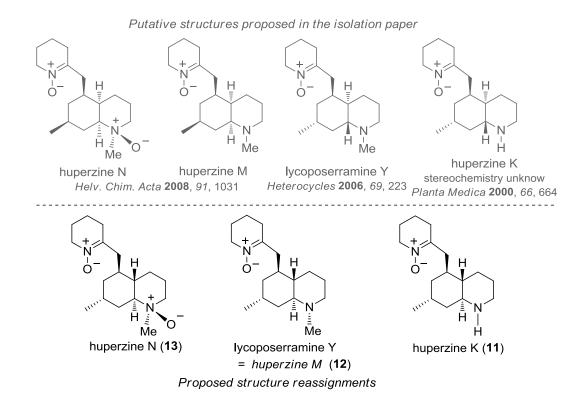


Figure 6.8 <sup>13</sup>C NMR of phlegmarine 10 and related alkaloids (15-18)

On the basis of the correlation of secondary and tertiary amines data with the *N*-acetyl derivatives reported in the literature, a proposal for the assignment of the  $^{13}$ C NMR data of phlegmarines **15-18** has been carried out. This assignment was performed using the data assigned by Comins for **10** and the  $^{13}$ C NMR non-assigned data reported by Comins in the total synthesis of phlegmarine alkaloids **15-18** (all of them of Type C $\beta$ ) as presented in Figure 6.8.

Kandasamy, D.; Yen, C.-Y.; Hargrave, K. D. *J. Am. Chem. Soc.* **1980**, *102*, 3698-3707. (d) Potmischil, F.; Herzog, H.; Buddrus, J. *Monatsh. Chem.* **1999**, *130*, 691-702.



**Figure 6.9** Missassigned phlegmarine alkaloids: putative and corrected structures

Structure reassignments have been proposed for the phlegmarine alkaloids depicted above. These agree with all the combined data and rules presented here. In order to prove and check the structure reassignments (Figure 6.9), we decided to perform the enantioselective total synthesis of huperzine K (11) and huperzine M (12). The synthesis of huperzine N (13) has been reported in Chapter 5.

# **6.2 Total Synthesis of Revised Structures of (-)-Huperzine K and (-)-Huperzine M**

Similarly as in chapter 5, the enantiopure  $\beta$ -ketoester (+)-19 was decarboxylated by treatment with trifuoroacetic acid affording ketone (+)-20 which was then protected as the acetal (+)-21. Further deprotection of the tosyl protecting group followed by acidic promoted epimerization of position C-4a allowed to obtain the 2:1 epimeric mixture (+)-23. Tosylation of this ketone intermediate allowed to obtain (+)-24 as a single isomer after chromatographic separation. Horner-Wadsworth-Emmons coupling of the phosphonate unit<sup>83</sup> afforded the key intermediate (+)-25. This compound submitted to the optimized conditions using Wilkinson's catalyst afforded compound (+)-26 with complete diastereoselectivity.

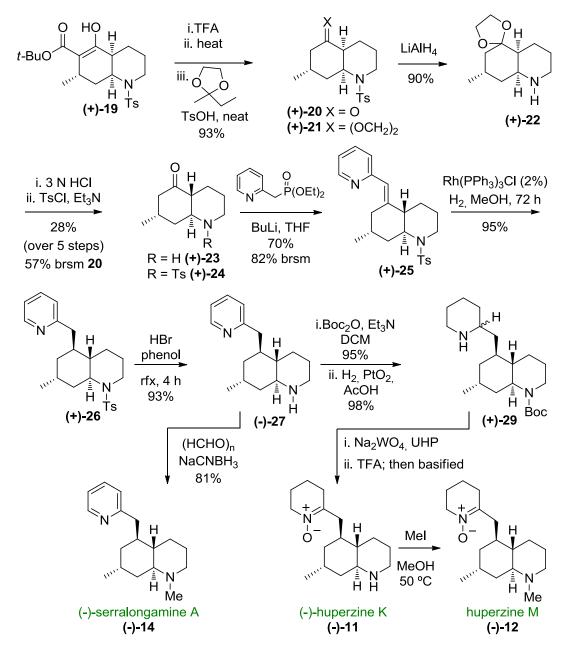
Further deprotection of the tosyl protecting group and reductive *N*-methylation afforded the enantiopure serralongamine A **(-)-14** whose optical data corresponds to the isolated natural  $\alpha_D^{25}$  = -17.9 (*c* 0.07, CHCl<sub>3</sub>) (Lit. 111  $\alpha_D^{20}$  = -16.8 (*c* 0.06, CHCl<sub>3</sub>)).

In a second part Boc protection of the secondary amine moiety of intermediate **(+)-27** and reduction of the pyridine ring compound affords compound **(+)-29**. Treatment with  $Na_2WO_4$  / urea· $H_2O_2$  to form the nitrone followed by trifluoroacetic acid mediated deprotection of the Boc group led to the first total synthesis of (-)-huperzine K **(-)-11**.

Unoptimized conditions to perform the *N*-methylation using methyl iodide as the methylating agent afforded huperzine M (-)-12 (~50% conversion in an unseparable mix from huperzine K).<sup>112</sup>

It is noteworthy to mention that <sup>1</sup>H NMR data for huperzine K is not available, <sup>101</sup> since in the original paper the data introduced corresponds

<sup>&</sup>lt;sup>111</sup> Jiang, W. P.; Ishiuchi, K.; Wu, J. B.; Kitanaka, S. *Heterocycles* **2014**, *89*, 747-752 Product was contaminated by diethyl phtalate. This product will soon be synthesized again with the leftover precursors available.



**Scheme 6.1** First total synthesis of revised structure of huperzine K and huperzine M

to the *N*-methyl compound and in the next paper in which a putative structure is proposed the <sup>1</sup>H NMR data<sup>100</sup> does not appear.

Table 6.4 <sup>13</sup>C NMR data for huperzine K in CDCl<sub>3</sub>

huperzine K (11)

bio#	synthetic (-)-11 <sup>1</sup>	synthetic (-)-11 <sup>1</sup>	isolated huperzine K <sup>2</sup>
DIO #	partially protonated	free base	isolated huperzine K
1	58.2	58.2	58.3
2	22.6	23.1	23.2
3	18.6	18.8	18.9
4	30.6	30.0	30.1
5	n. o.	148.4	148.2
6	35.0	35.2	35.2
7	32.3	32.6	32.6
8	35.0	37.5	37.8
9	44.4	45.7	46.0
10	23.0	25.3	25.6
11	26.4	27.6	27.8
12	44.5	47.0	47.2
13	56.1	55.9	56.0
14	37.0	37.7	37.8
15	26.8	27.1	27.2
16	18.1	18.8	18.9

<sup>&</sup>lt;sup>1</sup> <sup>13</sup>C NMR recorded at 100 MHz. Assignments were aided by COSY and HSQC spectra. <sup>2</sup> Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles*, **2006**, *69*, 223-229.

Table 6.5 <sup>13</sup>C NMR data for huperzine M in CDCl<sub>3</sub>

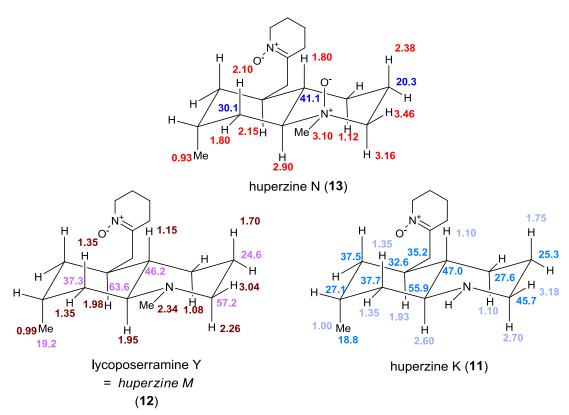
huperzine M (12)

bio#	synthetic (-)-12 <sup>1</sup>	huperzine M <sup>2</sup>	lycoposerramine Y <sup>3</sup>
1	58.2	58.1	58.3
2	23.1	23.1	23.3
3	18.7	18.8	19.0
4	30.2	29.9	29.9
5	148.6	148.6	148.5
6	35.7	35.6	35.8
7	32.7	32.8	33.0
8	35.3	35.4	37.7
9	57.2	57.5	57.7
10	24.6	25.1	25.4
11	28.0	28.3	28.5
12	46.2	46.7	47.0
13	63.6	63.3	63.4
14	37.3	37.5	35.7
15	27.1	27.1	27.3
16	19.2	19.3	19.5
17	42.1	42.5	42.8

<sup>&</sup>lt;sup>1</sup> <sup>13</sup>C NMR recorded at 100 MHz. Assignments were aided by COSY and HSQC spectra.

<sup>&</sup>lt;sup>2</sup> Gao, W. Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Helv. Chim. Acta,* **2008**, *91*,1031-1035.

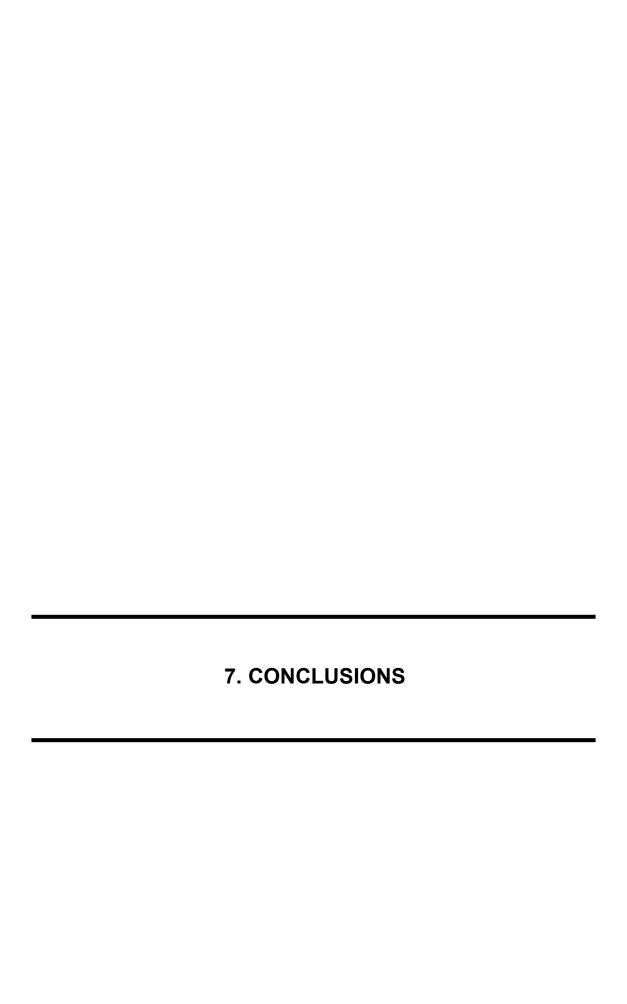
<sup>&</sup>lt;sup>3</sup> Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles*, **2006**, *69*, 223-229.



**Figure 6.10** NMR based reassignment of huperzine K, huperzine M and lycoposerramine Y

In summary, in this work, the exhaustive study of NMR data of each phlegmarine alkaloid led us to the establishment of general rules to determine easily the stereochemistry of any new phlegmarine type compound. This work led to structure reassignment of several described phlegmarines alkaloids.

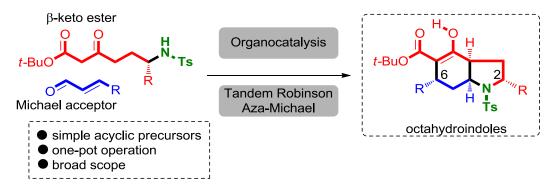
These reassignments were then confirmed by total synthesis using the unified methodology developed during this Ph. D. (see chapter 4). First total synthesis of (-)-serralongamine A ((-)-14), (-)-huperzine K ((-)-11) and huperzine M (11) was achieved and proved in agreement with the structural reassignation proposed.



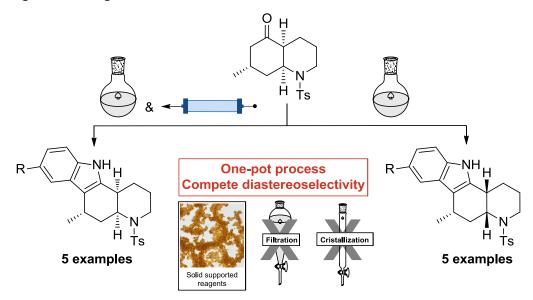
As we have seen, this doctoral thesis consists in two distinct parts. The first part focus in the study of methodology development in order to bring modularity and diversification to compounds studied within the research group. It consists in one part in the development of an easy procedure to access enantiopure substituted octahydroindoles relevant for natural products synthesis, but also in the diversification of a common building block used for the total synthesis of phlegmarine alkaloids allowing access to unprecedented heterocyclic tetrahydrocarbazoles compounds. In the second part, a more synthetic focus resulted first in the development of a methodology to allow access to any phlegmarine alkaloids from a simple common precursor *i.e.* using a unified methodology. This allowed us to perform the first total synthesis of various phlegmarine alkaloids and also shed light on missassigned structures for which reassignation was proposed and subsequently confirmed by total synthesis using our unified synthesis methodology.

Regarding the methodological studies and based on the previously established targets we can conclude that:

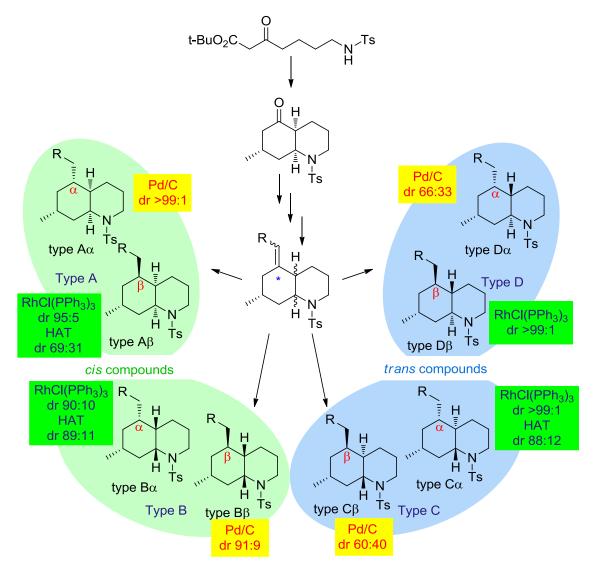
• We were able to develop an efficient organocatalytic route to polyfunctionalized octahydroindoles using a one-pot / two steps sequence and solid supported reagents facilitating any manipulation. This allowed the construction of complex octahydroindoles with up to 4 stereocenters excellent enantioselectivities and complete diastereoselective control in a one-pot operation opening the way for the rapid construction of important natural product nuclei. Further application of this methodology and its use in total synthesis is currently in progress in our laboratory.



It was possible to get easy and stereoselective access to an unprecedented pyrido[2,3,a]carbazole scaffold presenting biological activity. Two different efficient batch procedures were developed allowing to access selectively the different stereoisomers in a using supported process reagents and no chromatography needed. An alternate flow methodology was also developed in which no isomerization was observed. This work allowed us to build a small library of compounds which were then submitted for biological testing.



• Two stereodivergent hydrogenation protocols were developed providing access to a wide range of phlegmarine alkaloids unattainable by standard hydrogenation. This methodology allowed us to complete a unified methodology for the synthesis of phlegmarine alkaloids.



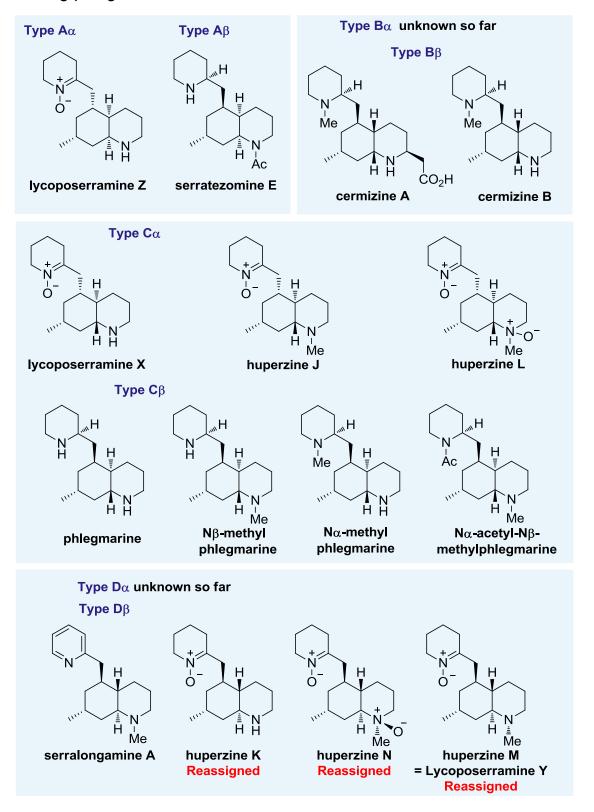
Regarding the direct application of the unified methodology to the total synthesis of natural products we can conclude that:

• This new methodology was successfully applied for the first total synthesis of (+)-serratezomine E as well as (-)-huperzine N:

• The structure of huperzine N turned out to be a putative structure and a structure reassignation was proposed based on NMR analysis:

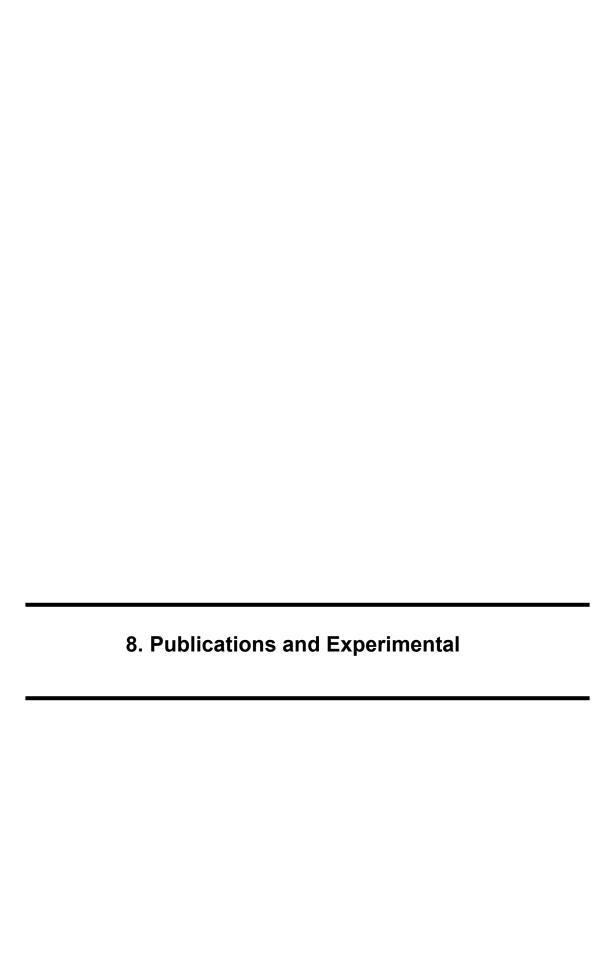
$$\delta_{\text{C}} 22.2 \qquad \delta_{\text{H}} 3.17 \qquad \text{H} \qquad \delta_{\text{C}(4)} 27.0 \\ \text{H}_{3}\text{C} \qquad \delta_{\text{C}(2)} 61.0 \qquad \text{trans-DHQ} \qquad \text{trans-DHQ} \\ \delta_{\text{C}(2)} 61.0 \qquad \delta_{\text{C}(4)} 16.2 \qquad \delta_{\text{C}(2)} 69.0 \\ \text{Type B}\alpha" \qquad \text{trype D}\beta" \\ \text{putative huperzine N} \\ \text{NMR data of synthetic compound} \qquad \text{NMR data of isolated compound}$$

• This structure reassignment led us to investigate all the described phlegmarine alkaloids and shed light on missassignements for various phlegmarine alkaloids giving birth to a new Table for all the existing phlegmarine alkaloids:



• All structure reassignments were subsequently confirmed by total synthesis using the unified methodology developed, leading to first total synthesis of all those compounds:

• All of the NMR data obtained during this project led us to the establishment of general rules to easily determine the stereochemistry of any phlegmarine type alkaloids.





## Asymmetric Synthesis of Octahydroindoles via a Domino Robinson Annulation/5-Endo Intramolecular Aza-Michael Reaction

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#### Supporting Information

ABSTRACT: A straightforward, two-step asymmetric synthesis of octahydroindoles has been developed on the basis of two complementary strategies: (i) an organocatalyzed Michael reaction followed by a tandem Robinson—aza-Michael double cyclization catalyzed by PS-BEMP, and (ii) a diastereoselective cyclization, which formally constitutes a remote 1,6 asymmetric induction mediated by PS-BEMP. This allowed the construction of complex octahydroindoles with up to four

stereocenters, excellent enantioselectivities (up to 95% ee), and complete diastereoselective control in a single-pot operation. DFT calculations were performed to understand the origin of this effect.

#### **■ INTRODUCTION**

The organocatalyzed construction of highly functionalized polycyclic nuclei in a one-pot operation from simple acyclic precursors has the potential to greatly shorten a synthetic sequence targeting complex natural products. Previously, we have developed an organocatalytic strategy toward decahydroquinolines that allowed the synthesis of an advanced common building block for a number of lycopodium alkaloids, such as lycoposerramine Z<sup>2</sup> and cermizine B.<sup>3</sup> In both cases, the tandem reaction was instrumental in enabling highly efficient syntheses of these natural products. Looking to expand the potential of this methodology, it soon became apparent that the principles<sup>4</sup> behind the reaction sequence, namely a  $\beta$ -keto ester, a tethered sulfonamide, and an enal engaging in a tandem Robinson aza-Michael reaction (see Figure 1), could be more general in scope, providing access to a range of different important nitrogen bicyclic nuclei in enantiopure form. Indeed, this proved to be the case and allowed us to achieve the first efficient synthetic entry to the morphan nucleus using organocatalysis from simple acyclic precursors.5 Here, we expand the scope of this strategy to include the octahydroindole unit,6 another privileged scaffold found in an extensive and diverse range of compounds (Figure 2). These include natural products such as aeruginosin 298-A,7 lycorine,8 daphniyunnine D,9 neotuberostemonine,10 pharmaceutical products such as perindopril,11 and a number of proline analogue organocatalysts. 12

While a number of methods have been developed to synthesize octahydroindoles in enantiopure form, using the chiral pool approach<sup>13</sup> or asymmetric metal-catalyzed reactions,<sup>14</sup> there are few previous approaches using aminocatalysis.<sup>15</sup>

Detailed herein is the development of an organocatalysismediated synthesis of octahydroindoles from a noncyclic

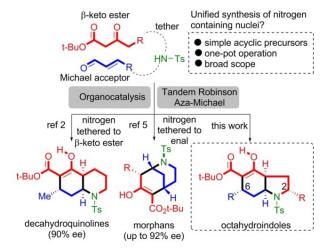


Figure 1. Unified strategy to important nitrogen-containing nuclei using an organocatalysis-initiated tandem Robinson aza-Michael reaction.

precursor. Notably, the process constitutes a rare example of an intramolecular aza-Michael reaction through a 5-endo-trig cyclization, <sup>16</sup> the latter process being disfavored according to Baldwin's rules. <sup>17</sup>

#### ■ RESULTS AND DISCUSSION

Preparation of the required starting material was achieved in a one-step manner by ring opening of the commercially available tosyl aziridines via the dianion of *tert*-butyl acetoacetate <sup>18</sup> (eq

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Figure 2. Diverse nitrogen-containing heterocycles with an embedded octahydroindole ring.

1). With the starting material 1a in hand, the nonasymmetric version of the tandem cyclization reaction was initially

investigated. The key results are outlined in Table 1. Satisfactorily, using the optimal conditions (crotonaldehyde LiOH· $H_2O$ , i-PrOH,  $H_2O$ ) developed for the decahydroquinoline series gave the desired analogous octahydroindole product

Table 1. Screening of Tandem Cyclization Conditions Leading to Octahydroindole 2a

entry	base (equiv)	solvent	time (h)	yield <sup>a</sup> (%)
1	$LiOH\cdot H_2O(1)^b$	i-PrOH	24	44
2	t-BuOK (0.3)	t-BuOH	24	15 <sup>c</sup>
3	$A^{d}$ (0.3), KOH (aq)	Et <sub>2</sub> O/THF	72	57
4	$B^{e}$ (0.1), C (2)	$CH_2Cl_2$	72	
5	B (1), C (2)	i-PrOH	24	56 <sup>f</sup>
6	B (1), C (2)	t-BuOH	24	45
7	B (1)	i-PrOH	24	42
8	B (1)	i-PrOH	72	68
9	B (0.1)	i-PrOH	72	54
10	<b>D</b> (1)	i-PrOH	24	43

"Yield refers to the products isolated by flash chromatography. b 10 equiv of H<sub>2</sub>O added. Significant amounts of the noncyclized cyclohexenone were also obtained (~40%). d TBAH refers to 40% n-Bu<sub>4</sub>NOH in H<sub>2</sub>O. "PS-BEMP refers to polymer-supported 2-(tert-butylimino)-2-(diethylamino)-1,3-dimethylperhydro-1,3,2-diazaphosphorine. I solated as a mixture of esters by a solvent transesterification process.

2a, which maintained the all-cis stereochemistry (Table 1, entry 1). The moderate yield led us to evaluate other conditions<sup>4</sup> such as t-BuOK in t-BuOH<sup>19</sup> (entry 2), which gave just 15% of 2a, with the rest (40%) recovered as the uncyclized cyclohexenone. The use of n-Bu<sub>4</sub>NOH/KOH<sup>20</sup> gave similar results, but it was found that if the reaction was lengthened to 72 h the desired product could be obtained in moderately good yield (entry 3). We also evaluated the use of PS-BEMP with Amberlyst-15 (B and C, Table 1) under the concept of siteisolated reactivity using the conditions reported by Dixon.<sup>21</sup> However, only traces of the Michael product were observed (entry 4). Increasing the amount of PS-BEMP to 1 equiv gave a good yield, but significant quantities of the transesterification products were also isolated, presumably catalyzed by the acid resin (entry 5). Switching the solvent to t-BuOH gave a slightly less efficient conversion, but with no transesterification side products (entry 6). However, using PS-BEMP alone in i-PrOH gratifyingly gave 2a in moderate yield (entry 7), while extending the reaction to 72 h gave the best yield so far of 68% (entry 8). Reducing the amount of PS-BEMP to catalytic quantities was feasible, albeit at a cost of slightly reducing the yield (entry 9). We also evaluated the more economical Amberlyst-26 resin, but this did not perform so well, with the yield dropping to 43% (entry 10).22

The relative stereochemistry of *rac-***2**, which is the same for all compounds synthesized in this series (see below), was elucidated by 2D NMR spectra (COSY, HSQC, NOESY). Octahydroindole **2a** shows a preferred conformation in which the C7–C7a bond of the carbocyclic ring adopts an axial disposition with respect to the nitrogen-containing ring to avoid the allylic strain with the sulfonamide group. The key

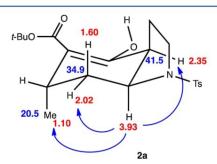


Figure 3. Characteristic NMR data and selected NOEs of hydroindole

evidence for the structure depicted in Figure 3 was found in the  $^1$ H NMR coupling pattern for H-7ax, which appears as a triplet of doublets ( $J=12.8,\ 5.2\ Hz$ ). This coupling pattern is only compatible with an axially disposed location of the methyl group at C-6. Moreover, the axial proton H-7a is strongly coupled with only one adjacent axial proton. Hence, its resonance signal appears deceptively as a doublet ( $J=12.8\ Hz$ ) of other doublets ( $J=8.0,\ 4.8\ Hz$ ). This structural elucidation is fully confirmed by the NOE contacts observed for H-7a (Figure 3).

In order to render the initial Michael addition step in the tandem Robinson/aza-Michael reaction enantioselective, we applied the conditions developed in the decahydroquinoline series<sup>2</sup> (using the Hayashi–Palomo catalyst 3,<sup>23</sup> LiOAc as an additive, and toluene as a solvent) to see if the octahydroindole series followed the same reactivity pattern. A brief solvent

The Journal of Organic Chemistry

screen for the organocatalytic step proved this to be the case, so toluene was again selected as the solvent of choice based on ee and yield. With the organocatalytic step sufficiently optimized, the use of different cyclization conditions for the tandem reaction were then evaluated. With no clear winner for the base for the cyclization step, we decided to test all the conditions that had given good results (see Table 1).

The use of LiOH gave 82% ee (Table 2, entry 1), which was increased to 87% by lowering the reaction temperature (entry

Table 2. Organocatalyzed Michael Reaction/Aldol/ Intramolecular Aza-Michael Process Leading to Octahydroindole 2a

entry	solvent	temp (°C)	cyclization conditions <sup>a</sup>	yield <sup>b</sup> (%)	ee (%)
1	toluene	rt	LiOH·H <sub>2</sub> O (T1 $^c$ : entry 1)	51	82
2	free	rt	T1: entry 1	7	14
3	MeOH	rt	T1: entry 1	40	77
4	$CH_2Cl_2$	rt	T1: entry 1	27	85
5	toluene	0	LiOH·H <sub>2</sub> O, (T1: entry 1)	55	87
6	toluene	0	t-BuOK (T1: entry 2)	61	73
7	toluene	0	KOH, A, (T1: entry 3)	51	94
8	toluene	0	B (T1: entry 8)	50	90
9	toluene	0	B (T1: entry 9)	29	87
10	toluene	0	D (T1:entry 10)	44	84

"Reactions were carried out with 1.1 equiv of crotonaldehyde and 0.5 equiv of LiOAc, as an additive, and the reaction time for the first step (i) was 24 h. The second step (ii) was carried out with the base indicated in *i*-PrOH for 72 h. <sup>b</sup>Yield refers to the products isolated by flash chromatography. <sup>c</sup>T1 refers to reaction conditions in Table 1.

5). We were surprised to observe that the choice of base was indeed crucial for obtaining good enantioselectivities. Compared to LiOH, the use of t-BuOK resulted in a quite considerable reduction of the ee to 73% (entry 6), while the use of KOH with TBAH under biphasic conditions gave an improved ee of 94% (entry 7). The treatment with PS-BEMP (1 equiv) performed almost equally well, giving 90% ee (entry 8). Using catalytic PS-BEMP conditions, the ee dropped slightly to 87%, and the yield was significantly reduced (entry 9). The use of the Amberlyst A26 resin resulted in a moderate 84% ee and also a moderate yield. While the KOH, TBAH conditions (entry 7) were the best in terms of enantioselectivity, we chose PS-BEMP (entry 8) as the optimum conditions based on the following criteria: (i) the reaction setup and work was significantly easier, requiring simple addition and filtration, and (ii) we observed that KOH, TBAH was less effective when the enal substituent was not a methyl group. The absolute configuration proposed for octahydroindole (+)-2a is based on the accepted mechanism of organocatalyzed Michael addition of  $\beta$ -keto esters upon enals<sup>24</sup> as well as the absolute stereochemistry reported in the related process leading to enantiopure decahydroquinolines.3

To test the scope of the reaction, a range of enals were examined (Scheme 1). It should be noted that in cases where the enal was not volatile, it was necessary to reduce any excess material by hydrogenation before adding the base to initiate the tandem cyclization reaction. Aliphatic enals gave the corresponding octahydroindoles 2b and 2c with good enantioselectivities (87% and 92% ee, respectively). The enal bearing a free hydroxyl group efficiently gave 2d under racemic conditions but did not evolve under organocatalysis due to the formation of a stable heminal species. The reaction also generally performed well when enals with a  $\beta$ -aromatic substituent were used, giving 2e (phenyl group), 2f (p-chlorophenyl) or 2g (p-methoxyphenyl), the latter bearing an electron-donating substituent, and all with excellent enantiose-lectivities.

Since many octahydroindole products bear a substituent at the 2-position, we were interested in examining the effect of

Scheme 1. Scope of the Organocatalyzed Reaction

"Each compound was prepared initially in racemic form using only the conditions of part iii of the transformation of 1a to 2b–g. Dorganocatalytic conditions did not lead to any significant quantity of coupled product. Excess unreacted nonvolatile enal was converted by hydrogenation to the corresponding aldehyde (see procedure C in the Experimental Section).

The Journal of Organic Chemistry

Table 3. Scope of the Domino Process from Enantiopure Acyclic  $\beta$ -Keto Ester 1b

entrya	R	compd	conditions	yield (%)
1	Me	4a	PS-BEMP	44
2	Me	4a	LiOH.H <sub>2</sub> O	60
3	Me	4a	Amberlyst A-26	29
5	Me	4a	BEMP	24
6	Me	4a	3 <sup>b</sup> then PS-BEMP	27
7	Me	4a	ent-3 <sup>b</sup> then PS-BEMP	С
8	Me	4a	PS-BEMP (0.3)	45
9	Me	4a	PS-BEMP $(0.3)^d$	36
10	hept	4b	PS-BEMP	28
11	(CH <sub>2</sub> ) <sub>2</sub> OH	4c	PS-BEMP	30
12	Ph	4d	$PS$ - $BEMP^e$	43

"Unless otherwise stated, reactions were carried out with 1 equiv of base in *i*-PrOH for 72 h. "Conditions for the organocatalytic step were carried out as in Table 2, entry 8. "A mixture of various unidentified compounds was obtained with only traces of 4a. "d10 equiv of H<sub>2</sub>O was added. "The use of LiOH·H<sub>2</sub>O gave significantly lower yields when R was > Me.

placing a corresponding substituent in the  $\beta$ -keto ester starting material  $\alpha$  to the nitrogen (Table 3). We began by taking  $\alpha$ -substituted  $\beta$ -keto ester 1b and reacting it under the racemic conditions (PS-BEMP, i-PrOH). Notably, the isolation of compound 4a indicated that the incorporation of a stereogenic center at the  $\alpha$ -position of the nitrogen atom (i.e., a benzyl group) caused an effective remote 1,6-asymmetric induction. The stereostructure of 4a was assigned on the basis that the set of signals in its NMR spectra ( $^{1}$ H and  $^{13}$ C) showed a close correlation with those observed in 2. Thus, considering that the pattern of chemical shifts and coupling constants for H-3a, H-6, H-7, and H-7a in 4a was the same as in 2a, a stereostructure analogous to that depicted in Figure 1 but having the benzyl substituent at C-2 was assigned to 4a with the *all-cis* configuration.

To see if the above asymmetric induction was an effect unique to PS-BEMP, the previously evaluated bases were analyzed, and the product found in each case was 4a (Table 3). The effect of using the organocatalyst 3 in the initial Michael step was then examined. While the matched organocatalyst (-)-3 gave a similar result regarding the *all-cis* stereochemistry, *ent-*3 failed to provide the opposite stereochemistry at C-6.

To explore the scope of the reaction, some different unsaturated aldehydes were used in the coupling reaction. As can be seen in Table 3, the reaction worked with a variety of substrates, leading to the octahydroindoles **4b-d** in a nonoptimized moderate yield.

Figure 4. Proposed mechanism for the diastereoselective synthesis of enantiopure octahydroindoles 4: (a) Robinson annulation; (b) intramolecular aza-Michael. Relative activation Gibbs Free energies computed at  $M062x/6-311+G^{**}$  (CPCM = water) level of theory. Value in parentheses corresponds to wB97xD/6-311+G\*\* (water) single points.

The Journal of Organic Chemistry

Next, we conducted DFT calculations<sup>26</sup> in order to shed light on the unexpected complete diastereoselectivity exerted by the benzyl substituent on the bicycle formation. At first sight, any of the C-C or C-N bond-forming processes is a potential candidate to be the stereodetermining transformation. We thus considered all possibilities, starting with the initial Michael addition of the dicarbonylic compound to cronotaldehyde (TS1, see the SI), to form INT1-R and INT1-S (Figure 4), which, as expected, turned out to be nonselective. The absence of interaction between the forming C-C bond and the stereogenic center  $\alpha$  to the nitrogen atom might be behind the observed lack of stereocontrol. The fact that TS1 is nonselective undoubtedly means that INT1-R and INT1-S must be in equilibrium (Curtin-Hammett conditions) prior to the stereodetermining step, which we hypothesized to be TS2 (Figure 4a). A number of TS2 structures were located, showing different Li cation and H-bond (TsNH) activation modes of the ring formation process. Gratifyingly, the transition state lowest in energy (TS2-S1) corresponds to the formation of the S epimer, which is the one experimentally observed. In this structure (TS2-S1), the lithium atom is bonded to the two reacting oxygen units (enolate and aldehyde) and the NH of the tosyl group is hydrogen bonding the enolate oxygen. Any other Li/NH bond combination (TS2-S2 to TS2-S4, Figure 4a) is not so favorable in terms of energy. Similar activation modes can be found in the transition states leading to the R epimer, TS2-R<sub>1</sub> being the lowest one, but their energies are at least 1.7-2.0 kcal/mol larger than those of the S isomer, in agreement with the experimental selectivity data. We hypothesized that the reason for the energy difference between TS2-S<sub>1</sub> and TS2-R<sub>1</sub> might be the tight character of these tricyclic structures, where the steric interaction of the benzyl group with the rest of the molecule gains significance.

We also studied the diastereoselectivity of the second ring formation by attack of the nitrogen atom to INT2-S. The most favorable transition states located were TS3-SR and TS3-SS (Figure 4b), and the comparison of their relative Gibbs free energies is again in agreement with the experimental results, predicting the formation of the SS adduct. In both diastereoisomers, the lithium cation is bonded to the oxygens of the dicarbonylic system, activating the enone (INT2-S) toward the nucleophilic attack of the tosylamine.

In conclusion, an effective, enantioselective, organocatalytic route to polyfunctionalized octahydroindoles was developed using a one-pot sequence, further expanding the potential scope of the organocatalyzed Robinson/aza-Michael reaction for the rapid construction of important natural product nuclei. The further application of this methodology to synthesize other azabicyclic scaffolds and its use in total synthesis is currently in progress in our laboratory. Moreover, a diastereoselective route starting from commercially available enantiopure aziridine was developed, in which a 1,6-remote control induction was observed in a process leading to enantiopure 2,4,5,6-tetrasubstituted hydroindoles.

## **■ EXPERIMENTAL SECTION**

**General Methods.** All reactions were carried out under an argon atmosphere with dry, freshly distilled solvents under anhydrous conditions. Analytical thin-layer chromatography was performed on  $SiO_2$  (silica gel 60  $F_{254}$ ), and the spots were located with 1% aqueous  $KMnO_4$ . Chromatography refers to flash chromatography and was carried out on  $SiO_2$  (silica gel 60 ACC, 35–75  $\mu$ m, 230–240 mesh). Drying of organic extracts during workup of reactions was performed

over anhydrous Na<sub>2</sub>SO<sub>4</sub>. Chemical shifts of  $^1$ H and  $^{13}$ C NMR spectra are reported in ppm downfield ( $\delta$ ) from Me<sub>4</sub>Si. All NMR data assignments are supported by gCOSY and gHSQC experiments. The triphenylsilyl catalyst 3 was prepared by a literature procedure.  $^{23}$ 

tert-Butyl 6-(4-Methylphenylsulfonamido)-3-oxohexanoate THF (40 mL) was added to NaH (60% in mineral oil) (0.37 g, 9.22 mol), and the resulting suspension was cooled 0 °C. tert-Butyl acetoacetate (0.73 g, 4.61 mmol) was added dropwise, and the colorless solution was stirred at 0 °C for 10 min. Then n-butyllithium (1.9 mL, 2.6 M in hexanes, 4.94 mmol) was added dropwise, and the resulting orange solution was stirred at 0 °C for an additional 10 min. N-Tosylaziridine (1.00 g, 5.07 mmol) in THF (5 mL) was added (the color of the dianion faded on addition of the aziridine), and the reaction mixture was stirred at room temperature for 15 min. The mixture was quenched with aqueous NH<sub>4</sub>Cl (2 mL) plus 5 mL of water (5 mL) and diluted with Et<sub>2</sub>O (15 mL). The organic phase was washed with water, dried, and concentrated. Purification by chromatography (hexane to hexane/EtOAc 1:1) gave  $\beta$ -keto ester 1a (1.44 g, 88%) as a light colored oil:  $^1$ H NMR (CDCl $_3$ , 400 MHz)  $\delta$ 1.44 (s, 9H,  $CH_3$ ), 1.75 (qd, J = 6.4, 0.8 Hz, 2H,  $CH_2$ ), 2.40 (s, 3H, CH<sub>3</sub>), 2.54 (td, J = 6.8, 1.2 Hz, 2H, CH<sub>2</sub>), 2.92 (qd, J = 6.8, 1.2 Hz, 2H, CH<sub>2</sub>), 3.31 (s, 2H, CH<sub>2</sub>), 4.76 (br, 1H, NH), 7.28 (d, 2H, m-ArH), 7.71 (d, 2H, o-ArH);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.4 (ArCH<sub>3</sub>), 23.1 (C-5), 27.8 (CH<sub>3</sub>), 39.4 (C-4), 42.2 (C-6), 50.4 (C-2), 82.1 (C), 127.1 (o-Ar), 129.7 (m-Ar), 136.9 (p-Ar), 143.3 (ipso-Ar), 166.6 (C-3), 202.9 (CO); HRMS (ESI-TOF) m/z [M + NH<sub>4</sub>]<sup>+</sup> calcd for C<sub>17</sub>H<sub>29</sub>N<sub>2</sub>O<sub>5</sub>S 373.1792, found 373.1798.

tert-Butyl (S)-6-((4-Methylphenyl)sulfonamido)-3-oxo-7-phenylheptanoate (1b). THF (10 mL) was added to NaH (60% mineral oil, 113 mg, 2.84 mmol), and the resulting suspension was cooled to 0 °C. tert-Butyl acetoacetate (144 mg, 0.949 mmol) was added dropwise, and the colorless solution was stirred at 0 °C for 10 min. Then nbutyllithium (385  $\mu$ L of 2.6 M in hexanes, 1.00 mmol) was added dropwise, and the orange solution was stirred at 0 °C for an additional 10 min. (S)-(+)-2-Benzyl-1-(p-tolylsulfonyl)aziridine (1.04 mmol, 300 mg) in THF (1 mL) was added (the color of the dianion faded immediately on addition of the aziridine), and the reaction mixture was stirred at room temperature for 15 min. The mixture was quenched with aqueous NH<sub>4</sub>Cl (1 mL) plus water (3 mL) and diluted with Et<sub>2</sub>O (7 mL). The organic phase was washed with water, dried, and concentrated. Purification by chromatography (hexane to hexane/ EtOAc 1:1) gave  $\beta$ -keto ester **1b** (334 mg, 79%) as a yellow oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  1.46 (s, 9H, CH<sub>3</sub>), 1.51–1.61 (m, 1H, H-5), 1.78-1.86 (m, 1H, H-5), 2.41 (s, 3H, CH<sub>3</sub>), 2.52-2.65 (m, 4H,  $2CH_2$ ), 3.29 (dd, J = 3.2 Hz, 2H,  $CH_2$ ), 3.40–3.49 (m, 1H, H-6), 4.63 (br, 1H, NH), 6.94 (dd, J = 7.6, 2.8 Hz, 2H, Ph), 7.17 - 7.20 (m, 3H, Ph), 7.24 (d, J = 8.4 Hz, 2H, m-ArH), 7.64 (d, J = 8.4 Hz, 2H, o-ArH); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.5 (ArCH<sub>3</sub>), 27.9 (C-5), 28.0 (CH<sub>3</sub>), 38.9 (C-4), 41.8 (CH<sub>2</sub>), 50.5 (C-2), 54.3 (C-6), 81.9 (C), 126.7 (p-Ph), 126.9 (o-Ph), 128.5 (o-Ar), 129.3 (m-Ph), 129.7 (m-Ar), 136.6 (p-Ar), 137.7(p-Ph), 143.3 (ipso-Ar), 166.6 (C-3), 203.3 (CO); HRMS (ESI-TOF) m/z [M + NH<sub>4</sub>]<sup>+</sup> calcd for  $C_{24}H_{35}N_2O_5S$ 463.2261, found 463.2254.

Representative Experimental Procedures for the Intermolecular Michael/Aldol Cyclization/Intramolecular Aza-Michael Reaction. General Procedure A. PS-BEMP (1.0 equiv) was added to a solution of the  $\beta$ -keto ester (1.0 equiv) and Michael acceptor (1.1 equiv) in i-PrOH (4 mL/mmol), and the resulting mixture was stirred at room temperature for 72 h. Filtration, evaporation of the solvent, and chromatography gave the corresponding octahydroindole product.

General Procedure B. To β-keto ester (1.0 equiv) and Michael acceptor (1.1 equiv) in toluene at 0 °C was added LiOAc (0.5 equiv) followed by pyrrolidine 3 (0.1 equiv), and the resulting mixture was stirred at 0 °C for 24 h. The solvent was removed in vacuo and the residue dissolved in *i*-PrOH (4 mL/mmol). PS-BEMP (1.0 equiv) was added, and the resulting mixture was stirred at room temperature for 72 h. Filtration, concentration, and chromatography gave the corresponding enantioenriched octahydroindole product.

General Procedure C. To  $\beta$ -keto ester (1.0 equiv) and Michael acceptor (1.1 equiv) in toluene at 0 °C was added LiOAc (0.5 equiv)

The Journal of Organic Chemistry

followed by catalyst 3 (0.1 equiv), and the resulting mixture was stirred at 0 °C for 24 h. The solvent was removed and the residue dissolved in i-PrOH (4 mL/mmol). Pd/C (20% w/w) was added, and the flask was fitted with a hydrogen balloon and hydrogenated until no enal was observed. The mixture was filtered through Celite, and the solvent was evaporated in vacuo. PS-BEMP (1.0 equiv) was then added, and the resulting mixture was stirred at room temperature for 72 h. Filtration of the resin and chromatography gave the corresponding enantioenriched octahydroindole product.

rac-(3aR,6R,7aR)-tert-Butyl 4-Hydroxy-6-methyl-1-(4-methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (rac-2a). Prepared according to general procedure A using crotonaldehyde (26  $\mu$ L, 0.309 mmol),  $\beta$ -keto ester 1a (100 mg, 0.281 mmol), PS-BEMP (130 mg, 0.286 mmol), and i-PrOH (1 mL). Purification by chromatography (hexane to hexane/EtOAc 3:1) gave octahydroindole rac-2a (78 mg, 68%) as a white solid: mp 131-132 °C; <sup>1</sup>H NMR  $(CDCl_3, 400 \text{ MHz}) \delta 1.10 \text{ (s, 3H, CH}_3), 1.50 \text{ (s, 9H, CH}_3), 1.60 \text{ (td, } J$ = 12.8, 5.2 Hz, 1H, H-7ax), 1.90 (qd, J = 12.0, 8.0 Hz, 1H, H-3 $\beta$ ), 2.02 (ddd, J = 13.0, 5.0, 2.4 Hz, 1H, H-7eq), 2.26 (dt, J = 12.0, 6.0 Hz, 1H,  $H-3\alpha$ ), 2.35 (ddd, J = 12.0, 8.0, 7.2 Hz, 1H, H-3a), 2.44 (s, 3H,  $ArCH_3$ ), 2.72 (qdd, J = 7.2, 2.8, 2.0 Hz, 1H, H-6eq), 3.06 (ddd, J =12.0, 8.0, 6.0 Hz, 1H, H-2 $\alpha$ ), 3.58 (t, J = 8.0 Hz, 1H, H-2 $\beta$ ), 3.93 (ddd, J = 12.8, 8.0, 4.8 Hz, 1H, H-7a), 7.35 (d, 2H, m-ArH), 7.75 (d, 2H, o-ArH);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>) δ 20.5 (CH<sub>3</sub>), 21.5 (ArCH<sub>3</sub>), 27.5 (C-6), 28.2 (CH<sub>3</sub>), 29.3 (C-3), 34.9 (C-7), 41.5 (C-3a), 47.9 (C-2), 55.0 (C-7a) 81.5 (C), 104.2 (C-5), 127.4 (o-Ar), 129.8 (m-Ar), 134.4 (p-Ar), 143.5 (ipso-Ar), 169.0 (C-4), 171.9 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for  $C_{21}H_{30}NO_5S$  408.1839, found

(3aR,6R,7aR)-tert-Butyl 4-Hydroxy-6-methyl-1-(4-methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (2a). Prepared according to general procedure B using  $\beta$ -keto ester 1a (100 mg, 0.281 mmol), crotonaldehyde (22 mg, 0.309 mmol), catalyst 3 (14 mg, 0.028 mmol), LiOAc (9 mg, 0.141 mmol), and toluene (1.0 mL) at 0 °C for 24 h followed by cyclization with PS-BEMP (128 mg, 0.281 mmol) and i-PrOH (1 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 2a (57 mg, 50%) as a white solid:  $[\alpha]_D$  +110.9 (c 1, CHCl<sub>3</sub>). For analysis data, see the procedure

(3aR,6R,7aR)-tert-Butyl 4-Hydroxy-6-ethyl-1-(4-methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (2b). Prepared according to general procedure B using trans-pentanal (34 mg, 0.402 mmol),  $\beta$ -keto ester 1a (130 mg, 0.366 mmol), organocatalyst 3 (19 mg, 0.037 mmol), and LiOAc (12 mg, 0.183 mmol) in toluene (1.4 mL) at 0 °C for 24 h followed by cyclization with PS-BEMP (166 mg, 0.366 mmol) and i-PrOH (1 mL). Chromatography (hexane to hexane/EtOAc 3:1) gave octahydroindole 2b (78 mg, 51%) as a yellow oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  0.98 (t, J = 7.4 Hz, 3H, CH<sub>3</sub>), 1.19–1.28 (m, 1H, H6-CH<sub>2</sub>), 1.38–1.44 (m, 1H, H-7), 1.49 (s, 9H, CH<sub>3</sub>), 1.50-1.57(m, 1H, H6-CH<sub>2</sub>) 1.89 (ddd, J = 19.6, 11.6, 7.6 Hz, 1H, H-3 $\beta$ ), 2.20 (ddd, J = 4.7, 2.4 Hz, 1H, H-7eq), 2.21–2.29 (m, 1H, H-3 $\alpha$ ), 2.32–2.40 (m, 1H, H-6), 2.43 (s, 3H, ArCH<sub>3</sub>), 2.41–2.48 (m, 1H, H-3a), 3.05 (ddd, J = 11.6, 9.6, 6.4 Hz, 1H, H-2 $\alpha$ ), 3.58 (ddd,  $J = 9.1, 7.8, 1.0 \text{ Hz}, 1\text{H}, \text{H}-2\beta), 3.86 \text{ (ddd}, } J = 12.8, 8.2, 4.8 \text{ Hz}, 1\text{H}, \text{H}-$ 7a), 7.32 (d, J = 7.9 Hz, 2H, m-ArH), 7.72 (d, J = 8.3 Hz, 2H, o-ArH);  $^{13}\text{C}$  NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  12.8 (CH<sub>3</sub>), 21.6 (ArCH<sub>3</sub>), 27.4 (CH<sub>2</sub>), 28.3 (CH<sub>3</sub>), 29.5 (C-3), 30.8 (C-7), 34.3 (C-6), 41.5 (C-3a), 48.0 (C-2), 55.1 (C-7a) 81.6 (C), 104.0 (C-5), 127.5 (o-Ar), 129.9 (m-Ar), 134.4 (p-Ar), 143.6 (ipso-Ar), 169.4 (C-4), 172.1 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for  $C_{22}H_{32}NO_5S$  422.2005, found 422,1996.

(3aS,6S,7aS)-tert-Butyl 6-Heptyl-4-hydroxy-1-(4-methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (2c). Prepared according to general procedure C using  $\beta$ -keto ester 1a (100 mg, 0.281 mmol), trans-2-decenal (57 μL, 0.309 mmol), catalyst 3 (15 mg, 0.028 mmol), and LiOAc (9 mg, 0.140 mmol) in toluene (1 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 2c (55 mg, 40%) as a white solid: mp 118-121 °C; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.91 (t, J = 6.8 Hz, 3H, CH<sub>3</sub>), 1.15-1.40 (m, 12H, H-alkyl), 1.41-1.50 (m, 1H, H-7), 1.50 (s, 9H,  $CH_3$ ), 1.88 (dddd, J = 12.0, 12.0, 12.0, 8.0 Hz, 1H, H-3) 2.19 (ddd, J = 12.0, 12.0, 12.0, 8.0 Hz, 1H, H-3) 13.2, 4.4, 2.4 Hz, 1H, H-7), 2.27 (ddd, *J* = 12.4, 6.4, 6.4 Hz, 1H, H-3), 2.37 (dt, J = 12.0, 7.6 Hz, 1H, H-3a), 2.44 (s, 3H, ArCH<sub>3</sub>), 2.49-2.57 (m, 1H, H-6), 3.06 (ddd, J = 11.2, 9.6, 6.4 Hz, 1H, H-2), 3.58 (dd, J = 11.2) 8.8, 8.8 Hz, 1H, H-2), 3.86 (ddd, *J* = 12.8, 8.4, 4.8 Hz, 1H, H-7a), 7.32 (d, J = 8.0 Hz, 2H, m-ArH), 7.71 (d, J = 8.4 Hz, 2H, o-ArH), 12.4 (s, 1H, enal); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 14.1 (CH<sub>3</sub> side chain), 21.5 (ArCH<sub>3</sub>), 22.7 (CH<sub>2</sub>-alkyl), 27.8 (CH<sub>2</sub>-alkyl), 28.2 (CH<sub>3</sub> t-Bu), 29.26 (CH<sub>2</sub>-alkyl), 29.33 (CH<sub>2</sub>-alkyl), 29.6 (C-3), 30.9 (C-7), 31.9 (CH<sub>2</sub>-alkyl), 32.3 (C-6), 34.2 (CH<sub>2</sub>-alkyl), 41.4 (C-3a), 47.9 (C-2), 54.9 (C-7a), 81.5 (C t-Bu), 104.0 (C-5), 127.4 (o-Ar), 129.7 (m-Ar), 134.3 (p-Ar), 143.5 (ipso-Ar), 169.2 (C-4), 172.0 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for  $C_{27}H_{42}NO_5S$  492.2778, found 492.2779.

(3aS,6R,7aS)-tert-Butyl 4-Hydroxy-6-(2-hydroxyethyl)-1-(4-methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (*rac-2d*). Prepared according to general procedure A using (*E*)-5-hydroxypent-2-enal<sup>27</sup> (17 mg, 0.171 mmol),  $\beta$ -keto ester 1a (55 mg, 0.155 mmol), PS-BEMP (70 mg, 0.155 mmol), and i-PrOH (0.6 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole rac-2d (42 mg, 61%) as a yellow oil: <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.52 (s, 9H, CH<sub>3</sub>), 1.53–1.62 (m, 2H, H-7 and H-1'), 1.71– 1.79 (m, 1H, H-1') 1.90 (ddd, J = 12.0, 4.0 Hz, 1H, H-3), 2.19 (ddd, J = 4.8, 2.4 Hz, 1H, H-7eq), 2.25–2.32 (m, 1H, H-3 $\alpha$ ), 2.37–2.42 (m, 1H, H-3a), 2.44 (s, 3H, ArCH<sub>3</sub>), 2.69–2.75 (m, 1H, H-6), 3.04 (ddd, J = 11.2, 9.6, 6.4 Hz, 1H, H-2 $\alpha$ ), 3.58 (ddd, J = 9.2, 7.6, 0.8 Hz, 1H, H- $2\beta$ ), 3.67–3.78 (m, 2H, H-2'), 3.93 (ddd, J = 13.2, 8.4, 4.8 Hz, 1H, H-7a), 7.32 (d, J = 7.9 Hz, 2H, m-ArH), 7.72 (d, J = 8.3 Hz, 2H, o-ArH);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.7 (ArCH<sub>3</sub>), 28.4 (CH<sub>3</sub>), 29.4 (C-3), 29.5 (C-6), 32.2 (C-7), 37.6 (C-1'), 41.6 (C-3a), 48.0 (C-2), 55.1 (C-7a), 61.4 (C-2'), 82.3 (C), 103.3 (C-5), 127.6 (o-Ar), 129.9 (m-Ar), 134.3 (p-Ar), 143.8 (ipso-Ar), 170.1 (C-4), 171.9 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for C<sub>22</sub>H<sub>32</sub>NO<sub>6</sub>S 438.1949, found

(3aR,6R,7aR)-tert-Butyl 4-Hydroxy-1-(4-methylphenylsulfonyl)-6phenyl-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (2e). Prepared according to general procedure C using cinnamaldehyde (23 mg, 0.176 mmol),  $\beta$ -keto ester 1a (57 mg, 0.160 mmol), organocatalyst 3 (8 mg, 0.016 mmol), and LiOAc (5 mg, 0.080 mmol) in toluene (0.5 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 2e (36 mg, 41%) as a white solid: mp 168-170 °C; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.23 (s, 9H, CH<sub>3</sub>), 1.89–1.97 (m, 1H, H-7ax), 1.90–1.98 (m, 1H, H-3 $\beta$ ), 2.17–2.20 (m, 1H, H-7eq), 2.90-2.26 (m, 1H, H-3a), 2.41-2.51 (m, 1H, H-3a), 2.41 (s, 3H, ArCH<sub>3</sub>), 3.08 (td, J = 10.1, 6.6 Hz, 1H, H-2 $\alpha$ ), 3.54–3.58 (m, 1H, H-7a), 3.58 (ddd, J = 12.0, 9.6, 7.6, 2.0 Hz, 1H, H-2 $\beta$ ), 3.90 (t, J = 8.8 Hz, 1H, H-6eq), 7.07 (d, J = 7.2 Hz, 2H, m-Ph), 7.22 (d, J = 8.0 Hz, 2H, o-Ph), 7.28 (d, I = 8.0 Hz, 2H, m-ArH), 7.60 (d, I = 8.4 Hz, 2H, o-ArH);  $^{13}\text{C}$  NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.5 (ArCH<sub>3</sub>), 27.8 (CH<sub>3</sub>), 28.8 (C-3), 35.7 (C-7), 38.5 (C-6), 41.8 (C-3a), 48.2 (C-2), 54.7 (C-7a) 81.6 (C), 101.7 (C-5), 126.0 (o-Ph), 127.2 (m-Ph), 127.4 (o-Ar), 128.1 (p-Ph) 129.6 (m-Ar), 133.7 (p-Ar), 143.4 (ipso-Ar), 144.1 (ipso-Ph), 170.7 (C-4), 171.7 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for C<sub>26</sub>H<sub>32</sub>NO<sub>5</sub>S 470.2001, found 470.1996.

(3aS,6R,7aS)-tert-Butyl 6-(4-Chlorophenyl)-4-hydroxy-1-(4-methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (2f). Prepared according to general procedure C using 4chlorocinnamaldehyde (33 mg, 0.198 mmol), β-keto ester 1a (64 mg, 0.180 mmol), organocatalyst 3 (9 mg, 0.018 mmol), and LiOAc (6 mg, 0.090 mmol) in toluene (0.7 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 2f (39 mg, 43%) as a white solid: mp 185–187 °C; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.24 (s, 9H, CH<sub>3</sub>), 1.89–2.00 (m, 2H, H-7ax, H-3 $\beta$ ), 2.14 (dt, J = 7.6, 3.6 Hz, 1H, H-7eq), 2.22 (dtd, J = 13.6, 8.8, 6.8 H, H-3 $\alpha$ ), 2.42 (s, 3H, ArCH<sub>3</sub>), 2.44-2.52 (m, 1H, H-3a), 3.08 (dt, J = 10.0, 6.4 Hz, 1H, H-2 $\alpha$ ), 3.47-3.53 (m, 1H, H-6), 3.88 (t, J = 4.8 Hz, 1H, H-2 $\beta$ ), 7.03 (d, J = 8.4 Hz, 2H, o-Ar), 7.25 (d, J = 8.8 Hz, 2H, m-Ar), 7.27 (d, J = 6.4 Hz, 2H, o-Ph), 7.48 (d, J = 8.0 Hz, 2H, m-Ph);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ 21.6 (ArCH<sub>3</sub>), 28.0 (CH<sub>3</sub>), 28.9 (C-3), 35.9 (C-7), 38.2 (C-6), 41.9 (C-3a), 48.3 (C-2), 54.7 (C-7a), 82.0 (C), 101.4 (C-5), 127.6 (o-Ar),

128.4 (o-Ph), 128.7 (m-Ph), 129.8 (m-Ar), 131.9 (p-Ph), 133.8 (p-Ar), 143.0 (ipso-Ar), 143.8 (ipso-Ph), 170.9 (C-4), 171.6 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for C<sub>26</sub>H<sub>31</sub>ClNO<sub>5</sub>S 504.1606, found

(3aR,6R,7aR)-tert-Butyl 4-Hydroxy-6-(methoxyphenyl)-1-(4methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (2g). Prepared according to general procedure C using trans-4-methoxycinnamaldehyde (29 mg, 0.179 mmol),  $\beta$ -keto ester 1a (58 mg, 0.163 mmol), catalyst 3 (8 mg, 0.016 mmol), and LiOAc (5 mg, 0.082 mmol) in toluene (0.5 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 2g (32 mg, 40%) as a white solid: mp 152–154 °C; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.25 (s, 9H,  $CH_3$ ), 1.87 (td, J = 12.8, 7.6 Hz, 1H, H-7ax), 1.90–2.00 (m, 1H, H- $3\beta$ ), 2.16 (dt, J = 8.0, 4.0 Hz, 1H, H-7eq), 2.23 (ddd, J = 14.0, 8.4, 6.8 H, H-3 $\alpha$ ), 2.41 (s, 3H, ArCH<sub>3</sub>), 2.42–2.49 (m, 1H, H-3a), 3.07 (dt, J = 10.0, 6.4 Hz, 1H, H-2 $\alpha$ ), 3.56–3.62 (m, 2H, H-6 and H-2 $\beta$ ), 3.83 (s, 3H, CH<sub>3</sub>), 3.86 (dd, J = 5.2, 2.8 Hz, 1H, H-7a), 6.83 (d, J = 8.8 Hz, 2H, o-Ph), 6.99 (d, J = 8.8 Hz, 2H, o-Ar), 7.23 (d, J = 8.0 Hz, 2H, m-Ar), 7.48 (d, J = 8.4 Hz, 2H, m-Ph); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ 21.5 (ArCH<sub>3</sub>), 27.9 (CH<sub>3</sub>), 28.9 (C-3), 35.9 (C-7), 37.7 (C-6), 41.7 (C-3a), 48.2 (C-2), 54.7 (C-7a), 55.3 (OCH<sub>3</sub>), 81.6 (C), 101.8 (C-5), 113.5 (o-Ph), 127.4 (o-Ar), 128.1 (m-Ph), 129.6 (m-Ar), 133.7 (p-Ar), 136.2 (p-Ph), 143.4 (ipso-Ar), 157.8 (ipso-Ph), 170.3 (C-4), 171.7 (CO); HRMS (ESI-TOF) m/z [M + H]+ calcd for C<sub>27</sub>H<sub>34</sub>NO<sub>6</sub>S 500.2103, found 500.2101.

(2R,3aS,6S,7aS)-tert-Butyl 2-Benzyl-4-hydroxy-6-methyl-1-(4methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (4a). Prepared according to general procedure A using  $\beta$ keto ester 1b (190 mg, 0.426 mmol), crotonaldehyde (36 mg, 0.511 mmol), PS-BEMP (194 mg, 0.426 mmol), and i-PrOH (2 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 4a (105 mg, 44%) as a colorless oil:  $[\alpha]_D$  -6.7 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.07 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.24–1.27 (m, 1H, H-7ax), 1.47 (s, 9H, CH<sub>3</sub>), 1.62-1.72 (m, 1H, H-3), 1.77 (ddd, J = 13.2, 4.8, 2.0 Hz, 1H, H-7eq) 1.98-2.04 (m, 1H, H-3a), 2.12-2.18 (m, 1H, H-3), 2.44 (s, 3H, ArCH<sub>3</sub>), 2.61 (qdd, J = 7.2, 5.6, 2.4 Hz, 1H, H-6), 2.94 (dd, J = 13.2, 8.8 Hz, 1H, CH<sub>2</sub>Ph), 3.40 (dd, J= 13.2, 3.2 Hz, 1H,  $CH_2Ph$ ), 3.67-3.74 (m, 1H, H-2), 3.98 (ddd, J =12.5, 7.8, 4.8 Hz, 1H, H-7a), 7.21–7.35 (m, 7H, ArH), 7.78 (d, *J* = 8.3 Hz, 2H, ο-ArH); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 20.7 (CH<sub>3</sub>), 21.7 (ArCH<sub>3</sub>), 27.5 (C-6), 28.4 (CH<sub>3</sub>), 34.8 (C-7), 35.8 (C-3), 40.3 (C-3a), 43.1 (CH<sub>2</sub>-Ph), 56.9 (C-7a), 62.2 (C-2), 81.7 (C), 104.0 (C-5), 126.7 (o-Ph), 127.6 (o-Ar), 128.4 (m-Ph), 130.0 (m-Ar), 130.1 (p-Ph), 134.6 (p-Ar), 137.7 (Ph), 143.9 (ipso-Ar), 169.3 (C-4), 172.1 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for  $C_{28}H_{36}NO_5S$  498.2309, found 498.2293.

(2R,3aS,6S,7aS)-tert-Butyl 2-Benzyl-6-heptyl-4-hydroxy-1-(4methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (4b). Prepared according to general procedure A using  $\beta$ keto ester 1b (100 mg, 0.224 mmol), trans-2-decenal (46 μL, 0.246 mmol), PS-BEMP (102 mg, 0.225 mmol), and i-PrOH (1 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 4b (36 mg, 28%) as a colorless oil:  $[\alpha]_D$  –12.7 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.83–0.92 (m, 3H, CH<sub>3</sub> alkyl), 1.10–1.18 (m, 1H, H-7), 1.18-1.38 (m, 12H, CH<sub>2</sub> alkyl), 1.47 (s, 9H, CH<sub>3</sub>), 1.67 (ddd, J = 12.4, 12.4, 10.4 Hz, 1H, H-3), 1.93 (ddd, J = 13.2, 4.8, 2.4 Hz, 1H, H-7),2.04 (ddd, J = 12.4, 7.6, 7.2 Hz, 1H, H-3a), 2.16 (ddd, J= 12.4, 7.2, 6.8 Hz, 1H, H-3), 2.38-2.46 (m, 1H, H-6), 2.44 (s, 3H,  $ArCH_3$ ), 2.93 (dd, J = 13.2, 9.2 Hz, 1H,  $CH_2Ph$ ), 3.42 (dd, J = 13.2, 3.2 Hz, 1H, CH<sub>2</sub>Ph), 3.65-3.75 (m, 1H, H-2), 3.91 (ddd, J = 12.8, 8.0, 4.8 Hz, 1H, H-7a), 7.20-7.36 (m, 7H, ArH), 7.76 (d, J = 8.4 Hz, 2H, m-Ar);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  14.1 (CH<sub>3</sub> alkyl), 21.5 (ArCH<sub>3</sub>), 22.7 (CH<sub>2</sub> alkyl) 27.8 (CH<sub>2</sub> alkyl), 28.2 (CH<sub>3</sub> t-Bu), 29.3 (CH<sub>2</sub> alkyl), 29.6 (CH<sub>2</sub> alkyl), 30.7 (C-7), 31.9 (CH<sub>2</sub> alkyl), 32.2 (C-6), 34.2 (CH<sub>2</sub> alkyl), 35.8 (C-3), 40.0 (C-3a), 42.9 (CH<sub>2</sub>Ph), 56.8 (C-7a), 62.0 (C-2), 81.5 (C t-Bu), 103.5 (C-5), 126.5, 127.5, 128.3, 129.8, 129.9, 134.5, 137.6, 143.7, 169.4 (C-4), 172.0 (CO); HRMS (ESI-TOF) m/z [M + H]+ calcd for C<sub>34</sub>H<sub>48</sub>NO<sub>5</sub>S 582.3253, found 582,3262.

(2R,3aS,6R,7aS)-tert-Butyl 2-Benzyl-4-hydroxy-6-(2-hydroxyethyl)-1-(4-methylphenylsulfonyl)-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (4c). Prepared according to general procedure A using  $\beta$ -keto ester 1b (56 mg, 0.126 mmol), (E)-5-hydroxypent-2-enal (14 mg, 0.138 mmol), PS-BEMP (57 mg, 0.126 mmol), and i-PrOH (0.5 mL). Purification by chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 4c (20 mg, 30%) as a colorless oil:  $[\alpha]_D$  –17.1 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.14–121 (m 1H, H-1'), 1.48–1.56 (m, 1H, H-3), 1.49 (s, 9H, CH<sub>3</sub>), 1.64–1.74 (m, 1H, H-3), 1.91-1.95 (dm, 1H, H-1'), 2.04-2.10 (m, 1H, H-3a), 2.14-2.20 (m, 1H, H-7), 2.44 (s, 3H, ArCH<sub>3</sub>), 2.60–2.62 (m, 1H, H-6), 2.95 (dd, J =13.6, 8.8 Hz, 1H,  $CH_2Ph$ ), 3.40 (dd, J = 13.6, 3.2 Hz, 1H,  $CH_2Ph$ ), 3.61-3.75 (m, 3H, H-2, H-2'), 3.97 (ddd, J = 12.4, 7.2, 4.4 Hz, 1H, H-7a), 7.21-7.35 (m, 5H, ArH), 7.35 (d, J = 8.0 Hz, 2H, o-Ar), 7.77 (d, J= 8.0 Hz, 2H, m-Ar);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.7 (ArCH<sub>3</sub>), 28.4 (CH<sub>3</sub>), 29.3 (C-6), 31.9 (C-1'), 35.9 (C-7), 37.6 (C-3), 40.1 (C-3a), 42.8 (CH<sub>2</sub>-Ph), 57.0 (C-7a), 61.4 (C-2'), 62.2 (C-2), 82.3 (C), 102.9 (C-5), 126.7, 127.6, 128.4, 130.1, 130.2, 134.4, 137.6, 144.0, 170.1 (C-4), 171.9 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for C29H38NO6S 528.2434, found 528.2414.

(2R,3aS,6R,7aS)-tert-Butyl 2-Benzyl-4-hydroxy-1-(4-methylphenylsulfonyl)-6-phenyl-2,3,3a,6,7,7a-hexahydro-1H-indole-5-carboxylate (4d). Prepared according to general procedure A using  $\beta$ -keto ester 1b (49 mg, 0.110 mmol), cinnamaldehyde (16 mg, 0.121 mmol), PS-BEMP (50 mg, 0.110 mmol), and i-PrOH (0.4 mL). Chromatography (hexane to hexane/EtOAc 1:1) gave octahydroindole 4d (26 mg, 43%) as a white solid: mp 145–147 °C;  $[\alpha]_D$  –46.8 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.19 (s, 9H, CH<sub>3</sub>), 1.49–1.55 (m, 1H, H-3), 1.71-1.81 (m, 1H, H-7) 1.88 (dt, J = 13.2, 7.2, 3.2 Hz, 1H, H-3), 2.11-2.20 (m, 2H, H-7, H-3a), 2.42 (s, 3H,  $ArCH_3$ ), 2.96 (dd, J=13.2, 8.8 Hz, 1H,  $CH_2Ph$ ), 3.38 (dd, J = 13.2, 2.8 Hz, 1H,  $CH_2Ph$ ), 3.63 (ddd, J = 12.0, 7.6, 4.4 Hz, 1H, H-7a), 3.72-3.84 (m, 2H, H-2, H-6), 7.01 (d, J = 7.6 Hz, 2H, o-Ar), 7.21–7.35 (m, 10H, ArH), 7.49 (d, J = 8.0 Hz, 2H, m-Ar); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.7 (ArCH<sub>3</sub>), 28.0 (CH<sub>3</sub>), 34.9 (C-7), 35.5 (C-3), 38.8 (C-6), 40.4 (C-3a), 42.9 (CH<sub>2</sub>-Ph), 56.4 (C-7a), 62.5 (C-2), 81.7 (C), 101.2 (C-5), 126.2, 126.7 127.3, 127.6 128.2, 128.3, 128.6, 128.8, 129.8, 130.0, 130.2, 134.0, 137.7, 143.8, 144.2, 170.9 (C-4), 171.8 (CO); HRMS (ESI-TOF) m/z [M + H]<sup>+</sup> calcd for C<sub>33</sub>H<sub>38</sub>NO<sub>5</sub>S 560.2469, found 560.2465.

#### ASSOCIATED CONTENT

#### S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.joc.6b01568.

Analytical data and copies of HPLC and <sup>1</sup>H and <sup>13</sup>C NMR spectra of the new compounds; Cartesian coordinates and energies for all species considered in Figure 4 (PDF)

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## **Author Contributions**

§ISHC member.

The authors declare no competing financial interest.

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## Asymmetric Synthesis of Octahydroindoles via a Domino Robinson Annulation/5-*Endo* Intramolecular Aza-Michael Reaction

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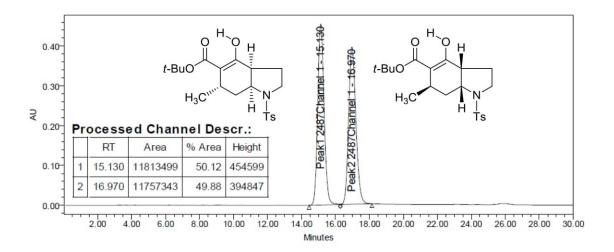
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## **Supporting Information**

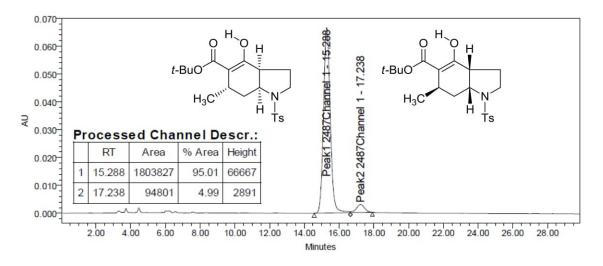
#### **Table of Contents**

Copies of HPLC analysis	S2-S7
Copies of <sup>1</sup> H- and <sup>13</sup> C-NMR spectra	S8-S33
Computational studies supporting information	S34-S54

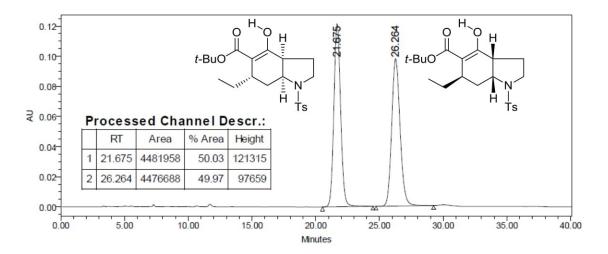
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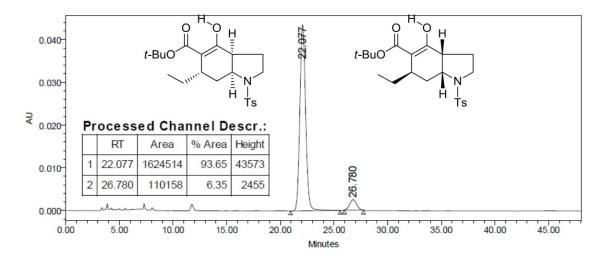
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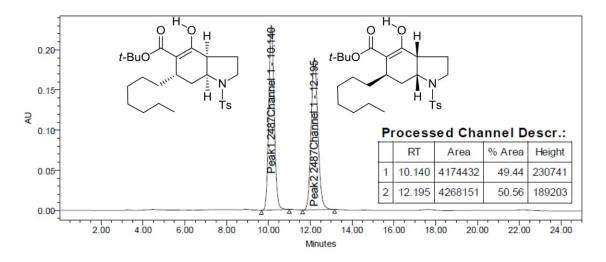
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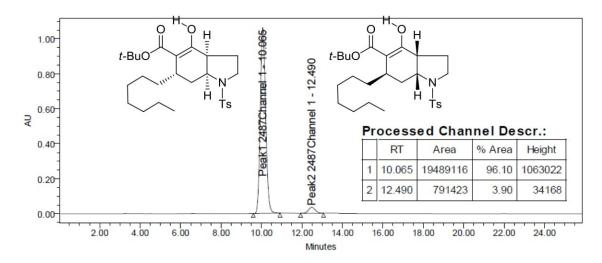
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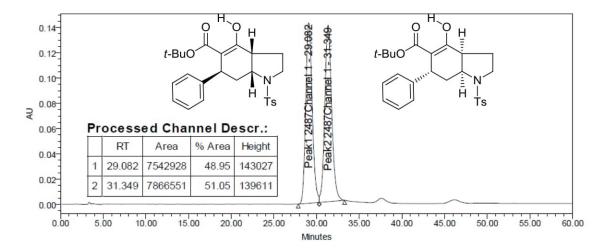
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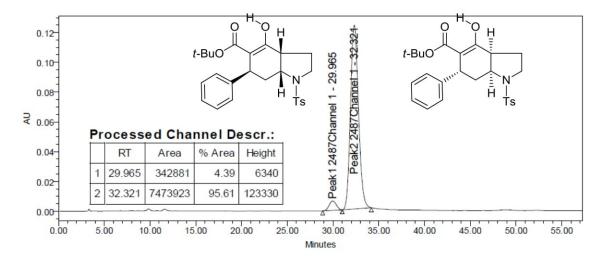
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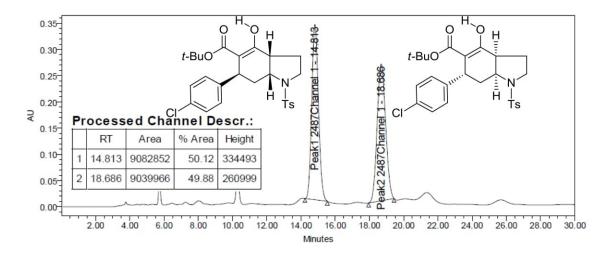
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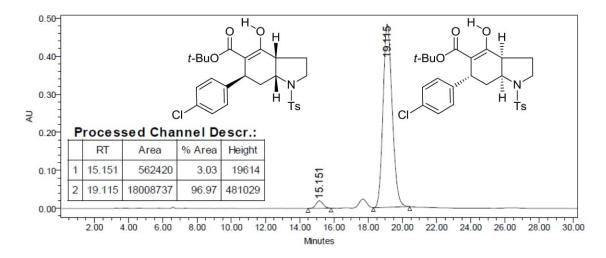
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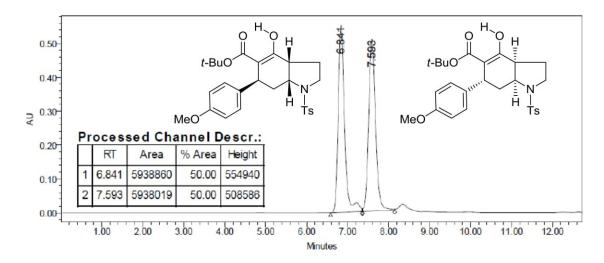
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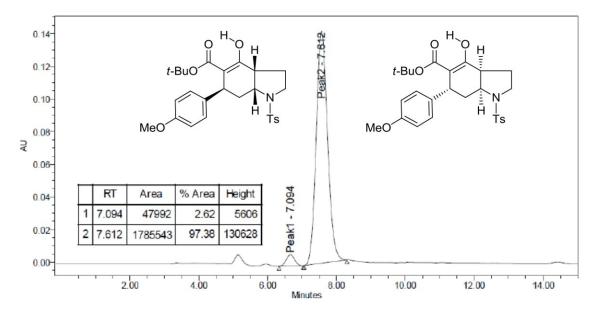
## HPLC of organocatalyzed 2f (isocratic Hexane/iPrOH 8/2):



## HPLC of racemic 2g (isocratic Hexane/iPrOH 7/3):



## HPLC of organocatalyzed **2g** (isocratic Hexane/*i*PrOH 7/3):



4.5 f1 (ppm)

5.5

6.0

4.0 3.5

0.5

1.0

2.0

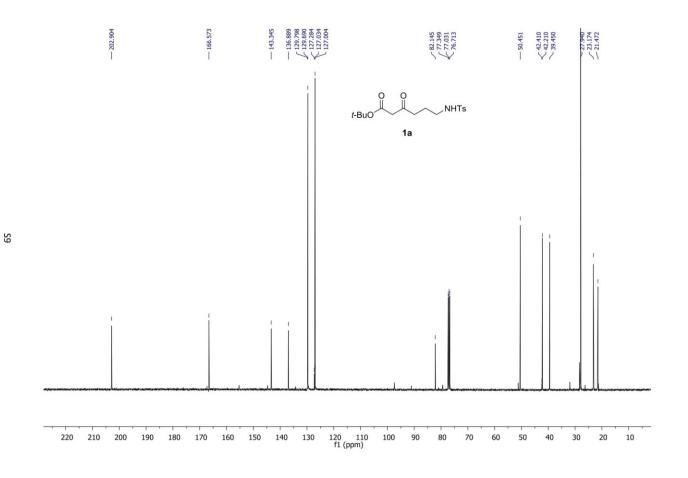
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7.5

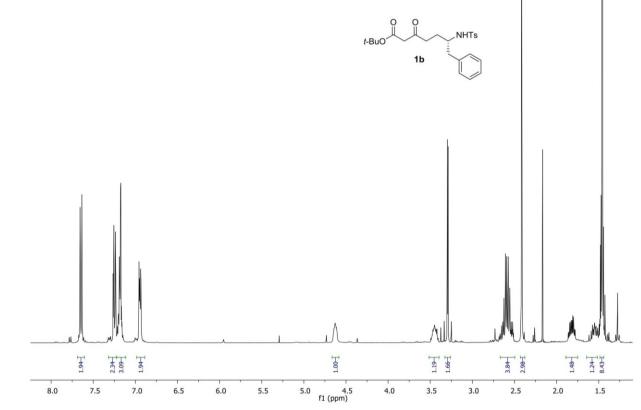
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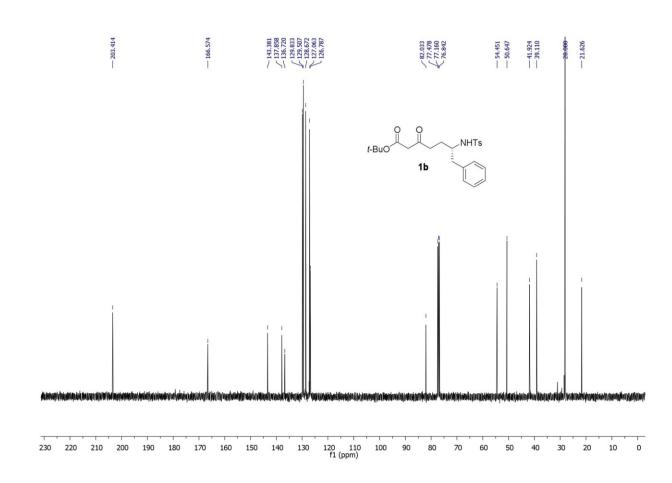
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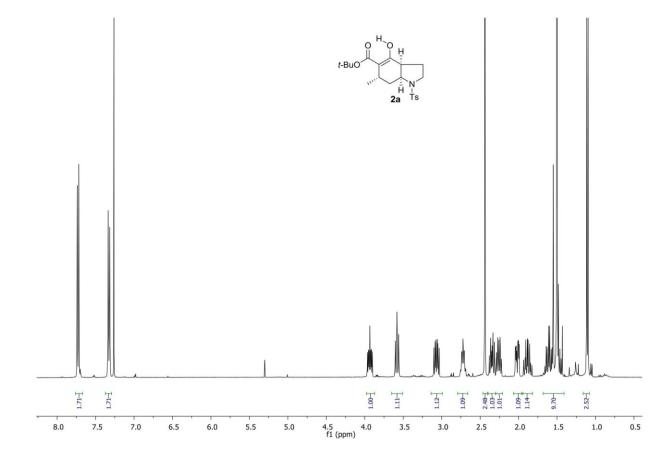


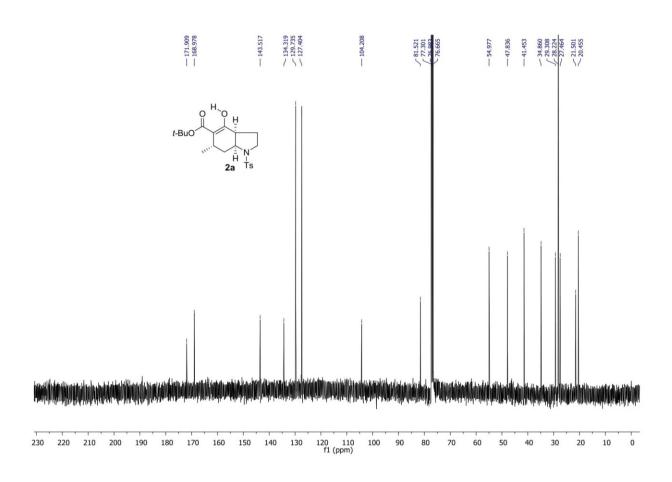


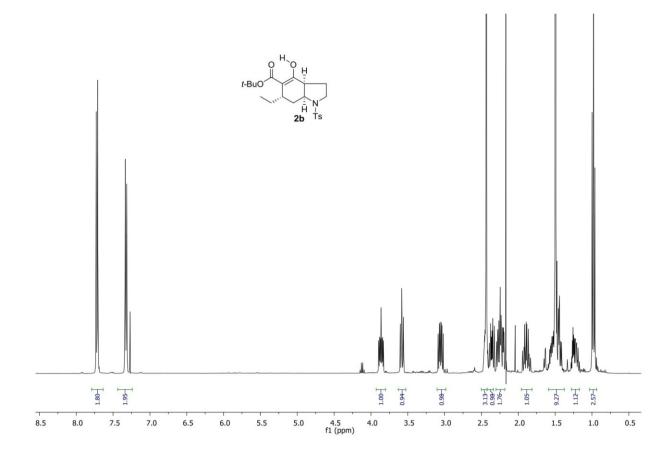


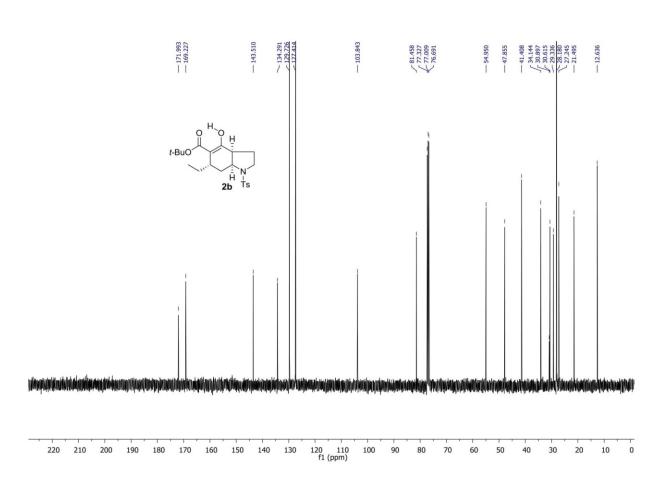




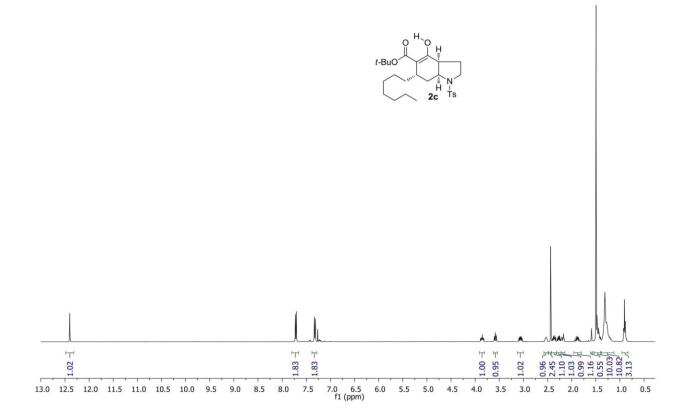


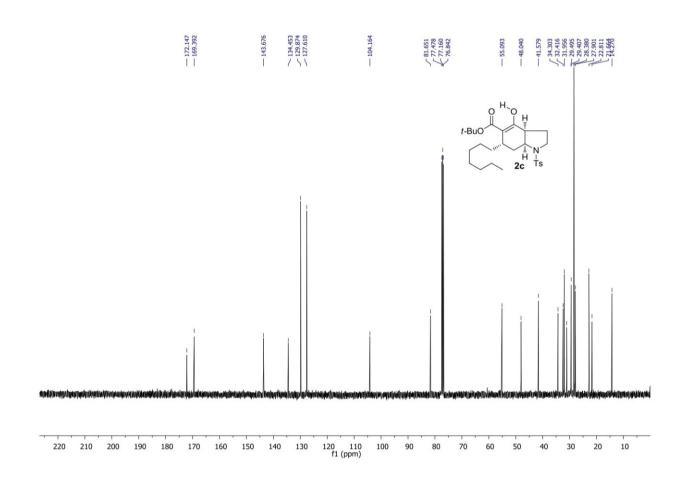


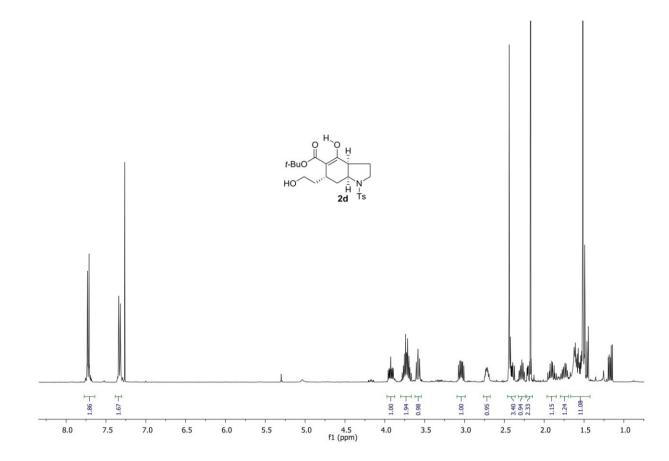


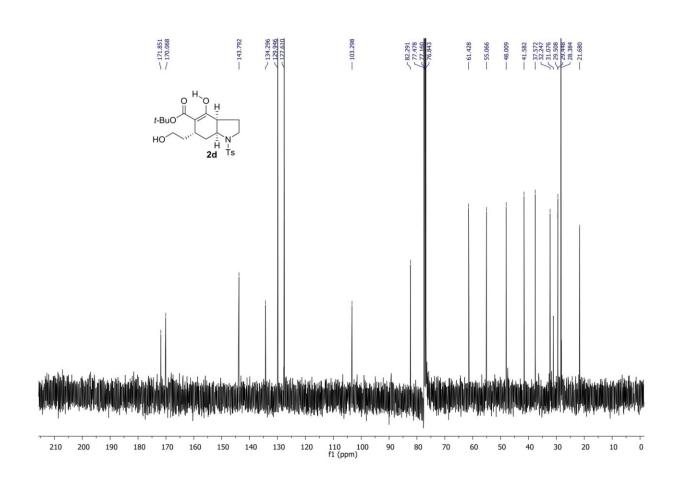


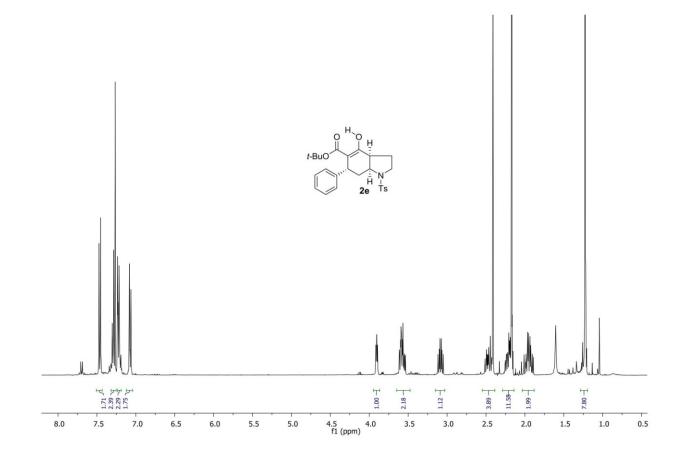


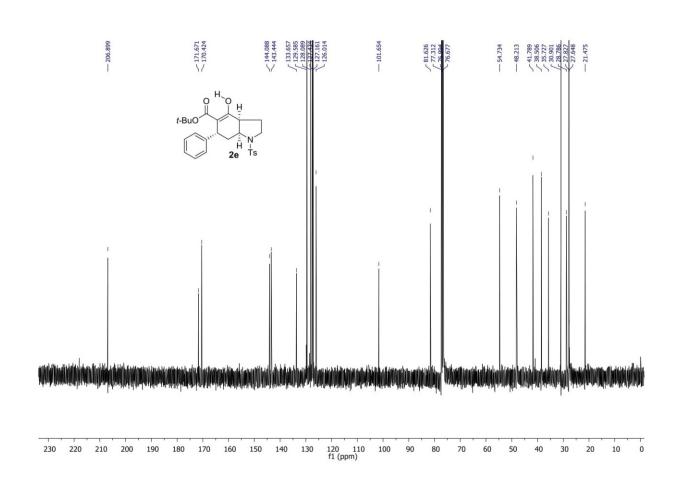




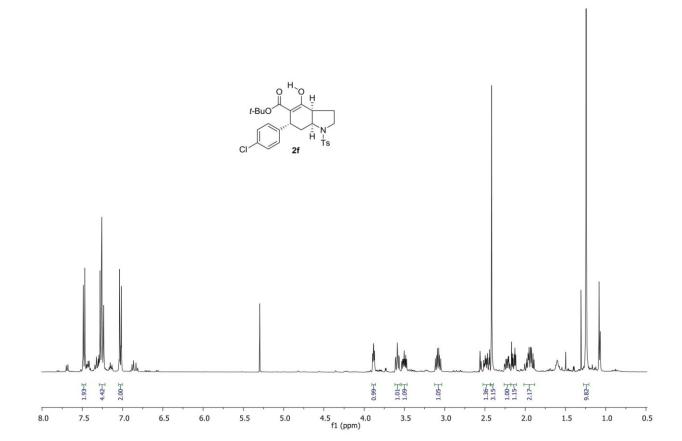


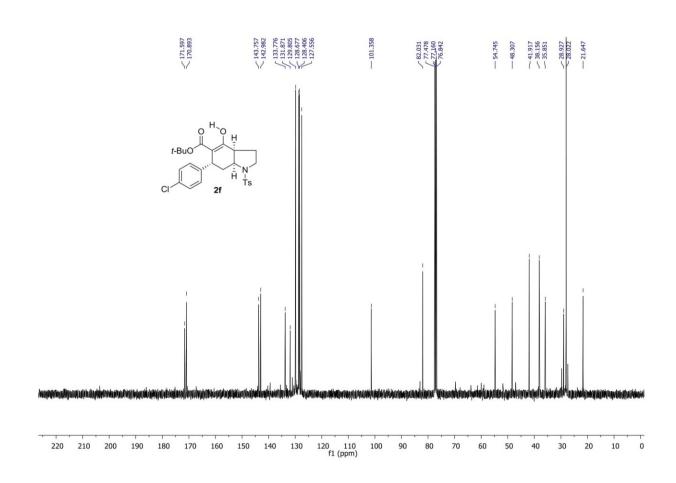


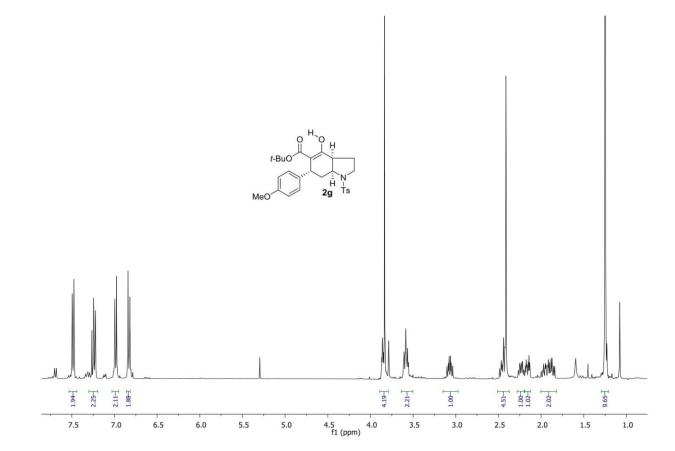


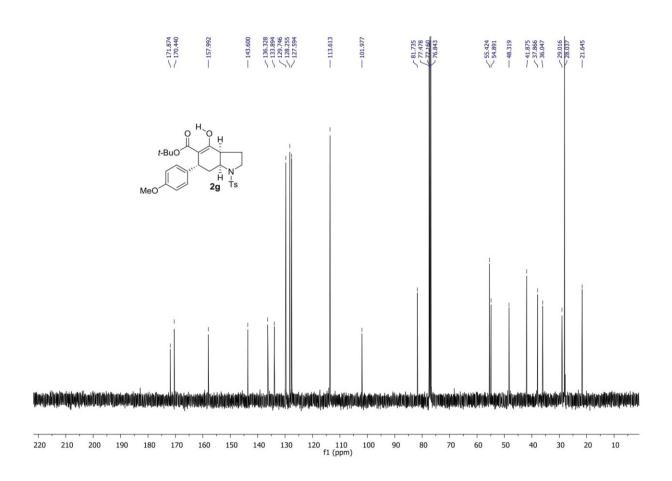


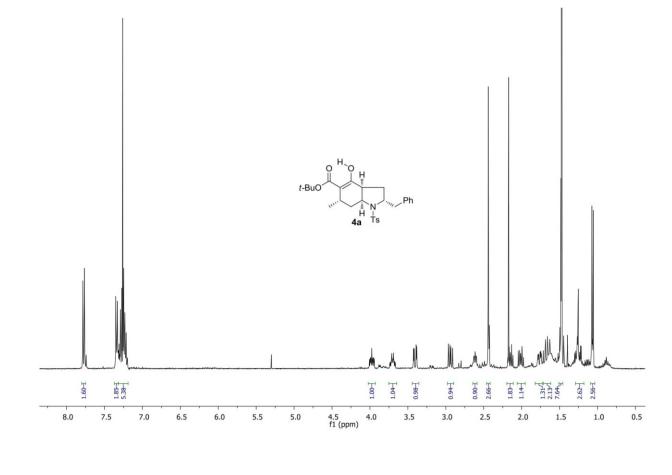


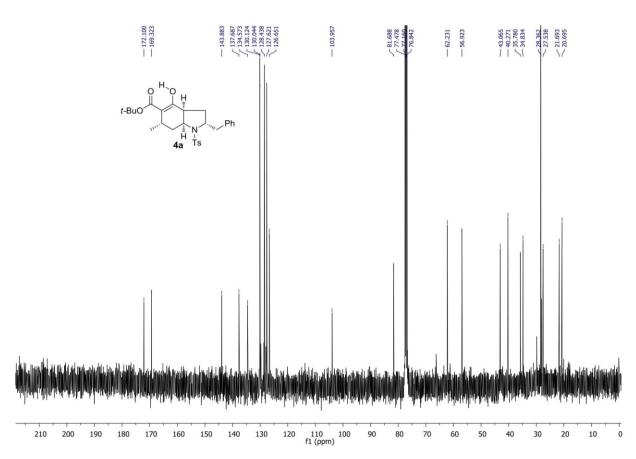




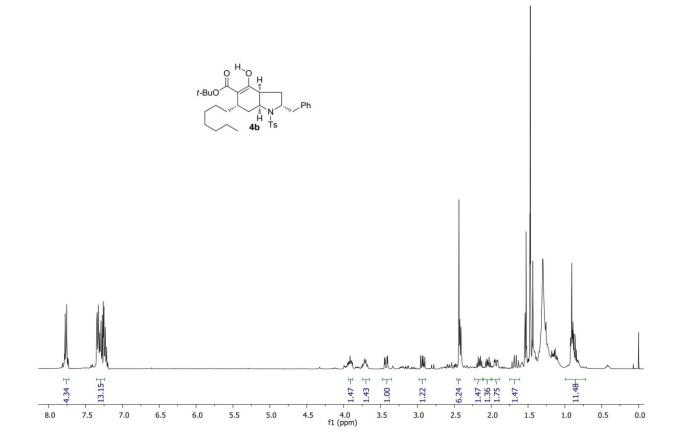


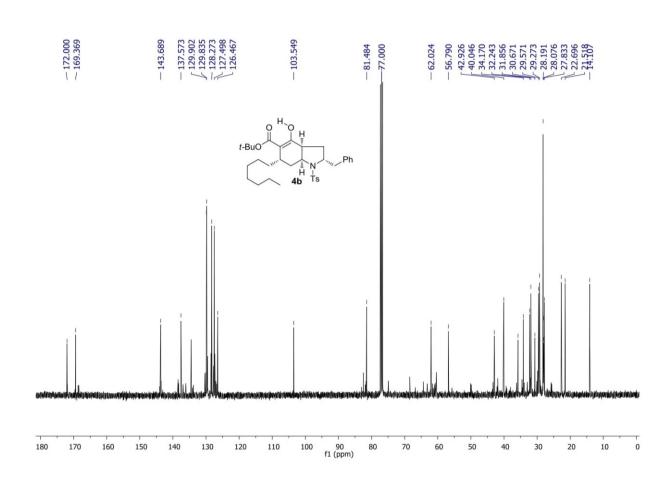




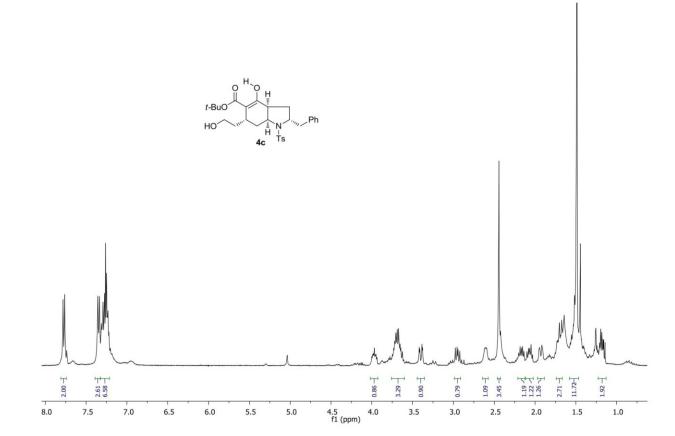


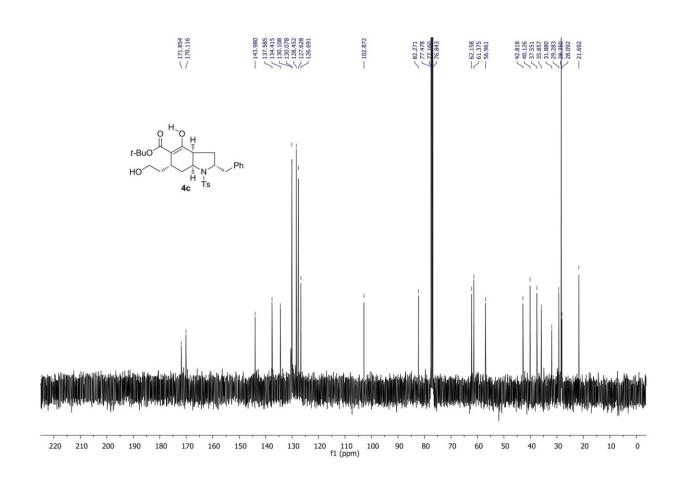




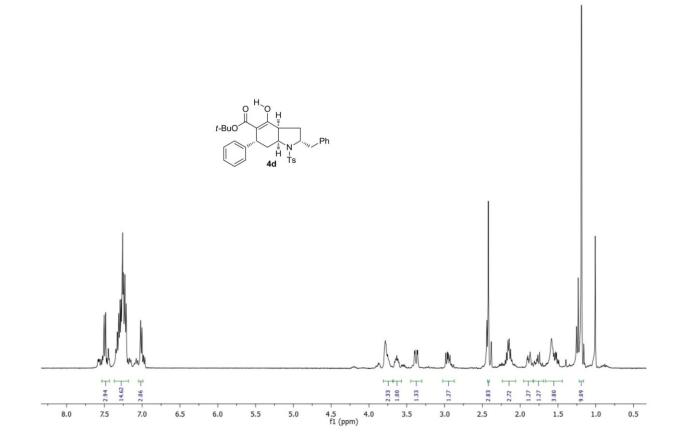


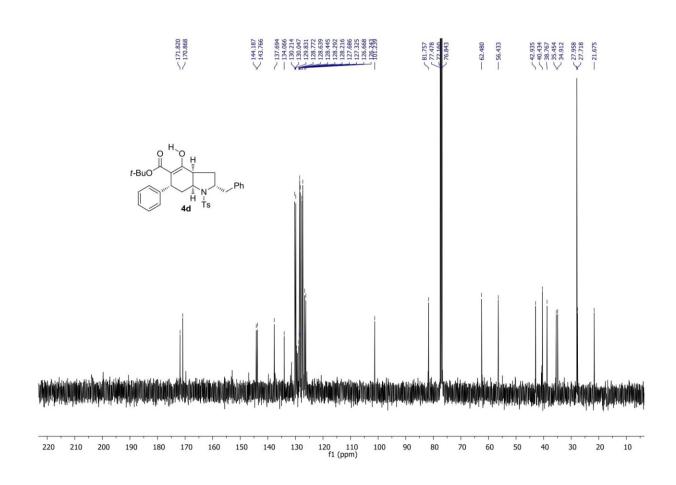












## Fischer Indole Reaction in Batch and Flow Employing a Sulfonic Acid Resin: Synthesis of Pyrido[2,3-a]carbazoles

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An Amberlite IR 120 H-promoted one-pot Fischer indolization from a *cis*-decahydroquinoline using a range of phenylhydrazines led to compounds with the pyrido[2,3-a]carbazole scaffold. The process may be conducted either in batch mode or in a continuous manner in a flow reactor. The stereochemical course of the Fischer indole reaction changed in going from using free phenylhydrazine to the corresponding hydrochloride in batch conditions, whereas, with the short reaction times in continuous flow, no changes due to isomerization processes were observed.

**Keywords:** Fischer indole synthesis, one-pot synthesis, continuous-flow synthesis, sulfonic acid resin, immobilized reagents, pyrido[2,3-a]carbazoles

Heterocyclic scaffolds bearing a tetrahydrocarbazole structural subunit and an additional nitrogen-containing ring are found in both natural products (alkaloids) and pharmacologically active compounds developed in medicinal chemistry research [1] (Figure 1). More particularly, a series of tetracyclic indoles has been reported as androgen receptor ligands [2], among which an unprecedented pyrido[2,3-a]carbazole scaffold was evaluated.

AQ2

Considering this pharmacological interest in pyridocarbazole compounds [3] and the availability of *cis*-5-oxodecahydroquinolines [4], we decided to study the Fischer indole reaction on the latter compounds. Besides studying conventional batch-wise approaches, we were also interested in comparing those with continuous-flow procedures employing immobilized catalysts.

Conducting the Fischer indole synthesis using continuous-flow systems has caught the attention of several research groups in recent years [5]. The reaction rate of the Fischer indole synthesis can be significantly increased when run at higher temperatures and pressures or employing microwave irradiation, which renders this reaction highly suitable for new continuous-flow techniques. Moreover, a heterogeneous approach using a solid acid could avoid the potentially problematic clogging of microreactor devices due to the facile precipitation or crystallization of the indole and, eventually, allow for a one-pot process [6]. We thus decided to explore the solid acid-catalyzed Fischer indole synthesis using 5-oxo-cis-decahydroquinolines as the substrates.

Various catalytic methods have been reported for the Fischer indole synthesis [7], including the polymeric sulfonic acid resin Amberlite IR 120 H [8], which, despite its frequent use in ion exchange applications, has not been extensively used for this particular purpose [9]. The availability of the reagent, combined with its high ability to catalyze the Fischer indole synthesis, prompted us to choose it for this study. As a preliminary task, we decided to examine the behavior of cyclohexanone as model compound in batch and flow reaction conditions. As shown in Table 1, the use of Amberlite IR 120 H resin in a batch process readily and efficiently catalyzed the Fischer indole reaction of hydrazine 1a with cyclohexanone under mild conditions (entry 1). It is interesting to note that the resin could be regenerated

Encouraged by these results, we attempted to conduct the process under continuous-flow conditions. For this purpose, a 10-cm-long ETFE tubing (1/8" outer diatemer, 1/16" inner diameter) packed with Amberlite IR 120 H (100 mg) and sealed with cotton wool was used as the reactor cartridge (100  $\mu L$  inner volume). The flow system consisted of two syringe pumps, a T-mixer unit for homogenization of the reaction mixture and a back pressure regulator (BPR), while the cartridge was submerged in an oil bath for heating.

Optimization of the residence time was performed (Table 2) values feed solutions of phenylhydrazine 1a (0.5 M) and cyclohexanone (0.5 M) both dissolved in MeOH. Entries 2 and 4 illustrate that it was possible to shorten the reaction time to 10 min at 50 °C and even to 5 min at 90 °C for 0.5 M solutions of the reagents.

The straightforward regeneration of the catalyst proved rather useful in the flow process. It was possible to produce with a small cartridge of 100  $\mu$ L inner volume and 100 mg of resin up to 50 mg of pure product before observing any decrease in conversion. Then, as soon as a loss of efficiency was observed, a simple rinse of the resin with a 10 %  $H_2SO_4$  solution for 15–30 min allowed full activity to be regained.

Figure 1. Pyridocarbazoles

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without any loss of activity by simply stirring in a  $10 \% H_2SO_4$  solution for 15– $30 \min$  (entry 2). These conditions were successfully applied to various substituted phenylhydrazines (entries 3–6), in all cases providing excellent isolated yields of products whose data correspond to the literature descriptions.

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**Table 1.** Fischer indole synthesis of cyclohexanone with arylhydrazine using Amberlite IR 120 H (batch conditions)<sup>a</sup>

Entry <sup>a</sup>	R	Time (h)	Yield (%) <sup>b</sup>
1	Н	1	97
$2^c$	Н	1	96
3	<i>i</i> Pr	1.5	95
4	OMe	2	93
5	F	2	96
6	$CF_3^d$	3	92

<sup>&</sup>lt;sup>a</sup> General procedure: arylhydrazine (1.1 equiv.) and sulfonic acid resin (5 equiv., w/w) were mixed in MeOH, followed by the addition of ketone (1.0 equiv.) and stir mixing at 50 °C for the indicated time.

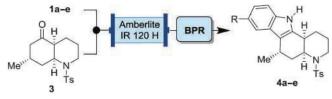
Table 2. Optimization of residence time in flow

Entry	Residence time (min)	Temperature (°C)	Conversion (%) <sup>a</sup>
1	5	50	62
2	10	50	100
3	2.5	90	57
4	5	90	100
<sup>a</sup> Calculated by <sup>1</sup> I	H NMR.		

Our first attempt to use this flow system with compound 3 and hydrazine 1a gave, besides the anticipated product 4a, the side product 5 arising from a retro-aza-Michael reaction, causing opening of the decahydroquinoline ring (Table 3, entry 1). This retro-aza-Michael reaction was attributed to ammonia

being formed as a side product of the Fischer indole reaction. This could be circumvented by using acetic acid as a cosolvent to immediately quench the ammonia in solution and, hence, prevent this ring-opening and formation of enone 5 (entry 2). A control experiment was performed by heating compounds 3 and

#### **AQ3** Table 3. Screening of flow conditions<sup>a</sup>



Entry <sup>a</sup>	$1^b$	Solvent	Residencetime (min)	Conv(%) <sup>c</sup>
1	1a	MeOH	10	44 <sup>d</sup>
2	1a	MeOH-AcOH	10	90
3	1a	MeOH-AcOH (1:1)	20	$100^e$
4	1b·HCl	MeOH-AcOH-DCE (9:7:4)	30	100 <sup>f</sup>
5	1c·HCl	MeOH-AcOH-DCE (7:2:1)	60	55
6	1d·HCl	MeOH-AcOH-DCE (14:5:1)	60	42
7	1e	MeOH-AcOH-DCE (5:4:1)	60	22

<sup>&</sup>lt;sup>a</sup> For detailed reaction conditions, see Supporting Information. Reactor cartridge: Entries 1–3: 10-cm long, packed with 100 mg Amberlite IR 120 H (100 μL inner volume). Entries 4–7: 1.0-m long, packed with 1000 mg Amberlite IR 120 H (1000 μL inner volume).

b Yields refer to isolated pure products.

<sup>&</sup>lt;sup>c</sup> The regenerated catalyst was used.

<sup>&</sup>lt;sup>d</sup> 6 Equiv. of hydrazine was used.

<sup>&</sup>lt;sup>b</sup> For 1 and 4: a, R=H; b, R=iPr; c, R=OMe; d, R=F; e, R=CF<sub>3</sub>.

<sup>&</sup>lt;sup>c</sup> Determined from crude <sup>1</sup> H NMR spectra (unless otherwise stated, remaining product corresponds to a mix of starting material 3 and its corresponding hydrazone.

<sup>&</sup>lt;sup>d</sup> Formation of side product 5 (14%), see Scheme 1.

<sup>&</sup>lt;sup>e</sup> 76% Isolated yield.

f 75% Isolated yield.

1a in MeOH–AcOH for 20 min and 1 h at 70 °C. In both cases, only hydrazone intermediates were observed, showing the importance of the amberlite resin in the one-pot process. A short increase in reaction time allowed a clean and full conversion into the tetracyclic compound in 76% isolated yield under flow conditions (entry 3).

When the process was applied to *p*-substituted phenylhydrazines, some solubility problems were experienced, but these were solved by the dilution of feed solutions to 0.05 M and the use of adapted ternary solvent systems. This flow methodology, thus, allowed us to gain access to the different products **4a**–**e** in moderate to good yields (entries 4–7).

At that time, we decided to explore the Fischer indole synthesis from ketone 3 under more classic reaction conditions, but without preforming the phenylhydrazone, i.e., carrying out the reaction in a one-pot/two-step procedure [10]. Application of batch reaction conditions, previously reported in Table 1, to compound 3 proved successful, although with a drastic increase in reaction time (24 h) and amount of phenylhydrazine (10 equiv.) required (Table 4, entry 1). A premix of the Amberlite acidic resin with the free hydrazine base prior to the addition of the decahydroquinoline 3 and refluxing for 24 h gave selective access to products  $4\mathbf{a} - \mathbf{e}$  (Scheme 1, entries 1-5 in Table 4). In cases of R = OMe, the free hydrazine base appeared too unstable (entry 3) [11], and in cases of  $R = CF_3$ , the reactions were too slow (entry 5) to

Scheme 1. Batch Fischer indole synthesis from ketone 3

On the other hand, when applying the above flow conditions reported in Table 3 to batch synthesis, we soon realized that the use of hydrochloride salts of the hydrazines gave a mixture of diastereoisomers 4 and 7 (see Table 4, entries 6–8). In order to promote a faster isomerization of the initial ketone 3 to 6, which should be the precursor of 7, more acidic reaction conditions were used. In fact, upon submitting 3 to an equilibration process to produce 6 followed by a Fischer indolization reaction, only compounds of type 7 were isolated. Thus, mixing of the hydrazine

Table 4. Fischer indole synthesis of decahydroquinoline 3 in batch mode

obtain high yields.

Entry <sup>a</sup>	R	Method	Product	Yield <sup>b</sup> (%)
1	Н	A	4a	88
2	<i>i</i> Pr	A	4b	84
3	OMe	A	4c	24 <sup>c</sup>
4	F	A	4d	73 <sup>d</sup>
5	$CF_3$	$A^e$	4e	8
6	Н	В	4a + 7a	21:79 <sup>g</sup>
7	iPr	В	$4\mathbf{b} + 7\mathbf{b}$	26:74 <sup>g</sup>
8	F	В	4d+7d	28:72 <sup>g</sup>
9	Н	C	7a	92
10	Н	$C^h$	7a	66 (conversion)
11	<i>i</i> Pr	C	7b	88
12	OMe	C	7c	57
13	F	C	7 <b>d</b>	82
14	$CF_3$	C'	7e	16
13 14	F CF <sub>3</sub>	C C'	<u></u>	

a General procedure: method A, entries 1–5: 1 (10 equiv.) and sulfonic acid resin (10 equiv., w/w) were mixed in MeOH; then, 3 (1 equiv.) was added and the mixture was stirred at 70 °C for 24 h; method B, entries 6–8: 1·HCl (10 equiv.) and sulfonic acid resin (10 equiv., w/w) were mixed in MeOH; then, 3 (1 equiv.) was added and the mixture was stirred at 70 °C for 24 h; method C, entries 9–13: 1·HCl (2.5 equiv.) and sulfonic acid resin (10 equiv., w/w) were mixed in HCl in MeOH (1.25 M); then, 3 (1 equiv.) was added and the mixture was stirred at 70 °C for 3 h.

b Yields refer to isolated pure products.

<sup>&</sup>lt;sup>c</sup> Due to 1c being unstable, the reaction was performed with 1c HCl affording a mixture 4c/7c 1/2 (yield to 4c: 24%).

<sup>&</sup>lt;sup>d</sup> Ketone 3 was also recovered (14%).

<sup>&</sup>lt;sup>e</sup> Reaction was run for 72 h.

<sup>&</sup>lt;sup>f</sup>A mixture 4e/7e 1/1 (yield to 4e: 8%) was obtained.

g Ratio determined from the 1 H NMR of the reaction mixture after complete disappearance of starting material 3.

<sup>&</sup>lt;sup>h</sup> The reaction was run without sulfonic acid resin, as a control experiment.

<sup>&</sup>lt;sup>1</sup> The reaction was run for 24 h.

Figure 2. X-ray for compound 4a

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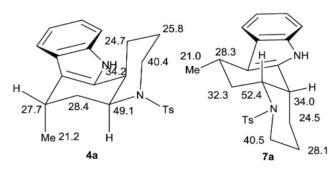


Figure 3. Conformations of pyridocarbazoles of types 4 and 7

hydrochloride salt, acidic amberlite, and decahydroquinoline 3 in a 1.25-M methanolic HCl solution prior to reflux for 3 h allowed a full retro-aza-Michael/aza-Michael cyclization towards the formation of compounds 7a-e (entries 9-14).

The stereochemistry of pyridocarbazole 4a was confirmed by F2 X-ray crystallography (Figure 2), and the nuclear magnetic resonance (NMR) data allowed to establish a pattern of chemical shifts (1H and 13C) that were similar for the signals of the CD rings of compounds 4b-e. For the isomeric pyridocarbazoles 7, the major differences were observed for C(4a) and C(5) since, in this series, the methyl group at C(6) is located in an equatorial position (Figure 3 and NMR data in Tables S1 and S2 in Supporting

In summary, in batch, the stereochemical outcome for the Fischer indolization from the protected β-amino ketone 3 is different when using phenylhydrazine or its hydrochloride salt (either alone or using HCl-MeOH as additive). In the latter case, prior to the indole ring formation [12], an initial isomerization of 3 via a retro-aza-Michael ring-opening followed by a recyclization to the more stable ketone 6 occurs, as we have previously observed in other transformations from ketone 3 [13]. In contrast, if the process is very fast, as occurs in the continuous-flow procedure, using either phenylhydrazine or its hydrochloride salt, the epimerization process is not observed.

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#### **Supporting Information**

Electronic Supplementary Material (ESM) with experimental procedures, characterization data for all new compounds, and X-ray data for 4a (CIF) is available in the online version at doi: 10.1556/1846.2016.00016.

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# Fischer Indole Reaction in Batch and Flow Employing a Sulfonic Acid Resin: Synthesis of Pyrido[2,3-a]carbazoles

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## **Contents**

General information	<b>S2</b>
Experimental procedures	<b>S</b> 3
NMR tables of all compounds	S14
<sup>1</sup> H, <sup>13</sup> C-NMR spectra	S18
X-ray data for ( <b>4a</b> )	S38

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## **GENERAL INFORMATION**

All reactions were carried out under an argon atmosphere in dry solvents under anhydrous conditions. Drying of organic extracts during workup of reactions was performed over anhydrous  $Na_2SO_4$  except where otherwise stated. Evaporation of solvents was accomplished with a rotatory evaporator. Analytical thin layer chromatography was performed on  $SiO_2$  (Merck silica gel 60  $F_{254}$ ) or on glass-backed plates pre-coated with silica and the spots were located with aqueous  $KMnO_4$  or p-anisaldehyde. Chromatography refers to flash chromatography and was carried out on  $SiO_2$  (silica gel 60 ACC, 35-75  $\mu$ m, 230-240 mesh ASTM). NMR spectra were recorded in CDCl<sub>3</sub> on a Varian Mercury 400 MHz or Varian VNMRS 400 MHz. Chemical shifts of  $^1H$  and  $^{13}C$  NMR spectra are reported in ppm downfield ( $\delta$ ) from  $Me_4Si$ . All NMR data assignments are supported by COSY and HSQC experiments.

Melting points were performed on recrystallized solids and are uncorrected.

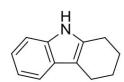
#### **EXPERIMENTAL PROCEDURES**

### **Batch Reactions**

#### General procedure A:

To a stirring solution of cyclohexanone (1 equiv) and solid acid catalyst Amberlite IR 120 H $^{\circ}$  (5 equiv w/w) in MeOH (0.1-0.5 M) at 50 °C, was added phenylhydrazine **1** or phenylhydrazine hydrochloric salt **1·HCl** (1.1 equiv). The mixture was left stirring at 50 °C for the indicated time. After cooling, the reaction mixture was filtered and the resin was washed with CH<sub>2</sub>Cl<sub>2</sub> and MeOH and the crude product was purified by crystallization from MeOH/H<sub>2</sub>O to afford the pure carbazole.

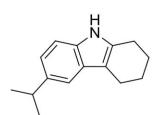
## 2,3,4,9-Tetrahydro-1H-carbazole (2a)



Following the general procedure using cyclohexanone (260  $\mu$ L, 2.5 mmol) and phenylhydrazine **1a** (270  $\mu$ L, 2.75 mmol) for 1 h, **2a** was isolated as a pale yellowish solid (415 mg, 97%) whose data proved consistent with the literature.<sup>1</sup>

Mp: 115-119 °C (lit. 119-120 °C);  $^{1}$ H NMR (CDCl<sub>3</sub>, 400 MHz):  $\delta$  1.85-1.98 (m, 4H), 2.70-2.76 (m, 4H), 7.06-7.16 (m, 2H), 7.28 (br d, J = 7.5 Hz, 1H), 7.48 (br d, J = 7.5 Hz, 1H) 7.63 (br s, 1H);  $^{13}$ C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta$  21.1, 23.36, 23.40, 23.44, 110.3, 110.4, 117.9, 119.2, 121.1, 128.0, 134.2, 135.8

## 6-Isopropyl-2,3,4,9-tetrahydro-1H-carbazole (2b)



Following the general procedure using cyclohexanone (230  $\mu$ L, 2.2 mmol) and *p*-isopropylphenylhydrazine hydrochloride salt **1b·HCl** (467 mg, 2.4 mmol) for 1.5 h, **2b** was isolated as a pale yellowish solid (445 mg, 95%) whose data proved consistent with the literature.<sup>2</sup>

Mp: 67-69 °C (lit.² 68-70 °C); ¹H NMR (CDCl₃, 400 MHz):  $\delta$  1.34 (d, J = 7.0 Hz, 6 H), 1.87-1.98 (m, 4H), 2.69-2.77 (m, 4H), 3.04 (hep, J = 7.0 Hz, 1H), 7.04 (dd, J = 8.5, 2.0 Hz, 1H), 7.20 (d, J = 8.5 Hz, 1H), 7.34 (d, J = 2.0 Hz, 1H), 7.53 (br s, 1H); ¹³C NMR (CDCl₃, 100 MHz):  $\delta$  21.1, 23.39, 23.40, 23.5, 24.9, 34.4, 110.0, 110.2, 114.8, 120.1, 128.0, 134.3, 134.4, 140.0

<sup>&</sup>lt;sup>1</sup> a) Welch W. M., Synthesis, 1977, 9, 645-646 b) Sun K., Liu S., Bec P. M., and Driver T. G., Ang. Chem. Int. Ed. 2011, 50, 1702-1706

<sup>&</sup>lt;sup>2</sup> Yeung C. S., Ziegler R. E., Porco J. A., Jr. and Jacobsen E. N., *J. Am. Chem. Soc.*, **2014**, *136*, 13614-13617

## 6-Methoxy-2,3,4,9-tetrahydro-1H-carbazole (2c)

H

Following the general procedure using cyclohexanone (230  $\mu$ L, 2.2 mmol) and *p*-methoxyphenylhydrazine hydrochloride salt **1c·HCl** (442 mg, 2.5 mmol) for 2 h, **2c** was isolated as a pale pink solid (389 mg, 93%) whose data proved consistent with the literature.<sup>3a</sup>

MeO Mp: 89-90 °C (lit. 91-92 °C);  $^1$ H NMR (CDCl<sub>3</sub>, 400 MHz): δ 1.83-1.95 (m, 4H), 2.65-2.75 (m, 4H), 3.87 (s, 3H), 6.78 (dd, J = 8.5, 2.5 Hz, 1H), 6.94 (d, J = 2.5 Hz, 1H), 7.16 (d, J = 8.5 Hz, 1H), 7.56 (br s, 1H);  $^{13}$ C NMR (CDCl<sub>3</sub>, 100 MHz): δ 21.1, 23.3, 23.4, 23.5, 56.1, 100.4, 110.1, 110.6, 111.1, 128.3, 130.9, 135.2, 154.0

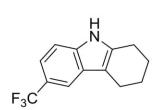
## 6-Fluoro-2,3,4,9-tetrahydro-1H-carbazole (2d)

H

Following the general procedure using cyclohexanone (230  $\mu$ L, 2.2 mmol) and *p*-fluorophenylhydrazine hydrochloride salt **1d·HCl** (408 mg, 2.5 mmol) for 2 h, **2d** was isolated as a pale yellowish solid (389 mg, 93%) whose data proved consistent with the literature.<sup>3</sup>

F Mp: 103-104 °C (lit.<sup>3</sup> 106-108 °C); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz): δ 1.83-1.96 (m, 4H), 2.64-2.74 (m, 4H), 6.85 (td, J = 9.5, 2.5 Hz, 1H), 7.10 (dd, J = 9.5, 2.5 Hz, 1H), 7.17 (dd, J = 9.0, 4.5 Hz, 1H), 7.65 (br s, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz): δ 21.0, 23.2, 23.3, 23.4, 103.0 (d, J = 23.5 Hz), 108.9 (d, J = 26.0 Hz), 110.6, 110.8 (d, J = 9.5 Hz), 128.3, 132.2, 136.3, 157.9 (d, J = 232 Hz)

#### 6-Trifluoromethyl-2,3,4,9-tetrahydro-1*H*-carbazole (2e)



Following the general procedure using cyclohexanone (104  $\mu$ L, 1 mmol), p-trifluoromethylphenylhydrazine **1e** (1.05 g, 6.0 mmol) and Amberlite IR 120 H (2.7g) for 3 h, filtration on silica with 10% EtOAc /hexane prior to crystallization affords **2e** as brown yellow solid (220 mg, 92%) whose data proved consistent with the literature.<sup>4</sup>

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz): δ 1.85-1.98 (m, 4H), 2.70-2.77 (m, 4H), 7.30-7.38 (m, 2H), 7.75 (s, 1H), 7.83 (br s, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz): δ 20.8, 23.1, 23.2, 23.3, 110.5, 111.2, 115.5 (q, J = 4.2 Hz), 117.9 (q, J = 3.6 Hz), 121.6 (q, J = 31.4 Hz), 125.7 (q, J = 269.6 Hz), 127.4, 136.1, 137.1

<sup>&</sup>lt;sup>3</sup> a) Chen J. and Hu Y., Synth. Comm. **2006**, *36*, 1485 b) Sun K., Liu S., Bec P. M., and Driver T. G., Ang. Chem. Int. Ed. **2011**, 50, 1702-1706

<sup>&</sup>lt;sup>4</sup> Desroses M., Wieckowski K., Stevens M. and Odell L. R., *Tet. Lett.* **2011**, *52*, 4417-4420

## **General procedure B:**

A stirring solution of phenylhydrazine (10 equiv) and solid acid catalyst Amberlite IR 120 H $^{\circ}$  (10 equiv w/w with respect to 3) in MeOH (0.05-0.1 M) was mixed for 5 min at 70 °C. To this mixture was added ketone 3 (1 equiv). The mixture was left stirring at 70 °C for 24 h. After cooling, the reaction mixture was filtered and the resin was washed with CH<sub>2</sub>Cl<sub>2</sub> and MeOH and the crude product was purified by crystallization from cold MeOH or cold CH<sub>2</sub>Cl<sub>2</sub> to afford the pure carbazole product.

# (4aRS,6RS,11bRS)-6-Methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1H-pyrido[3,2-a]carbazole (4a)

Following the general procedure B using phenylhydrazine 1a (915  $\mu$ L, 9.33 mmol), 5-oxodecahydroquinoline 3 (300 mg, 0.93 mmol) and Amberlite IR 120 H® (3 g) for 20 h, 4a was isolated as a pale yellow solid (320 mg, 88%) further crystallization from CHCl<sub>3</sub> and dichloroethane afforded pale yellow crystal.

Ts Mp: 213-215 °C; ¹H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.28-1.38 (m, 1H, H-5eq), 1.32 (d, J = 7.2 Hz, 3H, H-12), 1.53-1.61 (m, 2H, H-1 and H-2), 1.65-1.70 (m, 1H, H-2), 1.92-2.01 (m, 1H, H-1), 2.31 (ddd, J = 12.8, 12.8, 6.0 Hz, 1H, H-5ax), 2.45 (s, 3H, H-17), 2.88 (ddd, J = 10.4, 5.2, 5.2 Hz, 1H, H-11b), 2.97 (ddd, J = 12.8, 12.8, 2.8 Hz, 1H, H-3ax), 3.21 (br quint, J = 7.0 Hz, 1H, H-6), 3.95 ( br d, J = 13.2 Hz, 1H, H-3eq), 4.56 (ddd, J = 13.2, 5.2, 3.2 Hz, 1H, H-4a), 7.05-7.15 (m, 2H, H-8 and H-9), 7.27 (d, J = 8.0 Hz, 1H, H-10), 7.31 (d, J = 8.0 Hz, 2H, H-15), 7.47 (d, J = 7.6 Hz, 1H, H-7), 7.66 (br s, 1H, H-11), 7.76 (d, J = 8.4 Hz, 2H, H-14); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.2 (C-12), 21.5 (C-17), 24.7 (C-2), 25.8 (C-6), 27.7 (C-1), 28.4 (C-5), 34.2 (C-11b), 40.5 (C-3), 49.1 (C-4a), 110.7 (C-10), 113.5 (C-6a), 118.3 (C-7), 119.3 (C-8), 121.5 (C-9), 126.5 (C-6b), 127.0 (C-14), 129.7 (C-15), 135.8 (C-10a), 136.2 (C-13), 138.5 (C-16), 143.1 (C-11a); HRMS: m/z calcd for  $C_{23}H_{27}N_2O_2S$  (M + H)<sup>+</sup> 395.1788, found 395.1801

# (4aRS,6RS,11bRS)-8-Isopropyl-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1H-pyrido[3,2- $\alpha$ ]carbazole (4b)

Following the general procedure B using p-isopropylphenylhydrazine **1b** (467 mg, 3.11 mmol), 5-oxodecahydroquinoline **3** (100 mg, 0.31 mmol) and Amberlite IR 120 H $^{\circ}$  (1.00 g) for 20 h **4b** was isolated after trituration in cold MeOH and recrystallization in dichloroethane as a white solid (103 mg, 84%).

Mp:161-163 °C; <sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.25-1.35 (m, 1H, H-5eq), 1.30 (br d, J = 6.8 Hz, 6H, 2 x CH<sub>3</sub> *i*Pr), 1.33 (d, J = 7.2 Hz, 3H, H-12), 1.52-1.59 (m, 2H, H-1 and H-2), 1.62-1.68 (m, 1H, H-2), 1.92-1.98 (m, 1H, H-1), 2.30 (ddd, J = 13.2, 13.2, 6.4 Hz, 1H, H-5ax), 2.45 (s, 3H, H-17), 2.85 (ddd, J = 11.6, 5.0, 5.0 Hz, 1H, H-11b), 2.91-3.05 (m, 2H, H-3ax & CH *i*Pr), 3.20 (br quint, J = 7.2 Hz, 1H, H-6), 3.94 (br d, J = 12.4 Hz, 1H, H-3eq), 4.56 (ddd, J = 13.2, 5.0, 3.2 Hz, 1H, H-4a), 7.02 (dd, J = 8.4, 2.0 Hz, 1H, H-9), 7.20 (br d, J = 8.4 Hz, 1H, H-10), 7.27-7.37 (m, 3H, H-7 & H-15), 7.56 (br s, 1H, H-11), 7.76 (d, J = 8.4 Hz, 2H, H-14); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.2 (C-12), 21.5 (C-17), 24.68 (C-2), 24.75 (CH<sub>3</sub> *i*Pr), 25.8 (C-6), 27.7 (C-1), 28.5 (C-5), 34.25 (CH *i*Pr), 34.28 (C-11b), 40.5 (C-3), 49.1 (C-4a), 110.5 (C-10), 113.3 (C-6a), 115.3 (C-7), 120.5 (C-9), 126.5 (C-6b), 127.0 (C-14), 129.7 (C-15), 134.8 (C-10a), 136.0 (C-8),138.5 (C-13), 140.2 (C-16), 143.0 (C-11a); HRMS: m/z calcd for C<sub>26</sub>H<sub>33</sub>N<sub>2</sub>O<sub>2</sub>S (M + H)<sup>+</sup> 437.2257, found 437.2256

## (4aRS,6RS,11bRS)-8-Methoxy-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (4c)

A stirring solution of p-methoxyphenylhydrazine hydrochloride 1c (380 mg, 2.17 mmol), and solid acid catalyst Amberlite IR 120 H $^{\circ}$  (350 mg, 5 equiv w/w with respect to 3) in MeOH (2.1 mL, 0.1M) was mix for 5 minutes at 70 °C. On this mixture was added the 5-oxodecahydroquinoline 3 (70 mg, 0.22 mmol). The mixture was left stirring at 70 °C for 24 h. After cooling, the reaction mixture was filtered and the resin

was washed with  $CH_2Cl_2$  and MeOH and the crude product was purified by chromatography (10-25-50% EtOAc/hexane) to afford 69 mg of a mixture 4c/7c in a ratio 33/67 (yield of 4c: 24%). (NMR data of 4c were determined by removing signals of 7c).

<sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.28-1.35 (m, 1H, H-5eq), 1.31 (d, J = 7.2 Hz, 3H, H-12), 1.50-1.62 (m, 2H, H-1 and H-2), 1.62-1.70 (m, 1H, H-2), 1.92-2.00 (m, 1H, H-1), 2.31 (ddd, J = 12.8, 12.8, 6.0 Hz, 1H, H-5ax), 2.44 (s, 3H, H-17), 2.80-2.88 (m, 1H, H-11b), 2.92-3.02 (m, 1H, H-3ax), 3.12-3.20 (m, 1H, H-6), 3.83 (s, 3H, OMe), 3.95 (br d, J = 12.8 Hz, 1H, H-3eq), 4.55 (ddd, J = 13.2, 5.2, 2.8 Hz, 1H, H-4a), 6.78 (dd, J = 8.4, 2.4 Hz, 1H, H-9), 6.91 (d, J = 2.4 Hz, 1H, H-7), 7.16 (d, J = 8.4 Hz, 1H, H-10), 7.31 (d, J = 8.0 Hz, 2H, H-15), 7.53 (br s, 1H, H-11), 7.75 (d, J = 8.4 Hz, 2H, H-14); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.0 (C-12), 21.5 (C-17), 24.7 (C-2), 25.8 (C-6), 27.8 (C-1), 28.5 (C-5), 34.3 (C-11b), 40.5 (C-3), 49.1 (C-4a), 56.0 (OMe), 100.9 (C-7), 111.0 (C-9), 111.4 (C-10), 113.4 (C-6a), 126.9 (C-6b), 127.0 (C-14), 129.7 (C-15), 131.3 (C-10a), 136.8 (C-13),138.5 (C-16), 143.0 (C-11a), 153.9 (C-8); HRMS: m/z calcd for  $C_{24}H_{29}N_2O_3S$  (M + H)<sup>+</sup> 425.1893, found 425.1881

## (4aRS,6RS,11bRS)-8-Fluoro-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (4d)

Following the general procedure B using p-fluorophenylhydrazine **1d** (392 mg, 3.11 mmol), 5-oxodecahydroquinoline **3** (100 mg, 0.31 mmol) and Amberlite IR 120 H $^{\circ}$  (1.00 g) for 20 h, **4d** was isolated after trituration in cold MeOH and recrystallization in dichloroethane as a white solid (96 mg, 75%).

Mp: 242-244 °C; <sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.25-1.35 (m, 1H, H-5eq), 1.29 (d, J = 6.8 Hz, 3H, H-12), 1.52-1.63 (m, 2H, H-1 and H-2), 1.63-1.73 (m, 1H, H-2), 1.92-2.02 (m, 1H, H-1), 2.30 (ddd, J = 12.8, 12.8, 6.2 Hz, 1H, H-5ax), 2.45 (s, 3H, H-17), 2.87 (ddd, J = 11.6, 5.0, 5.0 Hz, 1H, H-11b), 2.96 (br t, J = 12.8 Hz, 2H, H-3ax), 3.14 (br quint, J = 6.8 Hz, 1H, H-6), 3.94 (br d, J = 12.8 Hz, 1H, H-3eq), 4.54 (ddd, J = 13.2, 5.2, 3.2 Hz, 1H, H-4a), 6.86 (ddd, J = 8.8, 8.8, 2.4, Hz, 1H, H-9), 7.09 (dd, J = 9.0, 2.4 Hz, 1H, H-7), 7.17 (dd, J = 8.8, 4.4 Hz, 1H, H-10), 7.31 (d, J = 8.4 Hz, 1H, H-15), 7.64 (br s, 1H, H-11), 7.75 (d, J = 8.4 Hz, 2H, H-14); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.0 (C-12), 21.5 (C-17), 24.7 (C-2), 25.7 (C-6), 27.7 (C-1), 28.3 (C-5), 34.3 (C-11b), 40.4 (C-3), 49.0 (C-4a), 103.4 (d, J = 23.3 Hz, C-7), 109.5 (d, J = 26.1 Hz, C-9), 111.2 (d, J = 9.6 Hz, C-10), 113.8 (C-6a), 126.9 (C-6b), 127.0 (C-14), 129.7 (C-15), 132.7 (C-10a), 137.8 (C-13), 138.4 (C-16), 143.1 (C-11a), 157.7 (d, J = 234.4 Hz, C-8); HRMS: m/z calcd for C<sub>23</sub>H<sub>26</sub>FN<sub>2</sub>O<sub>2</sub>S (M + H)<sup>+</sup> 413.1694, found 413.1684

## (4aRS,6RS,11bRS)-8-Trifluoromethyl-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (4e)

Following the general procedure B using *p*-trifluoromethylphenylhydrazine **1e** (548 mg, 3.11 mmol), 5-oxodecahydroquinoline **3** (100 mg, 0.31 mmol) and Amberlite IR 120 H® (1.00 g) for 72 h. Purification by chromatography (10-25-50% EtOAc/hexane) followed by trituration in cold MeOH allowed to obtain a mixture **4e/7e** in a ratio 75/25 (yield of **4e**: 8 mg, 5.5%). Another fraction was isolated 19 mg

containing 50% of **4e/7e** 1/2. The remaining part corresponding to hydrazone and 5-oxodecahydroquinoline **3** (yield of **4e** combined: 8%). (NMR data of **4e** were determined by removing signals of **7e**).

<sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.28-1.35 (m, 1H, H-5eq), 1.32 (d, J = 6.8 Hz, 3H, H-12), 1.52-1.63 (m, 2H, H-1 & H-2), 1.66-1.73 (m, 1H, H-2), 1.95-2.05 (m, 1H, H-1), 2.31 (ddd, J = 13.2, 13.2, 6.8 Hz, 1H, H-5ax), 2.45 (s, 3H, H-17), 2.89-3.08 (m, 2H, H-3ax & H-11b), 3.22 (quint, J = 6.8 Hz, 1H, H-6), 3.95 (br d, J = 12.4 Hz, 1H, H-3eq), 4.57 (ddd, J = 13.2, 5.2, 2.8 Hz, 1H, H-4a), 7.28-7.38 (m, 4H, H-7, H-10 & H-15), 7.70-7.74 (m, 3H, H-9 & H-14), 7.89 (br s, 1H, H-11); 13C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.3 (C-12), 21.5 (C-17), 24.7 (C-2), 25.6 (C-6), 27.7 (C-1), 28.2 (C-5), 34.3 (C-11b), 40.4 (C-3), 48.9 (C-4a), 110.9 (C-7), 114.4 (C-6a), 115.9 (d, J = 4.1 Hz, C-9), 118.3 (d, J = 3.4 Hz, C-10), 121.8 (q, J = 31.6 Hz, C-8), 125.3 (q, J = 269.6 Hz, C-18), 125.9 (C-6b), 127.0 (C-14), 129.8 (C-15), 137.7 (C-13 & C-10a), 138.4 (C-16), 143.2 (C-11a); HRMS: m/z calcd for  $C_{24}H_{26}F_3N_2O_2S$  (M + H)<sup>+</sup> 463.1662, found 463.1675

#### **General procedure C:**

A stirring solution of phenylhydrazine hydrochloride **1·HCl** (2.5 equiv), solid acid catalyst Amberlite IR 120 H® (10 equiv w/w with respect to **3**) and 5-oxodecahydroquinoline **3** (1 equiv) in HCl/MeOH (1.25 M, 30 equiv) was stirred at 70 °C for the indicated time. After cooling, the reaction mixture was filtered and the resin was washed with CH<sub>2</sub>Cl<sub>2</sub> and MeOH. The excess hydrazine salt was removed by precipitation in cold dichloromethane and the crude product was purified by precipitation from cold MeOH to afford the pure carbazole product.

## (4aRS,6SR,11bRS)-6-Methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (7a)

Following the general procedure C using phenylhydrazine hydrochloride **1a·HCl** (61 mg, 0.42 mmol), 5-oxodecahydroquinoline **3** (54 mg, 0.17 mmol) and Amberlite IR 120 H® (500 mg) for 3 h, **7a** was isolated as a pale yellow solid (62 mg, 92%) further crystallization from CHCl<sub>3</sub> and DCE afforded pale yellow crystals.

Ts Mp: 205-207 °C; ¹H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.41 (d, J = 6.8 Hz, 3H, H-12), 1.45-1.52 (m, 1H, H-2), 1.60-1.72 (m, 2H, H-1 & H-2), 1.75-1.93 (m, 2H, H-5), 1.95-2.02 (m, 1H, H-1), 2.44 (s, 3H, H-17), 2.78 (ddd, J = 12.0, 4.8, 4.8 Hz, 1H, H-11b), 3.05 (ddd, J = 13.4, 13.4, 2.4 Hz, 1H, H-3ax), 3.10-3.24 (m, 1H, H-6), 3.92 (br d, J = 13.4 Hz, 1H, H-3eq), 4.36 (ddd, J = 12.8, 4.8, 3.6 Hz, 1H, H-4a), 7.05-7.15 (m, 2H, H-8 & H-9), 7.27 (d, J = 7.6 Hz, 1H, H-10), 7.30 (d, J = 8.4 Hz, 1H, H-15), 7.61 (d, J = 7.6 Hz, 1H, H-7), 7.68 (br s, 1H, H-11), 7.75 (d, J = 8.4 Hz, 2H, H-14); ¹³C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.3 (C-12), 21.5 (C-17), 24.5 (C-2), 28.14 (C-1), 28.32 (C-6), 32.3 (C-5), 34.0 (C-11b), 40.5 (C-3), 52.4 (C-4a), 110.7 (C-10), 113.1 (C-6a), 119.3 (C-8), 119.9 (C-7), 121.3 (C-9), 126.6 (C-6b), 127.0 (C-14), 129.7 (C-15), 136.1 (C-10a), 136.3 (C-13), 138.5 (C-16), 143.1 (C-11a); HRMS: m/z calcd for  $C_{23}H_{27}N_2O_2S$  (M + H)<sup>+</sup> 395.1788, found 395.1805

# (4aRS,6SR,11bRS)-8-Isopropyl-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1H-pyrido[3,2- $\alpha$ ]carbazole (7b)

Following the general procedure C using *p*-isopropylphenylhydrazine hydrochloride **1b·HCl** (145 mg, 0.78 mmol), 5-oxodecahydroquinoline **3** (100 mg, 0.31 mmol) and Amberlite IR 120 H® (1.00 g) for 3 h, **7b** was isolated after trituration in cold MeOH and recrystallization in DCE as a white solid (119 mg, 88%).

<sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.29 (dd, J = 7.5, 1.6 Hz, 6H,2 x CH<sub>3</sub> iPr), 1.40 (d, J = 6.8 Hz, 3H, H-12), 1.43-1.53 (m, 1H, H-2), 1.53-1.68 (m, 2H, H-1 & H-2), 1.72-1.90 (m, 2H, H-5), 1.91-1.99 (m, 1H, H-1), 2.42 (s, 3H, H-17), 2.74 (ddd, J = 12.0, 4.8, 4.8 Hz, 1H, H-11b), 2.91-3.15 (m, 3H, CH iPr, H-3ax & H-6), 3.89 (br d, J = 13.6 Hz, 1H, H-3eq), 4.32 (ddd, J = 12.8, 4.8, 3.6 Hz, 1H, H-4a), 7.01 (bd, J = 8.4 Hz 1H, H-9), 7.18 (d, J = 8.4 Hz, 1H, H-10), 7.28 (d, J = 8.4 Hz, 2H, H-15), 7.43 (bs, 1H, H-7), 7.66 (s, 1H, H-11), 7.73 (d, J = 8.4 Hz, 2H, H-14); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.3 (C-12), 21.5 (C-17), 24.4 (C-2), 24.7 (CH<sub>3</sub> iPr), 24.8 (CH<sub>3</sub> iPr), 28.1 (C-1), 28.3 (C-6), 32.3 (C-5), 34.0 (C-11b), 34. 3 (CH iPr), 40.5 (C-3), 52.5 (C-4a), 110.5 (C-10a), 136.4 (C-8), 138.5 (C-13), 139.9 (16), 143.0 (C-11a); HRMS: m/z calcd for C<sub>26</sub>H<sub>33</sub>N<sub>2</sub>O<sub>2</sub>S (M + H)<sup>+</sup> 437.2257, found 437.2256

## (4aRS,6SR,11bRS)-8-Methoxy-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1H-pyrido[3,2- $\alpha$ ]carbazole (7c)

Following the general procedure C using *p*-methoxyphenylhydrazine hydrochloride **1c·HCl** (136 mg, 0.78 mmol), 5-oxodecahydroquinoline **3** (100 mg, 0.31 mmol) and Amberlite IR 120 H® (1.00 g) for 6 h, **7c** was isolated after purification by chromatography (10-25-50% EtOAc/hexane) followed by trituration in cold MeOH as a light pink solid (75 mg, 57%).

<sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.40 (d, J = 6.8 Hz, 3H, H-12), 1.45-1.52 (m, 1H, H-2), 1.60-1.72 (m, 2H, H-1 & H-2), 1.75-1.95 (m, 2H, H-5), 1.95-2.02 (m, 1H, H-1), 2.44 (s, 3H, H-17), 2.74 (ddd, J = 12.0, 5.0, 5.0 Hz, 1H, H-11b), 3.05 (ddd, J = 13.6, 13.6, 2.4 Hz, 1H, H-3ax), 3.10-3.20 (m, 1H, H-6), 3.84 (s, 3H, CH<sub>3</sub>O), 3.94 (br d, J = 13.6 Hz, 1H, H-3eq), 4.34 (ddd, J = 12.8, 5.0, 3.6 Hz, 1H, H-4a), 6.79 (dd, J = 8.8, 2.4, Hz, 1H, H-9), 7.07 (d, J = 2.4 Hz, 1H, H-7), 7.15 (d, J = 8.8 Hz,1H, H-10), 7.29 (d, J = 8.4 Hz, 2H, H-15), 7.51 (br s, 1H, H-11), 7.74 (d, J = 8.4 Hz, 2H, H-14); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.2 (C-12), 21.5 (C-17), 24.4 (C-2), 28.15 (C-1), 28.24 (C-6), 32.3 (C-5), 34.1 (C-11b), 40.5 (C-3), 52.4 (C-4a), 56.1 (CH<sub>3</sub>O), 102.8 (C-7), 110.8 (C-9), 111.2 (C-10), 113.0 (C-6a), 126.96 (C-14), 127.01 (C-6b), 129.7 (C-15), 131.5 (C-10a), 137.1 (C-13), 138.6 (C-16), 143.0 (C-11a), 153.7 (C-8); HRMS: m/z calcd for  $C_{24}H_{29}N_2O_3S$  (M + H)<sup>+</sup> 425.1893, found 425.1881

## (4aRS,6SR,11bRS)-8-Fluoro-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (7d)

Following the general procedure C using p-fluorophenylhydrazine hydrochloride  $1d \cdot HCl$  (127 mg, 0.78 mmol), 5-oxodecahydroquinoline 3 (100 mg, 0.31 mmol) and Amberlite IR 120 H $^{\circ}$  (1.00 g) for 3 h, 7d was isolated after trituration in cold MeOH and recrystallization in DCE as a white solid (101 mg, 82%).

<sup>1S</sup> <sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz):  $\delta$  1.37 (d, J = 6.4 Hz, 3H, H-12), 1.46-1.53 (m, 1H, H-2), 1.58-1.72 (m, 2H, H-1 & H-2), 1.73-1.92 (m, 2H, H-5), 1.94-2.03 (m, 1H, H-1), 2.44 (s, 3H, H-17), 2.78 (ddd, J = 12.0, 5.0, 5.0 Hz, 1H, H-11b), 3.04 (ddd, J = 13.2, 13.2, 2.4

Hz, 1H, H-3ax), 3.08-3.16 (m, 1H, H-6), 3.91 (ddd, J = 13.2, 2.4, 2.4 Hz, 1H, H-3eq), 4.34 (ddd, J = 12.4, 5.0, 3.6 Hz, 1H, H-4a), 6.86 (ddd, J = 9.0, 9.0, 2.6 Hz, 1H, H-9), 7.16 (dd, J = 9.0, 4.4 Hz, 1H, H-10), 7.24 (dd, J = 9.6, 2.6 Hz, 1H, H-7), 7.30 (br d, J = 8.4 Hz, 2H, H-15), 7.64 (s, 1H, H-11), 7.74 (br d, J = 8.4 Hz, 2H, H-14); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.0 (C-12), 21.5 (C-17), 24.5 (C-2), 28.1 (C-1), 28.2 (C-6), 32.2 (C-5), 34.1 (C-11b), 40.5 (C-3), 52.3 (C-4a), 105.0 (d, J = 23.9 Hz, C-7), 109.4 (d, J = 26.1 Hz, C-9), 111.1 (d, J = 9.9 Hz, C-10), 113.4 (C-6a), 126.9 (C-6b), 127.0 (C-14), 129.7 (C-15), 132.8 (C-10a), 138.1 (C-13), 138.5 (C-16), 143.1 (C-11a), 157.5 (d, J = 233.8 Hz, C-8); HRMS: m/z calcd for C<sub>23</sub>H<sub>26</sub>FN<sub>2</sub>O<sub>2</sub>S (M + H)<sup>+</sup> 413.1694, found 413.1695

## (4aRS,6SR,11bRS)-8-Trifluoromethyl-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (7e)

Following the general procedure C using *p*-trifluoromethylphenylhydrazine hydrochloride **1e·HCl** (166 mg, 0.78 mmol), 5-oxodecahydroquinoline **3** (100 mg, 0.31 mmol) and Amberlite IR 120 H® (1.00 g) for 24 h, **7e** was isolated after purification by chromatohraphy (10-25-50% EtOAc/hexane) followed by trituration in cold MeOH as a white solid (15 mg, 10.5%) and the recovered filtrate 50 mg containing 15% of the

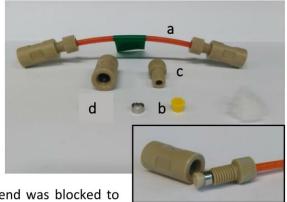
product (Yield of 7e combined: 16%).

<sup>1</sup>H NMR (COSY, CDCl<sub>3</sub>, 400 MHz): δ 1.40 (d, J = 6.8 Hz, 3H, H-12), 1.47-1.53 (m, 1H, H-2), 1.62-1.71 (m, 2H, H-1 & H-2), 1.72-1.92 (m, 2H, H-5), 1.97-2.07 (m, 1H, H-1), 2.44 (s, 3H, H-17), 2.84 (ddd, J = 12.0, 4.8, 4.8 Hz, 1H, H-11b), 3.03 (ddd, J = 13.2, 13.2, 2.4 Hz, 1H, H-3ax), 3.12 (br quint, J = 6.8 Hz, 1H, H-6), 3.90 (br d, J = 13.2 Hz, 1H, H-3eq), 4.36 (ddd, J = 12.8, 4.8, 3.6 Hz, 1H, H-4a), 7.28-7.38 (m, 4H, H-7, H-10 & H-15), 7.74 (d, J = 8.4 Hz, 2H, H-14), 7.86 (s, 1H, H-9), 7.96 (s, 1H, H-11); <sup>13</sup>C NMR (100 MHz, HSQC, CDCl<sub>3</sub>): δ 21.2 (C-12), 21.5 (C-17), 24.5 (C-2), 28.06 (C-1), 28.13 (C-6), 31.9 (C-5), 34.1 (C-11b), 40.5 (C-3), 52.2 (C-4a), 110.8 (C-7), 114.0 (C-6a), 117.3 (d, J = 4.2 Hz, C-9), 118.1 (d, J = 3.3 Hz, C-10), 121.6 (q, J = 31.6 Hz, C-8), 125.4 (q, J = 269.8 Hz, C-18), 126.0 (C-6b), 126.9 (C-14), 129.8 (C-15), 137.8 (C-10a), 138.0 (C-13), 138.4 (C-16), 143.2 (C-11a); HRMS: m/z calcd for C<sub>24</sub>H<sub>26</sub>F<sub>3</sub>N<sub>2</sub>O<sub>2</sub>S (M + H)<sup>+</sup> 463.1662, found 463.1669

#### Synthesis of indoles in flow

#### Cartridge assembly:

Both ends of 10-cm Tefzel® (ETFE) tubing (1/8" OD, 1/16" ID, a) were blocked with cotton wool, fitted with assembled flat bottom super flangeless fittings + metal ferrules (1/8" OD, P-359, IDEX, b) and male nut parts (LT-215, IDEX, c). These connections were mounted onto flat unions (P-703-01, IDEX, d). For the filling of the cartridges, only one end was blocked at first, the cartridge was filled with the catalyst (~100 mg)



employing vacuum suction and after, the other end was blocked to seal the cartridge.

#### Microreactor setup:

All gas-tight syringes (5 mL, B-247, FutureChemistry Holding BV) were mounted on syringe pumps (B-230, FutureChemistry Holding BV) and connected to Tefzel® tubing (1/16" OD, 1529, IDEX) via female Luer adapters (P-628, IDEX). Throughout the flow system, all the tubing (Tefzel® 1/16" OD, 1529, IDEX) was assembled with super flangeless nut connections (P-287, IDEX) and assembled ferrules (P-259, IDEX) in order to achieve leak-free fluid connections. Also, a 5 bar back pressure regulator (B-444, FutureChemistry Holding BV) guaranteed pressurization inside the system before eluting into a collection flask (see Figure 1).

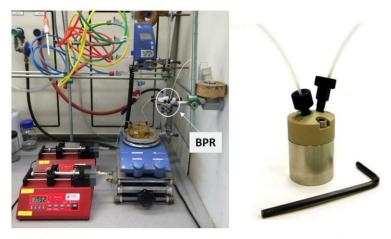


Figure 1. Flow set-up including back pressure regulator (detail, right).

#### Flow general procedure C:

Two feed solutions were employed: stream A containing the ketone in solution, and stream B containing the hydrazine in solution both driven by syringe pumps ( $\varphi_A = \varphi_B$ ). These were mixed in a PEEK T-mixer connection (P-713, IDEX) before entering the microreactor (consisting of a ETFE cartridge packed with Amberlite® IR 120 H) at 70 °C for 10 to 60 minutes. By removing the solvent *in vacuo*, the desired indole products were obtained. In some cases, further purification was achieved by recrystallization CH<sub>2</sub>Cl<sub>2</sub> or methanol.

Full characterization of the indole products can be found within the general procedure for the preparation of the title compounds in batch.

#### Calculation for reactions performed under flow conditions:

For the reactions performed in flow, yields were calculated taking into account the total moles of product obtained (n(Collected Product)), the flow rate ( $\phi$ SM) and the concentration ([SM]) of the starting material and the overall collection time (t(Collection)), as shown in the equation below.

$$\eta_{Flow}$$
 (%) =  $\frac{n \, (Collected \, Product)}{[SM] \, \times \, \phi_{SM} \, \times \, t_{(Collection)}} \times 100$ 

## (4aRS,6RS,11bRS)-6-Methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1H-pyrido[3,2-a]carbazole (4a)

Following the flow general procedure C using 5-oxodecahydroquinoline **3** (0.05 M in MeOH/AcOH/DCE 4/2/4) and phenylhydrazine **1a** (0.5 M in MeOH/AcOH 1/1) with reaction time = 20 min, total flow = 15.00  $\mu$ L·min<sup>-1</sup> and collecting for 2 h (2 mL of ketone), product **4a** was isolated as a pale yellow solid (31.2 mg, 76%).

# (4aRS,6RS,11bRS)-8-Isopropyl-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1H-pyrido[3,2-a]carbazole (4b)

(32 mg, 75%).

Following the flow general procedure C using a 30 cm cartridge (inner volume 300  $\mu$ L) 5-oxodecahydroquinoline **3** (0.05 M in MeOH/AcOH/DCE 4/2/4) and *p*-isopropylphenylhydrazine hydrochloride salt **1b·HCl** (0.5 M in MeOH/AcOH 1/1) with reaction time = 30 min, total flow = 10.00  $\mu$ L·min<sup>-1</sup> and collecting for 2 h (2 mL of ketone), the crude <sup>1</sup>H NMR spectrum showed full conversion to **4b** which was isolated as a pale yellow solid

## (4aRS,6RS,11bRS)-8-Methoxy-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1H-pyrido[3,2-a]carbazole (4c)

Following the flow general procedure C using a 1 m cartridge (inner volume 1 mL), 5-oxodecahydroquinoline **3** (0.02 M in MeOH/AcOH/DCE 4/4/2) and p-methoxyphenylhydrazine hydrochloride salt **1c·HCl** (0.2 M in MeOH) with reaction time = 60 min, total flow = 16.00  $\mu$ L·min<sup>-1</sup> and collecting for 2 h (2 mL of ketone), the crude <sup>1</sup>H NMR spectrum showed 55 % conversion to **4c** with the remaining part corresponding to a

mix hydrazone/ 5-oxodecahydroquinoline.

## (4aRS,6RS,11bRS)-8-Fluoro-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (4d)

Following the flow general procedure C using a 1 m cartridge (inner volume 1 mL), 5-oxodecahydroquinoline **3** (0.01 M in MeOH/AcOH/DCE 4/5/1) and p-fluorophenylhydrazine hydrochloride salt **1d·HCl** (0.1 M in MeOH) with reaction time = 60 min, total flow = 16.00  $\mu$ L·min<sup>-1</sup> and collecting for 2 h (2 mL of ketone), the crude <sup>1</sup>H NMR spectrum showed 42% conversion to

4d with the remaining part corresponding to a mix hydrazone/ 5-oxodecahydroquinoline.

## (4aRS,6RS,11bRS)-8-Trifluoromethyl-6-methyl-4-(4-methylphenylsulfonyl)-2,3,4,4a,5,6,11,11b-octahydro-1*H*-pyrido[3,2- $\alpha$ ]carbazole (4e)

Following the flow general procedure C using a 1 m cartridge (inner volume 1 mL), 5-oxodecahydroquinoline **3** (0.04 M in AcOH/DCE 8/2) and p-trifluoromethylphenylhydrazine **1e** (0.4 M in MeOH) with reaction time = 60 min, total flow = 16.00  $\mu$ L·min<sup>-1</sup> and collecting for 2 h (2 mL of ketone), the crude <sup>1</sup>H NMR spectrum showed 22% conversion to **4e** with the remaining part corresponding to a mix hydrazone/ 5-

oxodecahydroquinoline.

Table 1. <sup>1</sup>H NMR data of 6-Methyl-4-(4-methylphenylsulfonyl)-1*H*-2,3,4,4a,5,6,11,11b-octahydropyrido[3,2-*a*]carbazoles

Series 4

		4a	4b	4c	4d	4e
		Н	<i>i</i> Pr	OMe	F	CF₃
H-1		1.53-1.61 (m)	1.52-1.59 (m)	1.50-1.62 (m)	1.52-1.63 (m)	1.52-1.63 (m)
		1.92-2.01 (m)	1.92-1.98 (m)	1.92-2.00 (m)	1.92-2.02 (m)	1.95-2.05 (m)
H-2		1.53-1.61 (m)	1.52-1,59 (m)	1.50-1.62 (m)	1.52-1.63 (m)	1.52-1.63 (m)
H-2		1.65-1.70 (m)	1.62-1.68 (m)	1.62-1.70 (m)	1-63-1.73 (m)	1.66-1.73 (m)
H-3	ax	2.97 (ddd, 12.8, 12.8, 2.8)	2.91-3.05 (m)	2.92-3.02 (m)	2.96 (br t, 12.8)	2.89-3.08 (m)
	eq	3.95 (br d, 13.2)	3.94 (br d, 12.4)	3.95 (br d, 12.8)	3.94 (br d, 12.8)	3.95 (br d, 12.4)
H-4a	ı	4.56 (ddd, 13.2, 5.2, 3.2)	4.56 (ddd, 13.2, 5.0, 3.2)	4.55 (ddd, 13.2, 5.2, 2.8)	4.54 (ddd, 13.2, 5.2, 3.2)	4.57 (ddd, 13.2, 5.2, 2.8)
	ax	2.31 (ddd, 12.8,	2.30 (ddd, 13.2,	2.31 (ddd, 12.8,	2.30 (ddd, 12.8,	2.31 (ddd, 13.2,
H-5		12.8, 6.0)	13.2, 6.4)	12.8, 6.0)	12.8, 6.2)	13.2, 6.8)
	eq	1.28-1.38 (m)	1.25-1.35 (m)	1.28-1.35 (m)	1.25-1.35 (m)	1.28-1.35 (m)
H-6		3.21 (quint, 7.0)	3.20 (quint, 7.2)	3.12-3.20 (m)	3.14 (quint, 6.8)	3.22 (quint, 6.8)
H-7		7.47	7.27-7.37	6.91	7.09	7.28-7.38
H-8		7.05-7.15				
H-9		7.05-7.15	7.02	6.78	6.86	7.70-7.74
H-10	l.	7.27	7.20	7.16	7.17	7.28-7.38
H-11		7.66	7.56	7.53	7.64	7.89
H-11	b	2.88 (ddd, 11.4, 5.2, 5.2)	2.85 (ddd, 11.6, 5.0, 5.0)	2.80-2.88 (m)	2.87 (ddd, 11.6, 5.0, 5.0)	2.89-3.08 (m)
Me		1.32 (d, 7.2)	1.33	1.31	1.29	1.32
H-14		7.76	7.76	7.75	7.75	7.70-7.74
H-15	i	7.31	7.27-7.37	7.31	7.31	7.28-7.38
Me-7	Гs	2.45	2.45	2.44	2.45	2.45
Othe	r		1.30 & 2.91- 3.05 ( <i>i</i> Pr)	3.84 (OCH <sub>3</sub> )		

Series 7

		7a	7b	7c	7d	7e
		Н	<i>i</i> Pr	OMe	F	CF₃
H-1		1.60-1.72 (m)	1.53-1.68 (m)	1.60-1.72 (m)	1.58-1.72 (m)	1.62-1.71 (m)
[]-1		1.95-2.02 (m)	1.91-1.99 (m)	1.95-2.02 (m)	1.94-2.03 (m)	1.97-2.07 (m)
H-2		1.42-1.52 (m)	1.43-1.53 (m)	1.45-1.52 (m)	1.46-1.53 (m)	1.47-1.53 (m)
112		1.60-1.72 (m)	1.53-1.68 (m)	1.60-1.72 (m)	1.58-1.72 (m)	1.62-1.71 (m)
	ax	3.05 (ddd, 13.4,	2.91-3.15 (m)	3.05 (ddd, 13.6,	3.04 (ddd, 13.2,	3.03 (ddd, 13.2,
H-3	Lux	13.4, 2.4)	2.01 0.10 (11)	13.6, 2.4)	13.2, 2.4)	13.2, 2.4)
H-4a	eq	3.92 (br d, 13.4)	3.89 (br d, 13.6)	3.94 (br d, 13.6)	3.91 (br d, 13.2)	3.90 (br d, 13.2)
H-42		4.36 (ddd, 12.8,	4.32 (ddd, 12.8,	4.34 (ddd, 12.8,	4.34 (ddd, 12.4,	4.36 (ddd, 12.8,
11-4a		4.8, 3.6)	4.8, 3.6)	5.0, 3.6)	5.0, 3.6)	4.8, 3.6)
H-5		1.75-1.93 (m)	1.72-1.90 (m)	1.75-1.95 (m)	1.73-1.92 (m)	1.72-1.92 (m)
H-6		3.10-3.24 (m)	2.91-3.15 (m)	3.10-3.20 (m)	3.08-3.16 (m)	3.12 (quint, 6.8)
H-7		7.61	7.43	7.07	7.24	7.28-7.38
H-8	*	7.05-7.15				
H-9		7.05-7.15	7.01	6.79	6.86	7.86
H-10		7.27	7.18	7.15	7.16	7.28-7.38
H-11		7.68	7.66	7.51	7.64	7.96
H-11	h	2.78 (ddd, 12.0,	2.74 (ddd, 12.0,	2.74 (ddd, 12.0,	2.78 (ddd, 12.0,	2.84 (ddd, 12.0,
П-11	b	4.8, 4.8)	4.8, 4.8)	5.0, 5.0)	5.0, 5.0)	4.8, 4.8)
Me		1.41 (d, 6.8)	1.40 (d, 6.8)	1.40 (d, 6.8)	1.37 (d, 6.4)	1.40 (d, 6.8)
H-14		7.75	7.73	7.74	7.74	7.74
H-15		7.30	7.28	7.29	7.30	7.28-7.38
Me-T	s	2.44	2.42	2.44	2.44	2.44
Othe	r		1.29 & 2.91- 3.15 ( <i>i</i> Pr)	3.84 (OCH <sub>3</sub> )		

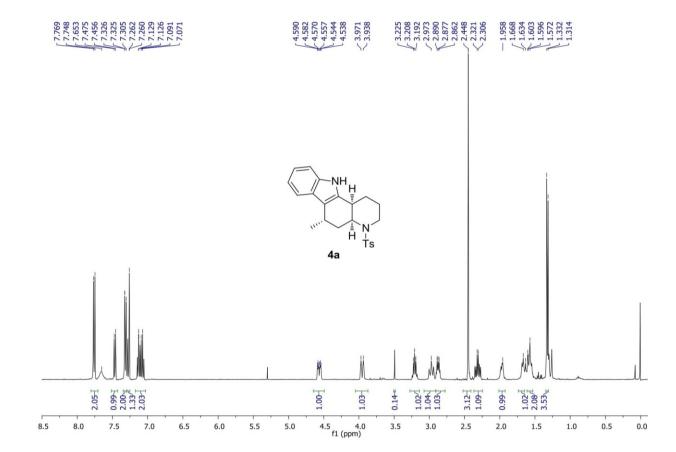
Table 2. <sup>13</sup>C NMR data of 6-Methyl-4-(4-methylphenylsulfonyl)-1*H*-2,3,4,4a,5,6,11,11b-octahydropyrido[3,2-*a*]carbazoles

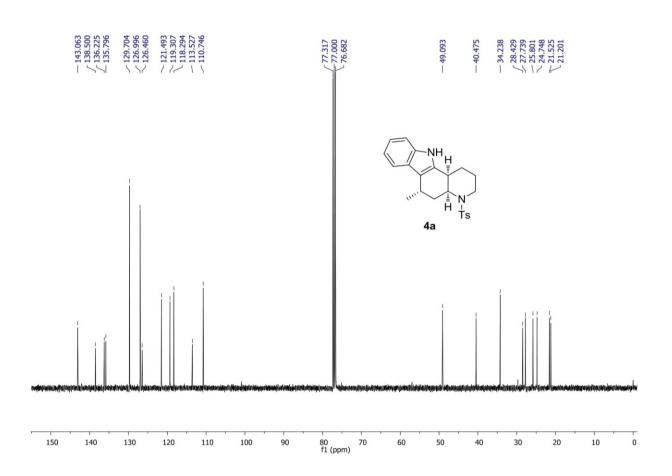
Series 4

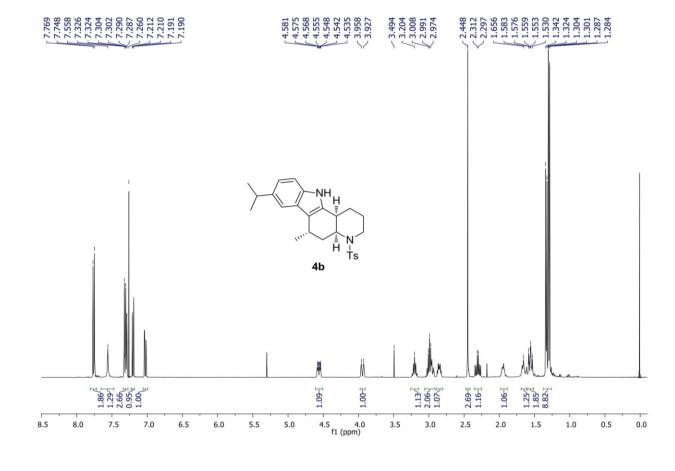
	4a	4b	4c	4d	4e
	Н	<i>i</i> Pr	OMe	F	CF <sub>3</sub>
C-1	27.7	27.7	27.8	27.7	27.7
C-2	24.7	24.7	24.7	24.7	24.7
C-3	40.5	40.5	40.5	40.4	40.5
C-4a	49.1	49.1	49.1	49.0	48.9
C-5	28.4	28.5	28.5	28.3	28.2
C-6	25.8	25.8	25.8	25.7	25.6
C-6a	113.5	113.3	113.4	113.8	114.4
C-6b	126.5	126.5	126.9	126.9	125.9
C-7	118.3	115.3	100.9	103.4	110.9
C-8	119.3	136.0	153.9	157.7	121.6
C-9	121.5	120.5	111.0	109.5	115.9
C-10	110.7	110.5	111.4	111.2	118.3
C-10a	135.8	134.8	131.3	132.7	137.7
C-11a	143.1	143.0	143.0	143.1	143.2
C-11b	34.2	34.3	34.3	34.3	34.3
Me	21.2	21.2	21.0	21.0	21.3
Me-Ts	21.5	21.5	21.5	21.5	21.6
C-13	136.2	138.5	136.8	137.8	137.8
C-14	127.0	127.0	127.0	127.0	127.0
C-15	129.7	129.7	129.7	129.7	129.8
C-16	138.5	140.2	138.5	138.4	138.4
Other		24.8 & 34.3 ( <i>i</i> Pr)	56.0 (OCH <sub>3</sub> )		125.3 (CF <sub>3</sub> )

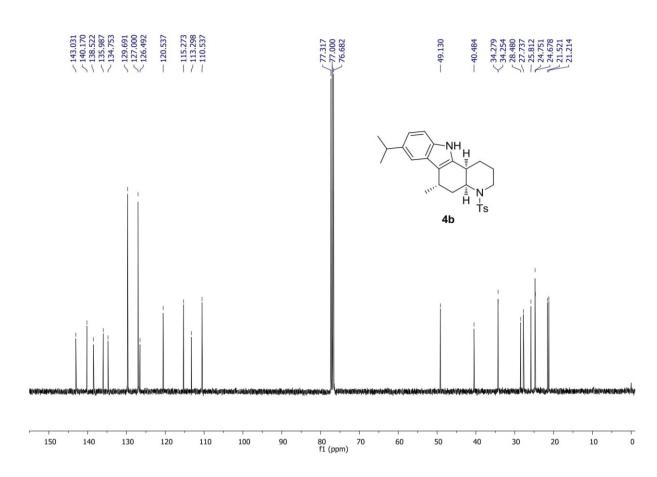
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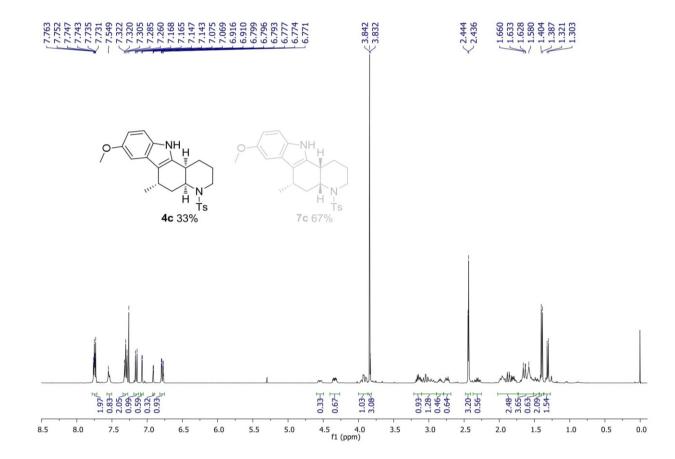
	7a	7b	7c	7d	7e
	Н	<i>i</i> Pr	OMe	F	CF <sub>3</sub>
C-1	28.1	28.1	28.2	28.1	28.1
C-2	24.5	24.4	24.4	24.5	24.5
C-3	40.5	40.5	40.5	40.5	40.5
C-4a	52.4	52.5	52.4	52.3	52.2
C-5	32.3	32.3	32.3	32.2	31.9
C-6	28.3	28.3	28.2	28.2	28.1
C-6a	113.1	112.7	113.0	113.4	114.0
C-6b	126.6	126.6	127.01	126.9	126.0
C-7	119.9	116.9	102.8	105.0	110.8
C-8	119.3	136.4	153.7	157.5	121.6
C-9	121.3	120.3	110.8	109.4	117.3
C-10	110.7	110.5	111.2	111.1	118.1
C-10a	136.1	134.9	131.5	132.8	137.8
C-11a	143.1	143.0	143.0	143.1	143.2
C-11b	34.0	34.0	34.1	34.1	34.1
Ме	21.3	21.3	21.2	21.0	21.2
Me-Ts	21.5	21.5	21.5	21.5	21.5
C-13	136.3	138.5	137.1	138.1	138.0
C-14	127.0	126.8	126.96	127.0	126.9
C-15	129.7	129.7	129.7	129.7	129.8
C-16	138.5	139.9	138.6	138.5	138.4
Other		24.7 & 34.3 ( <i>i</i> Pr)	56.1 (OCH <sub>3</sub> )		125.3 (CF <sub>3</sub> )

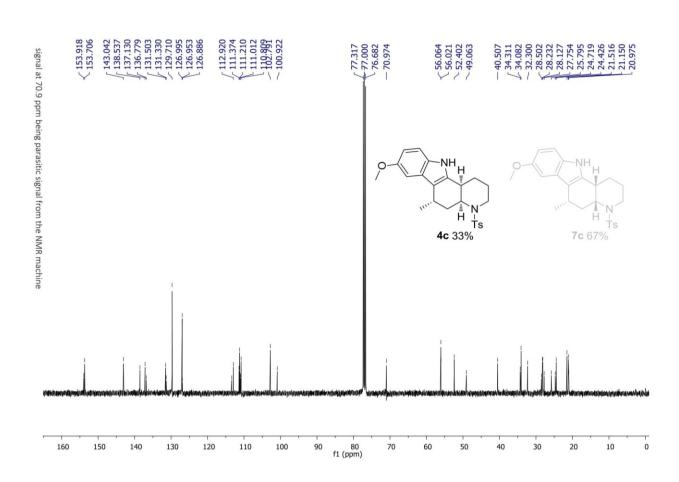


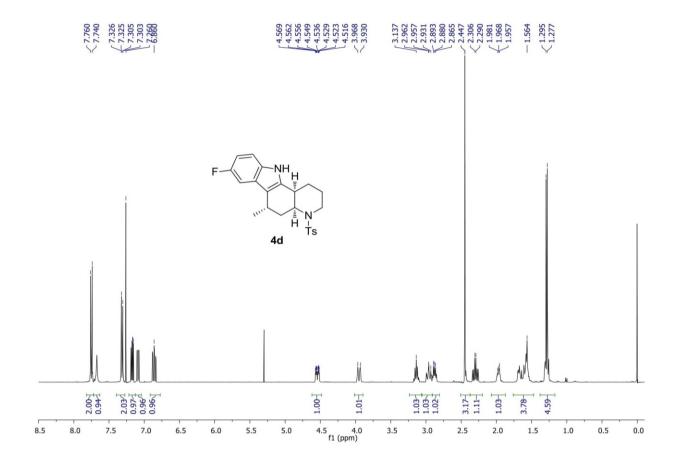


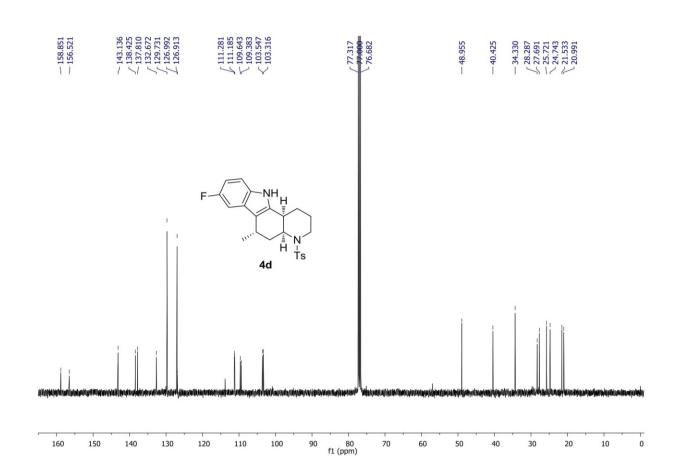


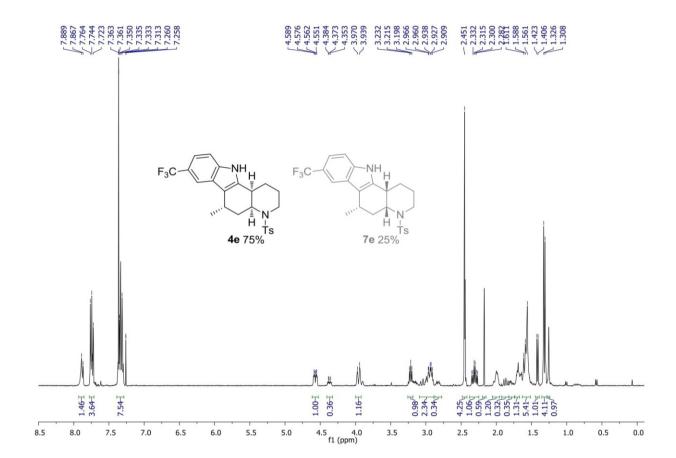


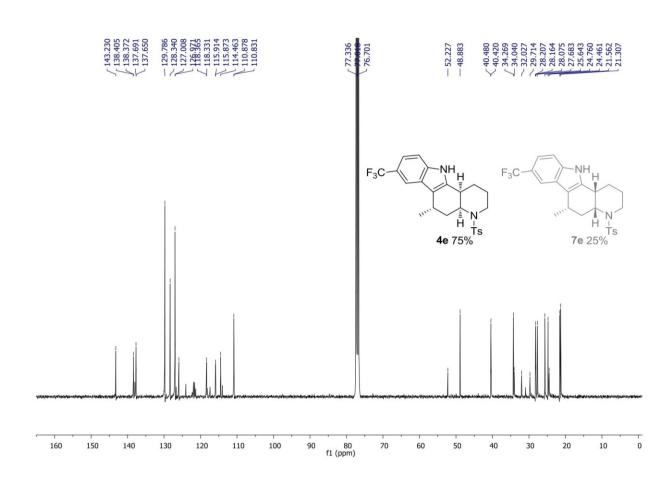


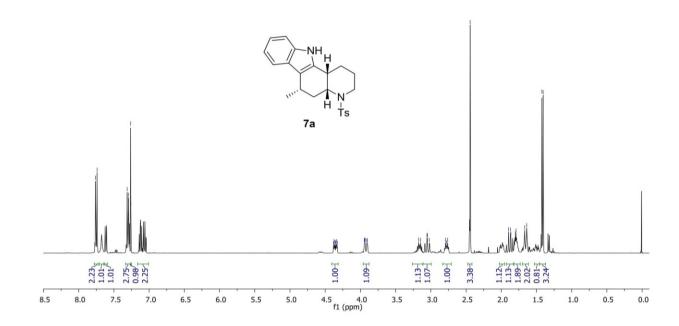


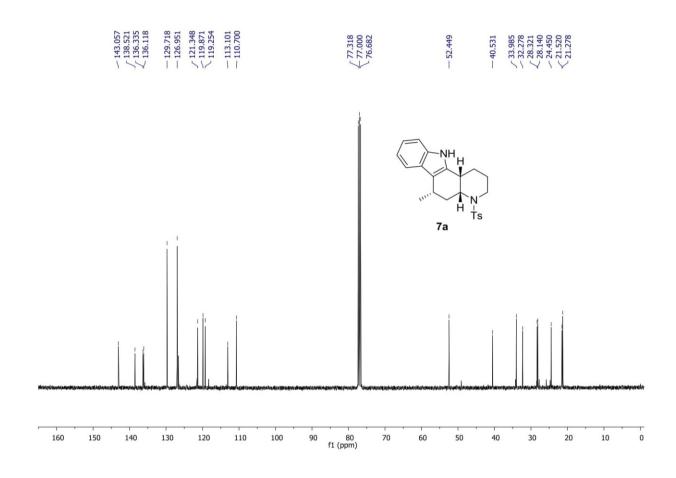




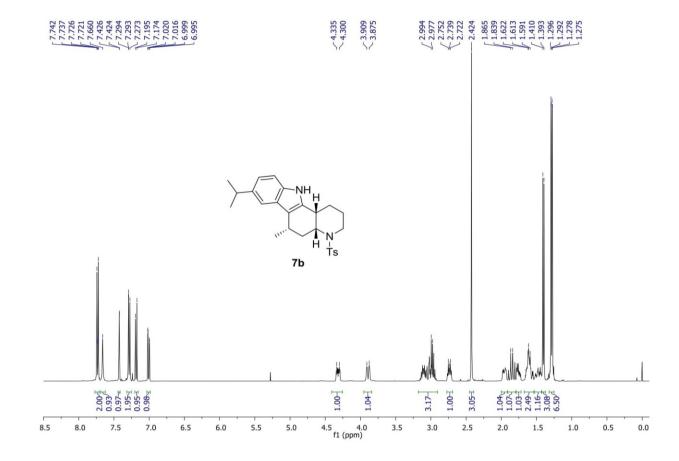


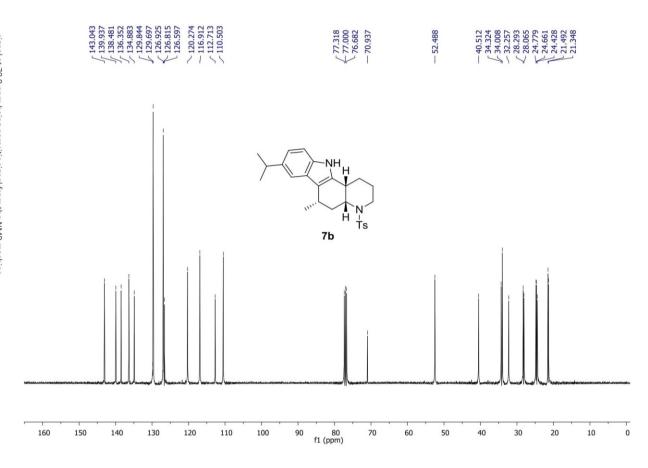




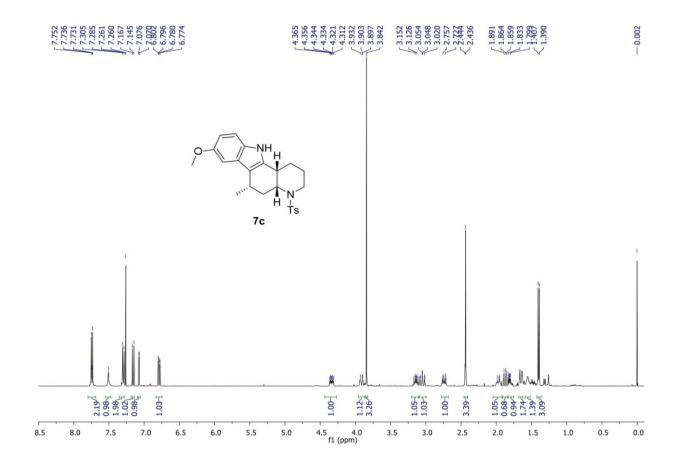


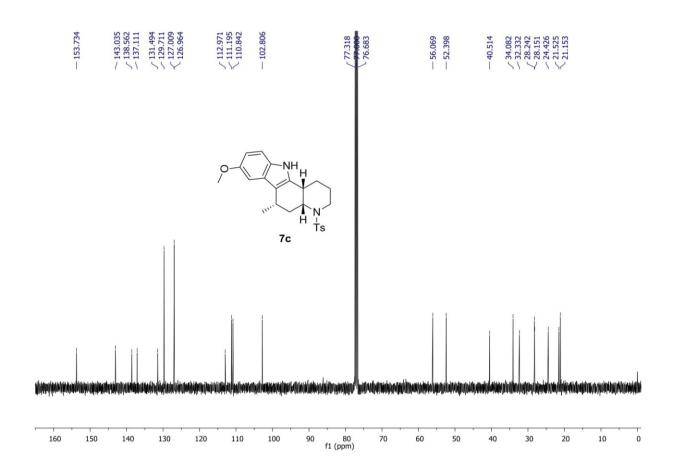




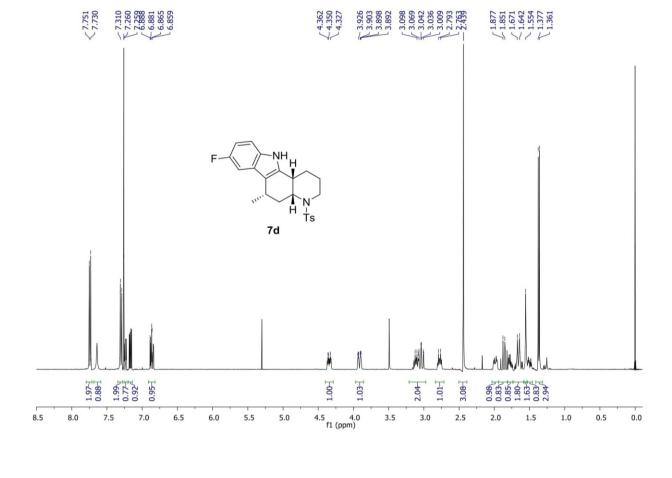


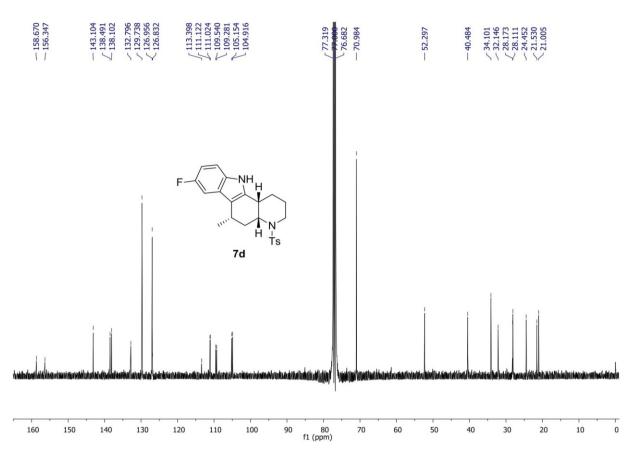
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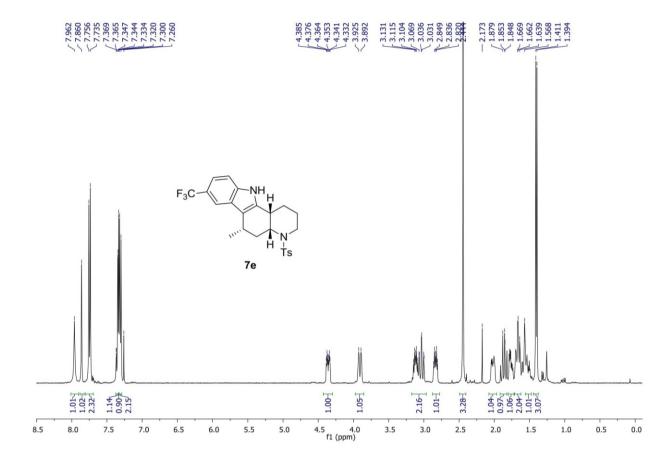


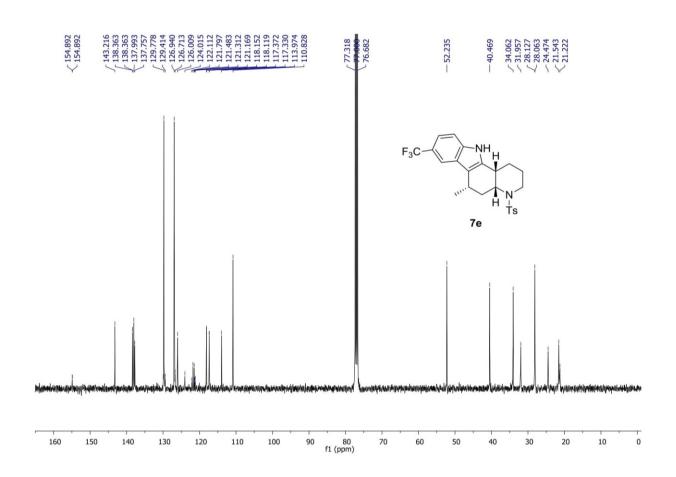
signal at 70.9 ppm being parasitic signal from the NMR machine





\$37





#### X-Ray Crystallographic Data

X-Ray crystallographic Data for compound 4a

CCDC 1476675 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from the Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data\_request/cif

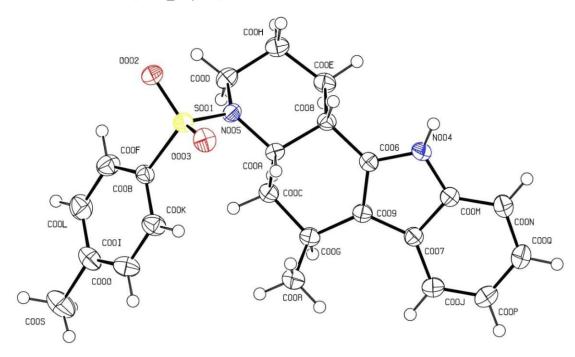


Table 3. Crystal data and structure refinement for 4a.

Identification code	p1585c
Empirical formula	C23 H26 N2 O2 S
Formula weight	394.52
Temperature	208 K
Wavelength	0.71073 Å
Crystal system	Monoclinic

Space group P 21/c (No. 14)

Unit cell dimensions a = 14.776(3) Å  $\alpha = 90^{\circ}$ .

b = 9.354(2) Å  $\beta$ = 94.17(3)°.

c =14.360(3) Å  $\gamma$  = 90°.

Volume 1979.5(7) Å<sup>3</sup>

Z 4

Density (calculated) 1.324 Mg/m<sup>3</sup>
Absorption coefficient 0.185 mm<sup>-1</sup>

F(000) 840

Crystal size  $0.07 \times 0.25 \times 0.53 \text{ mm}^3$ 

Theta range for data collection 2.6 to 27.5°.

**Table 4.** Atomic coordinates (  $\times$  10<sup>4</sup>) and equivalent isotropic displacement parameters (Å<sup>2</sup>x 10<sup>3</sup>) for p1585c. Parameters of the non-Hydrogen atoms for: p1585c P 21/c R = 0.05 U(eq) is defined as one third of the trace of the orthogonalized U<sup>ij</sup> tensor.

	х	У	Z	U(eq)
5001	0.40290(3)	0.77954(6)	0.57944(3)	0.0259(1)
0002	0.48372(8)	0.86539(16)	0.59223(9)	0.0326(4)
0003	0.38857(9)	0.66776(15)	0.64496(8)	0.0318(4)
1004	0.33093(11)	0.24063(19)	0.33126(11)	0.0282(5)
1005	0.40455(10)	0.70656(17)	0.47755(10)	0.0250(5)
0006	0.31249(12)	0.3774(2)	0.36039(12)	0.0245(6)
0007	0.17961(12)	0.2719(2)	0.31596(12)	0.0265(6)
0008	0.38393(11)	0.4809(2)	0.39469(12)	0.0225(6)
0009	0.22112(12)	0.4012(2)	0.35133(12)	0.0261(6)
C00A	0.33633(11)	0.5964(2)	0.44869(12)	0.0240(6)
СООВ	0.30839(12)	0.8933(2)	0.57866(12)	0.0245(6)
C00C	0.25294(12)	0.6550(2)	0.39099(13)	0.0283(6)
C00D	0.44791(13)	0.7774(2)	0.40104(13)	0.0297(6)
00E	0.43421(12)	0.5454(2)	0.31441(12)	0.0289(6)
COOF	0.31183(14)	1.0302(2)	0.54148(13)	0.0339(7)
000G	0.17858(12)	0.5410(2)	0.37577(14)	0.0309(6)
СООН	0.49788(12)	0.6652(2)	0.34870(13)	0.0308(6)
0001	0.15359(14)	1.0631(3)	0.56712(13)	0.0348(7)
COOJ	0.09007(13)	0.2272(2)	0.29436(13)	0.0339(7)
СООК	0.22838(13)	0.8419(2)	0.61037(13)	0.0332(7)
COOL	0.23504(15)	1.1133(2)	0.53682(14)	0.0388(7)
C00M	0.25027(13)	0.1735(2)	0.30409(13)	0.0279(6)
COON	0.23431(14)	0.0357(2)	0.27137(14)	0.0372(7)
0000	0.15184(14)	0.9269(3)	0.60395(13)	0.0370(7)
COOP	0.07417(14)	0.0894(3)	0.26361(15)	0.0405(7)
C00Q	0.14492(14)	-0.0050(3)	0.25177(14)	0.0419(8)
COOR	0.12054(14)	0.5294(3)	0.45857(16)	0.0467(8)
00S	0.06945(15)	1.1552(3)	0.55820(16)	0.0512(9)



## Approach to cis-Phlegmarine Alkaloids via Stereodivergent Reduction: Total Synthesis of (+)-Serratezomine E and Putative Structure of (-)-Huperzine N

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Supporting Information

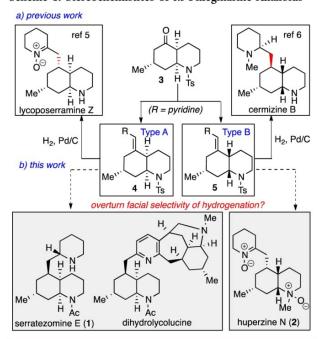
ABSTRACT: A unified strategy for the synthesis of the cisphlegmarine group of alkaloids is presented, leading to the first synthesis of serratezomine E (1) as well as the putative structure of huperzine N (2). A contrasteric hydrogenation method was developed based on the use of Wilkinson's catalyst, which allowed the facial selectivity of standard hydrogenation to be completely overturned. Calculations were performed to determine the mechanism, and structures for huperzines M and N are reassigned.

In the field of natural product synthesis there is a growing trend toward developing strategies that can prepare diverse molecular skeletons from a common intermediate. Such "unified synthesis" approaches have an advantage in that they produce the maximum amount of molecular diversity in the most efficient manner possible, thereby facilitating structureactivity relationship studies. Our interest in this field stems from our research program to develop a unified synthesis of the Lycopodium alkaloids.<sup>2</sup> In particular, our efforts have focused on the phlegmarine alkaloid subset, since not only do their multiple stereochemical arrangements present synthetic challenges, but the core framework, embedded throughout the Lycopodium alkaloids, would constitute an ideal common scaffold in a unified synthesis of these compounds.<sup>3,4</sup>

Previously, we have developed an organocatalyzed tandem cyclization to access 5-oxodecahydroquinoline 3 bearing three stereogenic centers in a one-pot manner. Subsequent coupling generated the first point of diversification, providing vinylpyridines 4 or 5 depending on the conditions employed. Hydrogenation of the formed alkene led to a second point of diversity, which from 4 almost exclusively gave the stereochemistry required for the synthesis of lycoposerramine Z.5 Similarly, hydrogenation of vinylpyridine 5, under the same conditions, allowed the synthesis of cermizine B<sup>6</sup> (Scheme 1). Access to a wide range of C-5 epimeric Lycopodium alkaloids, such as those shown in Scheme 1,7 would require the facial selectivity of this hydrogenation step to be completely overturned.8 We herein report a highly efficient process to achieve this objective and its application to the first total synthesis of serratezomine E.7a Using this strategy, we also accomplished the total synthesis of the putative structure of huperzine N7c and its reassignment.

The selectivity of the hydrogenation of vinylpyridine 4 (Figure 1) using either Pd-C or Raney nickel is believed to be

Scheme 1. Stereochemistries of cis-Phlegmarine Alkaloids



governed by an axially positioned methyl group, which blocks the approach from the lower face of the molecule, leading to the kinetic decahydroquinoline 6b (Table 1, entries 1 and 2). A priori, compound 7 appeared to be a convenient precursor of 8a, since its different conformation (Figure 1) could allow a

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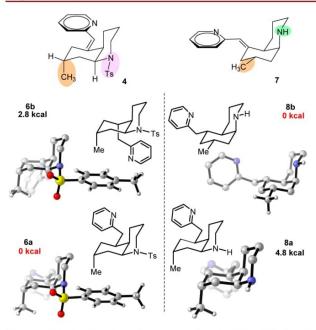


Figure 1. Structural conformations and relative stabilities of 6a/b and 8a/b, computed at B3LYP/6-311G\*\* (LANL2DZ) level of theory.

Table 1. Screening of Conditions for the Reduction  $\operatorname{Reaction}^a$ 

entry	compd	method <sup>a</sup>	yield $^b$ (%)	dr <sup>c</sup> a:b
1	4	H <sub>2</sub> , Pd-C	100	3:97
2	4	H <sub>2</sub> , Ra-Ni	75	14:86
3	7	H <sub>2</sub> , Pd-C	100	36:64
4	4	Mn(dpm) <sub>3</sub> , PhSiH <sub>3</sub> , TBHP	63	73:27
5	7	Mn(dpm) <sub>3</sub> , PhSiH <sub>3</sub> , TBHP	0	
$6^d$	4	Fe <sub>2</sub> (ox) <sub>3</sub> ·H <sub>2</sub> O, NaBH <sub>4</sub> , H <sub>2</sub> O	10	75:25
7°	4	Co(acac) <sub>2</sub> , Et <sub>3</sub> SiH, TBHP	7	nd
$8^d$	4	Fe(acac) <sub>3</sub> , PhSiH <sub>3</sub>	49	67:33
9	4	H <sub>2</sub> , [Ir(PCy <sub>3</sub> ) (cod) (py)]PF <sub>6</sub>	100	68:32
10	4	$H_2$ , [RhCl(PPh <sub>3</sub> ) <sub>3</sub> ]	100	96:4

<sup>a</sup>For detailed reactions conditions, see the Supporting Information. Reactions were performed on a mixture of E/Z isomers (4:1). <sup>b</sup>Yield of hydrogenated compounds refers to the conversion determined from <sup>1</sup>H NMR spectra. <sup>c</sup>The ratio was determined by <sup>1</sup>H NMR spectroscopy of the unpurified reaction mixture. <sup>d</sup>EtOH used as solvent. <sup>e</sup>PrOH used as solvent and 1,4-cyclohexadiene as additive.

kinetic hydrogenation from the bottom face and lead to the thermodynamically more stable epimer 8a. However, hydrogenation of the secondary amine 7 (entry 3) did not give the expected reversal of selectivity. An explanation is that the haptophilicity of the secondary amino function binds it to the catalyst surface and thus directs the delivery of the hydrogen from the top face of 7 to give 8b as the major epimer.

We then evaluated the reductive radical conditions recently reported by Shenvi, 10 known to give more thermodynamically

favored products. Calculations showed that the targeted 6a was 2.8 kcal more stable than its epimer 6b (Figure 1), and indeed, it was obtained as the main compound in a ratio of 73:27 (entry 4), although it was difficult to separate from significant amounts of byproducts (>30%).11 When the same conditions were applied to the N-H compound 7, there was no reaction and the starting material was completely recovered (entry 5). Similar radical-based methods based on other protocols, either directly or modified, were also evaluated, but with no significant improvements (entries 6-8). We then assessed homogeneous hydrogenation catalysts and were pleased to observe that Crabtree's catalyst provided the same stereoselectivity as Mn(dpm)<sub>3</sub> but without any byproducts (entry 9). Finally, Wilkinson's catalyst proved more successful, enabling us to achieve almost complete diastereoselectivity (96:4) in a clean quantitative manner using only 2 mol % of catalyst (entry

Given the sterically impeded nature of the  $\beta$ , $\beta$  disubstituted vinylpyridine and large size of Wilkinson's catalyst, we presumed the reaction proceeded via a coordination of the catalyst. <sup>14</sup> Indeed, when the analogous benzene analogue of 4 (not shown) lacking the pyridine nitrogen was prepared, no reduction was observed.

To understand the reaction and account for the excellent stereocontrol observed, calculations were performed, and the proposed reaction mechanism is outlined in Scheme 2. The hydrogenated Wilkinson's catalyst (I) forms an initial complex A by coordination to the double bond and the pyridine nitrogen atom of the substrate, releasing two molecules of phosphine in the process, which can occur through both faces of the double bond. In complex A, the Rh atom is coordinated to the pyridine ring and the double bond with short interatomic Rh-N (2.4 Å) and Rh-alkene (2.3 Å) distances, inducing a slight deconjugation of the double bond and the pyridine ring, which is partially responsible for its 10 kcal/mol higher energy than the initial hydrogenated Wilkinson catalyst. Thus, the initial equilibrium between the starting materials and A is shifted toward the former (Scheme 2). However, the very low activation energy required for the hydro-rhodation (TS-down is only 4 kcal/mol above A) makes the whole process feasible, triggering an easy formation of C, and the consumption of the starting material. After the insertion of hydrogen into C, the reaction proceeds through reductive elimination, liberating the final product D. As mentioned, the hydro-rhodation step can occur on either face of the double bond, through two diastereoisomeric transition states, TS-down and TS-up (E  $\rightarrow$  G). The computed activation energies predict that TS-down is favored by 2.8 kcal/mol over TS-up, justifying the experimental formation of the major diastereoisomer 6a. The main difference between the two diasteromeric transition states consists of the different orientation of the N-tosyl moiety of the substrate. In B, the phenyl ring of the tosyl group forms at least three strong  $\pi$ -stacking interactions, with one of the rings of the PPh3 group, and with two different H atoms of the bicyclic skeleton (see Supporting Information). During the transition state, the Rh-alkene bond is even tighter than in A (2.1 Å), inducing a weakening of the Rh-N coordination (2.5 Å).

With the optimum reduction method in hand, transformation of 4 (prepared in six steps from the commercially available 5-aminopentanoic acid) led to a concise synthesis of serratezomine E (1, Scheme 3). Hydrogenation with Wilkinson's catalyst and removal of the tosyl group of 6a led to the secondary amine 8a in a pure form and the introduction

Organic Letters Letter

#### Scheme 2. Proposed Mechanism for the Rh-Catalyzed Hydrogenation of 4

Ts N RhCl(PPh<sub>3</sub>)<sub>3</sub>

Ts N RhCl(PPh<sub>3</sub>)<sub>3</sub>

$$A + Ph_3P + Rh + H \\ PPh_3 + Rh + H \\ Ph_3P +$$

Scheme 3. Synthesis of Serratezomine E (1)

of the required acetyl group gave 9. Subsequent reduction of the pyridine provided serratezomine E (1) as a white solid,  $^{15}$  whose structure was unequivocally confirmed by X-ray analysis (Figure 2), having the absolute configuration (S) at the C2 piperidine ring and (R) at the C7 decahydroquinoline ring, characteristic of phlegmarine alkaloids.  $^{16,17}$ 

An analogous procedure allowed for the synthesis of huperzine N (2, Scheme 4). Hydrogenation of 5 with

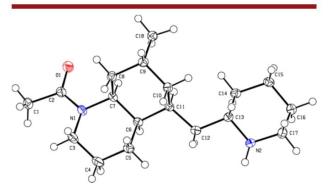


Figure 2. X-ray structure of (+)-serratezomine E (1).

Scheme 4. Synthesis of Putative Huperzine N (2)

Wilkinson's catalyst gave the desired epimer 10 in a 9:1 ratio. Removal of the tosyl group, formation of the N-methyl via reductive amination with  ${\rm ZnCl_2}$ ,  $^{18}$  and reduction of the pyridine gave 11 in good overall yield. Finally, oxidation with  ${\rm Na_2WO_4/urea\cdot H_2O_2}^5$  gave the reported structure of huperzine N, although the NMR spectra of 2 did not match those described (see the Supporting Information). Instead, the  $^{13}{\rm C}$  NMR data of natural huperzine N would be explained by structure 14 (Figure 3), whose NMR data are consistent with the N-oxide form of the previously isolated lycoposerramine Y.  $^{19}$  Indeed, the closely related alkaloid huperzine M (15) $^{7c}$  should also be

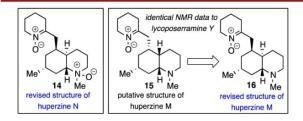


Figure 3. Revised structure for huperzines M and N.

Organic Letters Letter

reassigned, its NMR data being identical to those of lycoposerramine Y (16).

In summary, a divergent hydrogenation protocol was developed that provides access to a range of *Lycopodium* compounds unattainable by standard hydrogenation of common vinylpyridine intermediates. Via rhodium complexation with the pyridine nitrogen and selective facial delivery, it was possible to invert the course of hydrogenation from 97:3 to 4:96 dr. This method was successfully applied for the first total synthesis of serrazomine E as well as huperzine N. The latter turned out to be a putative structure, and the natural one was structurally reassigned. The application of this strategy to other *cis* and *trans Lycopodium* alkaloids is now in progress.

#### ■ ASSOCIATED CONTENT

#### S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.or-glett.5b02581.

Experimental procedures, spectroscopic and analytical data, and NMR spectra of new compounds; Cartesian coordinates and energies for all species considered in Scheme 2 (PDF)

X-ray data for 1(CIF)

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#### Notes

The authors declare no competing financial interest.

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# An approach to *cis*-phlegmarine alkaloids via stereodivergent reduction: total synthesis of (+)-serratezomine E and putative structure of (-)-huperzine N

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#### **Contents**

Experimental and NMR Data of compounds 1, 2, 6a-13, including comparison of	
<sup>1</sup> H- and <sup>13</sup> C NMR data for serratezomine E and <b>1</b> (tables S1 and S2);	
huperzine N and 2 (Tables S3 and S4), and huperzine M and	
lycoposerramine Y (Tables S5 and S6)	S2
Copies of <sup>1</sup> H- and <sup>13</sup> C-NMR spectra of compounds 1, 2, 6a-13	S20
X-Ray data for serratezomine E (1)	S42
Cartesian coordinates and potential energies of all species studied in Scheme 2	S54

#### **Experimental Section**

General: All reactions were carried out under an argon atmosphere with dry solvents under anhydrous conditions. Drying of organic extracts during workup of reactions was performed over anhydrous Na<sub>2</sub>SO<sub>4</sub> except where otherwise stated. Evaporation of solvents was accomplished with a rotatory evaporator. Analytical thin-layer chromatography was performed on SiO<sub>2</sub> (Merck silica gel 60 F<sub>254</sub>) or Al<sub>2</sub>O<sub>3</sub> (Merck TLC aluminium oxide neutral 60 F<sub>254</sub>), and the spots were located with aqueous KMnO<sub>4</sub>, vanilline or iodoplatinate. Chromatography refers to flash chromatography and was carried out on SiO<sub>2</sub> (SDS silica gel 60 ACC, 35-75 μm, 230-240 mesh ASTM) or Al<sub>2</sub>O<sub>3</sub> (Aluminium oxide neutral, 63 – 200 μm). NMR spectra were recorded in CDCl<sub>3</sub> on a Varian Mercury 400 MHz or Varian VNMRS 400 MHz. Chemical shifts of <sup>1</sup>H and <sup>13</sup>C NMR spectra are reported in ppm downfield (δ) from Me<sub>4</sub>Si. All NMR data assignments are supported by COSY and HSQC experiments. Compounds 3-5 were synthesized according to our previous published procedures. <sup>1</sup>

#### (4aR,7R,8aS)-7-Methyl-5-(pyridin-2-ylmethylene)decahydroquinoline (7)

To a stirred solution of sulfonamide  $4^2$  (500 mg, 1.26 mmol) in THF (108 mL) was added LiAlH<sub>4</sub> (493 mg, 12.9 mmol) at 0 °C. The resulting mixture was stirred at room temperature for 72 h. The reaction was quenched by the careful addition of water (0.5 mL), 15% aq. NaOH (0.5 mL) and a second portion of water (1.5 mL). The mixture was diluted with CH<sub>2</sub>Cl<sub>2</sub> before it was filtered through a pad of celite, and washed through with CH<sub>2</sub>Cl<sub>2</sub>. The filtrate was concentrated *in vacuo* and the product was purified by chromatography (2.5 $\rightarrow$ 5% MeOH/CH<sub>2</sub>Cl<sub>2</sub> followed by 1:2:0.1 MeOH/CH<sub>2</sub>Cl<sub>2</sub>/concd NH<sub>4</sub>OH) to give 7 as a 1:5.9 mixture of Z/E isomers (244 mg, 80%)  $R_f$  0.57 (1:2:0.1

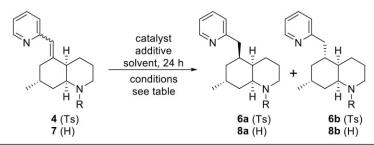
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<sup>&</sup>lt;sup>2</sup> Compound 4 is a 4.2:1 epimeric mixture of E and Z isomers, respectively

MeOH/CH<sub>2</sub>Cl<sub>2</sub>/concd NH<sub>4</sub>OH); <sup>1</sup>H NMR *E*-isomer<sup>3</sup> (400 MHz, COSY) δ 0.90 (d, J = 6.0 Hz, 3H, Me), 1.60 (m, 1H, H-4), 1.50 (m, 1H, H-8), 1.70 (m, 1H, H-3), 1.90 (m, 1H, H-4), 2.00 (m, 2H, H-6, H-8), 2.03 (m, 1H, H-7ax), 2.23 (m, 1H, H-3), 2.58 (q, J = 4.0 Hz, 1H, H-4a), 2.90 (td, 1H, J = 12.4, 3.4 Hz H-2ax), 2.94 (m, 1H, H-6), 3.16 (dm, J = 12.4 Hz, 1H, H-2eq), 3.55 (q, J = 4.0 Hz, 1H, H-8a), 6.48 (s, 1H, C=CH), 7.08 (dd, J = 8.0, 4.8 Hz 1H, H-5 Py), 7.23 (d, J = 8.0 Hz, 1H, H-3 Py), 7.62 (td, J = 8.0, 1.2 Hz, 1H, H-4 Py), 8.57 (dd, J = 4.8, 1.2 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC) *E*-isomer δ 20.9 (CH<sub>3</sub>), 21.6 (C-4), 25.3 (C-3), 28.0 (C-7), 38.3 (C-6), 38.6 (C-8), 42.1 (C-4a), 44.5 (br, C-2), 55.4 (br, C-8a), 120.9 (C-5 Py), 124.3 (C-3 Py), 125.4 (=CH), 135.9 (C-4 Py), 143.7 (C-6), 149.0 (C-6 Py), 157.0 (C-2 Py); HRMS calcd for C<sub>16</sub>H<sub>23</sub>N<sub>2</sub> (M+H)<sup>+</sup> 243.1856, found 243.1855.

Table S1: Screening of conditions for reduction reaction



entry	R	catalyst	loading	solvent <sup>a</sup>	conv (%)	Ratio a:b
1	Ts	Pd/C	20% w/w	MeOH	100	3:97
2	Ts	Pd/C	20% w/w	CH <sub>2</sub> Cl <sub>2</sub>	100	10:90
3	Ts	Ni raney	20% w/w	MeOH	75	14:86
4	Ts	Mn(dpm) <sub>3</sub> , TBHP	10%/150%	<i>i</i> PrOH	63	73:27
5	Ts	Fe <sub>2</sub> (ox) <sub>3</sub> .6H <sub>2</sub> O, NaBH <sub>4</sub>	200%/400%	EtOH/H₂O	0	
6	Ts	Co(acac) <sub>2</sub> , TBHP, 1,4-CHD	100%/100%/500%	n-PrOH	7	nd
7	Н	Mn(dpm) <sub>3,</sub> TBHP	10%/150%	i-PrOH	0	
8	Н	Pd/C	20% w/w	MeOH	100	36:64
9	Н	Pd/C	20% w/w	MeOH, AcOH (10 eq.)	100	27:73
10	Н	Pd/C	20% w/w	AcOH	100	35:65
11	Ts	Crabtree	15 mol%	CH <sub>2</sub> Cl <sub>2</sub>	100	68:32
12	Н	Crabtree	15 mol%	CH <sub>2</sub> Cl <sub>2</sub>	0	-
13	Ts	Crabtree	15 mol%	MeOH	100	86:14
14	Ts	Wilkinson	15 mol%	CH <sub>2</sub> Cl <sub>2</sub>	100	91:9
15	Ts	Wilkinson	15 mol%	MeOH	100	95:5
16	Н	Wilkinson	15 mol%	MeOH	0	-
17 <sup>b</sup>	Ts	Wilkinson	15 mol%	MeOH	100	95:5
18°	Ts	Wilkinson	15 mol%	MeOH	100	95:5
19	Ts	Wilkinson	5 mol%	MeOH	100	96:4
20	Ts	Wilkinson	2 mol%	MeOH	100	96:4

<sup>&</sup>lt;sup>a</sup> Solvent concentration: 0.04 M. <sup>b</sup> E isomer only. <sup>c</sup> Z isomer only.

-

<sup>&</sup>lt;sup>3</sup> Minor signals at 1.00 ( $\delta$ , J = 6.8 Hz, 3H, Me), 6.29 (s, 1H, C=CH) were observed for Z-isomer.

### (4aR,5S,7R,8aS)-7-Methyl-1-(4-methylphenylsulfonyl)- 5-(pyridin-2ylmethyl)decahydroquinoline (6a)

To a stirred solution of 4 (1.06 g, 2.67 mmol) in MeOH (100 mL) was added Wilkinson's catalyst RhCl(PPh<sub>3</sub>)<sub>3</sub> (50 mg, 0.054 mmol, 2 mol%) at room temperature. The resulting mixture was rapidly evacuated and backfilled with hydrogen 3 times and then stirred under an atmosphere of H<sub>2</sub> for 16 h. Evaporation of the solvent and purification by chromatography (10→25→40% EtOAc in hexanes) gave 6a (989 mg, 93%) as a white solid<sup>4</sup>: mp 115-116 °C;  $R_f$  0.39 (50% EtOAc/hexanes);  $[\alpha]_D$  +29.4 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  0.98 (d, J = 7.3 Hz, 3H, CH<sub>3</sub>), 1.05-1.15 (m, 2H, H-6 and H-8), 1.19-1.30 (m, 1H, H-3), 1.30-1.46 (m, 1H, H-6), 1.46-1.59 (m, 2H, H-4), 1.59-1.67 (m, 2H, H-3 and H-4a), 1.93 (td, J = 13.0, 5.3 Hz, 1H, H-8), 1.99-2.07 (m, 1H, H-7), 2.28-2.38 (m, 1H, H-5), 2.40 (s, 3H, ArCH<sub>3</sub>), 2.59 and 2.66 (2 dd, J =13.6, 7.6 Hz, 1H each, CH<sub>2</sub>Py), 2.93 (td, J = 13.4, 2.8 Hz, 1H, H-2ax), 3.70 (dd, J =13.4, 3.0 Hz, 1H, H-2eq), 4.17 (td, J = 13.0, 4.3 Hz, 1H, H-8a), 7.07 (d, J = 7.8 Hz, 1H, H-3 Py), 7.12 (ddd, J = 7.6, 4.8, 1.0 Hz, 1H, H-5 Py), 7.22 (d, J = 8.2 Hz, 2H, m-Ts), 7.58 (td, J = 7.6, 1.0 Hz, 1H, H-4 Py), 7.65 (dt, J = 8.2, 1.8 Hz, 2H, o-Ts), 8.52 (d, J =4.8 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC) δ 17.1 (C-4), 18.5 (CH<sub>3</sub>), 21.5 (ArCH<sub>3</sub>), 24.9 (C-3), 27.5 (C-7), 28.2 (C-8), 31.6 (C-6), 35.3 (C-5), 38.7 (C-4a), 40.2 (C-2), 41.8 (CH<sub>2</sub>Py), 51.2 (C-8a), 121.0 (C-5 Py), 123.2 (C- 3 Py), 126.8 (o-Ts), 129.5 (m-Ts), 136.2 (C-4 Py), 138.7 (ipso-Ts), 142.6 (p-Ts), 149.2 (C-6 Py), 160.5 (C-2 Py); HRMS calcd for  $C_{23}H_{31}N_2O_2S$  (M+H)<sup>+</sup> 399.2101, found 399.2110.

<sup>&</sup>lt;sup>4</sup> Presence of 5% of the 5R epimer (6b), whose data match the those reported in the literature: Bradshaw, B.; Luque-Corredera, C.; Bonjoch, J. Org. Lett. 2013, 15, 326-329.

#### (4aR,5S,7R,8aS)-7-Methyl-5-(pyridin-2-ylmethyl)decahydroquinoline (8a)

A solution of **6a** (855 mg, 2.15 mmol) and phenol (707 mg, 7.51 mmol) in HBr 48% (15 mL) was stirred at reflux for 3 h. The reaction was quenched by addition of H<sub>2</sub>O (15 mL) and diluted with EtOAc (15 mL). The organic layer was separated, and the aqueous layer was basified with sat. aq. NaOH and extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 × 25 mL). The combined organic extracts were dried over MgSO<sub>4</sub>, concentrated and the resulting crude chromatography alumina  $(0 \rightarrow 2.5 \rightarrow 5 \rightarrow 10\%$ material was purified by on MeOH/CH<sub>2</sub>Cl<sub>2</sub>) to give amine 8a (464 mg, 89 %) as a white foam/oil:  $R_f$  0.15 (10%) MeOH/CH<sub>2</sub>Cl<sub>2</sub>);  $[\alpha]_D$  +8.7 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  0.98 (d, J = 7.2Hz, 3H, CH<sub>3</sub>), 1.18 (br d, J = 14.0 Hz, 1H, H-6eq), 1.44 (td, J = 14.0, 4.8 Hz, 1H, H-6ax), 1.52-1.72 (m, 2H, H-4), 1.78 (br d, J = 13.2 Hz, H-8eq), 1.81-1.91 (m, 2H, H-3), 2.03 (td, J = 13.2, 5.6 Hz, 1H, H-8ax), 2.12-2.24 (m, 2H, H-7 and H-4a), 2.28-2.38 (m, 1H, H-5), 2.61 (dd, J = 13.6, 8.8 Hz, 1H, CH<sub>2</sub>Py), 2.74 (dd, J = 13.6, 6.4 Hz, 1H,  $CH_2Py$ ), 2.93 (td, J = 12.2, 5.2 Hz, 1H, H-2ax), 3.17 (br d, J = 12.2 Hz, 1H, H-2eq), 3.68 (dt, J = 9.6, 4.4 Hz, 1H, H-8a), 7.09 (d, J = 7.6 Hz, 1H, H-3 Py), 7.11 (dd, J = 7.6, 4.8 Hz, 1H, H-5 Py), 7.58 (td, J = 7.6, 1.8 Hz, 1H, H-4 Py), 8.50 (d, J = 4.8 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC) δ 16.3 (C-4), 18.2 (CH<sub>3</sub>), 22.4 (C-3), 27.0 (C-7), 27.2 (C-8), 31.2 (C-6), 34.4 (C-5), 36.9 (C-4a), 38.3 (C-2), 41.6 (CH<sub>2</sub>Py), 50.3 (C-8a), 121.3 (C-5 Py), 123.1 (C-3 Py), 136.4 (C-4 Py), 149.3 (C-6 Py), 160.0 (C-2 Py); HRMS calcd for  $C_{16}H_{25}N_2 (M+H)^+$  245.2012, found 245.2021.

#### (4aR,5S,7R,8aS)-1-Acetyl-7-methyl-5-(pyridin-2-ylmethyl)decahydroquinoline (9)

AcCI (3.5 equiv)
pyridine (3.5 equiv)
$$CH_2CI_{2,} \ 0 \ ^{\circ}C \rightarrow rt, \ 1.5 \ h$$

$$95 \ \%$$

To a stirred solution of amine **8a** (350 mg, 1.43 mmol) and pyridine (405  $\mu$ L, 5.01 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (25 mL) at 0 °C was added dropwise acetyl chloride (360  $\mu$ L, 5.01 mmol), and the mixture was stirred at 0 °C for 30 min, and then at room temperature for 1 h. The reaction was quenched by addition of saturated NaHCO<sub>3</sub> (15 mL). The phases were separated and the aqueous layer was extracted with CH<sub>2</sub>Cl<sub>2</sub> (4 x 20 mL). The combined organic extracts were dried, concentrated and purified by chromatography on silica (0 $\rightarrow$ 2.5 $\rightarrow$ 5 $\rightarrow$ 10% MeOH/CH<sub>2</sub>Cl<sub>2</sub>) providing **9** (389 mg, 95 %) as a 4:5 mixture of rotamers (colourless oil).  $R_f$  0.46 (5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>); [ $\alpha$ ]<sub>D</sub> +44.0 (c 1, CHCl<sub>3</sub>); HRMS calcd for C<sub>18</sub>H<sub>26</sub>N<sub>2</sub>O (M+H)<sup>+</sup> 287.2118, found 287.2126.

Rotamer *Z*: <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  1.06 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.13-1.21 (m, 2H, H-6 and H-8), 1.21-1.33 (m, 1H, H-3), 1.41-1.52 (m, 1H, H-6), 1.52-1.69 (m, 3H, H-4 and H-4a), 1.69-1.76 (m, 1H, H-3), 1.94 (ddd, J = 13.0, 13.0, 5.3 Hz, 1H, H-8ax), 2.01 (s, 3H, COCH<sub>3</sub>), 2.06-2.20 (m, 1H, H-7), 2.28-2.44 (m, 1H, H-5), 2.62-2.75 (m, 2H, CH<sub>2</sub>Py), 3.10 (td, J = 13.2, 3.2 Hz, 1H, H-2ax), 3.51 (ddd, J = 13.2, 2.4, 2.4 Hz, 1H, H-2eq), 4.86 (ddd, J = 13.2, 4.0, 4.0 Hz, 1H, H-8a), 7.04-7.14 (m, 2H, H-3 Py and H5-py), 7.56 (ddd, J = 7.2, 1.2, 1.2 Hz, 1H, H-4 Py), 8.49 (bd, J = 4.0 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC)  $\delta$  17.5 (C-4), 18.7(CH<sub>3</sub>), 22.1 (COCH<sub>3</sub>), 25.9 (C-3), 27.7 (C-7), 28.4(C-8), 32.1 (C-6), 35.1 (C-5), 38.3 (C-4a), 41.7 (C-2), 42.0 (CH<sub>2</sub>Py), 46.2 (C-8a), 121.0 (C-5 Py), 123.0 (C- 3 Py), 136.1 (C-4 Py), 149.2 (C-6 Py), 160.8 (C-2 Py), 168.6 (CO).

Rotamer E: <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  1.01 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.13-1.21 (m, 2H, H-6 and H-8), 1.21-1.33 (m, 1H, H-3), 1.41-1.52 (m, 1H, H-6), 1.52-1.69 (m, 3H, H-4 and H-4a), 1.69-1.76 (m, 1H, H-3), 2.04 (s, 3H, COCH<sub>3</sub>), 2.06-2.20 (m, 2H, H-7 and H-8), 2.28-2.44 (m, 1H, H-5), 2.60 (ddd, J = 14.0, 14.0, 3.6 Hz, 1H, H-2ax), 2.62-2.75 (m, 2H, CH<sub>2</sub>Py), 3.92 (ddd, J = 12.4, 4.0, 4.0 Hz, 1H, H-8a), 4.44 (ddd, J = 13.2, 3.2, 3.2 Hz, 1H, H-2eq), 7.04-7.14 (m, 2H, H-3 Py and H-5 Py), 7.58 (ddd, J = 7.6, 1.6, 1.6 Hz, 1H, H-4 Py), 8.54 (bd, J = 4.0 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC)  $\delta$ 

17.7 (C-4), 18.4(CH<sub>3</sub>), 21.3 (COCH<sub>3</sub>), 24.9 (C-3), 27.3 (C-7), 29.8 (C-8), 31.5 (C-6), 35.2 (C-5), 36.3 (C-2), 39.8 (C-4a), 42.0 (CH<sub>2</sub>Py), 52.0 (C-8a), 121.1 (C-5 Py), 123.4 (C-3 Py), 136.2 (C-4 Py), 149.4 (C-6 Py), 160.5 (C-2 Py), 169.0 (CO).

### (4aR,5S,7R,8aS)-1-Acetyl-7-Methyl-5-[(2S)-pyridin-2-ylmethyl]decahydroquinoline (1, serratezomine E)

To a stirred solution of 9 (207 mg, 0.72 mmol) in AcOH (6 mL) was added PtO<sub>2</sub> (20% w/w, 40 mg) at rt. The resulting mixture was evacuated and backfilled with hydrogen 3 times and then stirred under an atmosphere of H<sub>2</sub> for 16 h. The mixture was diluted with CH<sub>2</sub>Cl<sub>2</sub> (10 mL) before it was filtered through a pad of celite and washed through with CH<sub>2</sub>Cl<sub>2</sub>. The filtered solution was washed with 1 N NaOH, dried and concentrated in vacuo. The resulting crude material was purified by crystallization from hexane to give 1 (84 mg, 40%) as a white solid and as a 4:5 mixture of Z/E rotamers.  $R_f$  0.53 (90/10/1 CH<sub>2</sub>Cl<sub>2</sub>/MeOH/conc NH<sub>4</sub>OH); [α]<sub>D</sub> +9.0 (*c* 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY) δ 1.06 and 1.09 (2d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.10 (masked, 1H), 1.12 (m, 1H), 1.18 (m, 1H), 1.20 (m, 2H), 1.35 (m, 1H) 1.40 (m, 1H), 1.42 (m, 1H), 1.40-1.50 (m, 2H), 1.55-1.60 (m, 2H), 1.65 (m, H-4a, 0.5H, Z), 1.75 (m, 2H and H-4a, E), 1.94 (m, 2H), 2.06 and 2.10 (2s, 3H, COCH<sub>3</sub>), 2.10-2.20 (m, 2H, H-7 and H-8), 2.45 (m, 1H, H-2'), 2.60 (m, 1H, H-6'), 2.63 (td, J = 12.2, 2.8 Hz, 0.5H, H-2ax, E), 3.05 (m, 1H, H-6'), 3.12 (td, J = 13.2, 4.0 Hz, 1H, H-2ax, Z), 3.55 (dm, J = 13.2 Hz, 1H, H-2eq, Z), 3.90 (dt, J = 13.2 Hz, 1H, 2H-2eq, Z)12.2, 4.0, 0.5 H, H-8a, E), 4.45 (dm, J = 13.0 Hz, 0.5 H, H-2eq, E), 4.88 (ddd, J = 13.2, 4.4, 0.5 Hz, 1H, H-8a, Z); <sup>13</sup>C NMR (400 MHz, HSQC) Z rotamer δ 17.5 (C-4), 18.7 (CH<sub>3</sub>), 22.1 (COCH<sub>3</sub>), 25.9 (C-3), 27.7 (C-7), 28.4 (C-8), 32.1 (C-6), 35.1 (C-5), 38.3 (C-4a), 41.8 (C-2), 42.0 (CH<sub>2</sub>Py), 46.2 (C-8a), 121.0 (C-5 Py), 123.0 (C- 3 Py), 136.1 (C-4 Py), 149.2 (C-6 Py), 160.8 (C-2 Py), 168.6 (CO); E rotamer δ 17.7 (C-4), 18.4 (CH<sub>3</sub>), 21.3 (COCH<sub>3</sub>), 24.9 (C-3), 27.3 (C-7), 29.8 (C-8), 31.5 (C-6), 35.2 (C-5), 36.4 (C-2), 39.8 (C-4a), 42.0 (CH<sub>2</sub>Py), 52.0 (C-8a), 121.1 (C-5 Py), 123.4 (C- 3 Py), 136.2 (C-4 Py), 149.4 (C-6 Py), 160.5 (C-2 Py), 169.0 (CO). HRMS calcd for  $C_{18}H_{33}N_2O$  (M+H) $^+$  293.2587, found 293.2594.

For comparison of NMR data for natural serratezomine E (partially protonated) and compound 1, see Tables S1 and S2.

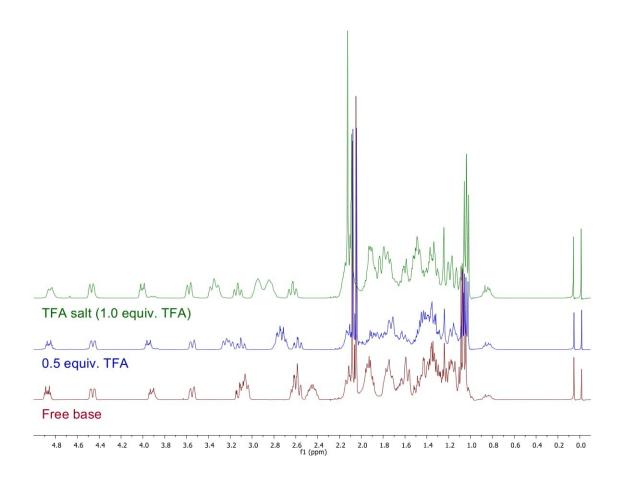


Figure 1:  $^1H$  NMR spectra of synthetic serratezomine E (1) in  $CDCl_3$  before and after addition of trifluoroacetic acid

**Table S1**. <sup>1</sup>H NMR data for (+) serratezomine E

serratezomine E

	Rotamer E	E	Rotamer	Z
	$\delta$ <sup>1</sup> H	δ <sup>13</sup> C	$\delta$ <sup>1</sup> H	δ <sup>13</sup> C
1	3.25 (m)	45.3	3.25 (m)	45.3
	2.59 (m)		2.59 (m)	
2	1.75 (m)	23.4	1.75 (m)	23.4
	1.67 (m)		1.67 (m)	
3	1.94 (m)	28.4	1.83 (m)	30.2
	1.28 (m)		1.39 (m)	
4	1.87 (m)	23.0	1.87 (m)	23.0
	1.41 (m)		1.41 (m)	
5	2.76 (m)	54.0	2.76 (m)	54.0
6	1.61 (m)	37.6	1.61 (m)	37.6
	1.29 (m)		1.29 (m)	
7	1.94 (m)	29.6	1.94 (m)	29.6
8	1.38 (m)	33.1	1.38 (m)	32.5
	1.10 (m)		1.18 (m)	
9	3.56 (dd, 13.5, 4.3)	41.8	4.47 (dd, 12.9, 3.7)	36.4
	3.31 (dt, 13.5, 3.0)		2.59 (dt, 13.8, 3.0)	
10	1.75 (m)	25.9	1.75 (m)	24.9
	1.38 (m)		1.32 (br d, 14)	
11	1.48 (m)	17.1	1.47 (m)	16.9
12	1.57 (m)	37.4	1.70 (m)	39.7
13	4.85 (dt, 13.8, 4.6)	46.0	3.90 (dt, 12.6, 4.6)	52.0
14	1.93 (m)	27.6	2.13 (m)	29.6
	1.18 (m)		1.18 (m)	
15	2.13 (m)	27.2	2.13 (m)	27.2
16	1.09 (d, 7.8)	18.8	1.07 (d, 7.2)	18.4
17		169.0		168.8
18	2.04 (s)	22.1	2.06 (s)	21.3

<sup>&</sup>lt;sup>1</sup> <sup>1</sup>H NMR recorded at 600 MHz and <sup>13</sup>C NMR recorded at 150 MHz (*Bioorg. Med. Chem. Lett,* **2009**, *19*, 3577-3580)

Table S2. <sup>1</sup>H NMR data for synthetic 1 (NH form)

serratezomine E

	Rotamer Z		Rotamer E	
-	$\delta$ <sup>1</sup> H	δ <sup>13</sup> C	$\delta$ <sup>1</sup> H	δ <sup>13</sup> C
1	3.08 (m)	47.1	3.08 (m)	47.1
	2.62 (m)		2.62 (m)	
2	1.72 (m)	26.6*	1.72 (m)	26.5*
	1.28 (m)		1.28 (m)	
3	1.87 (m)	23.0	1.87 (m)	23.0
	1.41 (m)		1.41 (m)	
4	1.94 (m)	28.4	1.83 (m)	30.2
	1.28 (m)		1.39 (m)	
5	2.46 (m)	53.4	2.46 (m)	53.4
6	1.23 (m)	40.9*	1.23 (m)	40.7*
7	1.94 (m)	29.5	1.94 (m)	29.5
8	1.35 (m)	32.8	1.35 (m)	32.5
	1.15 (m)		1.15 (m)	
9	3.56 (br d, 13.2)	41.8	4.47 (dd, 13.6, 2.8)	36.4
	3.12 (ddd, 13.2, 13.2, 3.2)		2.58 (m)	
10	1.75 (m)	26.0	1.75 (m)	25.0
	1.36 (m)		1.30 (m)	
11	1.44 (m)	17.5	1.44 (m)	17.5
12	1.65 (m)	38.5	1.78 (m)	39.7
13	4.89 (ddd, 13.2,4.4, 4.4)	46.3	3.90 (ddd, 12.0, 4.4, 4.4)	52.1
14	1.90 (m)	27.6	2.13 (m)	29.6
	1.20 (m)		1.18 (m)	
15	2.12 (m)	27.3*	2.12 (m)	27.7*
16	1.09 (d, 7.3)	18.7	1.07 (d, 7.2)	18.5
17		169.1		168.7
18	2.06 (s)	22.1	2.10 (s)	21.3

<sup>&</sup>lt;sup>1</sup> <sup>1</sup>H NMR recorded at 400 MHz and <sup>13</sup>C NMR recorded at 100 MHz. Assignments were aided by gCOSY and gHSQCAD spectra.

## (4aS,5R,7R,8aR)-7-Methyl-1-(4-methylphenylsulfonyl)-5-(pyridin-2-ylmethyl) decahydroquinoline (10)

To a stirred solution of 5 (515 mg, 1.29 mmol) in MeOH (40 mL) was added Wilkinson's catalyst RhCl(PPh<sub>3</sub>)<sub>3</sub> (30 mg, 0.033 mmol, 2.5 mol%) at room temperature. The resulting mixture was rapidly evacuated and backfilled with hydrogen 3 times and then stirred under an atmosphere of H<sub>2</sub> for 16 h. Evaporation of the solvent, and purification by chromatography (10→25→40% EtOAc in hexanes) gave 10 (460 mg, 89%) as a transparent oil.  $R_f$  0.59 (50% EtOAc/hexanes);  $[\alpha]_D - 45.8$  (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  0.83 (ddd, J = 12.8, 12.8, 12.8 Hz, 1H, H-6ax), 0.84 (d, <math>J =5.6 Hz, CH<sub>3</sub>), 1.18-1.26 (m, 1H, H-3), 1.22-1.32 (m, 1H, H-6eq), 1.38-1.44 (m, 1H, H-4), 1.40-1.46 (m, 1H, H-7), 1.38-1.48 (m, 2H, H-8), 1.50-1.60 (m, 1H, H-4), 1.52-1.62 (m, 1H, H-4a), 1.54-1.66 (m, 1H, H-3), 2.10-2.32 (m, 1H, H-5ax), 2.40 (s, 3H, ArCH<sub>3</sub>), 2.59 (dd, J = 13.2, 8.4 Hz, 1H, CH<sub>2</sub>Py), 2.66 (dd, J = 13.2, 7.2 Hz, 1H, CH<sub>2</sub>Py), 2.95 (ddd, J = 13.6, 13.2, 2.4 Hz, 1H, H-2ax), 3.66 (br dd, J = 13.6, 4.0 Hz, H-2eq), 3.96(ddd, J = 10.4, 5.2, 5.2 Hz, 1H, H-8a), 7.05 (dd, J = 8.4, 1.2 Hz, 1H, H-3 Py), 7.11 (ddd, J = 10.4, 5.2, 5.2 Hz, 1H, H-8a), 7.05 (dd, J = 10.4, 1.2 Hz, 1H, H-3 Py), 7.11 (ddd, J = 10.4, 1.2 Pz, 1H, H-3 Pz), 7.11 (ddd, J = 10.4, 1.2 Pz, 1H, H-3 Pz), 7.11 (ddd, J = 10.4, 1.2 Pz), 7.11 (ddd, J = 10.4,J = 7.6, 4.8, 0.8 Hz, 1H, H-5 Py, 7.21 (d, J = 7.8 Hz, 2H, m-Ts), 7.57 (ddd, J = 7.6, 2.0)Hz, 1H, H-4 Py), 7.65 (d, J = 7.8 Hz, 2H, o-Ts), 8.50 (dd, J = 4.8, 0.8 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC) δ 18.0 (C-4), 21.5 (ArCH<sub>3</sub>), 22.1 (CH<sub>3</sub>), 24.9 (C-3), 31.5 (C-7), 32.3 (C-8), 34.5 (C-6), 38.0 (C-4a), 40.5 (C-2), 40.9 (C-5), 41.9 (CH<sub>2</sub>Py), 55.5 (C-8a), 121.0 (C-5 Py), 123.3 (C-3 Py), 126.9 (o-Ts), 129.6 (m-Ts), 136.2 (C-4 Py), 138.7 (p-Ts), 142.6 (ipso-Ts), 149.3 (C-6 Py), 160.6 (C-2 Py); HRMS calcd for  $C_{23}H_{31}N_2O_2S (M+H)^+$  399.2101, found 399.2116.

#### (4aS,5R,7R,8aR)-7-Methyl-5-(pyridin-2-ylmethyl)decahydroquinoline (11)

A solution of **10** (360 mg, 0.903 mmol) and phenol (298 mg, 3.16 mmol) in HBr 48% (6.5 mL) was stirred at reflux for 3 h. The reaction was quenched by addition of H<sub>2</sub>O (10 mL) and diluted with EtOAc (10 mL). The organic layer was separated, and the aqueous layer was basified with sat. aq. NaOH and extracted with  $CH_2Cl_2$  (5 × 20 mL). The combined organic extracts were dried over MgSO<sub>4</sub>, concentrated and purified by chromatography on alumina  $(0\rightarrow2.5\rightarrow5\rightarrow10\% \text{ MeOH/CH}_2\text{Cl}_2)$  to give the secondary amine 11 as a transparent oil:  $R_f$  0.20 (10% MeOH/CH<sub>2</sub>Cl<sub>2</sub> on alumina);  $[\alpha]_D - 20.1$  (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  0.86 (q, J = 12.8 Hz, 1H, H-6ax), 0.90 (d, J =6.4 Hz, 3H, CH<sub>3</sub>), 1.31 (bd, J = 12.8 Hz, 1H, H-6eq), 1.38-1.50 (m, 1H, H-7), 1.42-1.54 (m, 1H, H-4), 1.44-1.63 (m, 2H, H-8), 1.50-1.66 (m, 1H, H-3), 1.56-1.66 (m, 1H, H-4), 1.72-1.80 (m, 1H, H-3), 1.90-2.00 (m, 1H, H-4a), 2.00-2.10 (m, 1H, H-5), 2.61 (dd, J =13.4, 8.4 Hz, 1H,  $CH_2Py$ ), 2.73 (dd, J = 13.4, 6.4 Hz, 1H,  $CH_2Py$ ), 2.86 (ddd, J = 12.8, 12.4, 2.4 Hz, 1H, H-2ax), 2.93 (br d, J = 12.8 Hz, 1H, H-2eq), 3.18 (ddd, J = 11.2, 4.4, 4.4 Hz, 1H, H-8a), 7.05-7.11 (m, 2H, H-3 Py and H-5 Py), 7.57 (ddd, J = 7.2, 7.2, 2.0Hz, 1H, H-4 Py), 8.50 (dd, J = 5.2, 2.0 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC)  $\delta$ 18.0 (C-4), 22.1 (CH<sub>3</sub>), 24.8 (C-3), 31.5 (C-7), 32.3 (C-8), 34.5 (C-6), 37.9 (C-4a), 39.3 (C-2), 40.7 (C-5), 41.8 (CH2Py), 54.6 (C-8a), 121.1 (C-5 Py), 123.3 (C-3 Py), 136.2 (C-4 Py), 149.3 (C-6 Py), 160.7 (C-2 Py); HRMS calcd for  $C_{16}H_{25}N_2 (M+H)^+$  245.2012, found 245.2017.

#### (4aS,5R,7R,8aR)-1,7-Dimethyl-5-(pyridin-2-ylmethyl)decahydroquinoline (12)

A solution of 11 (200 mg, 0.82 mmol), zinc chloride (558 mg, 4.10 mmol) and paraformaldehyde (126 mg, 4.10 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) was stirred at room temperature under argon. After 3 h, NaBH<sub>4</sub> (155 mg, 4.10 mmol) was added and the mixture was stirred overnight at room temperature. The reaction was quenched by addition of NH<sub>4</sub>OH (2 N, 10 mL) and stirred for 30 min. The phases were separated and the aqueous layer was extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 15 mL). The combined organic extracts were dried, concentrated and purified by chromatography on silica  $(2.5\rightarrow5\rightarrow10\% \text{ MeOH/CH}_2\text{Cl}_2; \text{ then } 90/10/1 \text{ MeOH/CH}_2\text{Cl}_2/\text{NH}_3) \text{ providing } 12 \text{ (140)}$ mg, 66 %) as a yellowish oil.  $R_f$  0.40 (90/10/1 MeOH/CH<sub>2</sub>Cl<sub>2</sub>/NH<sub>3</sub>);  $[\alpha]_D - 4.5$  (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  0.89 (ddd, J = 12.4, 12.4, 12.4 Hz, 1H, H-6ax), $0.90 \text{ (d, } J = 6.4 \text{ Hz, } 3H, \text{ CH}_3), 1.20 \text{ (ddd, } J = 12.4, 12.4, 12.4 \text{ Hz, } 1H, \text{ H-8ax}), 1.28-1.36$ (m, 1H, H-6eq), 1.28-1.38 (m, 1H, H-7), 1.30-1.41 (m, 1H, H-4), 1.46-1.52 (m, 1H, H-8eq), 1.44-1.55 (m, 1H, H-4), 1.42-1.57 (m, 1H, H-3), 1.62-1.70 (m, 1H, H-3), 1.80 (dddd, J = 12.4, 3.6, 3.6, 3.6, 3.6 Hz 1H, H-4a), 2.00-2.12 (m, 1H, H-5), 2.33 (s, 3H, N-CH<sub>3</sub>), 2.36-2.50 (m, 2H, H-2), 2.64 (dd, J = 13.2, 8.0 Hz, 1H, CH<sub>2</sub>Py), 2.73 (dd, J = 13.2) 13.2, 7.2 Hz, 1H,  $CH_2Py$ ), 2.72-2.78 (m, 1H, H-8a), 7.07 (ddd, J = 7.6, 4.8, 1.8 Hz, 1H, H-5 Py), 7.09 (d, J = 7.6 Hz, 1H, H-3 Py), 7.55 (ddd, J = 7.6, 7.6, 1.8 Hz, 1H, H-4 Py), 8.50 (ddd, J = 4.8, 1.8, 0.9 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (400 MHz, HSQC)  $\delta$  17.7 (C-4), 22.5 (CH<sub>3</sub>), 24.7 (C-8), 25.4 (C-3), 31.5 (C-7), 35.7 (C-6), 39.1 (C-4a), 41.3 (C-5), 42.1 (CH<sub>2</sub>Py), 42.6 (N-CH<sub>3</sub>), 48.0 (C-2), 61.8 (C-8a), 120.8 (C-5 Py), 123.4 (C-3 Py), 136.1 (C-4 Py), 149.3 (C-6 Py), 161.2 (C-2 Py); HRMS calcd for  $C_{17}H_{27}N_2 (M+H)^+$  259.2169, found 259.2162.

#### (4aS,5R,7R,8aR)-1,7-Dimethyl-5-(piperidin-2-ylmethyl)decahydroquinoline (13)

To a stirred solution of 12 (130 mg, 0.50 mmol) in AcOH (5 mL) was added PtO<sub>2</sub> (20% w/w, 30 mg) at room temperature. The resulting mixture was evacuated and backfilled with hydrogen 3 times and then stirred under an atmosphere of H<sub>2</sub> for 16 h. The mixture was diluted with CH<sub>2</sub>Cl<sub>2</sub> (10 mL) before it was filtered through a pad of celite and washed through with CH<sub>2</sub>Cl<sub>2</sub>. The filtered solution was washed with 1 N NaOH, dried, concentrated, and purified by chromatography on alumina (2.5→10% MeOH/CH<sub>2</sub>Cl<sub>2</sub>; then 90/10/1 MeOH/CH<sub>2</sub>Cl<sub>2</sub>/NH<sub>3</sub>) to give 13 (129 mg, 97 %; epimeric mixture) as a yellowish oil.  $R_f$  0.10 (90/10/1 MeOH/CH<sub>2</sub>Cl<sub>2</sub>/NH<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$ 0.92 (d, J = 6.2 Hz, 3H, CH<sub>3</sub>), 1.12-1.18 (m, 1H, H-8), 1.16-1.22 (m, 1H, CH<sub>2</sub>), 1.22-1.181.36 (m, 2H, H-4), 1.26-1.32 (m, 1H, H-4'), 1.27-1.31 (m, 1H, CH<sub>2</sub>), 1.30-1.38 (m, 2H, H-7 and H-5'), 1.46-1.52 (m, 1H, H-8), 1.52-1.68 (m, 2H, H-3), 1.52-1.58 (m, 1H, H-5'), 1.54-1.60 (m, 1H, H-3'), 1.56-1.64 (m, 1H, H-5), 1.62-1.67 (m, 1H, H-3'), 1.72-1.77 (m, 1H, H-4'), 1.84 (dddd, J = 12.4, 3.6, 3.6, 3.6, 1H, H-4a), 2.35 (s, 3H, N-CH<sub>3</sub>), 2.35-2.50 (m, 2H, H-2), 2.42-2.52 (m, 2H, H-2'), 2.52-2.60 (m, 1H, H-6'), 2.73 (ddd, J = 12.4, 4.0, 4.0 Hz, H-8a), 2.96-3.04 (m, 1H, H-6'); <sup>13</sup>C NMR (400 MHz, HSQC) δ 17.5/17.6 (C-4), 22.6 (CH3), 24.6 (C-8), 24.8/24.9 (C-4'), 25.6 (C-3), 26.6/26.7 (C-3'), 31.5/31.6 (C-7), 33.1/33.6 (C-3'), 36.0/36.1 (C-5), 36.5/37.0 (C-6), 38.8/39.4 (C-4a), 40.7/40.9 (CH<sub>2</sub>), 42.7 (N-CH<sub>3</sub>), 47.2 (C-6'), 48.1 (C-2), 53.5/53.8 (C-2'), 62.0 (C-8a).

HRMS calcd for  $C_{17}H_{33}N_2$  (M+H)<sup>+</sup> 265.2638, found 265.2644.

#### (4aS,5R,7R,8aR)-1,7-Dimethyl-5-(piperidin-2-ylmethyl)decahydroquinoline (2)

To a solution of mixture 13 (90 mg, 0.34 mmol) in MeOH/ CH<sub>2</sub>Cl<sub>2</sub> (1:1; 2 mL) were added in one portion UHP (325 mg, 3.40 mmol) and Na<sub>2</sub>WO<sub>4</sub>·2H<sub>2</sub>O (21 mg, 0.06 mmol) and the mixture was stirred at room temperature for 72 h. The reaction mixture was quenched with NH<sub>4</sub>OH solution (0.5 mL) and extracted with CHCl<sub>3</sub> until no more product was detected in the aqueous layer. The combined organic extracts were dried over Na<sub>2</sub>SO<sub>4</sub>, concentrated and the resulting crude material was purified by flash chromatography on silica (85/15/1.5 CHCl<sub>3</sub>/MeOH/NH<sub>3</sub>) to give 2 (70 mg, 70 %) as a yellow oil.  $R_f$  0.43 (80/20/2 CHCl<sub>3</sub>/MeOH/NH<sub>3</sub>);  $[\alpha]_D$  -6.7 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, COSY)  $\delta$  0.99 (d, J = 6.5 Hz, 3H, CH<sub>3</sub>), 1.05 (ddd, J = 13.0, 13.0, 13.0 Hz, 1H, H-6ax), 1.30 (m, 1H, H-8ax), 1.37 (m, 1H, H-4), 1.39 (m, 1H, H-6eq), 1.53 (m, 1H, H-7), 1.62 (m, 1H, H-3), 1.68 (m, 1H, H-4), 1.75 (m, 2H, H-3'), 1.81 (1H, ddd, J = 11.5, 3.5, 3.5 Hz, H-8eq), 1.92 (m, 2H, H-2'), 1.96 (m, 1H, H-5), 2.40 (dd, J = 6.0, 6.0 Hz, 2H, H-4'), 2.41 (m, 1H, H-3), 2.46 (dd, J = 13.5, 6.5 Hz, 1H, CH<sub>2</sub>), 2.73 (dd, J = 13.5, 8.0 Hz, 1H, CH<sub>2</sub>), 2.94 (dddd, J = 12.4, 3.8, 3.8, 3.8 Hz, H-4a), 3.05 (br dd, J = 11.2, 3.5 Hz, 1H, H-2), 3.11 (s, 3H, NCH<sub>3</sub>), 3.16 (m, 1H, H-2), 3.17 (m, 1H, H-8a), 3.80 (dd, J = 6.0, 6.0 Hz, 2H, H-1'; <sup>13</sup>C NMR (400 MHz, HSQC)  $\delta$  16.2 (C-4), 18.8 (C-3'), 20.2 (C-3), 22.2 (CH<sub>3</sub>), 23.1 (C-2'), 28.9 (C-4'), 31.8 (C-7), 31.8 (C-8), 33.4 (C-4a), 34.4 (CH<sub>2</sub>), 34.8 (C-6), 36.8 (C-5), 58.2 (N-CH<sub>3</sub>), 58.4 (C-1'), 61.0 (C-2), 76.8 (C-8a), 147.4 (C-5'); HRMS calcd for  $C_{17}H_{33}N_2$  (M+H)<sup>+</sup> 265.2638, found 265.2644.

Table S3. Comparison of <sup>1</sup>H NMR data for (-) huperzine N and 2 in CDCl<sub>3</sub>

	Synthetic <sup>1</sup> 2	Huperzine N <sup>2</sup>
1	3.80 (t, 6.0)	3.75 (t, 6.0)
2	1.92 (m)	1.84-1.92 (m)
3	1.75 (m)	1.64-1.69 (m)
4	2.40 (dd, 6.0, 6.0)	2.34 (t, 6.0)
5		
6	2.73 (dd, 13.5, 8.0)	2.96 (dd, 12, 3)
	2.46 (dd, 13.5, 6.5)	1.91 (d, 12)
7	1.96 (m)	2.10-2.17 (m)
8	1.39 (m)	1.34 (ddd, 12, 8, 4)
	1.05 (ddd, 13.0, 13.0, 13.0)	1.29 (br d, 12)
9	3.16 (m)	3.35 (br d, 12)
	3.05 (br dd, 11.2, 3.5)	3.14 (ddd, 12, 11, 3)
10	2.41 (m)	1.34-43 (m)
	1.62 (m)	1.57 (br d, 14)
11	1.68 (m)	2.01-2.06 (m)
	1.37 (m)	1.08-1.13 (m)
12	2.94(dddd, 12.4, 3.8, 3.8, 3.8)	1.78-1.83 (m)
13	3.17 (m)	2.89 (ddd, 11, 10, 3)
14	1.81 (m)	2.06-2.17 (m)
	1.30 (m)	1.67-1.72 (m)
15	1.53 (m)	2.16-2.25 (m)
16	0.99 (d, 6.5)	0.93 (d, 7)
17	3.11 (s)	3.04 (s)

Recorded at 400 MHz. Assignments were aided by gCOSY and gHSQCAD spectra. <sup>2</sup> Recorded at 400 MHz (*Helv. Chim. Acta*, 2008, **91**, 1031-1035).

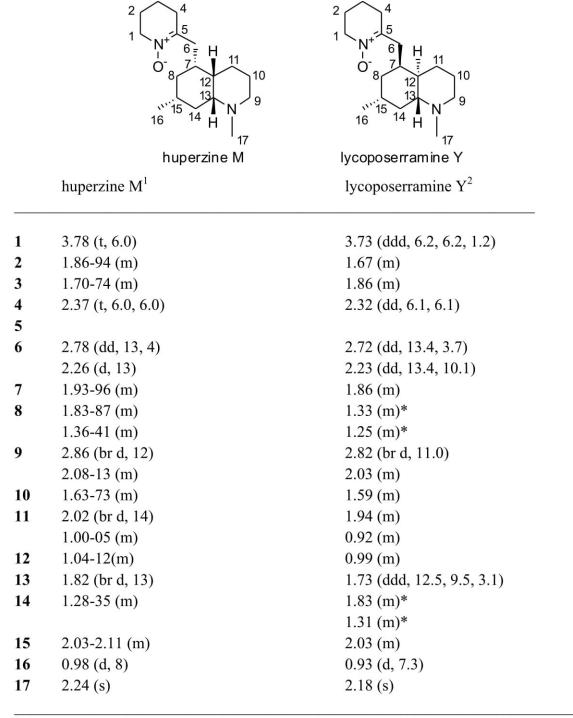
Table S4. Comparison of <sup>13</sup>C NMR data for (-) huperzine N and 2 in CDCl<sub>3</sub>

carbon	Synthetic <sup>1</sup> 2	Huperzine N <sup>2</sup>
1	58.4	58.2
2	23.1	23.1
3	18.8	18.8
4	28.9	30.0
5	147.4	148.0
6	34.4	36.4
7	36.8	32.3
8	34.8	36.6
9	61.0	69.0
10	20.2	20.1
11	16.2	27.0
12	33.4	40.8
13	76.8	73.4
14	31.8	30.0
15	31.8	26.8
16	22.2	19.0
17	58.2	57.6

<sup>&</sup>lt;sup>1</sup> Recorded at 100 MHz. Assignments were aided by gCOSY and gHSQCAD spectra.

<sup>&</sup>lt;sup>2</sup> Recorded at 100 MHz (*Helv. Chim. Acta*, 2008, **91**, 1031-1035).

**Table S5**. Comparison of <sup>1</sup>H NMR data for (-)-huperzine M and lycoposerramine Y in CDCl<sub>3</sub>



<sup>&</sup>lt;sup>1</sup> Recorded at 400 MHz (*Helv. Chim. Acta*, 2008, **91**, 1031-1035).

<sup>&</sup>lt;sup>2</sup> Heterocycles, 2006, **69**, 223-229

<sup>\*</sup> Signals reversed in huperzine M

**Table S6**. Comparison of  $^{13}\text{C NMR}$  data for (-) huperzine M and lycoposerramine Y in  $\text{CDCl}_3$ 

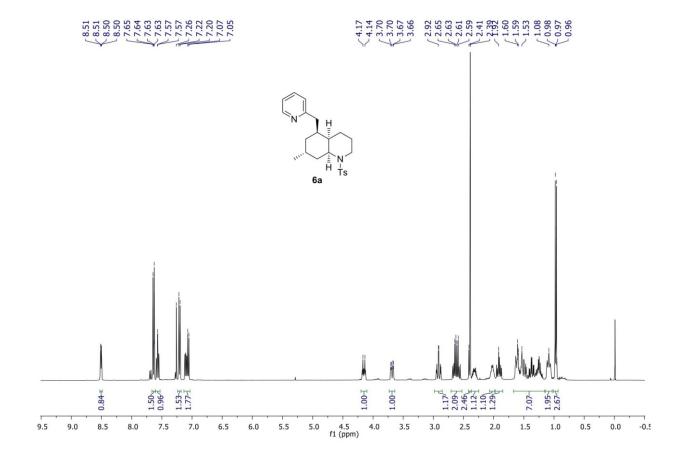
	2 3 4 5 5 12 10 9 17 10 9 huperzine M	2  1  N  5  H  10  8  12  10  9  17  Iycoposerramine Y
carbon	huperzine M <sup>1</sup>	Lycoposerramine Y <sup>2</sup>
1	58.1	58.3
2	23.1	23.3
3	18.8	19.0
4	29.9	29.9
5	148.6	148.5
6	35.6	35.8
7	32.8	33.0
8	35.4	37.7*
9	57.5	57.7
10	25.1	25.4
11	28.3	28.5
12	46.7	47.0
13	63.3	63.4
14	37.5	35.7*
15	27.1	27.3
16	19.3	19.5
17	42.5	42.8

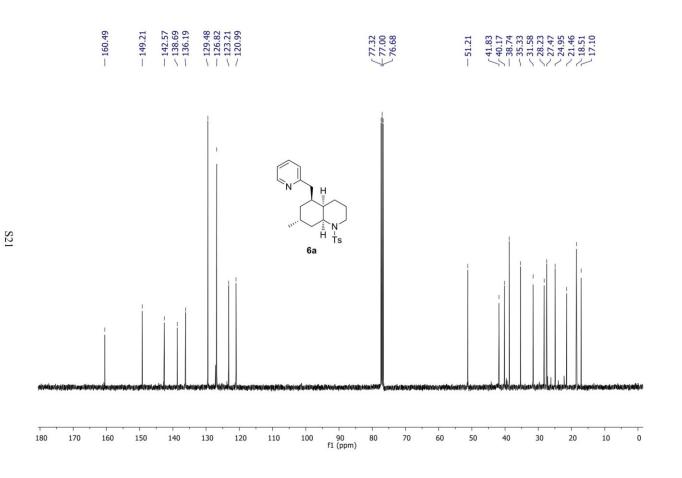
<sup>&</sup>lt;sup>1</sup> Recorded at 100 MHz. Assignments were aided by gCOSY and gHSQCAD spectra.

<sup>&</sup>lt;sup>2</sup> Recorded at 100 MHz (*Helv. Chim. Acta*, 2008, **91**, 1031-1035).

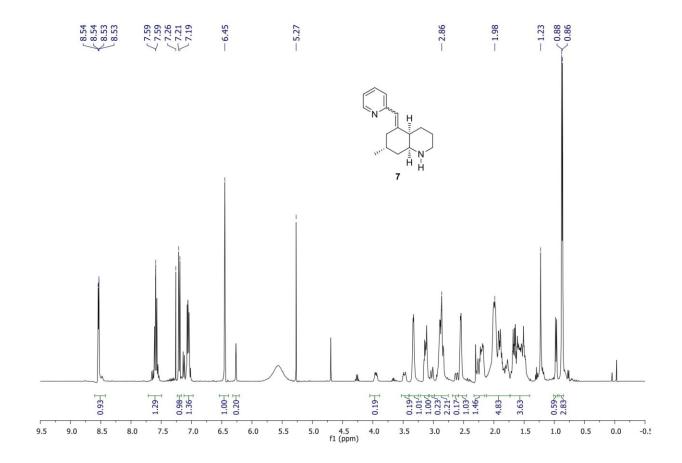
<sup>\*</sup> Signals reversed in huperzine M

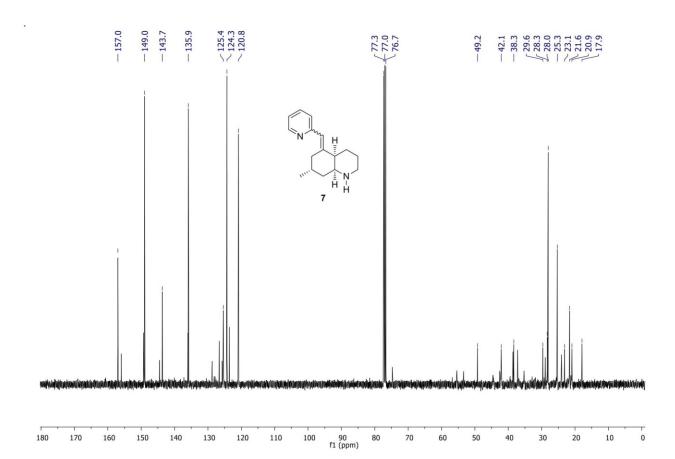


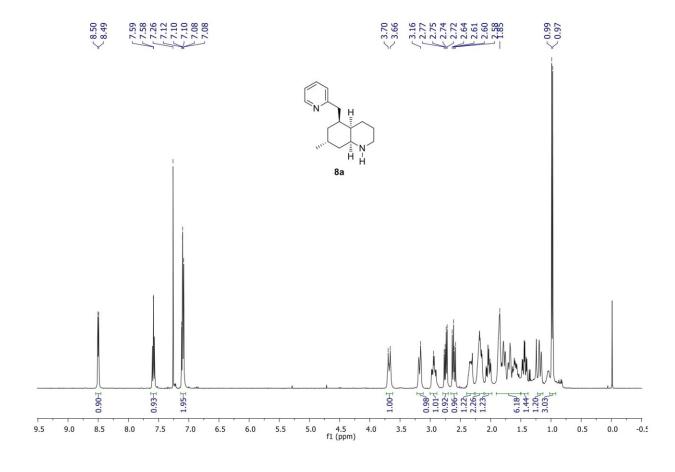


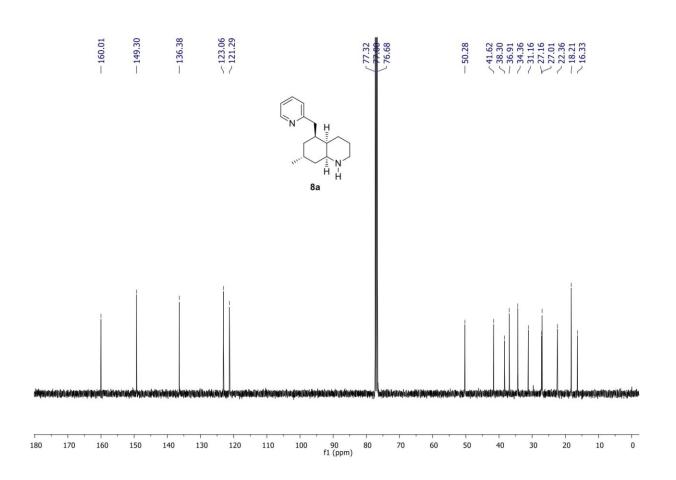


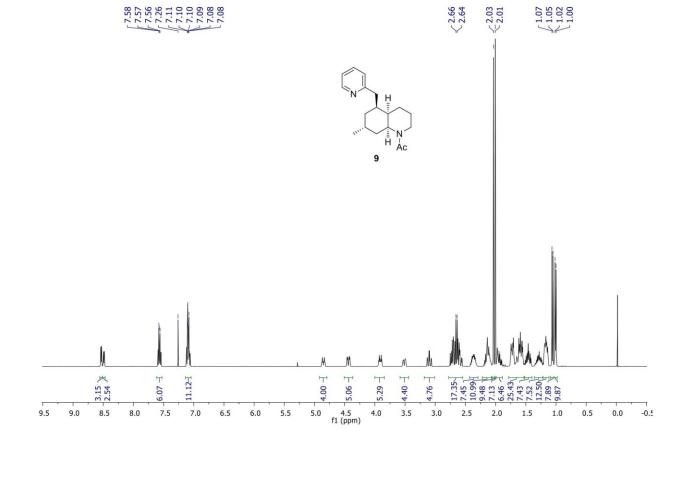


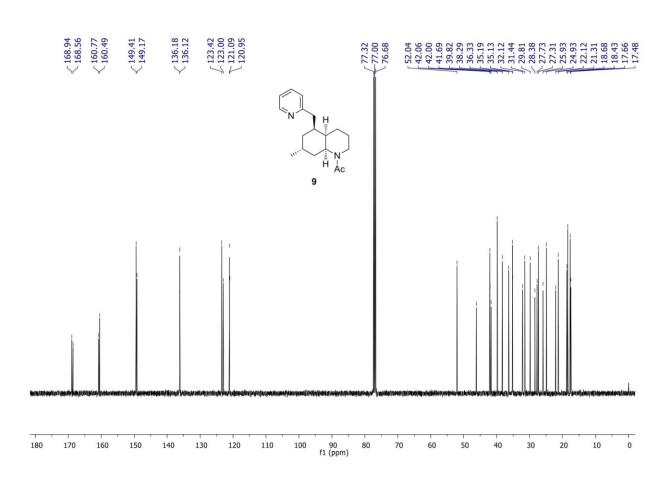




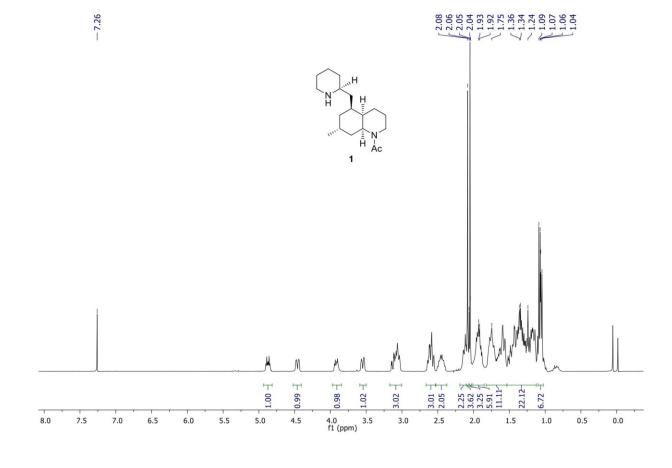


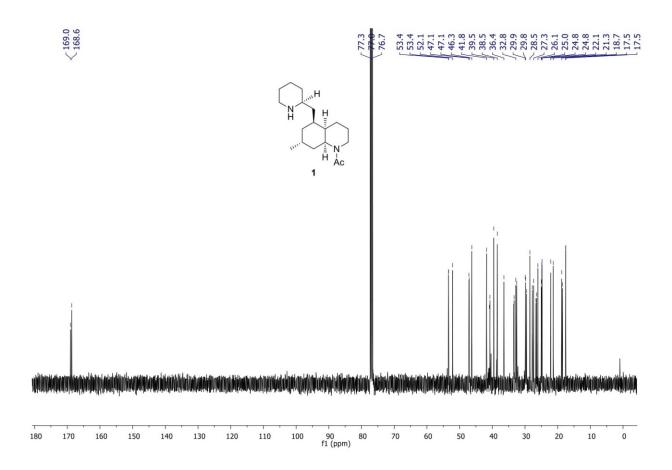


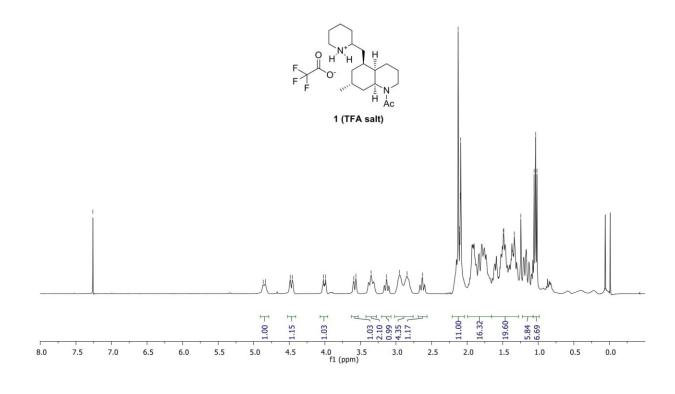


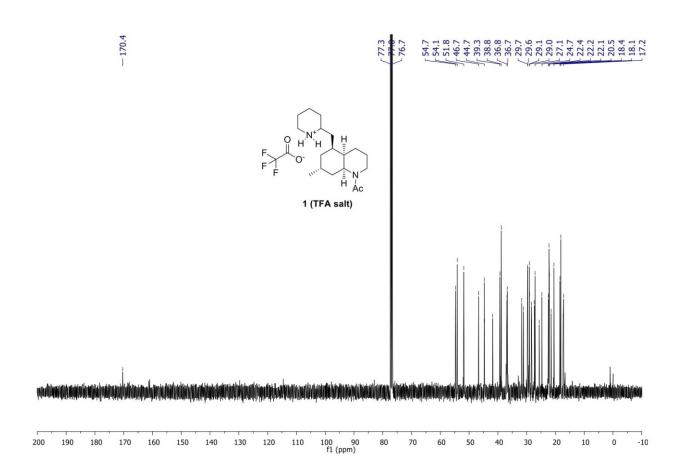




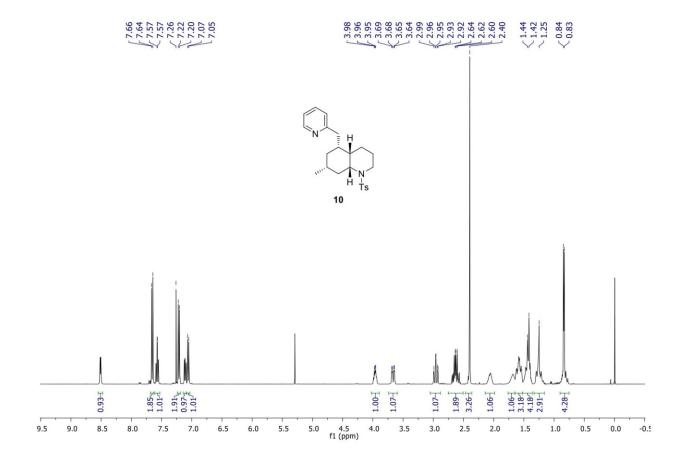


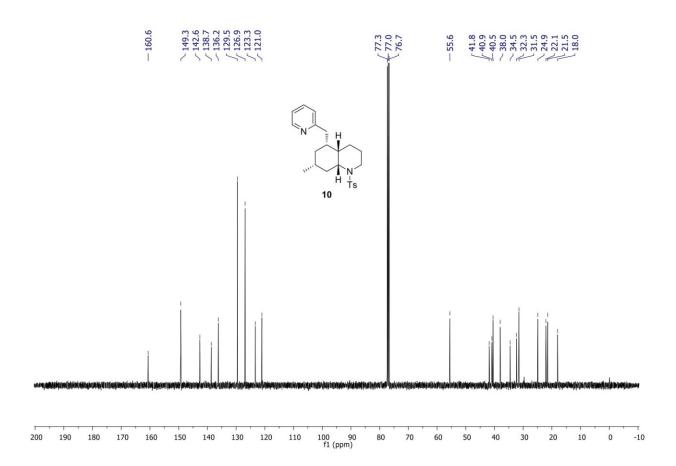


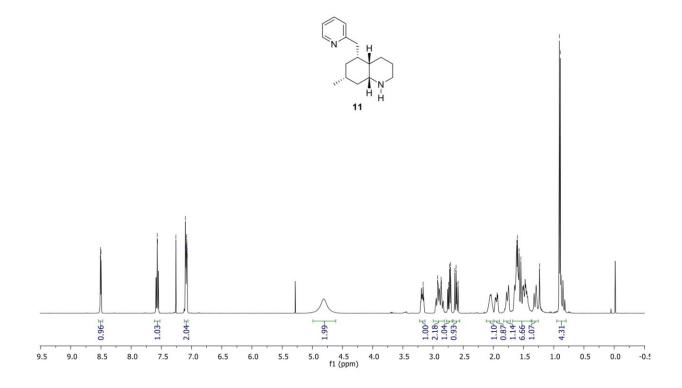


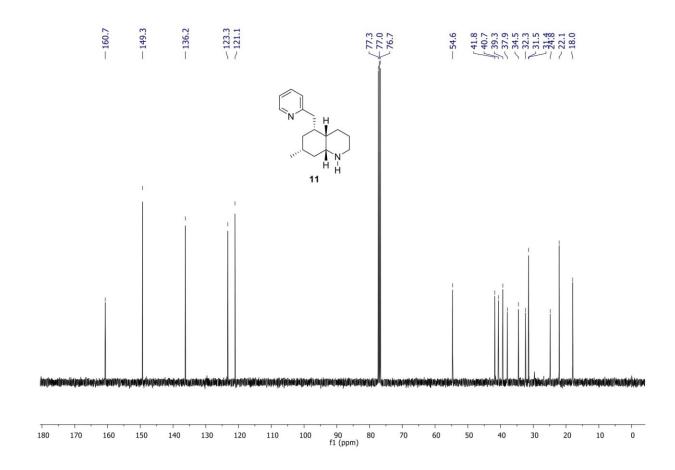




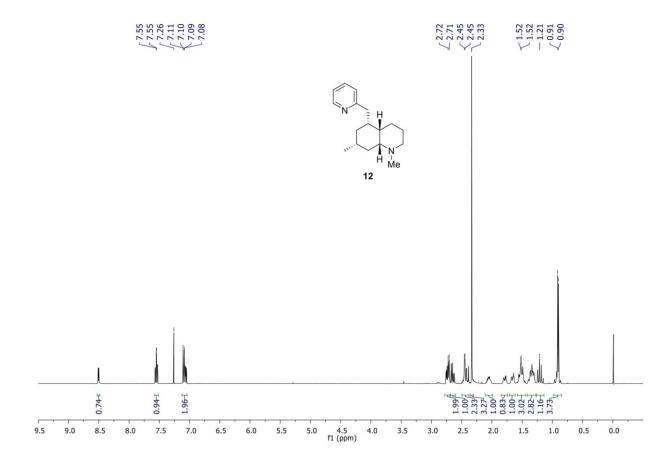


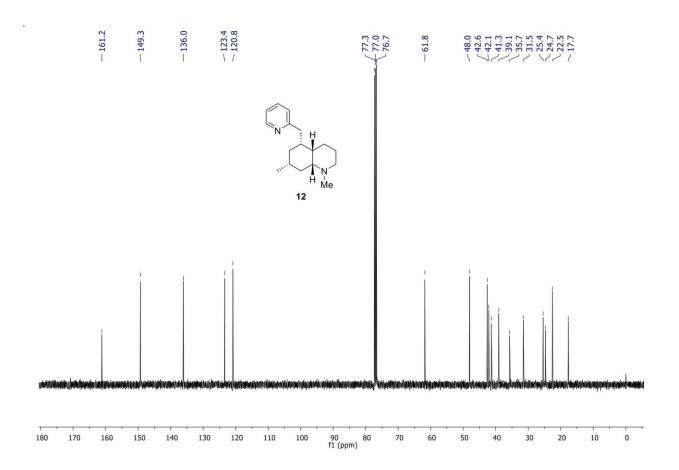




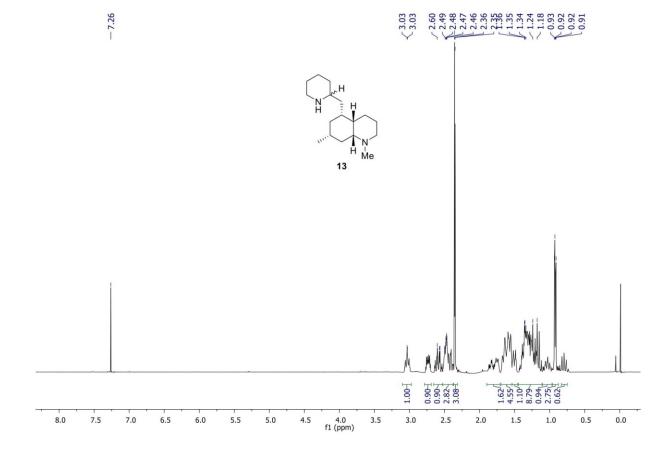


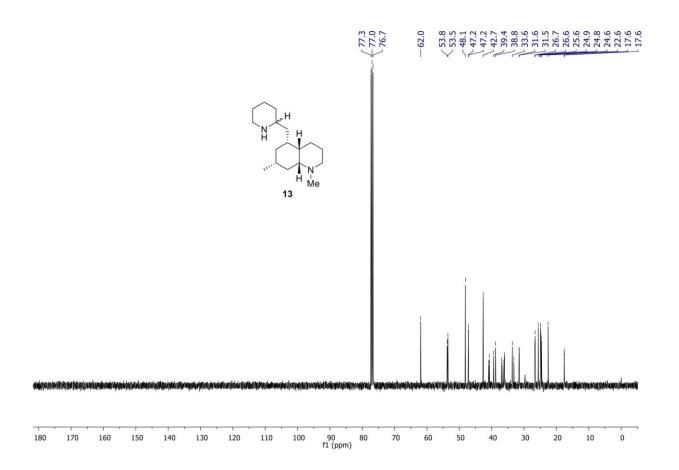




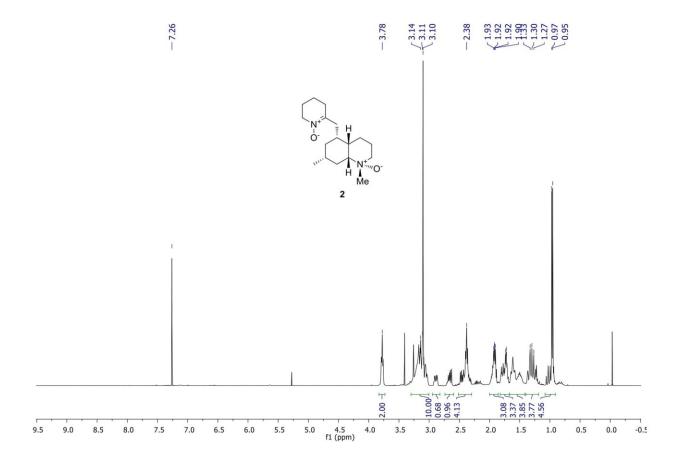


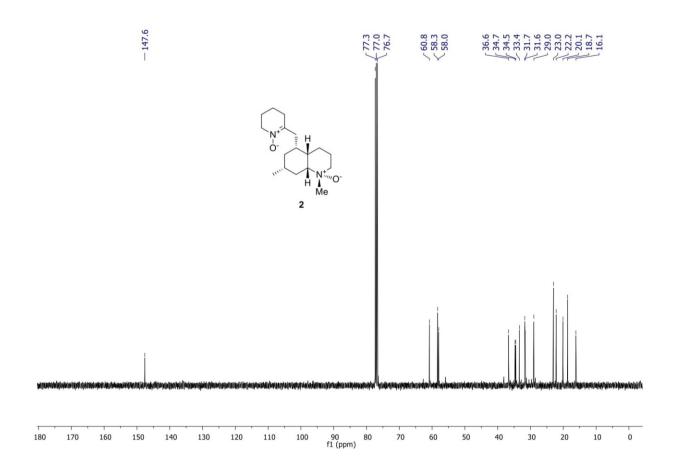












#### X-Ray Crystallographic Data

X-Ray crystallographic Data for compound 1

CCDC 1415240 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from the Cambridge Crystallographic Data Centre via <a href="https://www.ccdc.cam.ac.uk/data\_request/cif">www.ccdc.cam.ac.uk/data\_request/cif</a>

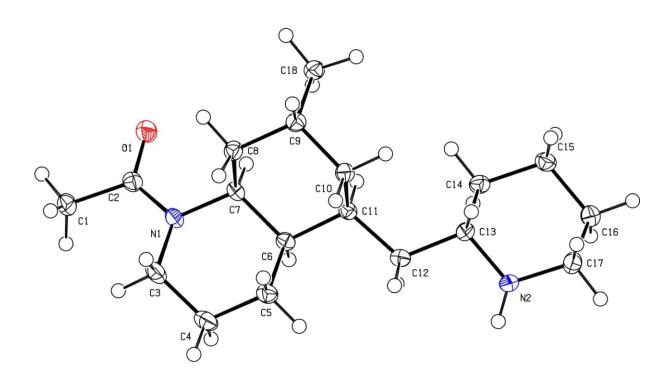


Table 1. Crystal data and structure refinement for  ${\bf 1}$ 

Absorption coefficient

cu_d43tb109_0m	
C18 H32 N2 O	
292.45	
100(2) K	
1.54178 Å	
Orthorhombic	
P 21 21 21	
a = 5.2870(2)  Å	$\alpha$ = 90°.
b = 9.4826(3)  Å	β= 90°.
c = 34.0330(12)  Å	$\gamma = 90^{\circ}$ .
1706.23(10) Å <sup>3</sup>	
4	
$1.138 \text{ Mg/m}^3$	
	C18 H32 N2 O 292.45 100(2) K 1.54178 Å Orthorhombic P 21 21 21 a = 5.2870(2) Å b = 9.4826(3) Å c = 34.0330(12) Å 1706.23(10) Å <sup>3</sup> 4

0.537 mm<sup>-1</sup>

F(000) 648

Crystal size  $0.417 \times 0.229 \times 0.141 \text{ mm}^3$ 

Theta range for data collection 2.597 to 72.146°.

Index ranges -6 <= h <= 6, -11 <= k <= 11, -40 <= 1 <= 37

Reflections collected 12582

Independent reflections 3302 [R(int) = 0.0303]

Completeness to theta =  $67.679^{\circ}$  99.2 %

Absorption correction Semi-empirical from equivalents

Max. and min. transmission 0.7536 and 0.6564

Refinement method Full-matrix least-squares on F<sup>2</sup>

Data / restraints / parameters 3302 / 0 / 192

Goodness-of-fit on F<sup>2</sup> 1.083

Final R indices [I>2sigma(I)] R1 = 0.0360, wR2 = 0.0915 R indices (all data) R1 = 0.0401, wR2 = 0.0943

Absolute structure parameter -0.11(10)

Extinction coefficient n/a

Largest diff. peak and hole 0.494 and -0.488 e.Å<sup>-3</sup>

Table 2. Atomic coordinates ( x 10<sup>4</sup>) and equivalent isotropic displacement parameters (Å<sup>2</sup>x 10<sup>3</sup>) for 1. U(eq) is defined as one third of the trace of the orthogonalized U<sup>ij</sup> tensor.

	x	У	Z	U(eq)
O(1)	4621(3)	10675(2)	2328(1)	24(1)
N(1)	7556(3)	9149(2)	2096(1)	19(1)
N(2)	8905(3)	8559(2)	-74(1)	19(1)
$\mathbb{C}(1)$	6274(5)	9031(2)	2791(1)	26(1)
C(2)	6096(4)	9687(2)	2386(1)	19(1)
$\mathbb{C}(3)$	9624(4)	8147(2)	2180(1)	25(1)
C(4)	10156(5)	7198(2)	1833(1)	29(1)
C(5)	10603(4)	8044(2)	1458(1)	23(1)
C(6)	8279(4)	8957(2)	1374(1)	17(1)
C(7)	7663(4)	9935(2)	1721(1)	16(1)
C(8)	9494(4)	11182(2)	1757(1)	18(1)
C(9)	9735(4)	12031(2)	1374(1)	18(1)
C(10)	10352(4)	11036(2)	1032(1)	18(1)
C(11)	8422(4)	9838(2)	994(1)	16(1)
C(12)	8895(4)	8914(2)	632(1)	18(1)
C(13)	8628(4)	9640(2)	234(1)	17(1)
C(14)	6103(4)	10390(2)	174(1)	19(1)
C(15)	5955(4)	11045(2)	-234(1)	21(1)
C(16)	6493(4)	9949(2)	-552(1)	22(1)
C(17)	8964(4)	9187(2)	-468(1)	21(1)
C(18)	7396(4)	12934(2)	1291(1)	23(1)

# Total Synthesis of *cis*-Phlegmarines via stereodivergent reduction: (+)-Serratezomine E and Putative Structure of (-)-Huperzine N

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#### **Unpublished results**

#### **General Procedure**

To a flame dried vial equipped with a stirring bar was added Mn(dpm)<sub>3</sub> (23 mg, 0.038 mmol, 1.0 equiv), the starting material **4** (15 mg, 0.038 mmol, 1.0 equiv) and dichloroethane (0.5 mL). The resulting mixture was evacuated and backfilled with argon three times before degassing for 10 minutes with argon. PhSiH<sub>3</sub> (12µL, 0.095 mmol, 2.5 equiv) was added and the reaction stirred for 24 h at 60 °C in an oil bath. Evaporation of the solvent followed by purification on column chromatography (5 $\rightarrow$ 50% EtOAc in hexanes) afford the product (epimer mixture) (13mg, 86%) as a pale oil.  $R_f$  0.39 (50% EtOAc/hexanes). For NMR data see SI 4.

Table S4'-1 Radical Reduction of vinylpyridine 4 under Shenvi's protocol and related procedures

		catalyst	reducing		temp			conv	(%) <sup>a</sup>		ratio
entry	R	(eq)	agent (eq)	additive	(°C)	solvent	4	6a	6b	A	6a:6b <sup>a</sup>
1	Ts	Mn(dpm) <sub>3</sub> (0.1)	PhSiH <sub>3</sub> (1)	TBHP (1)	24	<i>i-</i> PrOH		46	17	37	73:27
2	Н	$Mn(dpm)_3 (0.1)$	PhSiH <sub>3</sub> (1)	TBHP (1)	24	<i>i-</i> PrOH	100				
3	Ts	Fe(acac) <sub>3</sub> (1)	PhSiH <sub>3</sub> (2.5)	(CH <sub>2</sub> OH) <sub>2</sub> (50)	60	EtOH	51	33	16		67:33
4	Ts	Fe(acac) <sub>3</sub> (2)	PhSiH <sub>3</sub> (5)	(CH <sub>2</sub> OH) <sub>2</sub> (50)	60	EtOH	49	34	17		68:32
5	Ts	Fe(acac) <sub>3</sub> (1)	PhSiH₃ (2.5)		60	EtOH	50	35	15		70:30
7 <sup>b</sup>	Ts	$Fe_2(ox)_3.6H_2O$ (2)	NaBH <sub>4</sub> (8)		0	EtOH/ H <sub>2</sub> O	68	8	2	22	_nr
8 <sup>c</sup>	Ts	Co(acac) <sub>2</sub> (1)	Et <sub>3</sub> SiH (5)	TBHP (1) 1,4-CHD (5)	24	n-PrOH	70	5	2	23	nr
9 <sup>c</sup>	Ts	Co(acac) <sub>2</sub> (1)	Et₃SiH (5)	1,4-CHD (5)	24	n-PrOH	83	6	1	10	nr

<sup>a</sup> Conv and ratio determine by <sup>1</sup>H NMR <sup>b</sup> Boger procedure (*J. Am. Chem. Soc.* **2009**, 131, 4904–4916). <sup>c</sup> Herzon procedure (*J. Am. Chem. Soc.* **2014**, 136, 6884–6887). <sup>r</sup> not representative due to the low conversion to hydrogenated products.

Table S4'-2 Radical reduction without additives of vinylpyridine 4

entry	catalyst		conv	ratio		
Citaly	Catalyst	4	6a	6b	Α	6a:6b <sup>a</sup>
1	Fe <sub>2(</sub> ox) <sub>3</sub> .6H <sub>2</sub> O	100				
2	FeCl <sub>3</sub> .6H <sub>2</sub> O					Dec
3	Co(dpm) <sub>2</sub>	100				
4	Co(acac) <sub>3</sub>	100				
5	Mn(OAc) <sub>3</sub>	50	20	10	20	65:35
7	Mn(acac) <sub>3</sub>		50	26	24	65:35
8	Ni(acac) <sub>2</sub>		29	71		29:71
9	Fe(acac) <sub>3</sub>	50	35	15		70:30
10	Mn(dpm) <sub>3</sub>		61	36	3	63:37

<sup>&</sup>lt;sup>a</sup> Conv and ratio determine by <sup>1</sup>H NMR

Table S4'-3 Screening of the solvent

entry	solvent		conv	ratio		
Citity	Solvent	4	6a	6b	Α	6a:6b <sup>a</sup>
1	EtOH		61	36	3	63:37
2	<i>i</i> PrOH	8	59	25	8	70:30
3	1-heptanol	8	62	25	5	70:30
4	MeOH	28	41	14	17	75:25
5	CHCl <sub>3</sub>		63	37		63:37
6	CDCl <sub>3</sub>		55	19	26	75:25
7	DCE		69	31		69:31
8	THF		67	30	3	69:31
9	MeCN		72	22	6	76:26
10	TFE	5	54	22	19	70:30
11	EtOH/ DCE (50/50)		59	41		59:41

<sup>&</sup>lt;sup>a</sup> Conv and ratio determine by <sup>1</sup>H NMR

Table S4'-4 Optimization of the process

ontry	Mn(dpm) <sub>3</sub>	PhSiH <sub>3</sub>	temp.	solvent		conv	/ (%) <sup>a</sup>	!	ratio
entry	(eq.)	(eq)	(°C)	Solveill	4	6a	6b	Α	6a:6b <sup>a</sup>
1	1	2.5	60	DCE		69	31		69:31
2	1	2.5	rt	DCE		48	22	30	69:31
3	0.1	2.5	60	EtOH	82	9	5	4	62:38
4	0.1	2.5	60	DCE	62	24	5	9	62:38
5	0.5	2.5	60	DCE		43	20	37	62:38
6	2	2.5	60	DCE		67	33		67:33
7	1	5	60	DCE		70	30		70:30
8	1	100	60	solvent free		67	28	5	71:29

<sup>&</sup>lt;sup>a</sup>Conv and ratio determine by <sup>1</sup>H NMR



# Synthesis of $(\pm)$ -Serralongamine A and the Revised Structure of Huperzine N

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Supporting Information

**ABSTRACT:** A revised structure for the *Lycopodium* alkaloid huperzine N is proposed and confirmed by synthesis. The key synthetic steps involve an epimerization of a *cis-5-oxodecahy-droquinoline* to the corresponding trans isomer and a coupling, followed by a diastereoselective hydrogenation using Wilkinson's catalyst to incorporate the pyridylmethyl moiety. This route allowed the alkaloid serralongamine A to be synthesized for the first time, and two additional steps led to the revised structure of huperzine N, both products bearing an unusual decahydroquinoline stereostructure.

The phlegmarine alkaloids are structurally characterized by a 5.7-disubstituted decahydroquinoline ring and a  $C_{16}N_2$  skeleton. They can be classified in four types, designated here as A-D, according to the relationship of the ring fusion hydrogens (H-4a and H-8a) with the H-7 in the decahydroquinoline ring (DHQ) (Figure 1). Moreover, the phlegmarine substitution pattern involves a (2-piperidyl)methyl side chain at

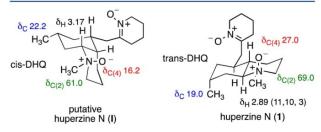
cis-DHQ type A type B putative cermizine B lycoposerramine Z huperzine N (I) trans-DHQ type C Me <sub>17</sub> Me phlegmarine huperzine N (1) serralongamine A (2) biogenetic numbering systematic numbering

**Figure 1.** Phlegmarine alkaloids showing the four different stereo-parents.

C-5, which can be partially (as in nitrone) or fully oxidized (as in pyridine), thus increasing the stereochemical variation.

After recently describing the total synthesis of I, the proposed structure of huperzine N, we revealed its misassignment. We here suggest an alternative structure for this natural product<sup>5,6</sup> (i.e., 1) and confirm it by a total synthesis. Moreover, the synthesis of serralongamine A(2), featuring a pyridine instead of the usual piperidine ring system, is also reported.

The putative (I) and natural huperzine (1) are clearly differentiated by their <sup>13</sup>C NMR data: (i) The chemical shifts of C(2) and C(4) are more deshielded (8 and 11 ppm, respectively) in I (Figure 2). These data suggest that huperzine



**Figure 2.** Differential NMR trends between putative and natural huperzine N.

N has a *trans*-decahydroquinoline ring core instead of the cis-ring fusion originally reported. (ii) The chemical shift of the methyl group at C(7), which resonates at  $\delta$  19.0 in huperzine N, but at  $\delta$  22.2 in I, indicates an axial disposition, which is only possible in a *trans*-decahydroquinoline with a stereoparent of type D (see Figures 1 and 2). Consequently, the NMR data reported for

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#### Scheme 1. Synthesis of Phlegmarines with a trans-Decahydroquinoline Core

huperzine N can be explained by structure 1. Building on this point of view, we synthesized 1 to confirm the new structural assignment.

Previous trans-phlegmarine syntheses have targeted alkaloids with the type C stereoparent. The synthesis of phlegmarine itself was completed by Comins, who also reported the synthesis of three related alkaloids bearing different substituents at the two nitrogen atoms, while Takayama<sup>9</sup> achieved lycoposerramine X. The key challenges in the synthesis of these alkaloids are the generation of the trans-decahydroquinoline core and the stereocontrol in the genesis of the stereocenter at C-5 where the pyridylmethyl backbone is attached (Scheme 1). The two different approaches to construct the trans-decahydroquinoline ring with the required stereochemistry in the four stereogenic centers are summarized in Scheme 1. Comins, applying his methodolgy based on pyridinium salts, prepared a polysubstituted piperidine that furnished the bicyclic ring by an aldol reaction. Stereoselective conjugated addition, followed by a hydrogenation process, allowed a stereochemical control at C-5 and in the ring fusion, respectively. In contrast, the Takayama approach involved the elaboration of a polyfunctionalized cyclohexane compound in which the four stereogenic centers were established before the cyclization, leading to the decahydroquinoline ring.

Our approach differs from the aforementioned in both its synthetic strategy and the targeted compounds, which have a decahydroquinoline core with a type D stereoparent. <sup>10</sup> The synthetic plan involved the same building block used in our previous synthesis of *cis*-phlegmarines and the epimerization of the stereogenic center at C-4a to achieve a ketone with a *trans*-decahydroquinoline ring, which would allow access to phlegmarine alkaloids with a new stereochemical pattern. Control of the stereochemistry at C5 through a substrate-directable hydrogenation process would be crucial in this synthetic proposal (Scheme 2).

Scheme 2. Synthesis of  $(\pm)$ -Serralongamine A (2) and  $(\pm)$ -Huperzine N (1)

Commencing the synthesis from the easily available ketone 4,  $^{11}$  our original protocol<sup>2</sup> allowed the ring fusion to be changed from cis to trans, via the conversion of acetal 5 to the corresponding secondary amine and acid-induced epimerization at C(4a). Tosylation of the resulting 2:1 mixture of ketones 6 and its C4a-epimer furnished the required decahydroquinoline 7 with a trans ring fusion<sup>12</sup> as a single isomer after chromatographic separation. This ketone reacted with a solution of the lithium anion of phosphonate  $8^{13}$  to give vinylpyridine derivative 9 in 53% yield, diastereoselectively providing the E isomer. Hydrogenation of vinylpyridine 9 using Wilkinson's catalyst allowed the hydrogen to be delivered exclusively from the bottom face. Thus, a pyridine-directed hydrogenation provided access to the valuable intermediate 10 with a contrasteric selectivity (Figure 3).

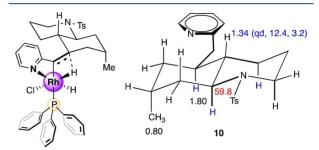


Figure 3. Transition state leading to 10 and its representative NMR data.

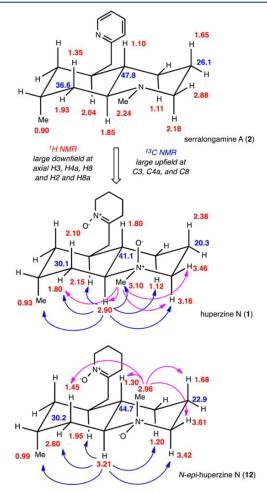
The stereoselectively formed decahydroquinoline 10 showed the same relative configuration in its four stereogenic centers as the target 1 and serralongamine A (2). The configuration at C-5 was ascertained considering the multiplicity of the signal corresponding to H-4a, which implies a trans relationship between H-4a and H-5, both in an axial disposition. Moreover, the chemical shift for C-8a ( $\delta$  59.8) did not differ from that observed in the precursors 7 ( $\delta$  60.3) and 9 ( $\delta$  60.6), indicating that the pyridylmethyl side chain is not axially located (Figure 3). <sup>15</sup>

Removal of the tosyl group in 10 using LiAlH<sub>4</sub>, followed by reductive *N*-methylation of 11, gave serralongamine A (2) in 76% yield for the two steps, which constitutes the first synthetic entry to a phlegmarine alkaloid embodying its decahydroquinoline stereoparent. The *trans*-decahydroquinoline serralongamine A differs from phlegmarine itself in the stereochemical relationship between the configuration at C7 and the trans ring fusion carbons, C4a and C8a (Figure 1).

It is noteworthy that the NMR data of our synthetic 2 were clearly different from those reported for the isolated serralongamine A in CD<sub>3</sub>OD. Since basic nitrogen atoms readily protonate, we were able to reproducibly obtain <sup>1</sup>H and <sup>13</sup>C NMR spectra of the free base forms of serralongamine A in CD<sub>3</sub>OD containing NaOCD<sub>3</sub>. <sup>16</sup> We surmised that the natural isolate corresponded to its ditrifluoroacetate salt. Thus, the NMR spectra of synthetic serralongamine A was examined by titrating a sample of the free base with TFA. For a comparison of NMR data for natural and synthetic serralongamine A (2) as the double TFA salt, see the Supporting Information. As reproduced in Figure S1, NMR spectra identical to those reported for the natural product were obtained.

Having achieved 2, we were two steps from completing the new structure proposed for huperzine N (1). Toward this end,

reduction of the pyridine ring in B gave the corresponding piperidine, which, after oxidation with Na2WO4/urea- $H_2O_2(UHP)^3$  led to 1 by formation of both the amine Noxide and nitrone functionalities, which were further confirmed by <sup>15</sup>N chemical shift NMR data. The spectroscopic data of the synthetic sample were identical in all respects to those reported for the natural product,<sup>S</sup> although a side product purified together with huperzine N was also formed. Two-dimensional NMR spectroscopy of the mixture identified the minor product as the N-oxide epimer of huperzine N. Although the oxidation of cyclic tertiary amines normally takes place axially, <sup>17</sup> the presence of an equatorial substituent increases the equatorial oxidation, as occurred in our substrate (C8-C8a bond). Thus, the reaction did not work diastereoselectively and epimeric N-oxide 12 was also formed. The stereostructure and the complete <sup>1</sup>H, <sup>13</sup>C, and <sup>15</sup>N chemical shifts assignment of both epimers 1 and 12 (Figure 4) and also their protonated forms 15 (see the Supporting



**Figure 4.** Characteristic NMR data and selected NOEs of huperzine N (1), *N-epi*-huperzine N (12), and serralongamine A (2).

Information for details) were performed from the analysis of COSY, ROESY, <sup>20</sup> HSQC, HMBC, and TOCSY correlation spectra of the mixture.

The configuration of the new stereogenic center at the nitrogen atom in huperzine N was corroborated as R, on the basis of  $^{1}$ H and  $^{13}$ C chemical shift NMR analysis of 1 and its N-epimer 12. Thus, a clear upfield shift for C(3), C(4a), and C(8) was

observed, due to the 1,3-cis relationship between the N $\rightarrow$ O bond and the axial C-H bond of these carbon atoms (Figure 4), compared with either the free amine base nucleus (e.g., in 2) or the *N*-epimeric *N*-oxide with the oxygen atom in an equatorial disposition (i.e., 12). The NMR data of synthetic huperzine N matched those described for the natural product, thus establishing its configuration as 1*R*,4a*S*,5*S*,7*R*,8a*S*. Although we have reported the racemic form, the phlegmarine alkaloids have always shown an *R* absolute configuration in the carbon bonded to the methyl group in the decahydroquinoline ring. Thus, the relative configuration allowed the absolute configuration to be proposed.

In summary, in this work on the phlegmarine subset of *Lycopodium* alkaloids, the first total synthesis of serralongamine A and the revised structure of huperzine N have been accomplished. The absolute configuration of the huperzine N was established, and the NMR data of the serralongamine A in its free base form are reported for the first time.

#### **■ EXPERIMENTAL SECTION**

**General.** All reactions were carried out under an argon atmosphere with dry, freshly distilled solvents under anhydrous conditions. All product mixtures were analyzed by thin-layer chromatography using TLC silica gel plates with a fluorescent indicator ( $\lambda=254$  nm). Analytical thin-layer chromatography was performed on SiO<sub>2</sub> (Merck silica gel 60 F<sub>254</sub>), and the spots were located by UV light and/or a 1% KMnO<sub>4</sub> aqueous solution or hexachloroplatinate reagent. Chromatography refers to flash chromatography and was carried out on SiO<sub>2</sub> (silica gel 60 ACC, 230–240 mesh). Drying of organic extracts during the reaction workup was performed over anhydrous Na<sub>2</sub>SO<sub>4</sub>. Chemical shifts of <sup>1</sup>H and <sup>13</sup>C NMR spectra are reported in ppm downfield ( $\delta$ ) from Me<sub>4</sub>Si. All NMR data assignments are supported by gCOSY and gHSQC experiments.

(4aRS,7RS,8aRS)-7-Methyl-1-(4-methylphenylsulfonyl)-5-oxodecahydroquinoline Ethylene Acetal (5). From crystallized keto ester 3 (536 mg, 1.27 mmol), following the procedure previously described,  $^{11}$  ketone 4 was obtained and used in the next step without purification. After acetalization  $^{2}$  of 4 and the purification step by chromatography (5% to 25% EtOAc in hexanes), 5 (373 g, 80%) was obtained as a white solid:  $R_f = 0.71$  (1:1 EtOAc/hexanes); mp 100 °C. For NMR data, see ref 2.

(4aRS,7SR,8aSR)-7-Methyl-5-oxodecahydroquinoline (6). Operating as previously described,  $^2$  starting from 5 (373 mg, 1.02 mmol), 6, a 2:1 mixture of epimers at C(4a), was obtained (110 mg) as a colorless oil, which was used directly in the next step. For NMR data, see ref 2.

(4aRS,7SR,8aSR)-7-Methyl-5-oxo-1-(4-methylphenylsulfonyl)decahydroquinoline (7). To a cooled (0 °C) stirred solution of the above mixture of 6 and its epimer (110 mg) in CH2Cl2 (8 mL) was added a solution of TsCl (214 mg, 1.12 mmol, 1.1 equiv) in CH<sub>2</sub>Cl<sub>2</sub> (4 mL), followed by Et<sub>3</sub>N (0.17 mL, 1.23 mmol, 1.2 equiv). The mixture was stirred at rt for 6 h and diluted with CH<sub>2</sub>Cl<sub>2</sub> (20 mL). The organics were washed with brine (2 × 5 mL), dried, concentrated, and purified by chromatography (5-25% EtOAc in hexanes) to yield successively 4 (59 mg) and 7 (121 mg, 38% in three steps, 57% brsm) as a white solid:  $R_f = 0.35$  (25% EtOAc/hexanes); mp 108 °C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  0.81 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.32 (m, 1H, H-4ax), 1.64 (m, 1H, H-3ax), 1.76 (m, 1H, H-3eq), 2.00 (dd, *J* = 12.8, 3.6 Hz, 1H, H-4eq), 2.15 (dt, J = 13.6, 2.4 Hz, 1H, H-6ax), 2.23 (dm, J = 12.4Hz, 1H, H-8eq), 2.33 (td, J = 13.6, 4.6 Hz, 1H, H-8ax), 2.40 (masked, H-7), 2.42 (s, 3H, CH<sub>3</sub>Ar), 2.46 (qd, 1H, J = 11.4, 3.2 Hz, H-4a), 2.56 (dd, J = 11.6, 4.0 Hz, 1H, H-6eq), 2.66 (td, J = 11.2, 3.2, 1.6 Hz, 1H, H-2ax), 2.89 (td, J = 11.4, 4.0 Hz, 1H, H-8a), 4.13 (dtd, J = 12.8, 4.0, 1.2 Hz, 1H, H-2eq), 7.30 (d, J = 8.4 Hz, 2H, o-Ts), 7.68 (d, J = 8.4 Hz, 2H, m-Ts);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  18.9 (CH<sub>3</sub>), 21.6 (ArCH<sub>3</sub>), 23.5 (C-4), 24.4 (C-3), 28.5 (C-7), 36.1 (C-8), 47.3 (C-6), 49.3 (C-2), 53.1 (C-4a), 60.3 (C-8a), 127.3 (m-Ts), 129.8 (o-Ts), 137.1 (ipso-Ts), 143.6 (p-Ts), 209.1 (C-5). HRMS (ESI-TOF) m/z: [M + H]<sup>+</sup> calcd for  $C_{17}H_{24}NO_3S$ 322.1471, found 322.1464.

(E)-(4aRS,7SR,8aRS)-7-Methyl-1-(4-methylphenylsulfonyl)-5-(pyridin-2-ylmethylene)decahydroquinoline (9). Both the pyridine phosphonate 8 and decahydroquinoline 7 were previously dried by azeotroping with benzene. To a stirred solution of phosphonate 8 (227 mg, 1 mmol, 5 equiv) in THF (3 mL) at -78 °C was added n-BuLi (1.6 M in hexanes, 0.52 mL, 0.84 mmol, 4.5 equiv). The resulting dark red solution was stirred for 30 min at rt before a solution of the decahydroquinoline 7 (60 mg, 0.187 mmol) in THF (1.2 mL) was added dropwise via syringe at -78 °C. The reaction mixture was stirred for 30 min at -78 °C, 1 h at -30 °C, and 6 h at 0 °C, and quenched with sat. aq. NH<sub>4</sub>Cl (1 mL) and water (1 mL). The mixture was extracted with EtOAc ( $2 \times 3$  mL), and the combined organic extracts were dried, concentrated, and purified by chromatography (5-40% EtOAc in hexanes) to give 9 (39 mg, 53%) as a white solid:  $R_f = 0.49$  (50% hexane/ EtOAc); mp 128 °C; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.77 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.31 (qd, 1H, J = 12.4, 2.0 Hz, H-4ax), 1.68 (m, 1H, H-3), 1.82 (m, 1H, H-3), 1.95 (dm, J = 13.2 Hz, 1H, H-4eq), 2.03 (dd, J = 12.6,4.4 Hz, 1H, H-8eq), 2.12 (m, 1H, H-6ax), 2.15 (m, 1H, H-7), 2.19 (m, 1H, H-8ax), 2.24 (brt, I = 12.0 Hz, 1H, H-4a), 2.42 (s, 3H, ArCH<sub>3</sub>), 2.91 (ddd, J = 13.2, 8.8, 4.4 Hz, 1H, H-8a), 2.94 (td, 1H, J = 12.8, 5.2 Hz, H-2ax), 3.07 (dt, J = 13.2, 2.0 Hz, 1H, H-6eq), 3.97 (dt, J = 12.8, 5.2 Hz, 1H, H-2eq), 6.31 (s, 1H, C=CH), 7.07 (dd, J = 7.6, 4.8 Hz, 1H, H-5 py), 7.13 (d, J = 8.0 Hz, 1H, H-3 py), 7.28 (d, J = 8.4 Hz, 2H, o-Ts), 7.59 (td, J= 7.6, 2.0 Hz, 1H, H-4 py), 7.69 (d, J = 8.4 Hz, 2H, m-Ts), 8.54 (dm, J = 4.8 Hz, 1H, H-6 py);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>, HSQC)  $\delta$  18.2 (CH<sub>3</sub>), 21.7 (CH<sub>3</sub>Ar), 24.5 (C-3), 25.8 (C-4), 29.3 (C-7), 35.2 (C-6), 38.1 (C-8), 46.3 (C-4a), 46.8 (C-2), 60.6 (C-8a), 121.2 (C-5 py), 124.0 (C-3 Py), 124.1 (=CH), 127.3 (o-Ts), 129.7 (m-Ts), 136.0 (C-4 Py), 137.3 (p-Ts), 143.3 (ipso-Ts), 144.7 (C-5), 149.3 (C-6 Py), 157.3 (C-2 Py). HRMS (ESI-TOF) m/z:  $[M + H]^+$  calcd for  $C_{23}H_{29}N_2O_2S$ 397.1944, found 397.1953.

(4aRS,5RS,7SR,8aRS)-7-Methyl-5-(pyridin-2-ylmethyl)-1-(4methylphenylsulfonyl)decahydroguinoline (10). To a stirred solution of 9 (27 mg, 0.068 mmol) in MeOH (7 mL) was added Wilkinson's catalyst RhCl(PPh<sub>3</sub>)<sub>3</sub> (16 mg, 0.017 mmol, 25 mol %) at rt. The resulting mixture was rapidly evacuated and backfilled with H2 three times and then stirred under an atmosphere of H<sub>2</sub> for 72 h. The mixture was concentrated, and purified by chromatography (5-25% EtOAc in cyclohexane) to give 10 (17 mg, 63%):  $R_f = 0.5$  (1:1 EtOAc/ cyclohexane): <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.80 (d, J = 7.2 Hz, 3H,  $CH_3$ ), 0.91 (qd, J = 12.4, 6.2 Hz, 1H, H-4ax), 1.20 (m, 2H, H-6), 1.34 (qd, J = 12.4, 3.2, 1H, H-4a), 1.65 (m, 2H, H-3), 1.80 (m, 1H, H-5), 1.86 (td, J = 12.4, 4.8 Hz, 1H, H-8ax), 1.94 (dm, J = 12.4 Hz, 1H, H-8eq), 2.00 (m, 1H, H-7), 2.12 (dm, J = 12.0 Hz, 1H, H-4eq), 2.30 (dd, J = 13.4, 8.8)Hz, 1H, CH<sub>2</sub>Py), 2.42 (s, 3H, ArCH<sub>3</sub>), 2.94–3.00 (m, 2H, H-2ax, H-8a),  $3.11 \text{ (dd, } J = 13.4, 4.0 \text{ Hz, } 1\text{H, } C\text{H}_2\text{Py}), 3.97 \text{ (dt, } J = 13.2, 5.6 \text{ Hz, } 1\text{H, } \text{H}_2\text{-}$ 2eq), 7.04 (d, J = 8.0 Hz, 1H, H-3 Py), 7.08 (m, 1H, H-5 Py), 7.28 (d, J =8.4 Hz, 2H, o-Ts), 7.55 (td, J = 7.6, 1.6 Hz, 1H, H-4 Py), 7.68 (d, J = 8.0Hz, 2H, m-Ts), 8.50 (dm, J = 4.0 Hz, 1H, H-6 Py);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>, HSQC) δ 18.3 (CH<sub>3</sub>), 21.6 (ArCH<sub>3</sub>), 25.1 (C-3), 27.4 (C-4), 27.5 (C-7), 36.8 (C-6), 37.1 (C-8), 37.3 (C-5), 42.3 (CH<sub>2</sub>Py), 45.6 (C-4a), 47.3 (C-2), 59.8 (C-8a), 121.1 (C-5 Py), 124.0 (C-3 Py), 127.2 (o-Ts), 129.7 (m-Ts), 136.2 (C-4 Py), 138.4 (p-Ts), 143.0 (ipso-Ts), 149.4 (C-6 Py), 161.1 (C-2 Py). HRMS (ESI-TOF) m/z: [M + H]<sup>+</sup> calcd for C23H31N2O2S 399.2101, found 399.2116.

(4aR5,5R5,7SR,8aRS)-7-Methyl-5-(pyridin-2-ylmethyl)-decahydroquinoline (11). A solution of sulfonamide 10 (17 mg, 0.043 mmol) in anhydrous THF (1 mL) was added to a stirred suspension of LiAlH<sub>4</sub> (16 mg, 0.43 mmol) in THF (1 mL) at 0 °C. The reaction was stirred overnight at rt and quenched by addition of one drop of water, another of aqueous 15% NaOH, and three drops of water. The mixture was diluted with CH<sub>2</sub>Cl<sub>2</sub>, filtered through a pad of Celite, and washed thoroughly with CH<sub>2</sub>Cl<sub>2</sub>. Evaporation of the solvent gave 11, which was pure enough to be used in the following step. An analytical sample of secondary amine 11 was obtained by chromatography on alumina (1–5% MeOH in CH<sub>2</sub>Cl<sub>2</sub>):  $R_f$  = 0.22 (5:95 MeOH:CH<sub>2</sub>Cl<sub>2</sub>);  $^1$ H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.91 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 0.92 (qd, J = 12.0, 3.2 Hz, 1H, H-4a), 1.09 (qd, J = 12.0, 4.0 Hz, 1H, H-4ax), 1.20–1.25 (m, 2H, 2H-6), 1.44 (td, J = 12.0, 4.2 Hz, 1H, H-8ax), 1.52 (dt, J = 12.4, 2.0 Hz, 1H, H-8eq), 1.53 (m, 1H, H-3eq), 1.71 (tt, J = 13.2, 3.2 Hz,

1H, H-3ax), 1.82 (m, 1H, H-5), 2.01 (m, 1H, H-7eq), 2.14 (dd, J = 13.0, 3.0 Hz, 1H, H-4eq), 2.30 (dd, J = 13.2, 10.0 Hz, 1H, CH<sub>2</sub>Py), 2.47 (ddd, 1H, J = 11.2, 10.0, 4.0 Hz, H-8a), 2.66 (td, 1H, J = 12.2, 3.0 Hz, H-2ax), 3.07 (dm, J = 12.0 Hz, 1H, H-2eq), 3.14 (dd, J = 13.2, 4.0 Hz, 1H, CH<sub>2</sub>Py), 7.06–7.09 (m, 2H, H-3 Py, H-5 Py), 7.55 (td, J = 8.0, 1.6 Hz, 1H, H-4 Py), 8.52 (dd, J = 5.2, 2.0 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  19.2 (CH<sub>3</sub>), 27.2 (C-3), 27.5 (C-7), 28.8 (C-4), 36.4 (C-5), 37.6 (C-6), 39.4 (C-8), 41.9 (CH<sub>2</sub>Py), 47.0 (C-2), 48.4 (C-4a), 56.2 (H-8a), 120.9 (C-5 Py), 123.9 (C-3 Py), 136.1 (C-4 Py), 149.4 (C-6 Py), 161.8 (C-2 Py). HRMS (ESI-TOF) m/z:  $[M + H]^+$  calcd for  $C_{16}H_{24}N_2$  245.2012, found 245.2009.

(4aRS,5RS,7SR,8aRS)-1,7-Dimethyl-5-(pyridin-2-ylmethyl)decahydroquinoline (rac-Serralongamine A, 2). To a solution of the above crude amine 11 (10 mg, 0.043 mmol) in MeOH (2.3 mL) was added 37% aqueous formaldehyde (24 mL, 0.328 mmol) and NaBH<sub>3</sub>CN (18 mg, 0.287 mmol) at 0 °C, and the mixture was stirred at rt for 30 min. The volatiles were evaporated, and the crude was purified on neutral alumina ( $CH_2Cl_2$  to 5% MeOH in  $CH_2Cl_2$ ) to give 2 (8.4 mg, 76% over two steps from 10):  $R_f = 0.70$  (5% CH<sub>3</sub>OH in CH<sub>2</sub>Cl<sub>2</sub>). This sample was dissolved in CD<sub>3</sub>OD, and NaOCD<sub>3</sub> (0.1 M in CD3OD) was added. 1H and 13C NMR spectra of the free base were obtained: <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD, NaOCD<sub>3</sub>)  $\delta$  0.90 (d, J = 7.6Hz, 3H, CH<sub>3</sub>), 1.10-1.15 (masked, 1H, H-4a), 1.11 (br q, J = 12.0 Hz, 1H, H-4ax), 1.15 (br d, J = 12 Hz, 1H, H-6eq), 1.25 (td, J = 12.4, 4.4 Hz, 1H, H-6ax), 1.35 (td, J = 12.4, 4.8 Hz, 1H, H-8ax), 1.65–1–75 (m, 2H, 2H-3), 1.80-1.92 (m, 2H, H-5 and H-8a), 1.93 (dm, J = 12.0 Hz, 1H, H-8eq), 2.03 (m, 1H, H-7), 2.16 (dm, J = 11.8 Hz, 1H, H-4eq), 2.18 (td, J =12.8, 3.2 Hz, 1H, H-2ax), 2.24 (s, 3H, CH<sub>3</sub>), 2.30 (dd, J = 13.2, 10.4 Hz, 1H,  $CH_2py$ ), 2.88 (dm, J = 12.0 Hz, 1H, H-2eq), 3.19 (dd, J = 13.2, 4.0 Hz, 1H, CH<sub>2</sub>py), 7.24 (dd, J = 7.6, 4.8 Hz, 1H, H-5 py), 7.25 (t, J = 7.4Hz, 1H, H-3 py), 7.73 (tt, J = 7.6, 1.6 Hz, 1H, H-4 py), 8.42 (dm, J = 4.8, 1H, H-6 py). <sup>13</sup>C NMR (100 MHz, CD<sub>3</sub>OD, NaOCD<sub>3</sub>)  $\delta$  19.5 (CH<sub>3</sub>), 26.1 (C-3), 28.5 (C-7), 29.6 (C-4), 36.6 (C-8), 37.8 (C-5), 38.0 (C-6), 42.6 (CH<sub>2</sub>py), 43.1 (NCH<sub>3</sub>), 47.8 (C-4a), 58.5 (C-2), 64.8 (C-8a), 122.7 (C-3 py), 125.7 (C-5 py), 138.4 (C-4 py), 149.5 (C-6 py), 162.6 (C-2 py). HRMS (ESI-TOF) m/z:  $[M + H]^+$  calcd for  $C_{17}H_{27}N_2$ 259.2168, found 259.2169.

Spectra matching the reported spectra of (-)-serralongamine A<sup>6</sup> were obtained after the addition of TFA in CD<sub>3</sub>OD to the above sample of 2:  ${}^{1}$ H (400 MHz, CD<sub>3</sub>OD, TFA)  $\delta$  0.95 (d, J = 7.6 Hz, 3H, CH<sub>3</sub>), 1.15 (br d, J = 13.2 Hz, 1H, H-6eq), 1.41 (td, J = 12.8, 4.8 Hz, 1H, H-6ax), 1.44 (td, J = 12.4, 4.4 Hz, 1H, H-4ax), 1.53 (qd, J = 12.0, 2.8 Hz, 1H, H-4a), 1.64 (td, *J* = 12.4, 4.8 Hz, 1H, H-8ax), 1.88 (qt, *J* = 12.4, 4.0 Hz, 1H, H-3ax), 2.00–2.09 (m, 2H, H-3eq, H-5ax), 2.15 (br d, J = 12.4 Hz, 1H, H-8eq), 2.22 (br d, J = 12.0 Hz, 1H, H-7eq), 2.24 (m, 1H, H-4eq), 2.72 (dd, J = 14.4, 10.4 Hz, 1H, CH<sub>2</sub>py), 2.86 (s, 3H, NCH<sub>3</sub>), 3.12 (td, J =13.0, 3.2 Hz, 1H, H-2ax), 3.15 (td, J = 12.2, 4.0 Hz, 1H, H-8a), 3.52 (br, J= 13.0 Hz, 1H, H-2eq), 3.56 (dd, J = 14.4, 4.0 Hz, 1H, CH<sub>2</sub>py), 7.85 (ddd, J = 7.2, 5.6, 0.8 Hz, 1H, H-5py), 7.88 (d, J = 8.0 Hz, 1H, H-3py),8.54 (td, J = 8.0, 1.6 Hz, 1H, H-4py), 8.77 (d, J = 5.6 Hz, 1H, H-6py);  $^{1}$ C NMR (100 MHz, CD<sub>3</sub>OD, TFA)  $\delta$  18.3 (CH<sub>3</sub>), 24.0 (C-3), 27.1 (C-4), 28.1 (C-7), 33.8 (C-8), 36.8 (C-6), 37.5 (C-5), 37.9 (CH<sub>2</sub>py), 41.4 (NCH<sub>3</sub>), 46.3 (C-4a), 57.4 (C-2), 66.0 (C-8a), 126.3 (C-5py), 129.4 (C-3ру), 142.8 (С-6ру), 147.8 (С-4ру), 157.7 (С-2ру).

(1RS,4aSR,55R,7RS,8aSR)-1,7-Dimethyl-5-(2,3,4,5-tetrahydropyridine 1-oxide)decahydroquinoline N-Oxide (Huperzine N, 1). To a stirred solution of 2 (8 mg, 0.031 mmol) in AcOH (0.25 mL) was added PtO<sub>2</sub> (20% w/w, 2 mg) at rt. The resulting mixture was evacuated and backfilled with hydrogen 3 times and then stirred under an atmosphere of H<sub>2</sub> for 16 h. The mixture was diluted with CH<sub>2</sub>Cl<sub>2</sub> (2 mL) before it was filtered through a pad of Celite and washed through with CH<sub>2</sub>Cl<sub>2</sub>. The filtered solution was washed with 1 N NaOH, dried, and concentrated. To a solution of the above crude diamine in MeOH/CH<sub>2</sub>Cl<sub>2</sub> (1:1; 0.2 mL) were added in one portion UHP (30 mg, 0.31 mmol) and Na<sub>2</sub>WO<sub>4</sub>·2H<sub>2</sub>O (2 mg, 0.006 mmol), and the mixture was stirred at rt for 72 h. After concentration, CH<sub>2</sub>Cl<sub>2</sub> was added and the reaction mixture was filtered, concentrated, and purified by chromatography (2.5–10% MeOH in CH<sub>2</sub>Cl<sub>2</sub> and then 85/15/1.5 CHCl<sub>3</sub>/MeOH/NH<sub>3</sub>) to give 1 and its epimer 12 (6 mg, 66%, 3:2 ratio) as a

colorless oil, which solidified on standing:  $R_f = 0.20 \text{ (}80/20/2 \text{ CHCl}_3/\text{MeOH/NH}_3\text{)}.$ 

Data for Huperzine N (1). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.93 (d, I =7.2 Hz, 3H, CH<sub>3</sub>), 1.12 (qd, J = 12.0, 3.0 Hz, 1H, H-4ax), 1.28 (masked, 1H, H-6eq), 1.40 (td, J = 12.0, 4.0 Hz, 1H, H-6ax), 1.58 (br d, J = 13.0Hz, 1H, H-3eq), 1.68 (m, 2H, H-4'), 1.80 (m, 2H, H-4a, H-8ax), 1.88 (m, 2H, H-5'), 1.88 (masked, 1H, CH<sub>2</sub>py), 2.05 (m, 1H, H-4eq), 2.10 (1H, m, H-8eq), 2.21 (m, 1H, H-5), 2.38 (masked, 1H, H-3ax), 2.40 (t, J = 6.0 Hz, 2H, H-3'), 2.98 (dd, J = 12.0, 3.0 Hz, 1H, CH<sub>2</sub>py), 2.90 (td, J = 11.5, 3.2 Hz, 1H, H-8a), 3.10 (s, 3H, NCH<sub>3</sub>), 3.14 (ddd, *J* = 12.0, 11.0, 3.0 Hz, 1H, H-2ax), 3.46 (br d, I = 12.0 Hz, 1H, H-2eq), 3.75 (t, I = 6.4Hz, 2H, H-6');  $^{13}$ C NMR (100 MHz, HSQC)  $\delta$  18.9 (C-4'), 19.0 (CH<sub>3</sub>), 20.3 (C-3), 23.3 (C-5'), 27.0 (C-4), 27.1 (C-7), 29.9 (C-3'), 30.1 (C-8), 32.4 (C-5), 36.7 (CH<sub>2</sub>py), 36.8 (C-6), 41.1 (C-4a), 57.6 (NCH<sub>3</sub>), 58.5 (C-6'), 69.1 (C-2), 73.8 (C-8a), 148.0 (C-2'); <sup>15</sup>N (50 MHz, deduced from <sup>1</sup>H-<sup>15</sup>N HMBC correlations) δ 114.7 (N-oxide), 271.7 (nitrone). HRMS (ESI-TOF) m/z: [M + H]+ calcd for C<sub>17</sub>H<sub>31</sub>N<sub>2</sub>O<sub>2</sub> 295.2380; found 295.2374.

Data for N-epi-Huperzine N (12). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.99 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.20 (masked, 1H, H-4ax), 1.30 (m, 1H, H-4a), 1.35 (m, 1H, H-6), 1.40 (m, 1H, H-6), 1.45 (td, J = 12.0, 3.0 Hz, 1H, H-8ax), 1.68 (m, 1H, H-3eq), 1.68 (m, 2H, H-4′), 1.87 (m, 2H, H-5′), 1.87 (masked, 1H, H-3ax), 1.95 (m, 1H, H-5), 2.05 (m, 1H, H-4eq), 2.35 (masked, 1H, CH<sub>2</sub>py), 2.40 (t, J = 6.0 Hz, 2H, H-2′), 2.60 (1H, m, H-8eq), 2.70 (m, 1H, CH<sub>2</sub>py), 2.96 (s, 3H, NCH<sub>3</sub>), 3.21 (br t, J = 12.0 Hz, 1H, H-8a), 3.42 (td, J = 12.0, 3.0 Hz, 1H, H-2ax), 3.61 (br d, J = 12.0 Hz, 1H, H-2eq), 3.72 (t, J = 6.4 Hz, 2H, H-6′); <sup>13</sup>C NMR (100 MHz, HSQC) δ 18.2 (CH<sub>3</sub>), 19.2 (C-4′), 22.9 (C-3), 23.3 (C-5′), 27.1 (C-4), 27.2 (C-7), 29.9 (C-3′), 30.2 (C-8), 34.6 (C-5), 35.5 (CH<sub>2</sub>py), 37.5 (C-6), 44.7 (C-4a), 48.0 (NCH<sub>3</sub>), 58.6 (C-6′), 71.1 (C-2), 75.9 (C-8a), 147.2 (C-2′); <sup>15</sup>N (50 MHz, deduced from <sup>1</sup>H−<sup>15</sup>N HMBC correlations) δ 113.8 (N-oxide), 271.0 (nitrone). HRMS (ESI-TOF) m/z: [M + H]<sup>+</sup> calcd for C<sub>17</sub>H<sub>31</sub>N<sub>2</sub>O<sub>2</sub> 295.2380; found 295.2374.

#### **■** ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.joc.6b00025.

Tables for <sup>1</sup>H and <sup>13</sup>C NMR data of synthetic serralongamine A (2, free base and diprotonated sample) and huperzine N (1) as well as NMR data of isolated alkaloids; copies of <sup>1</sup>H and <sup>13</sup>C NMR spectra of new compounds; COSY, TOCSY, ROESY, HSQC, HMBC, and <sup>1</sup>H-<sup>15</sup>N HMBC spectra of huperzine N (1) and its epimer 12 (PDF)

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#### Notes

The authors declare no competing financial interest.

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# Synthesis of (±)-Serralongamine A and the Revised Structure of Huperzine N

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#### **Contents**

	page
Figure S1. <sup>1</sup> H NMR Spectra of synthetic serralongamine A (2) in CD <sub>3</sub> OD/NaOCD <sub>3</sub> before and after addition of trifluoroacetic acid	S2
Comparison of <sup>1</sup> H- and <sup>13</sup> C-NMR data for serralongamine A and <b>2</b> (Tables S1 and S2)	S3
Comparison of <sup>1</sup> H- and <sup>13</sup> C-NMR data for huperzine N, 1, and 12 (Tables S3 and S4)	S5
Copies of <sup>1</sup> H- and <sup>13</sup> C-NMR spectra of compounds <b>1</b> , <b>2</b> , <b>5-7</b> , <b>9-12</b>	S7
Figure S2. <sup>1</sup> H- and <sup>13</sup> C-NMR data of protonated <i>N</i> -oxides <b>1</b> and <b>12</b>	S27
Figures S3-S9. Copies of COSY, TOCSY, ROESY, HSQC, HMBC, and <sup>1</sup> H- <sup>15</sup> N HMBC spectra of Huperzine N (1) and its epimer 12	S28

For a comparison of NMR data for natural serralongamine A (protonated form) and compound **2**, see Tables S1 and S2.

Figure S1: <sup>1</sup>H NMR spectra of synthetic serralongamine A (2) in CD<sub>3</sub>OD/NaOCD<sub>3</sub> before and after addition of trifluoroacetic acid

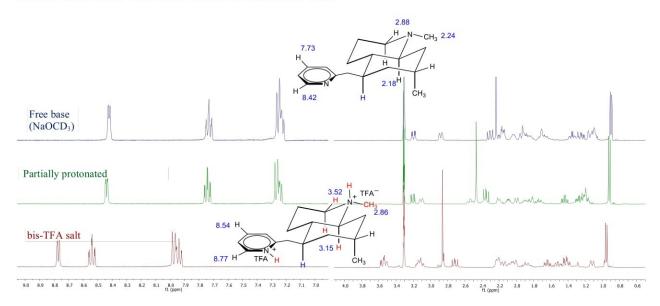


Table S1. <sup>1</sup>H NMR data for serralogamine A in CD<sub>3</sub>OD

## Serralongamine A (2)

Synthetic free base (2)<sup>1</sup> 2 bis-TFA salt<sup>1</sup> Isolated serralongamine A<sup>2</sup>

	$\delta$ $^{1}H$	δ <sup>1</sup> H	$\delta^{1}H$
1	8.42 (dm, 4.8 Hz)	8.77 (d 5.6 Hz)	8.73 (d 5.7 Hz)
2	7.24 (dd, 7.6, 4.8 Hz)	7.94 (m)	7.85 (dd, 6.9, 5.7 Hz)
3	7.73 (tt, 7.6, 1.6 Hz)	8.54 (td, 8.0, 1.6 Hz)	8.44 (dd, 8.0, 6.9 Hz)
4	7.25 (t, 7.4 Hz)	7.97 (d, 8.0 Hz)	7.88 (d, 8.0 Hz)
6a	2.30 (dd, 13.2, 10.4 Hz)	3.51 (m)	3.51 (dd, 13.6, 4.2 Hz)
6b	3.19 (dd, 13.2, 4.0 Hz)	2.71 (dd 14.0, 10.4 Hz)	2.66 (dd, 13.6, 10.9 Hz)
7	1.80-1.92 (m)	2.04 (m)	2.04 (m)
8a	1.15 (br d, 12.0 Hz)	1.39 (m)	1.38 (m)
<b>8</b> b	1.25 (td, 12.4, 4.4 Hz)	1.14 (brd 14.8 Hz)	1.15 (brd 13.4 Hz)
9a	2.88 (dm, 12.0 Hz)	3.56 (m)	3.54 (m)
9b	2.18 (td, 12.8, 3.2 Hz)	3.09 (m)	3.12 (ddd, 13.4, 13.4, 2.4 Hz)
10a	1.65 (m)	2.04 (m)	2.04 (m)
10b	1.65 (m)	1.88 (m)	1.86 (m)
11a	2.16 (dm, 11.8 Hz)	2.23 (m)	2.24 (m)
11b	1.11 (br, 12.0 Hz)	1.45 (m)	1.41 (m)
12	1.10-1.15 (masked)	1.50 (m)	1.48 (m)
13	1.80-1.92 (m)	3.16 (m)	3.15 (m)
14a	1.93 (dm, 12.0 Hz)	2.15 (brd 12.4 Hz)	2.16 (brd 12.8 Hz)
14b	1.35 (td, 12.4, 4.8 Hz)	1.60 (td 12.4, 4.8 Hz)	1.60 (ddd 12.8, 12.8, 4.6 Hz)
15	2.03 (m)	2.20 (m)	2.22 (1H, m)
16	0.90 (d, 7.6 Hz)	0.96 (d 7.6 Hz)	0.96 (d 6.9 Hz)
17	2.24 (s)	2.86 (s)	2.87 (s)

<sup>&</sup>lt;sup>1</sup> H NMR recorded at 400 MHz. Assignments were aided by gCOSY and gHSQCAD spectra.

<sup>&</sup>lt;sup>2</sup> Jiang, W.-P.; Ishiuchi, K.; Wu, J.-B.; Kitanaka, S. Heterocycles, 2014, 89, 747-752.

Table S2. <sup>13</sup>C NMR data for serrolangamine A in CD<sub>3</sub>OD

### Serralongamine A (2)

	Synthetic free base (2) <sup>1</sup>	<b>2</b> bis-TFA salt <sup>1</sup>	Isolated serralongamine A <sup>2</sup>	
	δ <sup>13</sup> C	δ <sup>13</sup> C	δ <sup>13</sup> C	
1	149.5	142.8	143.8	
2	125.7	126.3	125.9	
3	138.4	147.8	146.6	
4	122.7	129.4	128.8	
5	162.6	157.7	158.1	
6	42.6	37.9	38.5	
7	37.8	37.5	37.5	
8	38.0	36.8	36.8	
9	58.5	57.4	57.4	
10	26.1	24.0	24.1	
11	29.6	27.1	27.2	
12	47.8	46.3	46.4	
13	64.8	66.0	66.0	
14	36.6	33.8	33.9	
15	28.5	28.1	28.1	
16	19.5	18.3	18.3	
17	43.1	41.4	41.4	

<sup>&</sup>lt;sup>1</sup> <sup>13</sup>C NMR recorded at 100 MHz. Assignments were aided by gCOSY and gHSQCAD spectra.

<sup>&</sup>lt;sup>2</sup> Jiang, W.-P.; Ishiuchi, K.; Wu, J.-B.; Kitanaka, S. *Heterocycles*, **2014**, *89*, 747-752.

Table S3. Comparison of <sup>1</sup>H NMR data for huperzine N, 1, and 12 in CDCl<sub>3</sub>

2.96(s)

17

3.10(s)

3.04(s)

<sup>&</sup>lt;sup>1</sup> Recorded at 400 MHz. Assignments were aided by gCOSY and gHSQCAD spectra.

<sup>&</sup>lt;sup>2</sup> Recorded at 400 MHz (*Helv. Chim. Acta*, 2008, **91**, 1031-1035).

Table S4. Comparison of <sup>13</sup>C NMR data for huperzine N, 1, and 12 in CDCl<sub>3</sub>

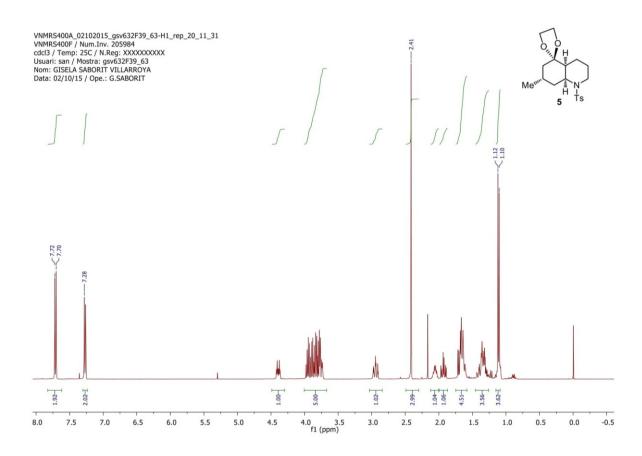
huperzine N (1) biogenetic numbering

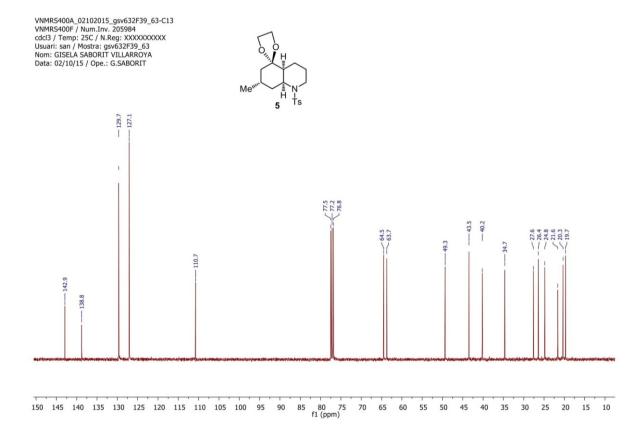
N-epi-huperzine (12)

carbon	Synthetic 1 <sup>1</sup>	<b>12</b> <sup>1</sup>	Huperzine N <sup>2</sup>
	δ <sup>13</sup> C	δ <sup>13</sup> C	δ <sup>13</sup> C
1	58.5	58.6	58.2
2	23.3	23.3	23.1
3	18.9	19.2	18.8
4	29.9	29.9	30.0
5	147.9	147.2	148.0
6	36.7	35.5	36.4
7	32.4	34.6	32.3
8	36.8	37.5	36.6
9	69.1	71.1	69.0
10	20.3	22.9	20.1
11	27.0	27.1	27.0
12	41.1	44.7	40.8
13	73.8	75.9	73.4
14	30.1	30.2	30.0
15	27.1	27.2	26.8
16	19.0	18.2	19.0
17	57.6	48.0	57.6

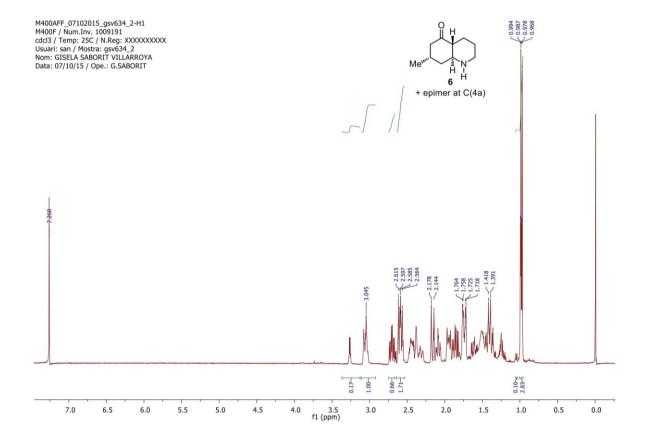
Recorded at 100 MHz. Assignments were aided by gCOSY and gHSQCAD spectra.

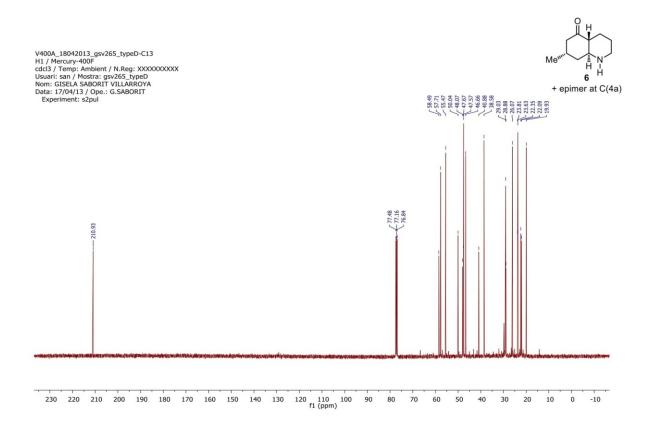
<sup>&</sup>lt;sup>2</sup> Recorded at 100 MHz (*Helv. Chim. Acta*, 2008, **91**, 1031-1035).



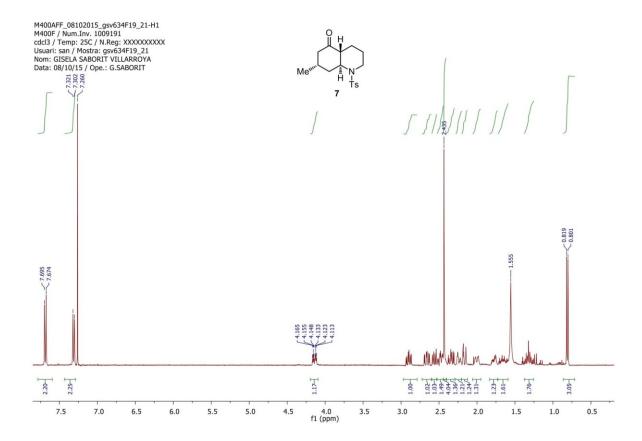


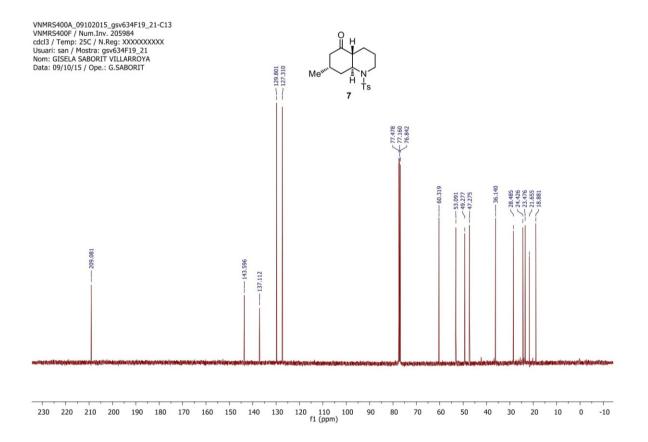


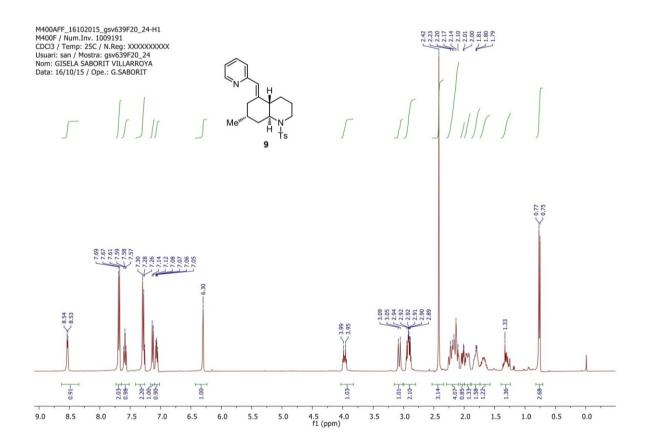




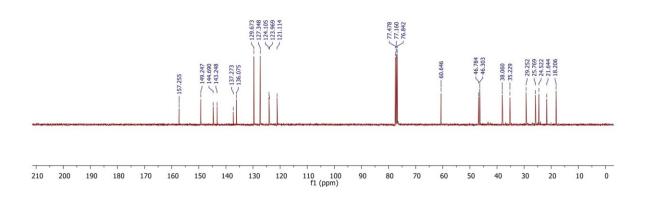


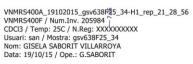






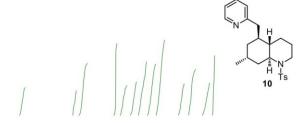
VNMRS400A\_14102015\_gsv637F6\_13\_2a-C13 VNMRS400F / Num.Inv. 205984 cdcl3 / Temp: 25C / N.Reg: XXXXXXXXXX Usuari: san / Mostra: gsv637F6\_13\_2a Nom: GISELA SABORIT VILLARROYA Data: 14/10/15 / Ope.: G.SABORIT

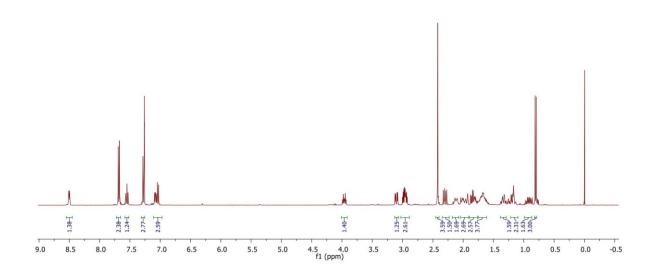




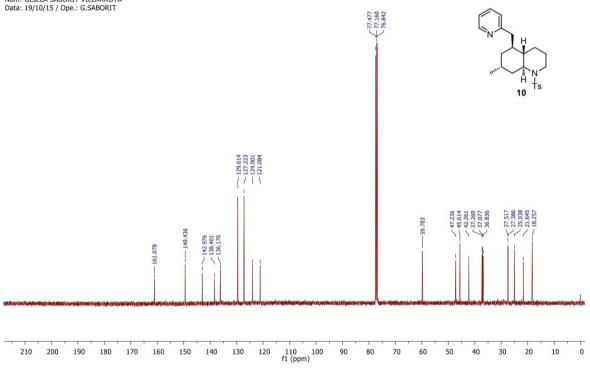
**S15** 



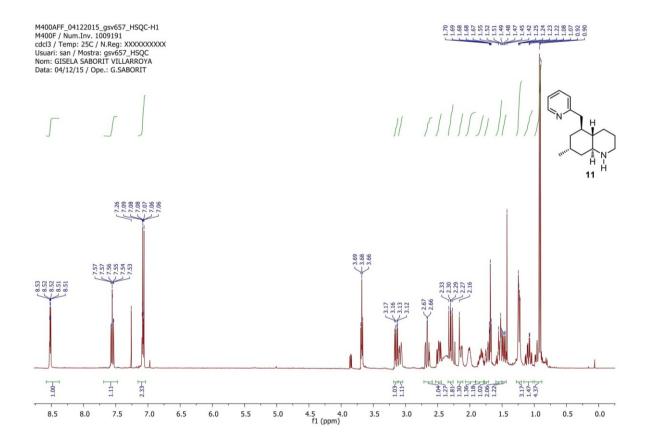


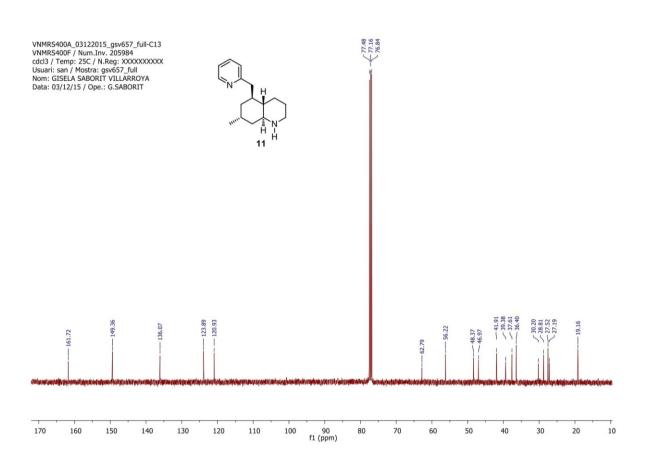


VNMRS400A\_19102015\_gsv638F25\_34-C13 VNMRS400F / Num.Inv. 205984 CDCI3 / Temp: 25C / N.Reg: XXXXXXXXXXX Usuari: san / Mostra: gsv638F25\_34 Nom: GISELA SABORIT VILLARROYA Data: 19/10/15 / Ope.: G.SABORIT

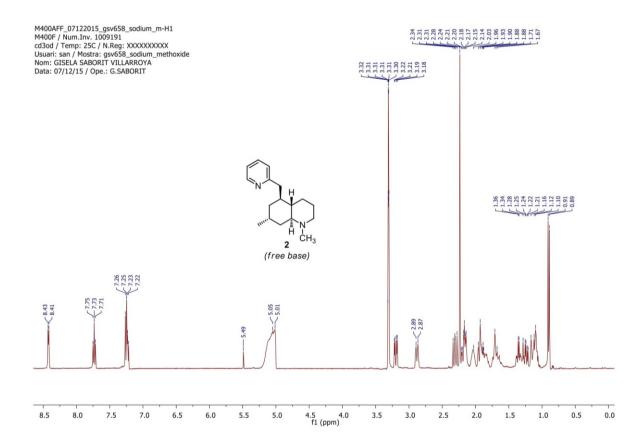


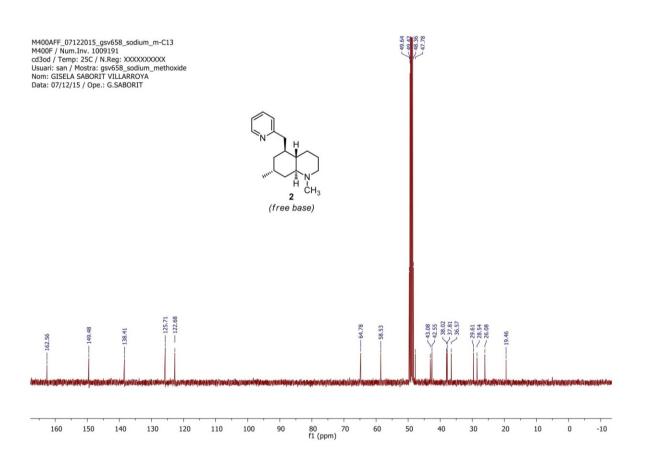




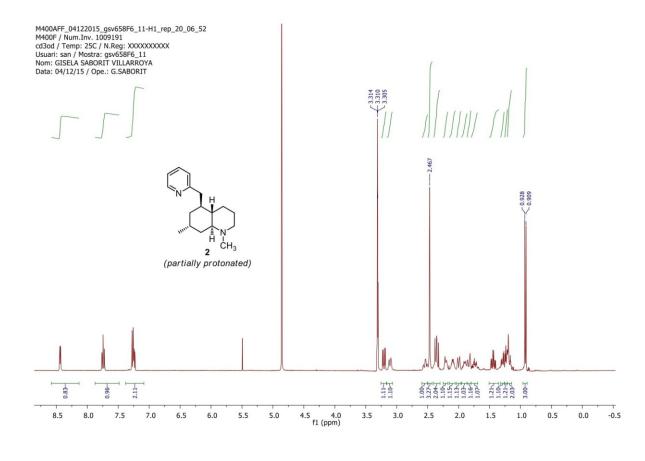


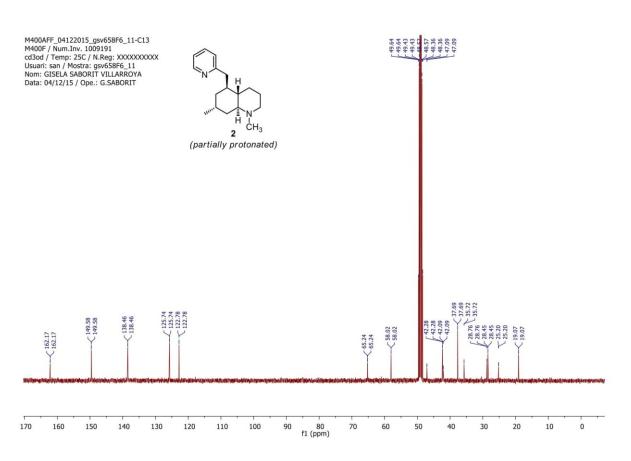




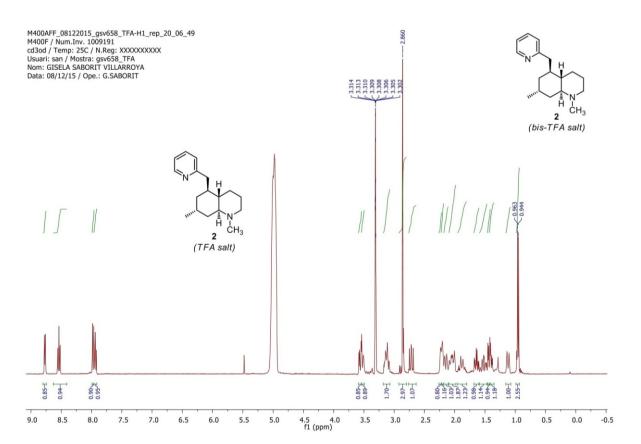


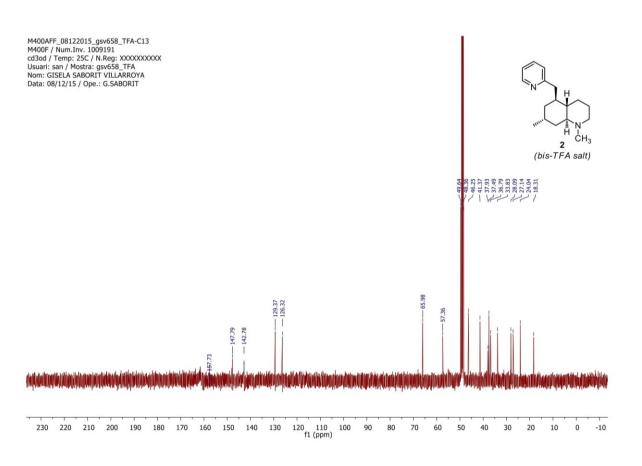
S22



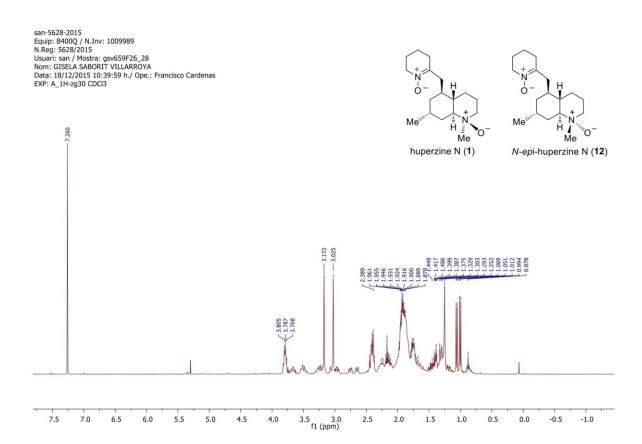


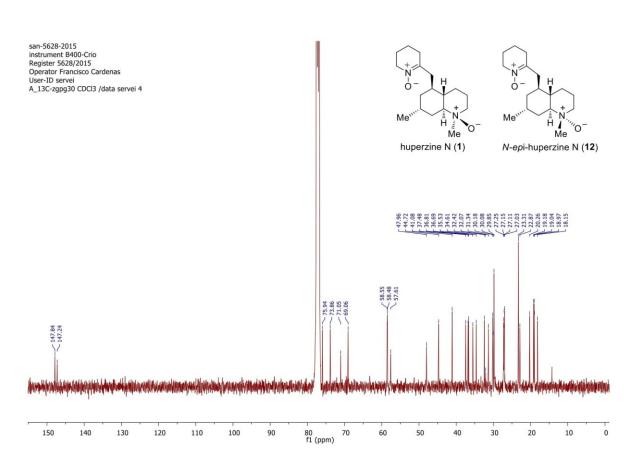












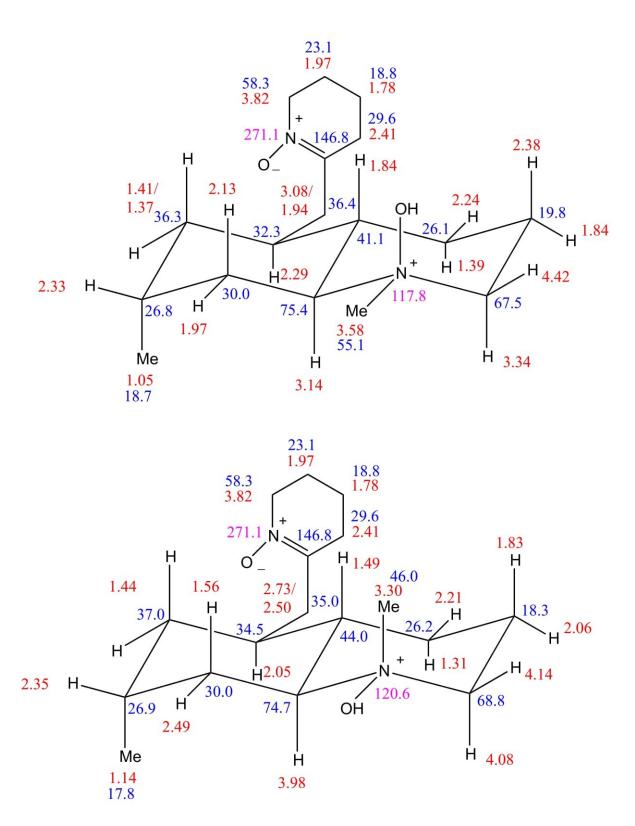
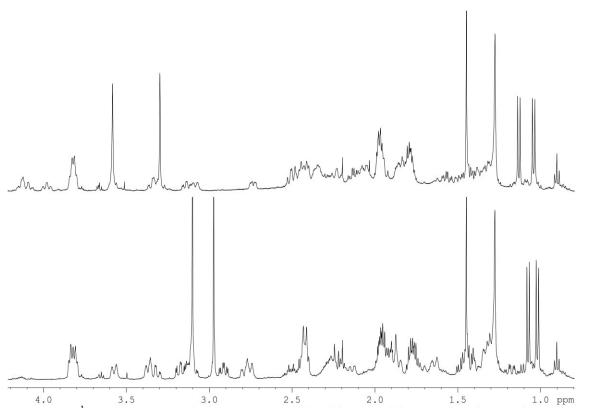


Figure S2. NMR data ( $^{1}$ H,  $^{13}$ C, and  $^{15}$ N) of protonaded samples of huperzine N (1) and its epimer 12.



**Figure S3**. <sup>1</sup>H NMR spectra (500 MHz, CDCl<sub>3</sub>) of the *N*-oxide compounds **1** and **12** (bottom) and their protonated derivatives (top). Note the strong downshield effect on all proton resonances near to the protonated *N*-oxide functionality.

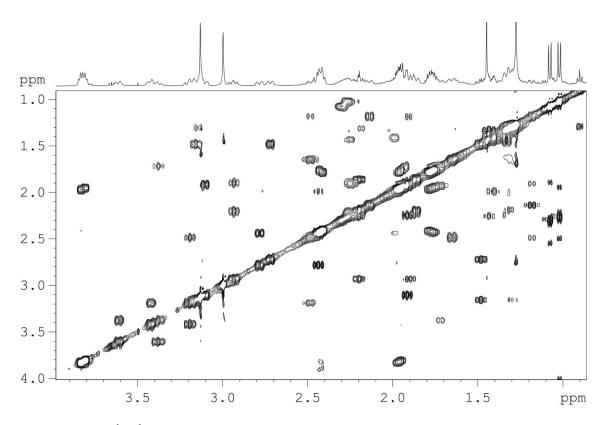


Figure S4. 2D <sup>1</sup>H-<sup>1</sup>H COSY spectrum of the mixture containing the two *N*-oxide derivatives.

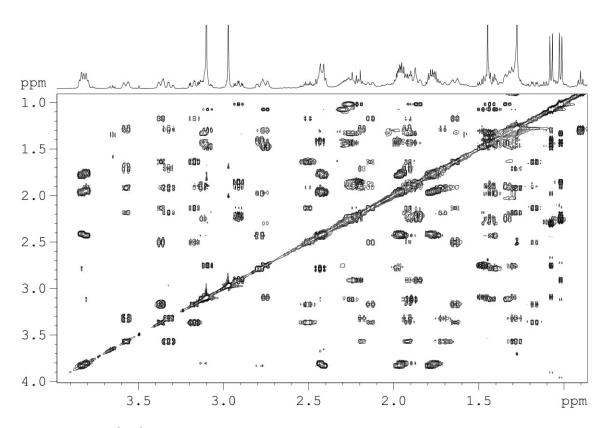
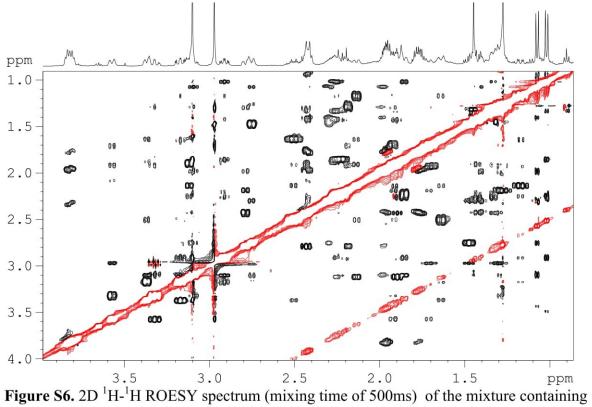


Figure S5. 2D <sup>1</sup>H-<sup>1</sup>H TOCSY spectrum (mixing time of 60 ms) of the mixture containing the two N-oxide derivatives.



the two N-oxide derivatives.

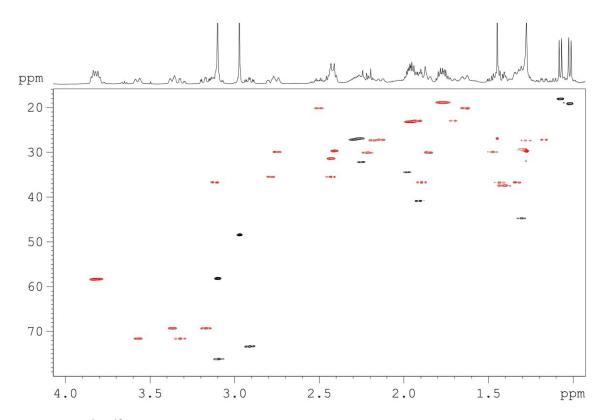
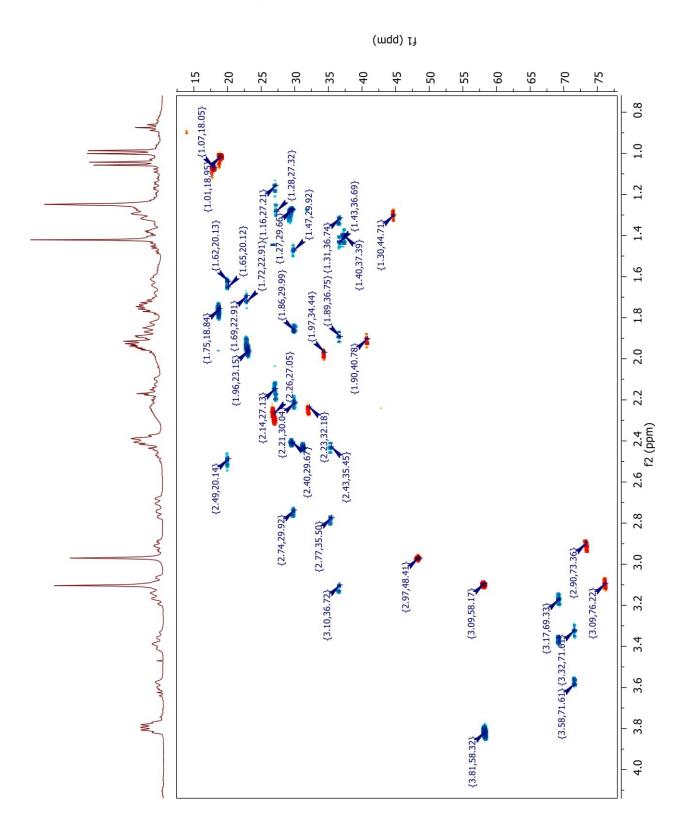


Figure S7. <sup>1</sup>H-<sup>13</sup>C multiplicity-edited HSQC

**Figure S7.** <sup>1</sup>H-<sup>13</sup>C multiplicity-edited HSQC



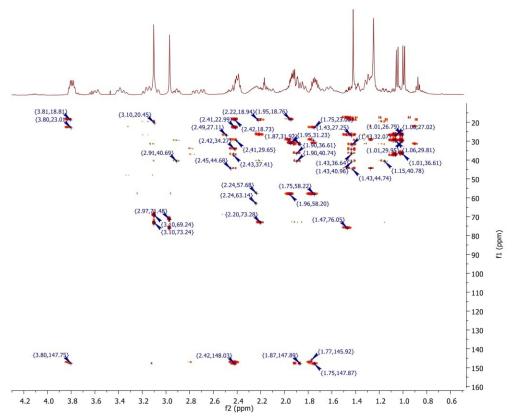
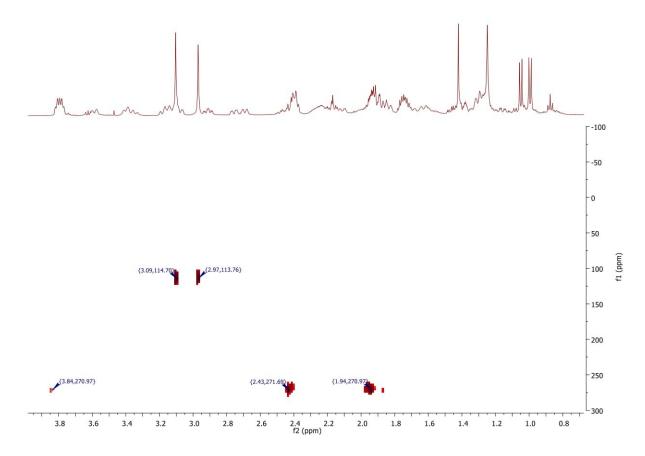


Figure S8. <sup>1</sup>H-<sup>13</sup>C HMBC spectrum (optimized to 8 Hz)



**Figure S9.** <sup>1</sup>H-<sup>15</sup>N HMBC (optimized to 8 Hz).

Decahydroquinoline Ring NMR Patterns for the Stereochemical Elucidation of Phlegmarine Alkaloids: Synthesis of (-)-Serralongamine A and the Revised Structures of (-)-Huperzine K and Huperzine M

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# **Unpublished results**

#### **EXPERIMENTAL SECTION**

**General Methods.** All reactions were carried out under an argon atmosphere with dry, freshly distilled solvents under anhydrous conditions. All product mixtures were analyzed by thin-layer chromatography using TLC silica gel plates with a fluorescent indicator ( $\lambda$  = 254 nm). Analytical thin-layer chromatography was performed on SiO<sub>2</sub> (Merck silica gel 60 F<sub>254</sub>), and the spots were located by UV light or/and a 1% KMnO<sub>4</sub> aqueous solution or hexachloroplatinate reagent. Chromatography refers to flash chromatography and was carried out on SiO<sub>2</sub> (silica gel 60 ACC, 230-240 mesh). Drying of organic extracts during the reaction workup was performed over anhydrous Na<sub>2</sub>SO<sub>4</sub>. Chemical shifts of <sup>1</sup>H and <sup>13</sup>C NMR spectra are reported in ppm downfield ( $\delta$ ) from Me<sub>4</sub>Si. All NMR data assignments are supported by gCOSY and gHSQC experiments.

(4a*S*,7*S*,8a*S*)-7-Methyl-1-(4-methylphenylsulfonyl)-5-oxodeca-hydroquinoline ethylene acetal ((+)-21). From crystallized enantiopure keto ester (+)-19 (2.16 g, 5.12 mmol), following the procedure previously described, <sup>113</sup> ketone (+)-20 was obtained after treatment with TFA and used in the next step without purification. Acetalization <sup>114</sup> of (+)-20 gave (+)-21 (1.76 g, 93%) as a white solid. Purification of an aliquot by chromatography (5% to 25% EtOAc in hexanes), gave the pure product as a white solid:  $R_f = 0.71$  (1:1 EtOAc/hexanes); mp 100 °C,  $\alpha_D = +12.3$  (*c* 1, CHCl<sub>3</sub>). For NMR data, see ref 113

(4aR,7S,8aS)-7-Methyl-5-oxodecahydroquinoline ((+)-23). Operating as previously described, 114 starting from (+)-21 (1.75 g, 4.79 mmol), (+)-23, a 2:1 mixture of epimers at C-4a, was obtained (631 mg) as a colorless oil, which was used directly in the next step. For NMR data, see ref 114.

(4aR,7S,8aS)-7-Methyl-5-oxo-1-(4-methylphenylsulfonyl)decahydroquinoline ((+)-24). To a cooled (0 °C) stirred solution of the above mixture of (+)-23 and its epimer (630 mg, 3.7 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (45 mL) was added a solution of TsCl (776 mg, 4.07 mmol, 1.1 equiv) in CH<sub>2</sub>Cl<sub>2</sub> (20 mL) followed by Et<sub>3</sub>N (0.60 mL, 4.44 mmol, 1.2 equiv). The mixture was stirred at rt for 6 h and diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 mL). The organics were washed with brine (2 x 20 mL), dried, concentrated, and purified by chromatography (5% to 25% EtOAc in hexanes) to yield successively (+)-20 (305 mg) and (+)-24 (340 mg, 37% in three steps, 56% brsm) as a white solid:  $R_f$  = 0.35 (25% EtOAc/ hexanes); mp 108 °C,  $\alpha_D$  = +42.0 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  0.81 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.32 (m, 1H, H-4ax), 1.64 (m, 1H, H-3ax), 1.76 (m, 1H, H-3eq), 2.00 (dd,

<sup>&</sup>lt;sup>113</sup> Bradshaw, B.; Luque-Corredera, C.; Bonjoch, J. *Org. Lett.* **2013**, *15*, 326-329.

<sup>&</sup>lt;sup>114</sup> Bradshaw, B.; Luque-Corredera, C.; Saborit, G.; Cativiela, C.; Dorel, R.; Bo, C.; Bonjoch, J. *Chem. Eur. J.* **2013**, *19*, 13881-13892.

J=12.8, 3.6 Hz, 1H, H-4eq), 2.15 (dt, J=13.6, 2.4 Hz, 1H, H-6ax), 2.23 (dm, J=12.4 Hz, 1H, H-8eq), 2.33 (td, J=13.6, 4.6 Hz, 1H, H-8ax), 2.40 (masked, H-7), 2.42 (s, 3H, CH<sub>3</sub>Ar), 2.46 (qd, 1H, J=11.4, 3.2 Hz, H-4a), 2.56 (dd, J=11.6, 4.0 Hz, 1H, H-6eq), 2.66 (td, J=11.2, 3.2, 1.6 Hz, 1H, H-2ax), 2.89 (td, J=11.4, 4.0 Hz, 1H, H-8a), 4.13 (dtd, J=12.8, 4.0, 1.2 Hz, 1H, H-2eq), 7.30 (d, J=11.4, 4.0 Hz, 2H, o-Ts), 7.68 (d, J=12.8, 4.0, 1.2 Hz, 1H, H-2eq), 7.30 (d, J=12.8, 4.0, 1.2

(*E*)-(4aS,7*R*,8aS)-7-Methyl-1-(4-methylphenylsulfonyl)-5-(pyridin-2-yl-methylene)decahydroquinoline ((+)-25). Both the pyridine phosphonate and decahydroquinoline (+)-23 were previously dried by azeotroping with benzene. To a stirred solution of phosphonate (1.18 g, 5.13 mmol, 5 equiv) in THF (15 mL) at -78 °C was added *n*-BuLi (1.6 M in hexanes, 2.88 mL, 4.62 mmol, 4.5 equiv). The resulting dark red solution was stirred for 30 min at rt before a solution of the decahydroquinoline (+)-23 (330 mg, 1.03 mmol) in THF (6 mL) was added dropwise via syringe at -78 °C. The reaction mixture was stirred for 30 min at -78 °C, 1 h at -30 °C, and 6 h at 0 °C, and quenched with sat. aq. NH<sub>4</sub>Cl (5 mL) and water (5 mL). The mixture was extracted with EtOAc (2 × 20 mL) and the combined organic extracts were dried, concentrated, and purified by chromatography (5% to 40% EtOAc in hexanes) to give (+)-24 (52 mg, 16%) and (+)-25 (286 mg, 70%, 82% brsm) as a white solid:  $R_f = 0.49$  (50% hexane/EtOAc); mp 128 °C,  $\alpha_D = +138.2$  (*c* 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.77 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.31 (qd, 1H, J = 12.4, 2.0 Hz, H-4ax),

1.68 (m, 1H, H-3), 1.82 (m, 1H, H-3), 1.95 (dm, J = 13.2 Hz, 1H, H-4eq), 2.03 (dd, J = 12.6, 4.4 Hz, 1H, H-8eq), 2.12 (m, 1H, H-6ax), 2.15 (m, 1H, H-7), 2.19 (m, 1H, H-8ax), 2.24 (brt, J = 12.0 Hz, 1H, H-4a), 2.42 (s, 3H, ArCH<sub>3</sub>), 2.91 (ddd, J = 13.2, 8.8, 4.4 Hz, 1H, H-8a), 2.94 (td, 1H, J = 12.8, 5.2 Hz, H-2ax), 3.07 (dt, J = 13.2, 2.0 Hz, 1H, H-6eq), 3.97 (dt, J = 12.8, 5.2 Hz, 1H, H-2eq), 6.31 (s, 1H, C=CH), 7.07 (dd, J = 7.6, 4.8 Hz, 1H, H-5 py), 7.13 (d, J = 8.0 Hz, 1H, H-3 py), 7.28 (d, J = 8.4 Hz, 2H,  $\sigma$ -Ts), 7.59 (td, J = 7.6, 2.0 Hz, 1H, H-4 py), 7.69 (d, J = 8.4 Hz, 2H, m-Ts), 8.54 (dm, J = 4.8 Hz, 1H, H-6 py); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>, HSQC)  $\delta$  18.2 (CH<sub>3</sub>), 21.7 (CH<sub>3</sub>Ar), 24.5 (C-3), 25.8 (C-4), 29.3 (C-7), 35.2 (C-6), 38.1 (C-8), 46.3 (C-4a), 46.8 (C-2), 60.6 (C-8a), 121.2 (C-5 py), 124.0 (C-3 Py), 124.1 (=CH), 127.3 ( $\sigma$ -Ts), 129.7 ( $\sigma$ -Ts), 136.0 (C-4 Py), 137.3 ( $\sigma$ -Ts), 143.3 ( $\sigma$ -Ts), 144.7 (C-5), 149.3 (C-6 Py), 157.3 (C-2 Py). HRMS (ESI-TOF)  $\sigma$ /z: [M+H]<sup>+</sup> calcd for C<sub>23</sub>H<sub>29</sub>N<sub>2</sub>O<sub>2</sub>S 397.1944, found 397.1953.

(4a*S*,5*S*,7*R*,8a*S*)-7-Methyl-5-(pyridin-2-ylmethyl)-1-(4-methylphenyl-sulfonyl)decahydroquinoline ((+)-26). To a stirred solution of (+)-25 (260 mg, 0.656 mmol) in MeOH (20 mL) was added Wilkinson's catalyst RhCl(PPh<sub>3</sub>)<sub>3</sub> (14 mg, 0.013 mmol, 2 mol %) at rt. The resulting mixture was rapidly evacuated and backfilled with H<sub>2</sub> three times and then stirred under an atmosphere of H<sub>2</sub> for 20 h. The mixture was concentrated, and purified by chromatography (10% to 40% EtOAc in cyclohexane) to give (+)-26 (248 mg, 95%):  $R_f$  = 0.5 (1:1 EtOAc/cyclohexane), mp 159 °C, α<sub>D</sub> = +54.0 (*c* 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.80 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 0.91 (qd, J = 12.4, 6.2 Hz, 1H, H-4ax), 1.20 (m, 2H, H-6), 1.34 (qd, J = 12.4, 3.2, 1H, H-4a), 1.65 (m, 2H, H-3), 1.80 (m, 1H, H-5), 1.86 (td, J = 12.4, 4.8 Hz, 1H, H-8ax), 1.94 (dm, J = 12.4 Hz, 1H,

H-8eq), 2.00 (m, 1H, H-7), 2.12 (dm, J = 12.0 Hz, 1H, H-4eq), 2.30 (dd, J = 13.4, 8.8 Hz, 1H, CH<sub>2</sub>Py), 2.42 (s, 3H, ArCH<sub>3</sub>), 2.94-3.00 (m, 2H, H-2ax, H-8a), 3.11 (dd, J = 13.4, 4.0 Hz, 1H, CH<sub>2</sub>Py), 3.97 (dt, J = 13.2, 5.6 Hz, 1H, H-2eq), 7.04 (d, J = 8.0 Hz, 1H, H-3 Py), 7.08 (m, 1H, H-5 Py), 7.28 (d, J = 8.4 Hz, 2H, o-Ts), 7.55 (td, J = 7.6, 1.6 Hz, 1H, H-4 Py), 7.68 (d, J = 8.0 Hz, 2H, m-Ts), 8.50 (dm, J = 4.0 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>, HSQC) δ 18.3 (CH<sub>3</sub>), 21.6 (ArCH<sub>3</sub>), 25.1 (C-3), 27.4 (C-4), 27.5 (C-7), 36.8 (C-6), 37.1 (C-8), 37.3 (C-5), 42.3 (CH<sub>2</sub>Py), 45.6 (C-4a), 47.3 (C-2), 59.8 (C-8a), 121.1 (C-5 Py), 124.0 (C-3 Py), 127.2 (o-Ts), 129.7 (m-Ts), 136.2 (C-4 Py), 138.4 (p-Ts), 143.0 (p-Ts), 149.4 (C-6 Py), 161.1 (C-2 Py). HRMS (ESI-TOF) m/z: [M+H]<sup>+</sup> calcd for C<sub>23</sub>H<sub>31</sub>N<sub>2</sub>O<sub>2</sub>S 399.2101, found 399.2116.

(4aS,5S,7*R*,8aS)-7-Methyl-5-(pyridin-2-ylmethyl)decahydroquinoline ((-)-27). A solution of (+)-26 (240 mg, 0.602 mmol) and phenol (200 mg, 2.1 mmol, 3.5 equiv) in HBr 48% (7.0 mL) was stirred at reflux for 4 h. The reaction was quenched by addition of H<sub>2</sub>O (5 mL) and diluted with EtOAc (5 mL). The organic layer was separated, and the aqueous layer was basified with saturated aqueous NaOH and extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 × 10 mL). The combined organic extracts were dried over MgSO<sub>4</sub> and concentrated affording clean crude product (-)-27 (140 mg, 95%) as a colorless oil which was used directly in the next step. An analytical sample was purified on alumina (1-5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>):  $R_f$  0.22 (5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>),  $\alpha_D$  = -42.4 (*c* 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.91 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 0.92 (qd, J = 12.0, 3.2 Hz, 1H, H-4a), 1.09 (qd, J = 12.0, 4.0 Hz, 1H, H-4ax), 1.20-1.25 (m, 2H, 2H-6), 1.44 (td, J = 12.0, 4.2 Hz, 1H, H-8ax), 1.52 (dt, J = 12.4, 2.0 Hz, 1H, H-8eq), 1.53 (m, 1H, H-3eq), 1.71 (tt, J = 13.2, 3.2 Hz, 1H, H-3ax), 1.82 (m, 1H, H-5), 2.01 (m, 1H, H-7eq), 2.14 (dd, J

= 13.0, 3.0 Hz, 1H, H-4eq), 2.30 (dd, J = 13.2, 10.0 Hz, 1H, CH<sub>2</sub>Py), 2.47 (ddd, 1H, J = 11.2, 10.0, 4.0 Hz, H-8a), 2.66 (td, 1H, J = 12.2, 3.0 Hz, H-2ax), 3.07 (dm, J = 12.0 Hz, 1H, H-2eq), 3.14 (dd, J = 13.2, 4.0 Hz, 1H, CH<sub>2</sub>Py), 7.06-7.09 (m, 2H, H-3 Py, H-5 Py), 7.55 (td, J = 8.0, 1.6 Hz, 1H, H-4 Py), 8.52 (dd, J = 5.2, 2.0 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  19.2 (CH<sub>3</sub>), 27.2 (C-3), 27.5 (C-7), 28.8 (C-4), 36.4 (C-5), 37.6 (C-6), 39.4 (C-8), 41.9 (CH<sub>2</sub>Py), 47.0 (C-2), 48.4 (C-4a), 56.2 (H-8a), 120.9 (C-5 Py), 123.9 (C-3 Py), 136.1 (C-4 Py), 149.4 (C-6 Py), 161.8 (C-2 Py). HRMS (ESI-TOF) m/z: [M+H]<sup>+</sup> calcd for C<sub>16</sub>H<sub>24</sub>N<sub>2</sub> 245.2012, found 245.2009.

(4aS,5S,7R,8aS)-1,7-Dimethyl-5-(pyridin-2-ylmethyl)decahydro-quinoline

((-)-serralongamine A, (-)-14). To a solution of the above crude amine (-)-27 (10 mg, 0.043 mmol) in MeOH (2.5 mL) was added 37% aqueous formaldehyde (24 mL, 0.328 mmol) and NaBH<sub>3</sub>CN (18 mg, 0.287 mmol) at 0 °C, and the mixture was stirred at rt for 30 min. The volatiles were evaporated and the crude was purified on neutral alumina (CH<sub>2</sub>Cl<sub>2</sub> to 5% MeOH in CH<sub>2</sub>Cl<sub>2</sub>) to give (-)-11 (8.4 mg, 76% over two steps from (+)-26):  $R_f = 0.70$  (5% CH<sub>3</sub>OH in CH<sub>2</sub>Cl<sub>2</sub>),  $\alpha_D^{25} = -15.1$  (c 0.6, MeOH) free base, Litt<sup>115</sup>  $\alpha_D^{18} = -9.1$  (c 0.6, MeOH). This sample was dissolved in CD<sub>3</sub>OD and NaOCD<sub>3</sub> (0.1 M in CD<sub>3</sub>OD) was added. <sup>1</sup>H and <sup>13</sup>C NMR spectra of the free base consistent with the data obtained in chapter 5 for *rac*-serralongamine were obtained: <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD, NaOCD<sub>3</sub>)  $\delta$  0.90 (d, J = 7.6 Hz, 3H, CH<sub>3</sub>), 1.10-1.15 (masked, 1H, H-4a), 1.11 (br q, J = 12.0 Hz, 1H, H-4ax), 1.15 (br d, J = 12 Hz, 1H, H-6eq), 1.25 (td, J = 12.4, 4.4 Hz, 1H, H-6ax), 1.35 (td, J = 12.4, 4.8 Hz, 1H, H-8ax), 1.65-1-75 (m, 2H, 2H-3), 1.80-1.92 (m, 2H, H-5 and H-8a), 1.93 (dm, J = 12.0 Hz, 1H, H-8eq),

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<sup>&</sup>lt;sup>115</sup> Jiang, W.-P.; Ishiuchi, K.; Wu, J.-B.; Kitanaka, S. *Heterocycles*, **2014**, 89, 747-752.

2.03 (m, 1H, H-7), 2.16 (dm, J = 11.8 Hz, 1H, H-4eq), 2.18 (td, J = 12.8, 3.2 Hz, 1H, H-2ax), 2.24 (s, 3H, CH<sub>3</sub>), 2.30 (dd, J = 13.2, 10.4 Hz, 1H, CH<sub>2</sub>py), 2.88 (dm, J = 12.0 Hz, 1H, H-2eq), 3.19 (dd, J = 13.2, 4.0 Hz, 1H, CH<sub>2</sub>py), 7.24 (dd, J = 7.6, 4.8 Hz, 1H, H-5 py), 7.25 (t, J = 7.4 Hz, 1H, H-3 py), 7.73 (tt, J = 7.6, 1.6 Hz, 1H, H-4 py), 8.42 (dm, J = 4.8, 1H, H-6 py). <sup>13</sup>C NMR (100 MHz, CD<sub>3</sub>OD, NaOCD<sub>3</sub>)  $\delta$  19.5 (CH<sub>3</sub>), 26.1 (C-3), 28.5 (C-7), 29.6 (C-4), 36.6 (C-8), 37.8 (C-5), 38.0 (C-6), 42.6 (CH<sub>2</sub>py), 43.1 (NCH<sub>3</sub>), 47.8 (C-4a), 58.5 (C-2), 64.8 (C-8a), 122.7 (C-3 py), 125.7 (C-5 py), 138.4 (C-4 py), 149.5 (C-6 py), 162.6 (C-2 py). HRMS (ESI-TOF) m/z: [M+H]<sup>+</sup> calcd for C<sub>17</sub>H<sub>27</sub>N<sub>2</sub> 259.2168, found 259.2169.

(4aS,5S,7*R*,8aS)-*tert*-butyl 7-methyl-5-(pyridin-2-ylmethyl)octahydroquinoline-1(2*H*)-carboxylate ((+)-28). A solution of crude (-)-27 (120 mg, 0.499 mmol, 1equiv) and triethylamine (210 μL, 1.497 mmol, 3 equiv) in CH<sub>2</sub>Cl<sub>2</sub> (10mL) was cooled to 0 °C. To this solution was added (Boc)<sub>2</sub>O (171 μL, 0.750 mmol, 1.5 equiv). The reaction mixture was allowed to warm to room temperature and stirred for 7 h. The solvent and triethylamine in excess were removed under vacuum. Purification by chromatography.(5-50%EtOAc/Hexane) affords (+)-28 (160 mg, 95% yield) as a colorless oil:  $R_f$  0.36 (25% EtOAc/Hexane),  $\alpha_D$  = +40.7 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.96 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.05-1.15 (m, 1H, H-4), 1.23-1.33 (m, 3H, H4a & H-6), 1.45 (s, 9H, CH<sub>3</sub> tBu), 1.55-1.63 (m, 2H, H-8 & H-3), 1.80-1.95 (m, 3H, H-3, H-5 & H-8), 1.95-2.08 (m, 2H, H-7 & H-4), 2.35 (dd, J = 13.2, 9.6 Hz, 1H, CH<sub>2</sub>Py), 3.03 (ddd, J = 14.0, 11.2, 5.6 Hz, 1H, H-2ax), 3.14 (dd, J = 13.2, 4.4 Hz, 1H, CH<sub>2</sub>Py), 3.47 (td, J = 11.4, 3.2 Hz, 1H, H-8a), 3.73 (ddd, J = 14.0, 7.0, 2.4 Hz, 1H, H-2eq), 7.06-7.11 (m, 2H, H-3 Py, H-5 Py), 7.56 (td, J = 7.6, 2.0 Hz, 1H, H-

4 Py), 8.52 (dm, J = 5.2, 2.0 Hz, 1H, H-6 Py); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  18.7 (CH<sub>3</sub>), 23.0 (C-3), 23.3 (C-4), 27.7 (C-7), 28.6 (CH<sub>3</sub> tBu), 36.5 (C-8), 37.5 (C-5), 37.7 (C-2), 37.9 (C-6), 42.3 (CH<sub>2</sub>Py), 43.9 (C-4a), 55.9 (H-8a), 78.9 (C-tBu), 120.9 (C-5 Py), 123.6 (C-3 Py), 136.0 (C-4 Py), 149.2 (C-6 Py), 155.4 (CO),161.2 (C-2 Py). HRMS (ESI-TOF) m/z: [M+H]<sup>+</sup> calcd for C<sub>21</sub>H<sub>33</sub>N<sub>2</sub>O<sub>2</sub> 345.2537, found 345.2538.

(4aS,5S,7R,8aS)-tert-butyl 7-methyl-5-(piperidin-2-ylmethyl)octahydroquinoline-1(2H)-carboxylate ((+)-29) A solution of (+)-28 (155 mg, 0.450 mmol) and PtO<sub>2</sub>.H<sub>2</sub>O (30 mg, 20 % w/w) in AcOH was stirred under H<sub>2</sub> atmosphere at room temperature for 2 h. The reaction was diluted with DCM and filtered on Celite (rince with DCM). The crude was concentrated and purified on alumina (0-10% MeOH/CH<sub>2</sub>Cl<sub>2</sub>) affording first (+)-29a (51 mg) as a transparent oil, then a mixture of (+)-29a and (+)-29b (75 mg) as a transparent oil and finally (+)-29b (30 mg) as a white solid. Combined yield 98%.

(+)-29a:  $R_f$  0.42 (2.5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>),  $\alpha_D$  = +36.1 (c 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.92-1.00 (m, 2H, H-4ax & CH<sub>2</sub>), 1.06 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.10-1.20 (m, 2H, H-4a, H-6 & H-5'), 1.30-1.40 (m, 1H, H-5),1.46 (s, 9H, CH<sub>3</sub> tBu), 1.50-1.70 (m, 7H, H-3, 2xH-3', H-5', H-8, H-6 & CH<sub>2</sub>), 1.70-1.85 (m, 4H, H-3, 2xH-4' & H-8), 1.95 (br t, J = 11.4 Hz, 1H, H-4), 2.07-2.17 (m, 1H, H-7), 2.47-2.56 (m, 1H, H-6'), 2.61 (td, J = 11.6, 2.4 Hz, 1H, H-2'), 2.99 (ddd, J = 13.6, 11.2, 5.6 Hz, 1H, H-2ax), 3.08 (br d, J = 11.6 Hz, 1H, H-2'), 3.41 (td, J = 11.6, 3.2 Hz, 1H, H-8a), 3.71 (ddd, J = 13.6, 7.2, 2.0 Hz, 1H, H-2eq); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 18.9 (CH<sub>3</sub>), 22.9 (C-3), 23.0 (C-4), 24.7 (C-4'), 26.0 (C-3'), 27.8 (C-7), 28.5 (CH<sub>3</sub> tBu), 33.1 (C-5), 33.7 (C-5'), 36.3 (C-8), 37.6 (C-2), 38.4 (C-6), 40.8 (CH<sub>2</sub>), 44.2 (C-4a), 46.9 (C-2'), 54.1 (C-6'), 55.9 (C-8a), 78.9 (C-tBu),

155.3 (CO). HRMS (ESI-TOF) m/z:  $[M+H]^+$  calcd for  $C_{21}H_{39}N_2O_2$  351.3006, found 351.3004

(+)-29b:  $R_f$  0.21 (2.5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>), mp =194-196 °C, α<sub>D</sub> = +21.0 (*c* 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.90-1.00 (m, 1H, H-4ax), 1.06 (d, J = 7.6 Hz, 3H, CH<sub>3</sub>), 1.10-1.20 (m, 1H, H-4a), 1.17-1.30 (m, 3H, H-5', H-6 & CH<sub>2</sub>), 1.30-1.42 (m, 1H, H-5),1.46 (s, 9H, CH<sub>3</sub> fBu), 1.50-1.60 (m, 3H, H-6, H-8 & H-3), 1.60-1.70 (m, 2H, H-3'), 1.72-1.95 (m, 7H, H-3, H-4, 2xH-4', H-5', H-8 & CH<sub>2</sub>), 2.07-2.15 (m, 1H, H-7), 2.67-2.75 (m, 2H, H-2' & H-6'), 2.99 (ddd, J = 14.0, 11.2, 5.6 Hz, 1H, H-2ax), 3.24 (br d, J = 12.4 Hz, 1H, H-2eq); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 18.8 (CH<sub>3</sub>), 22.8 (C-3), 23.0 (C-4), 23.5 (C-4'), 24.5 (C-3'), 27.7 (C-7), 28.5 (CH<sub>3</sub> fBu), 29.8 (C-5'), 33.2 (C-5), 36.3 (C-8), 37.5 (C-2), 37.9 (C-6), 38.7 (CH<sub>2</sub>), 43.8 (C-4a), 45.9 (C-2'), 54.8 (C-6'), 55.8 (C-8a), 79.0 (C-fBu), 155.3 (CO). HRMS (ESI-TOF) m/z: [M+H]<sup>+</sup> calcd for C<sub>21</sub>H<sub>39</sub>N<sub>2</sub>O<sub>2</sub> 351.3006, found 351.3004.

**6-(((4aS,5S,7R,8aS)-1-(tert-butoxycarbonyl)-7-methyldecahydroquinolin-5-yl)methyl)-2,3,4,5-tetrahydropyridine 1-oxide ((+)-30)** To a solution of a 1/1 mixture of **(+)-29a** and **(+)-29b** (75 mg, 0.214 mmol) in MeOH/ CH<sub>2</sub>Cl<sub>2</sub> (1:1; 2 mL) were added in one portion UHP (205 mg, 2.14 mmol) and Na<sub>2</sub>WO<sub>4</sub>·2H<sub>2</sub>O (15 mg, 0.043 mmol) and the mixture was stirred at rt for 72 h. After concentration, CH<sub>2</sub>Cl<sub>2</sub> was added and the reaction mixture was filtered, concentrated and purified by chromatography (1 to 10% MeOH in CH<sub>2</sub>Cl<sub>2</sub> and then 85/15/1.5 CHCl<sub>3</sub>/MeOH/NH<sub>3</sub>) to give **(+)-30** (48 mg, 62%) as a colorless oil,  $R_f = 0.41$  (95/5 CH<sub>2</sub>Cl<sub>2</sub>/MeOH);  $\alpha_D^{25} = +37.1$  (*c* 1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.06 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.03-1.15 (m, 1H, H-4), 1.26-1.33

(m, 1H, H4a), 1.35-1.43 (m, 2H, 2H-6), 1.45 (s, 9H, CH<sub>3</sub> tBu), 1.53-1.65 (m, 2H, H-8 & H-3), 1.72-1.78 (m, 2H, 2H-4'), 1.85-2.00 (m, 6H, H-3, H-4, 2H-3' H-5 & H-8), 2.05-2.15 (m, 1H, H-7), 2.38-2.45 (m, 3H, 2H-5' & CH<sub>2</sub>Py), 2.73 (dd, J = 13.6, 4.4 Hz, 1H, CH<sub>2</sub>Py), 3.01 (ddd, J = 13.6, 11.2, 5.6 Hz, 1H, H-2ax), 3.45 (td, J = 11.6, 3.2 Hz, 1H, H-8a), 3.72 (ddd, J = 13.6, 6.8, 2.0 Hz, 1H, H-2eq), 3.82 (br t, J = 6.0 Hz, 2H, 2H-2'); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  18.7 (CH<sub>3</sub>), 18.8 (C-4'), 22.9 (C-3 & C-4), 23.1 (C-3'), 27.7 (C-7), 28.5 (CH<sub>3</sub> tBu), 29.5 (C-5'), 34.1 (C-5), 35.4 (CH<sub>2</sub>), 36.3 (C-8), 37.6 (C-2), 38.3 (C-6), 44.2 (C-4a), 55.6 (C-8a), 58.2 (C-2'), 79.0 (C-tBu), 148.8 (C-6'), 155.3 (CO); HRMS (ESI-TOF) m/z: [M+H]<sup>+</sup> calcd for C<sub>21</sub>H<sub>37</sub>N<sub>2</sub>O<sub>3</sub> 365.2799, found 365.2810.

**6-(((4aS,5S,7R,8aS)-7-methyldecahydroquinolin-5-yl)methyl)-2,3,4,5-tetra-hydropyridine 1-oxide ((-)-Huperzine K, (-)-11)** To a stirred solution of **(+)-30** (18 mg, 0.049 mmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (5.0 mL), was added TFA (1.5 mL) and the reaction mixture was stirred for 3 h at room temperature before being concentrated to dryness under reduced pressure. To the crude mixture was added water (0.85 μL), MeOH (0.3 μL) and CH<sub>2</sub>Cl<sub>2</sub> (10 mL) followed by solid NaHCO<sub>3</sub> (500 mg) and the resulting mixture was stirred for 15 min. Na<sub>2</sub>SO<sub>4</sub> (1.5 g), was added, the mixture stirred for a further 20 min and then filtered, the filter cake was washed with 5% MeOH in CH<sub>2</sub>Cl<sub>2</sub> and the filtrate concentrated under reduced pressure. The resulting crude material was purified by silica column chromatography (0 $\rightarrow$ 10% MeOH in CH<sub>2</sub>Cl<sub>2</sub> followed by 1:2:0.1 MeOH/CH<sub>2</sub>Cl<sub>2</sub>/concd NH<sub>4</sub>OH) to give huperzine K **(-)-11** (9.4 mg, 73%) as a colourless oil:  $R_f$  = 0.28 (90/10 CH<sub>2</sub>Cl<sub>2</sub>/MeOH),  $\alpha_D^{25}$  = - 19.1 (c 0.08, CHCl<sub>3</sub>) as eluted from column (i.e. partially protonated). This sample was stirred for 1h30 in CDCl<sub>3</sub> and solid Na<sub>2</sub>CO<sub>3</sub> and filtered. Analytical data obtained for the free

base matching the reported spectra of (-)-huperzine K are:  $\alpha_D^{25}$  = -17.9 (c 0.07, CHCl<sub>3</sub>), Litt<sup>116</sup>  $\alpha_D^{20}$  = -16.8 (c 0.06, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.00 (d, J = 7.2 Hz, 3H, CH<sub>3</sub>), 1.08-1.13 (m, 2H, H-4 & H-4a), 1.34-1.44 (m, 1H, H-8), 1.54-1.58 (m, 1H, H-6), 1.60-1.66 (m, 1H, H-3), 1.63-1.68 (m, 1H, H-6), 1.72-1.79 (m, 3H, H-3 & 2H-4'), 1.90-1.96 (m, 3H, 2H-3' & H-5), 2.05-2.12 (m, 2H, H-7 & H-4), 2.22 (dd, J = 13.6, 10.0 Hz, 1H, CH<sub>2</sub>), 2.39 (t, J = 6.0 Hz, 2H, H-5'), 2.59-2.64 (m, 1H, H-8a), 2.70 (td, J = 12.4, 3.2 Hz, 1H, H-2), 2.80 (brd, J = 13.6 Hz, 1H, CH<sub>2</sub>), 3.18 (brd, J = 12.4 Hz, 1H, H-2), 3.80 (t, J = 6.0 Hz, 2H, H-2'); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  18.8 (CH<sub>3</sub> & C-4'), 23.1 (C-3'), 25.3 (C-3), 27.1 (C-7), 27.6 (C-4), 30.0 (C-5'), 32.6 (C-5), 35.2 (CH<sub>2</sub>), 37.5 (C-6), 37.7 (C-8), 45.7 (C-2), 47.0 (C-4a), 55.9 (C-8a), 58.2 (C-2'), 148.4 (C-6'); HRMS (ESI-TOF) m/z: [M+H]<sup>+</sup> calcd for C<sub>16</sub>H<sub>29</sub>N<sub>2</sub>O 265.2274, found 265.2284.

<sup>&</sup>lt;sup>116</sup> Gao, W. Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Planta Medica*, **2000**, *66*, 664-667

Table S6.1 <sup>13</sup>C NMR data for huperzine K in CDCl<sub>3</sub>

huperzine K (**(-)-11**)

bio#	synthetic (-)-11 <sup>1</sup>	synthetic (-)-11 <sup>1</sup>	isolated hunorzina K²	
	partially protonated	free base	isolated huperzine K <sup>2</sup>	
1	58.2	58.2	58.3	
2	22.6	23.1	23.2	
3	18.6	18.8	18.9	
4	30.6	30.0	30.1	
5		148.4	148.2	
6	35.0	35.2	35.2	
7	32.3	32.6	32.6	
8	35.0	37.5	37.8	
9	44.4	45.7	46.0	
10	23.0	25.3	25.6	
11	26.4	27.6	27.8	
12	44.5	47.0	47.2	
13	56.1	55.9	56.0	
14	37.0	37.7	37.8	
15	26.8	27.1	27.2	
16	18.1	18.8	18.9	

<sup>&</sup>lt;sup>113</sup>C NMR recorded at 100 MHz. Assignments were aided by COSY and HSQC spectra.

<sup>&</sup>lt;sup>2</sup> Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles,* **2006**, *69*, 223-229

6-(((4aS,5S,7R,8aS)-1,7-dimethyldecahydroquinolin-5-yl)methyl)-2,3,4,5tetrahydropyridine 1-oxide ((-)-huperzine M = (-)-lycoposerramine Y, (-)-12) To a stirred solution of (-)-11 (9.2 mg, 0.0348 mmol) in MeOH (1 mL) was added K<sub>2</sub>CO<sub>3</sub> (24 mg, 0.174mmol) and MeI (2.3 µL, 0.0365 mmol) and the mix was stirred at 50 °C for 3 h. The crude mixture was evaporated and water (60 μL) and CH<sub>2</sub>Cl<sub>2</sub> (5 mL) were added. Addition of Na<sub>2</sub>SO<sub>4</sub> (1 g) and stirring for 15 min followed by filtration on Celite afforded the crude product. Purification by column chromatography (5 to 20% MeOH in CH<sub>2</sub>Cl<sub>2</sub> and then 85/15/1.5 to 65/30/5 CHCl<sub>3</sub>/MeOH/NH<sub>3</sub>) give (-)-12 and unreacted (-)-11 (6.1 mg, 62%, ratio 3:2) as a colorless oil<sup>117</sup>. Similarly as for (-)-huperzine K, this sample was stirred for 1h30 in CDCl<sub>3</sub> and solid Na<sub>2</sub>CO<sub>3</sub> and filtered. Analytical data reported were obtained for the free base  $R_f = 0.41$  (80/20/0.2 CHCl<sub>3</sub>/MeOH/NH<sub>3</sub>); HRMS (ESI-TOF) m/z:  $[M+H]^+$  calcd for  $C_{17}H_{31}N_2O$  279.2430, found 279.2437 Data for (-)-huperzine M (-)-12:  ${}^{1}$ H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.99 (d, J = 6,0 Hz, 3H, CH<sub>3</sub>), 1.03-1.20 (m, 2H, H-4 & H-4a), 1.30-1.40 (m, 1H, H-8) 1.42-1.52 (m, 1H, H-6), 1.68-1.82 (m, 4H, H<sub>2</sub>-4' & H<sub>2</sub>-3), 1.85-2.00 (m, 5H, H<sub>2</sub>-3', H-5, H-6 & H-8a), 2.03-2.13 (m, 2H, H-4 & H-7), 2.18-2.30 (m, 2H, CH<sub>2</sub> & H-2), 2.34 (s, 3H, N-CH<sub>3</sub>), 2.39 (t, J = 5.0 Hz, 2H, H<sub>2</sub>-5'), 2.84 (brd, J = 11.0 Hz, 1H, CH<sub>2</sub>), 3.04 (brd, J = 10.0 Hz, 1H, H-2), 3.80 (t, J = 5.0 Hz, 2H, H-2'); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 18.7 (C-4'), 19.2 (CH<sub>3</sub>), 23.1 (C-3'), 24.6 (C-3), 27.1 (C-7), 28.0 (C-4), 30.2 (C-5'), 32.7 (C-5), 35.3 (C-6), 35.7 (CH<sub>2</sub>), 37.3 (C-8), 42.1 (N-CH<sub>3</sub>), 46.2 (C-4a), 57.2 (C-2), 58.2 (C-2'), 63.8 (C-8a), 148.6 (C-6').

4

<sup>&</sup>lt;sup>117</sup> Product was also contaminated by phtalates from solvents used.

Table S6.2 <sup>13</sup>C NMR data for huperzine M in CDCl<sub>3</sub>

huperzine M (**(-)-12**)

bio#	synthetic (-)-12 <sup>1</sup>	huperzine M <sup>2</sup>	lycoposerramine Y <sup>3</sup>
1	58.2	58.1	58.3
2	23.1	23.1	23.3
3	18.7	18.8	19.0
4	30.2	29.9	29.9
5	148.6	148.6	148.5
6	35.7	35.6	35.8
7	32.7	32.8	33.0
8	35.3	35.4	37.7
9	57.2	57.5	57.7
10	24.6	25.1	25.4
11	28.0	28.3	28.5
12	46.2	46.7	47.0
13	63.6	63.3	63.4
14	37.3	37.5	35.7
15	27.1	27.1	27.3
16	19.2	19.3	19.5
17	42.1	42.5	42.8

<sup>&</sup>lt;sup>113</sup>C NMR recorded at 100 MHz. Assignments were aided by COSY and HSQC spectra.

<sup>&</sup>lt;sup>2</sup> Gao, W. Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Helv. Chim. Acta* **2008**, *91*, 1031-1035.

<sup>&</sup>lt;sup>3</sup> Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles,* **2006**, *69*, 223-229.

Table S6.3 <sup>1</sup>H NMR data for huperzine M in CDCl<sub>3</sub>

huperzine M (**(-)-12**)

bio#	synthetic (-)-1 <sup>1</sup>	huperzine M <sup>2</sup>	lycoposerramine Y <sup>3</sup>
1	3.80 (t, 5)	3.78 (t, 6)	3.73 (t, 6)
2	1.90	1.90*	1.67*
3	1.76	1.72*	1.86*
4	2.39 (t, 5)	2.37 (t, 6)	2.32
6	2.84 (br.d, 11)	2.78 (dd, 13,4)	2.72
	2.22	2.26 (d, 13)	2.23
7	1.98	1.95	1.86
8	1.87	1.85	1.33*
	1.48	1.38	1.25
9	3.04 (br.d, 10)	2.86 (br.d, 12)	2.82
	2.26	2.10	2.03
10	1.70	1.68	1.59
11	2.06	2.02 (br;d, 14)	1.94
	1.08	1.03	0.92
12	1.15	1.08	0.99
13	1.95	1.82 (br.d, 13)	1.73
14	1.40-1.30	1.30	1.83*
			1.31
15	2.10	2.06	2.03
16	0.99 (d, 6)	0.98 (d, 8)	0.93
17	2.34	2.24	2.18

<sup>&</sup>lt;sup>1</sup> H NMR recorded at 400 MHz. Assignments were aided by COSY and HSQC spectra.

<sup>&</sup>lt;sup>2</sup> Gao, W. Y.; Li, Y. M.; Jiang, S. H.; Zhu, D. Y. *Helv. Chim. Acta* **2008**, *91*, 1031-1035.

<sup>&</sup>lt;sup>3</sup> Katakawa, K.; Kitajima, M.; Yamaguchi, K.; Takayama, H. *Heterocycles*, **2006**, *69*, 223-229.

For copies of NMR spectras of compounds (+)-21 to (-)-27 and (-)-14 see SI chapter 5.

